

# Rotor position estimation in IPM motor drives based on PWM current harmonics

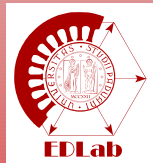
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## This presentation refers to the paper:

Adriano Faggion, Silverio Bolognani, and Luca Sgarbossa

"Rotor position estimation in IPM motor drives based on  
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## High injection method in sensorless technique

- Nowadays the sensorless position control based on high frequency injected signals is largely studied.
- The method is based on the injection of high frequency sine wave additional voltages, that are added to the fundamental voltages that feed the machine.
- The high frequency signals can be inject in the stationary ( $\alpha - \beta$ ) or in the rotating ( $d - q$ ) reference frame.

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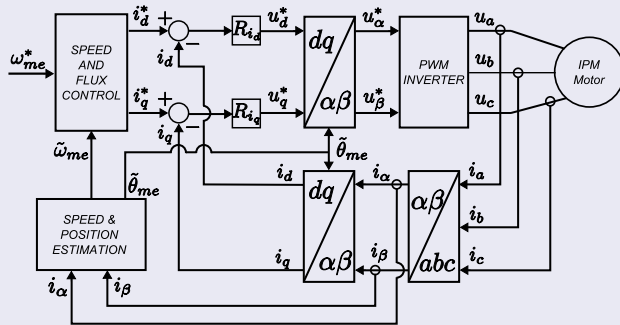
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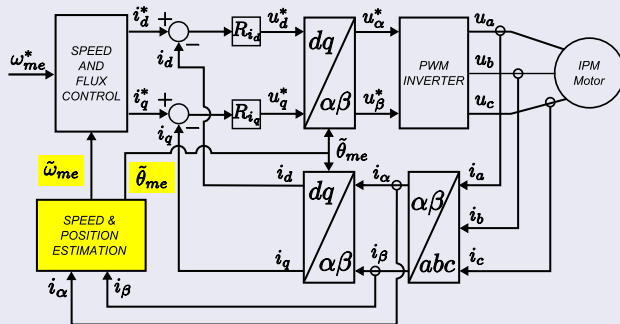
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## Sensorless control drive scheme



The estimated speed  $\tilde{\omega}_{me}$  and position  $\tilde{\theta}_{me}$  are delivered by an estimation algorithm.



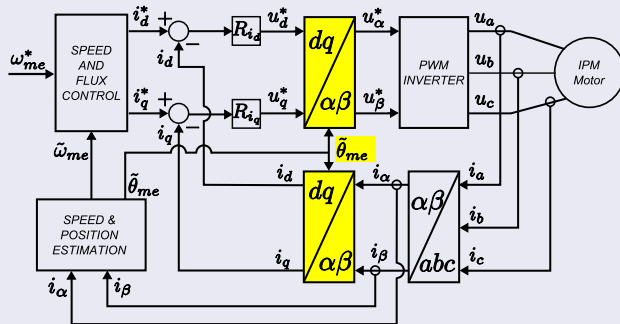
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## Sensorless control drive scheme



The estimated position  $\tilde{\theta}_{me}$  is used in the reference frame transformations.

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## Fundamental voltages

In the case of three phase motor drive, the fundamental voltages feeding the motor are:

$$u_a^*(t) = U \cos(\theta_u) \quad (1)$$

$$u_b^*(t) = U \cos\left(\theta_u - \frac{2\pi}{3}\right) \quad (2)$$

$$u_c^*(t) = U \cos\left(\theta_u + \frac{2\pi}{3}\right) \quad (3)$$

where  $\theta_u = \tilde{\theta}_{me} + \theta_u^r$

Really, due to the inverter, the actual voltages applied to the motor are the result of the PWM control.

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## Space vector PWM inverter

- The voltages, delivered by a space vector PWM inverter, are composed by an infinite sum of sine waves.
- Among this harmonics there are also those around the switching frequency  $fc$ .
- Then, there is an intrinsic high frequency injection due to the PWM modulation.

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## Space vector PWM inverter

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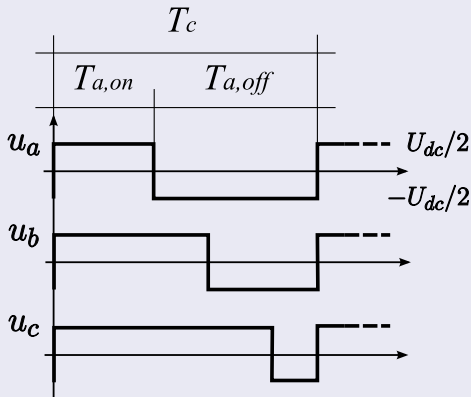
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The idea proposed in this work is the exploitation of the PWM effects in the stator currents for the electrical rotor position estimation.



## Single Edge PWM modulation

In the work the single edge PWM modulation with switching period  $T_c = 1/f_c$  are taken into account.



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## Phase *a* voltage frequency analysis

It is possible to derive the expression of the voltage harmonic component at switching frequency  $f_c$  of a generic phase voltage  $u_c$  applying the Fourier series complex form:

$$u_c = \dot{u}^+ e^{j\omega_c t} + \dot{u}^- e^{-j\omega_c t}$$

with  $\dot{u}^+$  and  $\dot{u}^- \in \mathbf{C}$ ,  $\omega_c = 2\pi f_c$

At frequency  $\omega_c$  there are two voltage vectors that rotate in clockwise and anti-clockwise direction. This is peculiar of the single edge PWM. Symmetrical PWM has not harmonic vectors at frequency  $\omega_c$ .

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Phase *a* voltage frequency analysis

Starting from the phase *a* voltage, it results:

$$\dot{u}_a^+ = \frac{1}{T_c} \int_0^{T_c} u_a(t) e^{-i\omega_c t} dt \quad (4)$$

$$= \frac{1}{T_c} \left[ \int_0^{T_{a,on}} \frac{U_{dc}}{2} e^{-i\omega_c t} dt + \int_{T_{a,on}}^{T_c} -\frac{U_{dc}}{2} e^{-i\omega_c t} dt \right] \quad (5)$$

$$= \frac{iU_{dc}}{2\pi} [e^{-i\omega_c T_{a,on}} - 1] \quad (6)$$

and

$$\dot{u}_a^- = \text{conj}(\dot{u}_a^+) = -\frac{iU_{dc}}{2\pi} [e^{i\omega_c T_{a,on}} - 1] \quad (7)$$

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## Phase *a* voltage frequency analysis

$\dot{u}_a^+$  and  $\dot{u}_a^-$  can be substituted in the initially equation:

$$u_{ac} = \frac{iU_{dc}}{2\pi} [e^{-i\omega_c T_{a,on}} - 1] e^{i\omega_c t} - \frac{iU_{dc}}{2\pi} [e^{i\omega_c T_{a,on}} - 1] e^{-i\omega_c t} \quad (8)$$

Finally it results

$$u_{ac} = \frac{U_{dc}}{\pi} \cos(\omega_c t) \sin(\omega_c T_{a,on}) - \frac{U_{dc}}{\pi} \sin(\omega_c t) \cos(\omega_c T_{a,on}) + \frac{U_{dc}}{\pi} \sin(\omega_c t) \quad (9)$$

with

$$T_{a,on} = \frac{1}{U_{dc}} |u| \cos \theta_u + \frac{1}{2} \quad (10)$$

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## Phase $a$ voltage frequency analysis

Using the previously expression for  $T_{a,on}$  and the Bessel function, it results:

$$u_{ac} = A_0 \sin(\omega_c t) + \sum_{n=1}^{+\infty} (-1)^n A_{2n-1} \cos((2n-1)\theta_u) \cos(\omega_c t) - \quad (11)$$

$$- \sum_{n=1}^{+\infty} A_{2n} \cos(2n\theta_u) \sin(\omega_c t) \quad (12)$$

with

$$A_0 = \frac{U_{dc}}{\pi} \left[ J_0 \left( \frac{2\pi|u|}{U_{dc}} \right) - \frac{1}{2} \right] \quad (13)$$

$$A_{2n-1} = 2 \frac{U_{dc}}{\pi} J_{2n-1} \left( \frac{2\pi|u|}{U_{dc}} \right)$$

$$A_{2n} = 2 \frac{U_{dc}}{\pi} J_{2n} \left( \frac{2\pi|u|}{U_{dc}} \right)$$

where  $J_n$  denotes the Bessel function of order  $n$ .



## Phases $b$ and $c$ voltage frequency analysis

Similarly, the phase  $b$  and  $c$  high frequency voltages can be computed.

$$\begin{aligned}
 u_{bc} = & A_0 \sin(\omega_c t) + \sum_{n=1}^{+\infty} (-1)^n A_{2n-1} \cos \left( (2n-1) \left( \theta_u - \frac{2\pi}{3} \right) \right) \cos(\omega_c t) - \\
 & - \sum_{n=1}^{+\infty} A_{2n} \cos \left( 2n \left( \theta_u - \frac{2\pi}{3} \right) \right) \sin(\omega_c t) \quad (14)
 \end{aligned}$$

$$\begin{aligned}
 u_{cc} = & A_0 \sin(\omega_c t) + \sum_{n=1}^{+\infty} (-1)^n A_{2n-1} \cos \left( (2n-1) \left( \theta_u + \frac{2\pi}{3} \right) \right) \cos(\omega_c t) - \\
 & - \sum_{n=1}^{+\infty} A_{2n} \cos \left( 2n \left( \theta_u + \frac{2\pi}{3} \right) \right) \sin(\omega_c t)
 \end{aligned}$$

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## High frequency harmonics of voltages $u_\alpha$ and $u_\beta$

Transforming the previously high frequency voltages in the  $\alpha\beta$  reference frame, it results:

$$u'_{\alpha c} = \frac{2}{3} \sum_{n=1}^{+\infty} (-1)^n A_{2n-1} \cos(\omega_c t) \cos((2n-1)\theta_u) \left(1 - \cos\left((2n-1)\frac{2\pi}{3}\right)\right) + \frac{2}{3} \sum_{n=1}^{+\infty} (-1)^n A_{2n} \sin(\omega_c t) \cos(2n\theta_u) \left(1 - \cos\left(2n\frac{2\pi}{3}\right)\right) \quad (15)$$

$$u'_{\beta c} = \frac{2}{\sqrt{3}} \sum_{n=1}^{+\infty} (-1)^n A_{2n-1} \cos(\omega_c t) \sin((2n-1)\theta_u) \sin\left((2n-1)\frac{2\pi}{3}\right) + \frac{2}{\sqrt{3}} \sum_{n=1}^{+\infty} (-1)^n A_{2n} \sin(\omega_c t) \sin(2n\theta_u) \sin\left(2n\frac{2\pi}{3}\right) \quad (16)$$

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## High frequency harmonics of voltages $u_\alpha$ and $u_\beta$

From all the harmonics it is possible to take into account only those dependently on the cosine of  $\theta_u$ :

$$u_{\alpha c} = -\frac{A_1}{2} \cos(\omega_c t + \theta_u) - \frac{A_1}{2} \cos(-\omega_c t + \theta_u) \quad (17)$$

$$= -\frac{A_1}{2} \cos(\omega_c t + \tilde{\theta}_{me} + \theta_u^r) - \frac{A_1}{2} \cos(-\omega_c t + \tilde{\theta}_{me} + \theta_u^r)$$

$$u_{\beta c} = -\frac{A_1}{2} \sin(\omega_c t + \theta_u) - \frac{A_1}{2} \sin(-\omega_c t + \theta_u) \quad (18)$$

$$= -\frac{A_1}{2} \sin(\omega_c t + \tilde{\theta}_{me} + \theta_u^r) - \frac{A_1}{2} \sin(-\omega_c t + \tilde{\theta}_{me} + \theta_u^r)$$

The voltage vector is given by two rotating vectors at speeds  $\omega_c \pm \tilde{\omega}_{me}$ .

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## High frequency harmonics of currents $i_{\alpha c}$ and $i_{\beta c}$

With these high frequency voltages, the high frequency currents, in the steady-state operation and neglecting the resistance voltage drop, result in:

$$\begin{aligned}
 i_{\alpha c} = & -I_0^+ \sin(\omega_c t + \tilde{\theta}_{me} + \theta_u^r) - & (19) \\
 & -I_1^+ \sin(\omega_c t + \tilde{\theta}_{me} - 2\theta_{me} + \theta_u^r) - \\
 & -I_0^- \sin(\omega_c t - \tilde{\theta}_{me} - \theta_u^r) - \\
 & -I_1^- \sin(\omega_c t - \tilde{\theta}_{me} + 2\theta_{me} - \theta_u^r)
 \end{aligned}$$

$$\begin{aligned}
 i_{\beta c} = & I_0^+ \cos(\omega_c t + \tilde{\theta}_{me} + \theta_u^r) - & (20) \\
 & -I_1^+ \cos(\omega_c t + \tilde{\theta}_{me} - 2\theta_{me} + \theta_u^r) - \\
 & -I_0^- \cos(\omega_c t - \tilde{\theta}_{me} - \theta_u^r) + \\
 & +I_1^- \cos(\omega_c t - \tilde{\theta}_{me} + 2\theta_{me} - \theta_u^r)
 \end{aligned}$$

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## High frequency harmonics of currents $i_{\alpha c}$ and $i_{\beta c}$

with:

$$I_0^+ = \frac{L_\Sigma}{L_d L_q} \frac{A_1}{2} \frac{1}{\omega_c + \tilde{\omega}_{me}}$$

$$I_0^- = \frac{L_\Sigma}{L_d L_q} \frac{A_1}{2} \frac{1}{\omega_c - \tilde{\omega}_{me}}$$

$$I_1^+ = \frac{L_\Delta}{L_d L_q} \frac{A_1}{2} \frac{1}{\omega_c + \tilde{\omega}_{me}}$$

$$I_1^- = \frac{L_\Delta}{L_d L_q} \frac{A_1}{2} \frac{1}{\omega_c - \tilde{\omega}_{me}}$$

where  $L_d$  and  $L_q$  are  $d$ - and  $q$ -axis inductances at frequency  $\omega_c$  respectively,  $\tilde{\omega}_{me}$  is the estimated speed and

$$L_\Sigma = \frac{L_q + L_d}{2} \quad L_\Delta = \frac{L_q - L_d}{2} \quad (21)$$

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## High frequency simulated currents

- Some simulations have been done in order to verify the validity of the high frequency currents  $i_{\alpha c}$  and  $i_{\beta c}$  expressions.
- In order to extract the  $i_{\alpha}$  and  $i_{\beta}$  harmonics at  $f_c$ , these currents must be measured with a frequency higher than the switching frequency.
- To this purpose the simulated motor currents, filtered with a band pass filter around the switching frequency, are compared with the currents given by previously equations.
- The term  $A_1$  is estimated by a FFT analysis.

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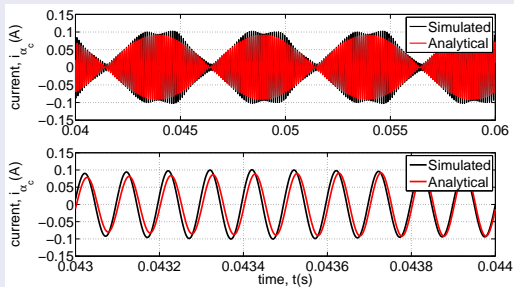
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## High frequency simulated currents

### Current $i_{\alpha c}$ harmonics around the switching frequency



There is a good correspondence between real and reconstructed currents. The discrepancy is due to the other harmonics that are not considered in the mathematic analysis.

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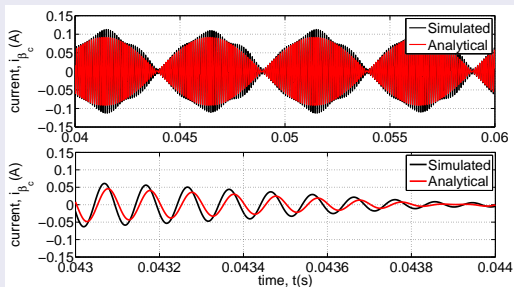
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## High frequency simulated currents

### Current $i_{\beta}$ harmonics around the switching frequency



Same considerations can be done for the current  $i_{\beta}$ .

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## Position estimation



For the position estimation purpose, the high frequency currents can be manipulated as follows:

$$\begin{aligned}
 \epsilon &= i_{\alpha} \cdot \cos(\omega_c t - \tilde{\theta}_{me} - \theta_u^r) - i_{\beta} \cdot \sin(\omega_c t - \tilde{\theta}_{me} - \theta_u^r) \\
 &= -I_0^+ \sin(2\omega_c t) - I_1^+ \sin(2(\tilde{\theta}_{me} + \theta_u^r - \theta_{me})) - \\
 &\quad - I_1^- \sin(2\omega_c t - 2(\tilde{\theta}_{me} + \theta_u^r - \theta_{me}))
 \end{aligned} \tag{22}$$

Filtering by a low pass filter, the terms at frequency  $2\omega_c$  are removed and it results:

$$\epsilon_{LP} = -I_1^+ \sin(2(\tilde{\theta}_{me} + \theta_u^r - \theta_{me})) \tag{23}$$

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## Position estimation



Imposing  $\tilde{\theta}'_{me} = \tilde{\theta}_{me} + \theta_U^r$ , it results:

$$\epsilon'_{LP} = -I_1^+ \sin(2\Delta\theta_{me}) \quad (24)$$

where  $\Delta\theta_{me} = \tilde{\theta}'_{me} - \theta_{me}$ .

- The  $\sin(2\Delta\theta_{me})$  is equal to zero when also  $\Delta\theta_{me}$  is zero, that is when  $\tilde{\theta}'_{me}$  is equal to the electrical position  $\theta_{me}$ .
- An adjustment mechanism can thus correct the estimated position to nullify the error  $\Delta\theta_{me}$ .
- A PI regulator is used to nullify  $\epsilon'_{LP}$  and to deliver the estimated position and speed.

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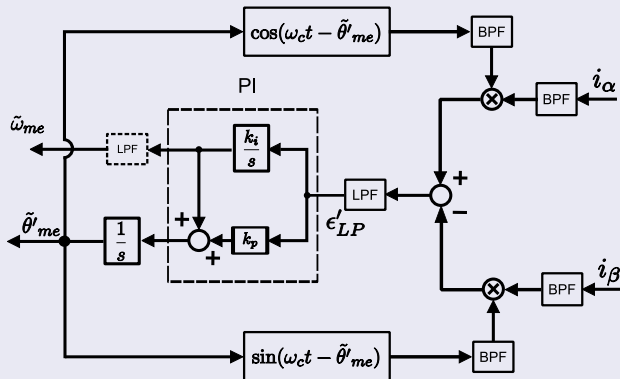
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## Position estimation

## Estimator scheme



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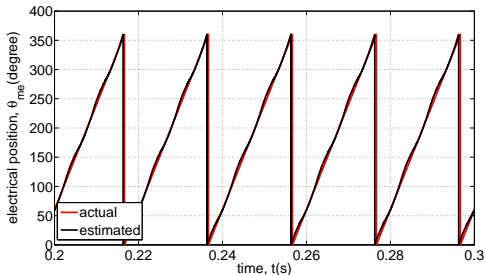
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## Position estimation

### Estimated position and actual one (simulation)



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## Position estimation

### Estimated position and actual one (simulation)

- One can note the good correspondence between the estimated position (black line) and the actual one (red line).
- Simulation confirms the possibility to estimate the rotor position starting from the high frequency stator currents due to the PWM voltage control.
- Discrepancy is due to the other PWM harmonics that are not completely rejected by the filter.

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## Experimental setup

- Due to the specific and particularly innovative strategy used to extract the position information, it is mandatory to validate the proposal with the experimentation.
- Switching frequency has been posed equal to  $6.250 \text{ kHz}$ .
- An oversampled current measure in a test bench has been implemented and all the samples have been imported in MatLab.

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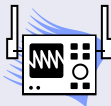
## Experimental setup

## Switching frequency harmonic currents

- 1 Currents  $i_a$ ,  $i_b$  is measured and the third  $i_c$  is derived.
- 2 Acquisition and saving with 400 samples per period by means of oscilloscope.
- 3 Post elaboration with a high pass digital filter of 4<sup>th</sup> order filter around  $f_c$



IPM motor

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by means of oscilloscopePost elaboration  
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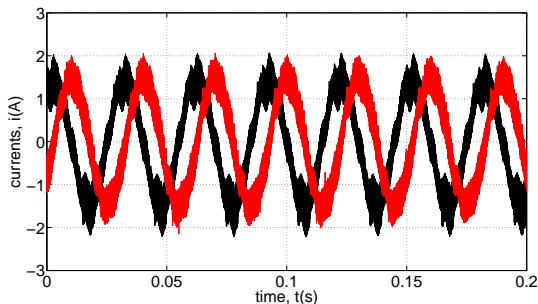
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## Experimental results

### Stator currents $i_\alpha$ (black line) and $i_\beta$ (red line)



The saved currents are imported in a Simulink model very similar to that used for the simulations.

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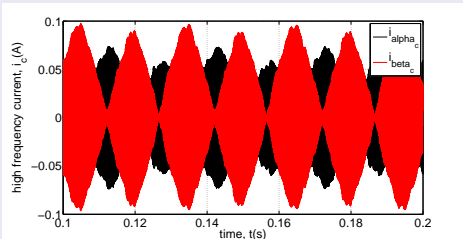
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## Experimental results

### High frequency stator currents $i_{\alpha c}$ (black line) and $i_{\beta c}$ (red line)



High frequency stator currents are obtained filtering the measured by a band pass filter, centered at the switching frequency.

The result is very similar to the expectation proposed by the analysis and the results obtained in the simulation.



## Experimental results



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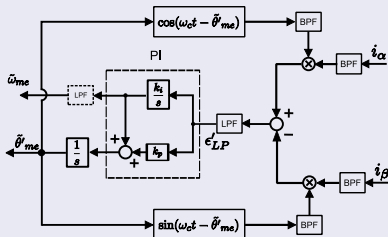
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## Estimation purpose

- The current samples are applied to the estimated scheme used in the simulation.
- The output of the estimator is compared to the actual (measured) quantities.

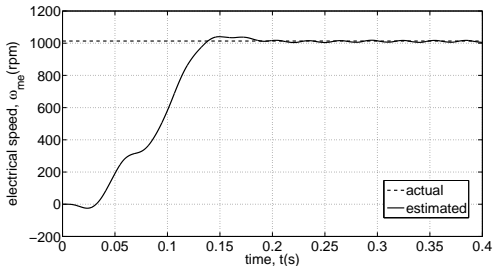
## Estimator scheme





## Experimental results

### Estimated speed (solid line) and actual one (dashed line)



The estimated speed reaches the actual one after an initially transient. A reduced oscillation is present on the estimated speed: this effect may be due to a DC-BUS oscillation.

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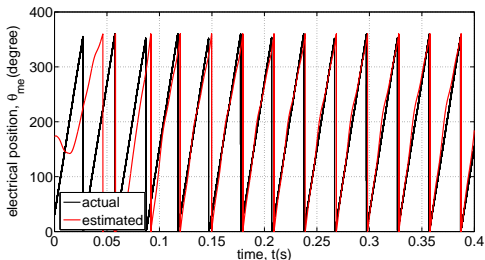
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## Experimental results

### Estimated position (red line) and actual one (black line)



- ✓ The estimated position follows the actual one.
- ✓ Test confirms the possibility to extract the position.
- ✓ Oscillations in the estimated speed get oscillations in the estimated position.

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## Conclusions

- Rotor position estimation using PWM intrinsic voltage harmonics injection has been presented.
- At first, the theoretical treatment has been discussed.
- A preliminary theory confirmation has been obtained by estimating the position by means of simulations.
- The position has been estimated with an acceptable precision using a post elaboration of the oversampled motor currents.
- The new estimation technique appears therefore viable, provided that the current oversampling and post elaboration need are solved.
- A full digital implementation of the sensorless drive coming soon.



## Related Papers by the Authors

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S. Bolognani, and A. Faggion,

*"A new proposal of rotor position estimation in ipm motor drives based on pwm current harmonics."*, In *Sensorless Control for Electrical Drives (SLED)*, 2010 First Symposium on, pages 86–92, jul. 2010.



Thank you for the attention.

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