

1 **Metagenomic data reveal diverse fungal and algal communities associated with the lichen** 2 **symbiosis**

3 Hayden Smith¹, Francesco Dal Grande^{2,3}, Lucia Muggia⁴, Rachel Keuler¹, Pradeep K. Divakar⁵, Felix
4 Grewe⁶, Imke Schmitt^{2,7}, H. Thorsten Lumbsch⁸, Steven D. Leavitt^{1,*}

5 ¹Department of Biology and M. L. Bean Life Science Museum, Brigham Young University,
6 Provo, Utah, USA

7 ²Senckenberg Biodiversity and Climate Research Centre (SBIK-F), Senckenberganlage 25, D-
8 60325 Frankfurt, Germany

9 ³ LOEWE Centre for Translational Biodiversity Genomics (TBG), Senckenberganlage 25, D-
10 60325 Frankfurt, Germany

11 ⁴University of Trieste, Department of Life Sciences, via Giorgieri 10, 34127-Trieste, Italy

12 ⁵Departamento de Farmacología, Farmacognosia y Botánica, Facultad de Farmacia, Universidad
13 Complutense de Madrid, 28040 Madrid, Spain

14 ⁶Grainger Bioinformatics Center, Science & Education, The Field Museum, Chicago, IL, USA

15 ³Department of Ecology, Evolution and Diversity, Goethe Universität Frankfurt, Max-von-Laue
16 Str. 11, D-60438 Frankfurt, Germany

17 ⁸Science & Education, The Field Museum, Chicago, IL, USA

18 *corresponding author: steve_leavitt@byu.edu

19

20 Francesco Dal Grande: <https://orcid.org/0000-0002-1865-6281>

21 Lucia Muggia: <https://orcid.org/0000-0003-0390-6169>

22 Pradeep K. Divakar: <https://orcid.org/0000-0002-0300-0124>

23 H. Thorsten Lumbsch: <https://orcid.org/0000-0003-1512-835X>

24 Steven D. Leavitt: <https://orcid.org/0000-0002-5034-9724>

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26 **Abstract:** Lichens have traditionally been considered the symbiotic phenotype from the interactions
27 of a single fungal partner and one or few photosynthetic partners. However, the lichen symbiosis has
28 been shown to be far more complex and may include a wide range of other interacting organisms,
29 including non-photosynthetic bacteria, accessory fungi, and algae. In this study, we analyzed
30 metagenomic shotgun sequences to better characterize lichen mycobiomes. Specifically, we inferred
31 the range of fungi associated within lichen thalli from five groups of lichens – horsehair lichens
32 (mycobiont=*Bryoria* spp.), shadow lichens (taxa in Physciaceae), rock posies (*Rhizoplaca* spp.), rock
33 tripes (*Umbilicaria* spp.), and green rock shields (*Xanthoparmelia* spp.). Metagenomic reads from the
34 multi-copy nuclear ribosomal internal transcribed spacer region, the standard DNA barcode region for
35 fungi, were extracted, clustered, and used to infer taxonomic assignments. Our data revealed diverse
36 lichen-associated mycobiomes, and closely related mycobionts tended to have more similar
37 mycobiomes. Many of the members of the lichen-associated mycobiomes identified here have not
38 previously been found in association with lichens. We found little evidence supporting the ubiquitous
39 presence of Cystobasidiales yeasts in macrolichens, although reads representing this putative
40 symbiotic partner were found in samples of horsehair lichens, albeit in low abundance. Our study

41 further highlights the ecosystem-like features of lichens, with partners and interactions far from being
42 completely understood. Future research is needed to more fully and accurately characterize lichen
43 mycobiomes and how these fungi interact with the major lichen components – the photo- and
44 mycobionts.

45 **Key words:** Cystobasidiomycetes, endolichenic fungi, genomics, holobiont, ITS, symbiosis

46 **1. Introduction**

47 Lichens have been iconic examples of symbiosis for the past 150 years (Honegger 2000). While
48 the lichen was originally defined as a symbiotic relationship between a single fungus, the mycobiont, and
49 a single or few species of green algae or cyanobacteria, the photobiont, studies have shown this is overly
50 simplistic. It wasn't until the late 20th century that in vitro studies began to look at other fungi as
51 potentially lichen-associated organisms rather than mere contaminants (Petrini et al. 1990, Crittenden et
52 al. 1995, Girlanda et al. 1997).

53 Advances in sequencing technologies have allowed for a deeper investigation into the diversity of
54 the lichen symbiosis, providing evidence that lichens are often composed of several fungal and green
55 algal/cyanobacterial species forming a thallus with associated non-photosynthetic bacteria. Photobiont
56 diversity can be shaped by reproductive and dispersal strategies of the mycobiont (Cao et al. 2015,
57 Steinova et al. 2019), geography (Muggia et al. 2014, Werth and Sork 2014, Leavitt et al. 2015b), growth
58 substrate (Bačkor et al. 2010, Leavitt et al. 2013b, Muggia et al. 2014) and macroclimate (Lu et al. 2018,
59 Singh et al. 2018). The diversity of photobionts has been only recently explored by environmental DNA
60 metabarcoding approaches and has focused on species within the Mediterranean basin to date (Moya et al.
61 2017, Dal Grande et al. 2018). In contrast to high-throughput sequencing approaches, traditional and
62 largely applied DNA barcoding using Sanger sequencing was able to detect only the principal photobiont
63 in the thalli (Paul et al. 2018). Additionally, many studies show that lichens are supported by a
64 consortium of bacteria (Bates et al. 2011) that may change with substrate, altitude, and geography
65 (Cardinale et al. 2012, Hodkinson et al. 2012, Fernandez-Brime et al. 2019). Potential functions of
66 bacterial microbiomes include providing the host with nutrients, as well as protective and growth-
67 regulating functions (Cernava et al. 2017). Furthermore, study have also shown carbon exchange between
68 lichen green algae and non-photosynthetic bacteria (Kono et al. 2017).

69 The lichen mycobiome – the fungal communities superficially associated and within the lichen
70 thallus – can be made up of symptomatic lichenicolous fungi (Lawrey and Diederich 2003) and
71 endolichenic fungi (Arnold et al. 2009, U'Ren J et al. 2010, Muggia et al. 2016). Lichenicolous fungi
72 growing on lichen thalli, may or may not be parasitic, and can influence their host's morphology (Lawrey
73 and Diederich 2003, U'Ren J et al. 2010, Fleischhacker et al. 2015). While some studies have found

74 patterns in the lichen-associated mycobiome – for example, changing with altitude (Zhang et al. 2015,
75 Wang et al. 2016) – others have found little specificity between the lichen host and its associated
76 mycobiome (Fleischhacker et al. 2015, Fernandez-Mendoza et al. 2017, Yu et al. 2018).

77 Recently basidiomycete yeasts have been called into question as a potential symbiotic partner in
78 the lichen symbiosis with the discovery of Cystobasidiomycetes (Basidiomycota, Pucciniomycotina) in
79 the cortices of lichens (Spribille et al. 2016). The presence of this group of fungi was previously
80 discovered in association with two genera in the lichen-forming family Parmeliaceae, *Hypogymnia* and
81 *Usnea* by (Millanes et al. 2016), who clarified the phylogenetic position and the monophyly of two
82 lichen-inhabiting species which were accommodated in the new genus *Cyphobasidium*. Later (Černajová
83 and Škaloud 2019) found Cystobasidiomycete yeasts in 95% of *Cladonia* specimens collected across
84 Europe, though they were suggested to be either part of a superficial biofilm or living within the thallus
85 without associating with the cortex itself. In contrast, Lendemer et al. (2019) found them in just nine of
86 the 339 species investigated. There remains a question of how abundant and specific cystobasidiomycetes
87 are in lichen assemblages, as well as how consistent the mycobiome might be among different lichen-
88 forming fungal species.

89 In terms of lichen photobionts, intrathalline photobiont diversity, e.g. multiple photobionts
90 species within a single lichen thallus, has previously been observed in a number of lichen symbioses
91 (Muggia et al. 2013, Dal Grande et al. 2014, Moya et al. 2017, Škaloud et al. 2018). In some cases, algae
92 with different physiological performances are ever-present in lichen thalli potentially facilitating the
93 success of these lichens in a wide range of habitats and geographic areas and/or in changing
94 environmental conditions. However, Sanger sequencing has been shown to consistently fail to effectively
95 generate DNA sequence data from lichen specimens when multiple *Trebouxia* lineages occur within a
96 single lichen thallus (Paul et al. 2018), potentially biasing the perspective of lichen photobiont
97 associations. The prevalence of intrathalline photobiont diversity in lichens remains unclear, impacting
98 our understanding of its ecological and evolutionary significance.

99 As lichens are a model of symbiosis, there is a need to better characterize their microbial partners
100 and associations. Therefore, we used existing datasets of metagenomic shotgun sequences in an attempt
101 to: (1) characterize the lichen mycobiomes across multiple, phylogenetically distinct lichen groups, (2)
102 assess the prevalence of basidiomycete yeast, a putative symbiotic partner in some lichen symbioses, and
103 (3) investigate the potential for multiple species-level *Trebouxia* algal lineages within a single lichen
104 thallus.

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107 **2. Materials and Methods:**

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109 *2.1 Taxon sampling* – Our sampling focused on five morphologically distinct lichens groups – (i) rock
110 posy lichens – the *Rhizoplaca melanophthalma* group (Fig. 1A & B), (ii) green rock shield lichens –
111 *Xanthoparmelia* spp. (Fig. 1C & D), (iii) rock tripe lichens – *Umbilicaria* spp., (iv) horse hair lichens –
112 *Bryoria* spp., and (v) representatives from the mycobiont family Physciaceae (shadow lichens) (Table 1;
113 Fig. 1). Rock posy lichens were represented by three distinct forms, all occurring in western North
114 America: the vagrant taxon *Rhizoplaca arbuscula* (Fig. 1B; n=3), the vagrant/erratic taxon *R.*
115 *melanophthalma* subsp. *crispa* (n=3), and the rock-dwelling taxon *R. melanophthalma* (Fig. 1A; n=3)
116 (Leavitt et al. 2013a). Green rock shield lichens were also represented by three distinct forms occurring in
117 western North America: vagrant forms representing *Xanthoparmelia* aff. *chlorochroa* (Fig. 1D; n=3),
118 isidiate (vegetative reproductive propagules) forms (Fig 1C; n=3), and the sexually reproducing taxon *X.*
119 *neocumberlandia* (n=3) (Leavitt et al. 2011). Rock tripe lichens were represented by two species collected
120 in Spain, *U. hispanica* (3 populations) and *U. pustulata* (Fig 1G; 2 populations). For the rock tripe
121 lichens, each sample represents metagenomic reads from a pooled population – 100 lichen
122 thalli/population – (Dal Grande et al. 2017), rather than reads from an individual lichen thallus. Horsehair
123 lichens were represented by two species, *Bryoria fremontii* (Fig. 1H; n=3) and *B. tortuosa* (n=3) (Velmala
124 et al. 2009). The fungal family Physciaceae was represented by *Mobergia calculiformis* (Leavitt 16-697
125 [BRY-C]), *Physcia* sp. (Leavitt 17-611 [BRY-C]), *Physciella* sp. (Leavitt 17586 [BRY-C]), *Oxnerella* sp.
126 (Leavitt 17-611 [BRY-C]), and *Rinodina* sp. (Leavitt 16-665 [BRY-C]). For rock posy lichens, green rock
127 shield lichens, and representatives of Physciaceae, specimens were collected in dry conditions, with
128 subsamples for molecular study removed within 24 h of collection and frozen at –20 °C until DNA
129 extraction. Sampling of horse hair and rock trips lichens were reported previously in (Spribille et al.
130 2016b) and (Dal Grande et al. 2017, Dal Grande et al. 2018), respectively.

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132 *Metagenomic sequencing* – Metagenomic short reads used in this study originated from a range of sources
133 and sequencing methods (Table 1). Metagenomic reads from rock posy lichens (*Rhizoplaca* spp.) were
134 initially reported in (Leavitt et al. 2016, Leavitt et al. 2019) and are available in NCBI’s Short Read
135 Archive under project PRJNA576709. For newly generated metagenomic reads from green rock shield
136 lichens (*Xanthoparmelia* spp.) and representatives of Physciaceae, total genomic DNA was extracted
137 from a small portion of lichen thalli (comprised of the mycobiont, photobiont, and other associated
138 microbes) using the E.Z.N.A. Plant DNA DS Mini Kit (Omega Bio-Tek, Inc., Norcross, GA, USA)
139 following the manufacturers’ recommendations. Total genomic DNA was prepared following the standard
140 Illumina whole genome sequencing (WGS) library preparation process using Adaptive Focused Acoustics
141 for shearing (Covaris), followed by an AMPure cleanup step. The DNA was then processed with the

142 NEBNext Ultra™ II End Repair/dA-Tailing Module end-repair and the NEBNext Ultra™ II Ligation
143 Module (New England Biolabs) while using standard Illumina index primers. Libraries were pooled and
144 sequenced with the HiSeq 2500 sequencer in high output mode at the DNA Sequencing Center, Brigham
145 Young University, Provo, Utah, USA, using either 250 cycle paired-end reads or 300 cycle paired-end
146 reads. Reads from green rock shield lichens (*Xanthoparmelia* spp.) and representatives from the
147 mycobiont family Physciaceae are available in NCBI's Short Read Archive under project **PENDING**. The
148 reads from the horsehair lichens are distinct in that these are transcriptomic reads (Spribille et al. 2016b),
149 and we aimed to extract by-catch reads representing the internal transcribed spacer region (ITS). For the
150 rock tripe lichens, each sample represents metagenomic reads from a pooled population (Pool-seq) – 100
151 lichen thalli/population – (Dal Grande et al. 2017), rather than reads from an individual lichen thallus.

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153 *Sequence Analysis* – All reads were filtered using TRIMMOMATIC v0.33 (Bolger et al. 2014) before
154 mapping to remove low quality reads and/or included contamination from Illumina adaptors using the
155 following parameters: ILLUMINACLIP; LEADING:3; TRAILING:3; SLIDINGWINDOW:4:15; and
156 MINLEN:36.

157 Previous studies have used assembled metagenomic contigs (Keepers et al. 2019) or mapping fungal
158 reads to a fungal protein database (LaBonte et al. 2018) to provide crucial insight into fungal diversity in
159 lichens and deciduous trees. Given the expected low coverage for fungi potentially co-occurring with a
160 lichen thallus in short reads generated for this study, we chose to focus on the well-known repeat region
161 which includes the standard fungal DNA barcode, the internal transcribed spacer (ITS) region of the
162 nuclear ribosomal DNA (nrDNA) (Schoch et al. 2012). Across fungi, nrDNA copy number has been
163 shown to vary considerably, ranging from tens to over 1400 copies per genome (Lofgren et al. 2019,
164 Bradshaw et al. 2020). Furthermore, a comparatively robust and well-curated ITS database exists for
165 fungi (Nilsson et al. 2019).

166 For reference ITS sequences, we used the UNITE QIIME v.8 dynamic release for fungi (Nilsson
167 et al. 2019), filtered to include only sequences between 300 to 800 base pairs (reduced from 70,512 to
168 69,872 ITS sequences). Following recommendations by QIIME 2 developers, flanking regions, e.g.,
169 portions of the 18S and/or 28S, with ITS sequences in the UNITE database were retained to reduce
170 erroneous classifications when using the naïve Bayes classifier
171 (<https://doi.org/10.7287/peerj.preprints.27295v2>). The UNITE ITS database was supplemented with all
172 Cystobasidiomycetes ITS sequences reported in (Spribille et al. 2016). All sampled lichens are reported to
173 associate with members of the genus *Trebouxia* as the primary lichen photobiont. In addition to assessing
174 fungal diversity in short reads generated from lichen thalli, we also included representative sequences for
175 each of the *Trebouxia* OTUs circumscribed in (Leavitt et al. 2015). Although lichens are known to

176 associate with a broader range of algae than the core photobionts (Muggia et al. 2013), we did not assess
177 accessory algae outside of *Trebouxia*.

178 For each metagenomic library, reads were mapped back to the composite ITS database using the
179 Geneious read mapper in Geneious Prime (Kearse et al. 2012), implementing ‘Medium-Low Sensitivity /
180 Fast’ sensitivity, iterated two times and saving all successfully mapped reads. Exploratory analyses with
181 other read mapping approaches consistently recovered lower quantities of successfully mapped reads
182 (data not shown). For each sample, metagenomic reads successfully mapped to the ITS references were
183 imported into QIIME 2 (Bolyen et al. 2019). Reads were dereplicated using Vsearch ‘dereplicate-
184 sequences’ (Rognes et al. 2016), implementing default settings. The dereplicated sequences were
185 clustered into de novo OTUs at a 97% similarity in Vsearch using ‘cluster-features-de-novo’ (McDonald
186 et al. 2012, Rognes et al. 2016). A naïve Bayes taxonomic classifier was trained using the same ITS
187 reference library in QIIME 2 (Bokulich et al. 2018). The OTUs were then taxonomically classified using
188 the trained naïve Bayes trainer using QIIME 2 ‘feature-classifier classify-sklearn’ at a 0.95 confidence
189 level to minimize false positives, with all other settings at default (McKinney 2010, Pedregosa et al. 2011,
190 Bokulich et al. 2018).

191 Of the estimated 2.2 to 3.8 million fungal species, only 3–8% are currently named (Hawksworth
192 and Lücking 2017), and a much smaller portion are represented in available DNA reference libraries.
193 Exploratory analyses of our lichen mycobiome data revealed poor taxonomic resolution below class
194 levels for the majority of OTUs inferred here. Therefore, fungal OTUs that were classified at the class
195 level were retained and others with less taxonomic resolution were excluded. Classification of fungal
196 OTUs generated from reads mapped to the reference ITS database was summarized using the QIIME
197 ‘Taxa Barplot’ feature (Caporaso et al. 2010). Data were managed, analyzed and visualized in R (R Core
198 Team, 2019) using ggplot2 (Wickham 2016) and tidyr (Wickham et al. 2019). To assess the similarity of
199 lichen mycobiomes within and among phylogenetically distinct mycobionts, a principle component
200 analysis (PCA) was performed on the class-level taxonomic classification using tidyr (Wickham et al.
201 2019), with the command ‘prcomp’. While formal species-level taxonomy in the lichen photobiont
202 *Trebouxia* remains woefully inadequate (Muggia et al. *in review*), DNA sequence data representing a
203 wide range of putatively species-level lineages, with accompanying provisional names, is available
204 (Leavitt et al. 2015). For *Trebouxia* (photobiont) OTUs, the classified reads were filtered at the ‘species’
205 level, based on the 69 putative species-level OTUs from Leavitt et al. (2015), using QIIME ‘taxa filter-
206 table’ command to determine the range of *Trebouxia* diversity occurring within each sample. All code
207 used in this experiment is provided as supplementary file S1.

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210 3. Results:

211 Between 0.41 and 3.68% of metagenomic reads were mapped back to the ITS reference library
212 (Table 1). The primary lichen symbionts, the mycobiont and photobiont, accounted for ca. 50% of all ITS
213 reads extracted from the metagenomic data on average (Fig. 2A). The relative abundance of ITS reads
214 representing the mycobiont (inferred at the class level, e.g., Lecanoromycetes) was between 5.20% to
215 80.31% of ITS reads, with an average relative abundance of 40%. The relative abundance of reads from
216 the photobiont, *Trebouxia* spp., comprised between 0.68% to 35.09% of ITS reads, with an average
217 relative abundance of ca. 10%.

218 Lichen-associated fungi made up a large fraction of metagenomic reads, representing a total of 22
219 different fungal classes (Fig. 2B). Both in terms of abundance and diversity, Ascomycota OTUs were
220 most frequently recovered and represented by 10 classes, excluding the mycobiont class
221 Lecanoromycetes, followed by Basidiomycota represented by seven classes. Chytridiomycota
222 (represented by two classes), Glomeromycota (one class), and Kickxellomycota (one class) were found in
223 low abundance and diversity (at the class level) (abbreviated Chy., Glo., and Kic., respectively, in Fig. 3).
224 Overall, reads from Cystobasidiomycete yeasts were poorly represented in extracted ITS reads, found in
225 only 5 of the 35 samples. Notably, ITS by-catch from the *Bryoria fremontii* transcriptomic data from
226 which lichen-associated yeasts were first reported in the cortex, resulted in the highest abundance of reads
227 potentially representing cystobasidiomycete yeasts, with an average relative abundance of 0.7% of the
228 ITS reads in the three *B. fremontii* samples. In the remaining two samples with evidence of
229 Cystobasidiomycete yeasts, *Physcia biziana* and one sample of *Xanthoparmelia chlorochroa* (818F), had
230 an average relative abundance of 0.03%.

231 Closely related mycobionts tended to have more similar mycobiomes (Fig. 4). The PCA revealed
232 a general pattern of mycobiome similarity among samples representing mycobiont species, and relatively
233 high levels of similarity among mycobiont congeners (Figs. 3 & 4). Differences in lichen mycobiomes are
234 most distinct among different genera of lichen-forming fungi.

235 Evidence supporting intrathalline *Trebouxia* photobiont diversity was observed in 16 of the 29
236 samples (*Umbilicaria* samples not considered – see methods above) (Fig. 5). Thalli from representatives
237 of Physciaceae and *Rhizoplaca* (rock posy lichens) consistently contained a dominant *Trebouxia* lineage
238 with >90% relative abundance. Green rock shield lichens (*Xanthoparmelia* spp.) associated with a wider
239 range of *Trebouxia* species, with evidence of multiple *Trebouxia* species occurring within an individual
240 lichen thallus. Two of the six *Bryoria* samples also provided evidence of multiple *Trebouxia* species
241 occurring within individual thalli. Intrathalline photobiont diversity in *Umbilicaria pustulata* and *U.*
242 *hispanica* is described in detail in (Paul et al. 2018). Here we report photobiont diversities within

243 populations of *Umbilicaria* spp. (each sample represents 100 pooled individual thalli from a single
244 population).

245

246 4. Discussion

247

248 The broad range of organisms involved in lichen symbioses has recently been highlighted, including
249 diverse algae (Muggia et al. 2013, Moya et al. 2017), non-photosynthetic bacteria (Cardinale et al. 2006,
250 Grube et al. 2009, Hodkinson and Lutzoni 2009), and broad range of fungal lineages (Lawrey and
251 Diederich 2003, Spribille et al. 2016, Tuovinen et al. 2019). Using data mining of fungal ITS reads from
252 metagenomic shotgun sequences of lichen thalli, we provide a coarse snapshot of unexpectedly diverse
253 lichen-associated mycobiomes (Fig. 3). The accessory fungi accounted for a significant proportion of ITS
254 reads extracted from metagenomic shotgun sequencing data (Fig. 2B), spanning multiple phyla –
255 dominated by Ascomycota and Basidiomycota but with representatives from Entomophthoromycota,
256 Chytridiomycota, Glomeromycota, and Kickxellomycota. While a number of the class-level lineages
257 inferred from metagenomic ITS reads are known to associate with lichens, e.g., Agaricomycetes,
258 Dothideomycetes, Eurotiomycetes, and Sordariomycetes, other classes do not include fungi previously
259 known to associate with lichens, e.g. Entomophthoromyces. In contrast to recent studies highlighting the
260 role of two basidiomycete lineages in some lichen symbioses, *Tremella* (Tuovinen et al. 2019) and
261 Cystobasidiomycete yeasts (Spribille et al. 2016), these were recovered only sporadically and in very low
262 abundance in our samples. Nonetheless, these basidiomycete fungi have often been reported as
263 lichenicolous, growing on a number of lichen hosts (Diederich 1996, Millanes et al. 2016). Below we
264 discuss the potential implications of our findings and potential ways to move forward.

265 The relative importance of host versus environment in determining the diversity of the lichen
266 mycobiome is poorly understood. However, lichen mycobiomes appear to comprise stable and transient
267 guilds, which to some extent correlate with the ecological conditions of the lichen habitats. (Fernández-
268 Mendoza et al. 2017) proposed three ecological components of lichen mycobiomes: (i) generalist taxa
269 common to the environmental pool of bio- and saprotrophic fungi, (ii) lichenicolous and endolichenic
270 fungi specific to each genus/species, and (iii) species which disperse and possibly germinate on, among,
271 and within lichen thalli, but do not play a definite ecological role in the lichen community. Our results
272 indicate that closely related mycobionts tend to have more similar mycobiomes (Fig. 4), even in cases
273 where distinct lichens commonly co-occur, e.g. green rock shields (mycobiont = *Xanthoparmelia* spp.)
274 and rock posies (mycobiont = *Rhizoplaca* spp.). These data support the perspective that a significant
275 component of the lichenicolous and endolichenic fungal community are specific to different mycobiont
276 genera/species.

277 Broadly speaking, Sordariomycetes and Leotiomycetes are frequently recovered from lichens
278 occurring in humid, temperate, boreal environments, and Antarctic environments, representing lineages
279 closely related to plant endophytes (Arnold et al. 2009, U'Ren J et al. 2010, Yu et al. 2018). In contrast,
280 Dothideomycetes and Eurotiomycetes are more frequently associated with rock-inhabiting lichens
281 (Muggia and Grube 2018). In rock-inhabiting lichens, the lichen-associated fungi are usually melanized
282 fungi comprising unknown and known hyphomycetous lineages which show close affinities to some
283 symptomatic lichenicolous fungi, extremotolerant rock-inhabiting fungi from oligotrophic environments
284 and to plant and animal pathogenic black yeasts (Muggia et al. 2016, Muggia and Grube 2018). These
285 fungi are widely known as black fungi because they accumulate melanins in their cell walls, which enable
286 them to grow in oligotrophic environments and resist multiple abiotic stresses, such as high doses of
287 radiation, desiccation, temperature extremes (Gostinčar et al. 2009). Black fungi are, therefore, usually
288 recognized as (poly)extremotolerant organisms.

289 In our study, different lichen genera tended to associate with distinct fungal communities (Fig. 3).
290 These relationships appear to be consistent across relatively broad geographic areas, at least for some
291 lichens. Our results indicated that the mycobiomes of green rock shield (mycobiont = *Xanthoparmelia*
292 spp.) and rock posy (mycobiont = *Rhizoplaca* spp.) populations occurring across western North America
293 were strikingly different (Fig. 3). While disparate morphologies of rock posy lichens had relatively
294 consistent mycobiomes, even in specimens collected across geographically distinct populations,
295 differences in mycobiome communities of green rock shield lichens with different morphologies and
296 reproductive strategies were observed (Fig. 3). However, within green rock shield lichens, vagrant
297 (obligately unattached specimens), rock-dwelling isidiate (reproducing via specialized asexual
298 propagules), and rock-dwelling sexually reproducing forms tended to associate with distinct fungal
299 communities, albeit with limited sample sizes. Additional research will be required to more fully assess if
300 distinct mycobiomes, or core subsets of the mycobiome, within lichen groups are maintained across
301 geographic and ecological distances. If differing core mycobiome communities are found in association
302 with distinct mycobionts, at what level does this specificity exist, e.g., mycobiont species, genera, etc.?
303 Directed experimental design and broader sampling will be required to determine how lichen
304 mycobiomes are structured at different evolutionary scales relative to the predominant mycobiont.

305 When investigating the potential for photobiont (*Trebouxia* spp.) diversity within a single lichen
306 thallus, our results suggest that a single lichen thallus of some lichen groups, e.g., rock posies (mycobiont
307 = *Rhizoplaca* spp.) and shadow lichens (members of the mycobiont family Physciaceae), tend to associate
308 with a single/one dominant *Trebouxia* lineage. For rock tripe lichens (mycobiont = *Umbilicaria* spp.),
309 (Paul et al. 2018) observed a single pattern of a single dominant *Trebouxia* lineage per thallus. However,
310 the metagenomic reads from rock tripe lichens used in the present study were generated from multiple

311 lichen thalli pooled into a single population per site, and we were unable to corroborate these results
312 reported. In contrast, it appears that green rock shield lichen (mycobiont = *Xanthoparmelia*) thalli
313 consistently harbor multiple, distinct *Trebouxia* lineages. A previous study characterizing *Trebouxia*
314 diversity associating with members of the mycobiont family Parmeliaceae also demonstrated distinct
315 patterns of photobiont association between *Rhizoplaca* spp. and *Xanthoparmelia* spp., with
316 *Xanthoparmelia* spp. associating with a much wider range of photobionts than *Rhizoplaca* spp. (Leavitt et
317 al. 2015). Furthermore, the two mycobiont genera consistently associated with distinct *Trebouxia* lineages
318 with very little overlap, and these results were corroborated by our findings (Fig. 5). By explicitly taking
319 the potential for intrathalline photobiont diversity into consideration, we anticipate novel insight into
320 different strategies of lichen symbiosis.

321 While our study provides novel insight into lichen symbioses and impetus for future research,
322 there are a number of methodological limitations that potentially bias the results presented here.
323 Metagenomic reads from lichen-forming fungi are expected to be dominated by reads from the major
324 lichen symbionts, the myco- and photobionts (Pizarro 2019), and other eukaryotic microbial diversity
325 associated with lichen thalli is likely found in much lower abundance in metagenomic short read data.
326 Therefore, here we opted to target fungal reads from the multi-copy nuclear ribosomal cistron (nrDNA) in
327 order to identify fungi that might be found in low relative abundance and likely overlooked using single
328 copy regions and metagenomic binning approaches. Furthermore, with portions of the nrDNA are highly
329 conserved across fungi, we focused on the highly variable internal transcribed spacer region (ITS) due to
330 the high variability and well-curated reference database (Schoch et al. 2012, Nilsson et al. 2019).
331 However, nrDNA copy number varies by orders of magnitude across fungi, from tens to over 1400 copies
332 per genome (Lofgren et al. 2019, Bradshaw et al. 2020). Therefore, the relative abundance of fungal
333 groups inferred in this study (e.g., Fig. 3) does not accurately depict true relative abundance of lichen-
334 associated fungi given the potential for a very wide range of nrDNA copy number of these fungi.

335 Another source of potential bias is from the bioinformatic pipeline implemented here. Even using
336 relatively well-established pipelines of ITS amplicon-based metagenomic reads, bioinformatics analysis
337 pipelines have been shown to vary greatly in their relative performance and accuracy in characterizing
338 fungi from metagenomic data (Anslan et al. 2018). We would anticipate that the data mining approach
339 implemented in this study may have introduced a number of unexpected and difficult to identify artifacts,
340 ranging from potentially over- and underrepresenting different fungal lineages to erroneous taxonomic
341 assignments. The impact of these potential methodological limitations is not clear. For example, in the
342 present study, a significant proportion of reads from green rock shield lichens were assigned to the class
343 Entomophthoromyces, a lineage that has not previously been found in association with lichens. Whether
344 the inferred prevalence of Entomophthoromyces is biased by copy number variation of the nrDNA, an

345 artifact of read mapping to the UNITE database, etc., or accurately represents a novel finding is unclear.
346 Furthermore, only a small fraction of the estimate 2.2–3.8 million fungal species are represented in
347 currently available curated databases. Therefore, in fungal metabarcoding studies, a large proportion of
348 operational taxonomic units (OTUs) cannot be identified to any meaningful taxonomic, and these
349 unclassifiable species hypotheses, or ‘dark taxa’, remain problematic in metagenomic studies of fungi
350 (Nilsson et al. 2019).

351 Taken together, our results highlight, on the one hand, the presence of a highly diverse, seemingly
352 lichen host-specific mycobiome, and on the other hand, the risk of applying overly simplistic techniques –
353 such as phylum rank classifications – to tackle the diversity of these lichen-associated fungal
354 communities.

355

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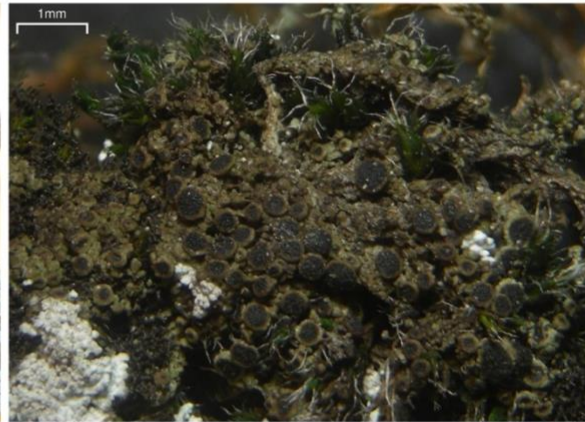
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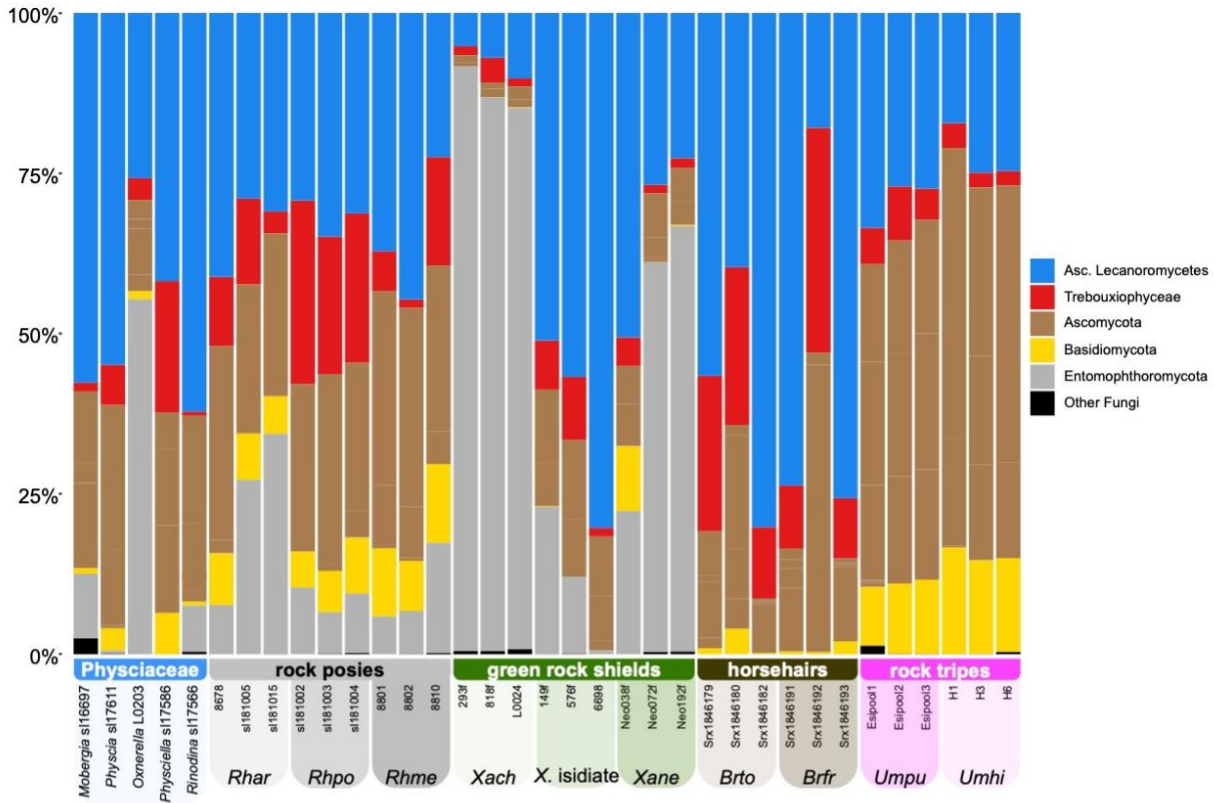
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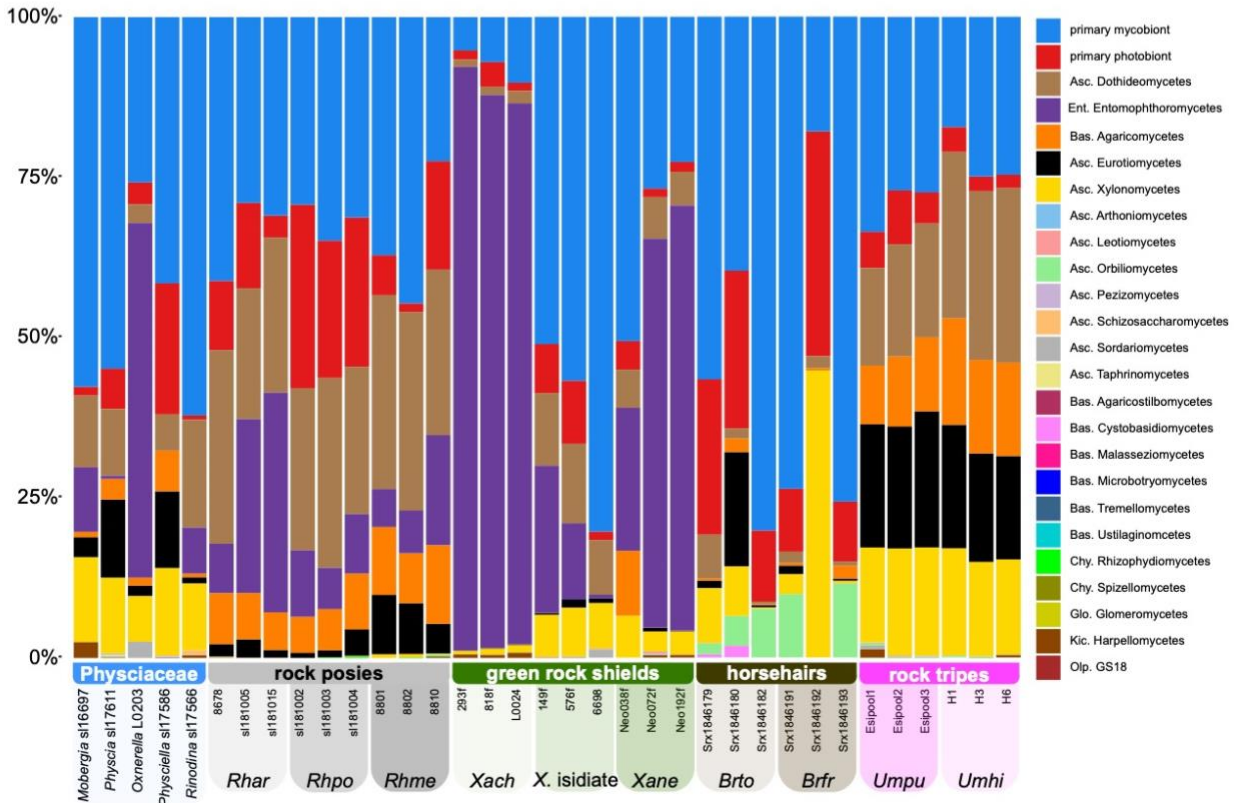


557 **Figure 1.** Examples of lichens groups considered in this study, including rock posies (**A & B**), green rock shields (**C**
558 **& D**), shadow lichens (**E & F**), rock tripe (**G**), and horsehair lichens (**H**). **A**, *Rhizoplaca melanophthalma* – field
559 image from La Sal Mountain Range, Utah, USA. **B**, *Rhizoplaca arbuscula* – collected from Lemhi Valley, Idaho,
560 USA, voucher *Leavitt 18-1017* (BRY-C). **C**, *Xanthoparmelia* cf. *mexicana* – field image from Snake Range,
561 Nevada, USA. **D**, *Xanthoparmelia* aff. *chlorochroa* – field image from Awapa Plateau, Utah, USA. **E**, *Physcia*
562 *biziana* – field image from vicinity of Santa Fe, New Mexico (Hollinger 2492). **F**, *Rinodina* sp. (I need to track this
563 down). **G**, *Umbilicaria pustulata* (I need to track this down). **H**, *Bryoria fremontii* (I need to track this down). Note:
564 the name listed for each lichen of the mycobiont (main fungal partner) and does not account for the range of
565 potential other associated symbionts.
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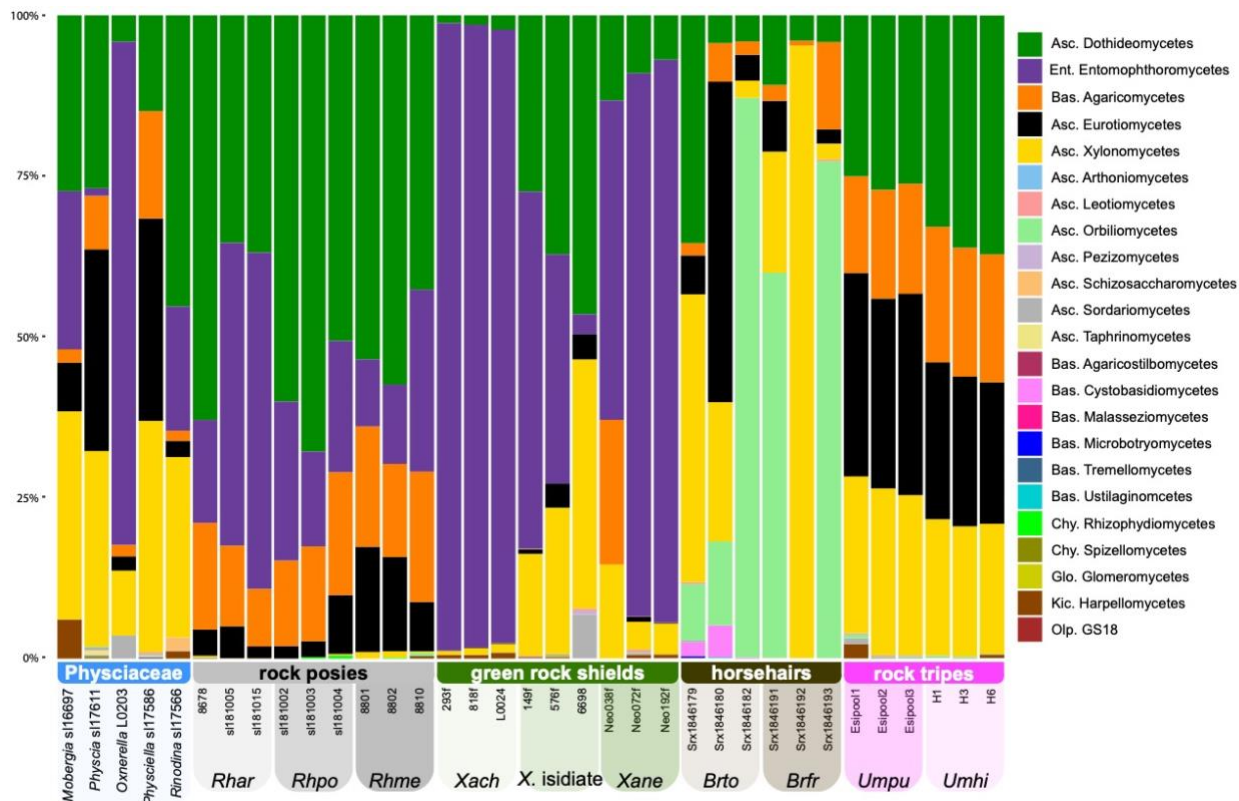
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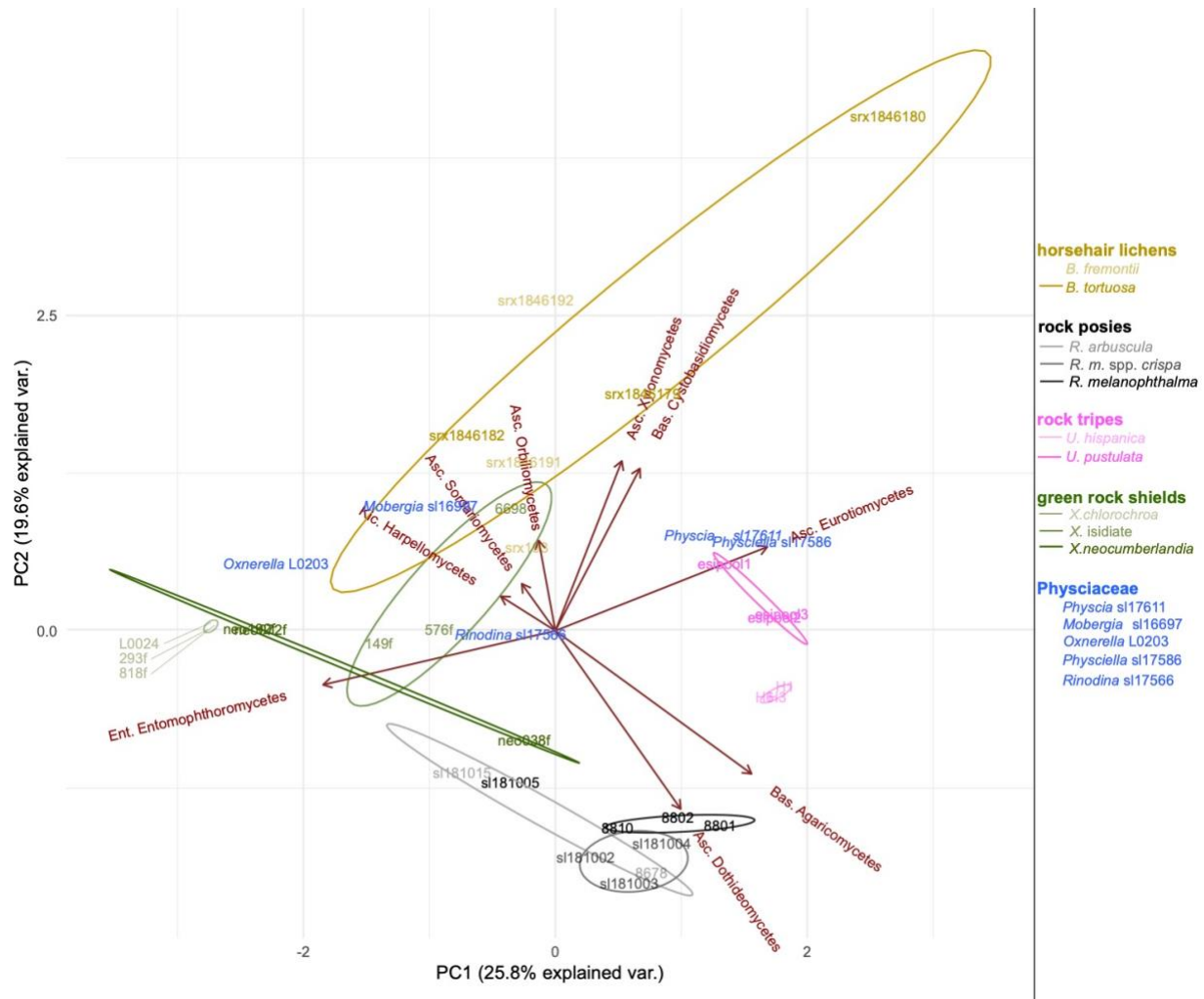


569 **Figure 2.** Overview of lichen symbionts and associated fungi inferred from data from the internal transcribed spacer
570 region extracted from metagenomic shotgun sequencing short reads sequenced from lichen thalli representing five
571 different groups of lichens. **A**, proportion of reads assigned to the lichen symbionts – mycobiont (shown in blue) and
572 photobiont (in red) – and other major fungal lineages. **B**, same as in panel ‘A’, but major fungal lineages are broken
573 down and shown at the class level. The five samples at the far left represent lichens associating with different
574 members of the mycobiont family Physciaceae – ‘shadow lichens’; ‘Rock posies’ – in grey – are represented by
575 three species in the mycobiont genus *Rhizoplaca*, with the first three specimens representing *R. arbuscula* (‘*Rhar*’),
576 the following three samples are *R. melanophthalma* subsp. *crispa* (vagrant forms – ‘*Rhpo*’), and the final three
577 samples represent *R. melanophthlama* (rock-dwelling, fertile forms – ‘*Rhme*’); ‘green rock shields’ – in green – are
578 represented by three groups in the mycobiont genus *Xanthoparmelia*, with the first three samples representing *X. aff.*
579 *chlorochroa* (asexual, vagrant forms – ‘*Xach*’), the next three samples represent isidiate, rock-dwelling forms (‘*X.*
580 isidiate’), and the final three samples represent *X. neocumberlandia* (fertile, rock-dwelling forms – ‘*Xane*’);
581 ‘horsehairs’ – in brown – are represented by two species in the mycobiont genus *Bryoria*, with the first three
582 samples represent *B. tortuosa* (‘*Brto*’) and the last three, *B. fremontii* (‘*Brfr*’); ‘rock tripes’ – in pink – are
583 represented by two groups in the mycobiont genus *Umbilicaria*, with the first three specimens representing *U.*
584 *pustulata* (‘*Uspu*’) and the last three, *U. hispanica* (‘*Ushi*’). See supplementary file 1 for a full list of sampled
585 lichens.
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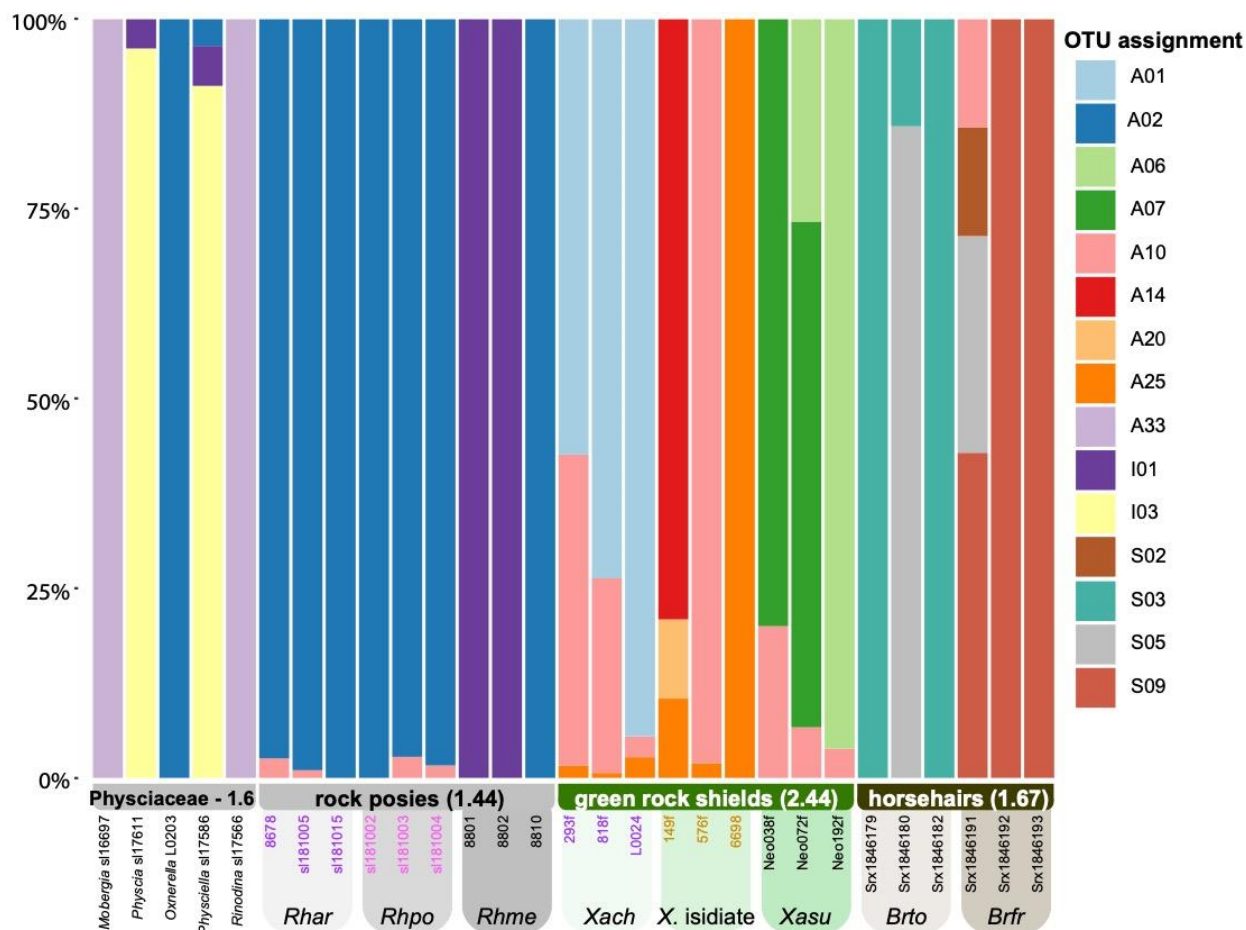
597
 598 **Figure 3.** Inferred membership of lichen mycobiomes (at class level) inferred from data from the internal
 599 transcribed spacer region extracted from metagenomic shotgun sequencing short reads sequenced from lichen thalli
 600 (main lichen symbionts are excluded). The five samples at the far left represent lichens associating with different
 601 members of the mycobiont family Physciaceae – ‘shadow lichens’; ‘rock posies’ – in grey – are represented by three
 602 species in the mycobiont genus *Rhizoplaca*, with the first three specimens representing *R. arbuscula* (‘*Rhar*’), the
 603 following three samples are *R. melanophthalma* subsp. *crispa* (vagrant forms – ‘*Rhpo*’), and the final three samples
 604 represent *R. melanophthlama* (rock-dwelling, fertile forms – ‘*Rhme*’); ‘green rock shields’ – in green – are
 605 represented by three groups in the mycobiont genus *Xanthoparmelia*, with the first three samples representing *X. aff.*
 606 *chlorochroa* (asexual, vagrant forms – ‘*Xach*’), the next three samples represent isidiate, rock-dwelling forms (‘*X.*
 607 *isidiate*’), and the final three samples represent *X. neocumberlandia* (fertile, rock-dwelling forms – ‘*Xane*’);
 608 ‘horsehairs’ – in brown – are represented by two species in the mycobiont genus *Bryoria*, with the first three
 609 samples represent *B. tortuosa* (‘*Brto*’) and the last three, *B. fremontii* (‘*Brfr*’); ‘rock tripes’ – in pink – are
 610 represented by two groups in the mycobiont genus *Umbilicaria*, with the first three specimens representing *U.*
 611 *pustulata* (‘*Uspu*’) and the last three, *U. hispanica* (‘*Ushi*’). See supplementary file 1 for a full list of sampled
 612 lichens.

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Figure 4. Principal component analysis of lichen mycobiome diversity.



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 620 **Figure 5.** Assessment of intrathalline *Trebouxia* (photobiont) diversity in four lichen groups. *Trebouxia* OTUs
 621 nomenclature follows Leavitt et al. 2015. ‘Rock posies’ – in grey – are represented by three species in the mycobiont
 622 genus *Rhizoplaca*, with the first three specimens representing *R. arbuscula* (‘*Rhar*’), the following three samples are
 623 *R. melanophthalma* subsp. *crispa* (vagrant forms – ‘*Rhpo*’), and the final three samples represent *R.*
 624 *melanophthlama* (rock-dwelling, fertile forms – ‘*Rhme*’); ‘green rock shields’ – in green – are represented by three
 625 groups in the mycobiont genus *Xanthoparmelia*, with the first three samples representing *X. aff. chlorochroa*
 626 (asexual, vagrant forms – ‘*Xach*’), the next three samples represent isidiate, rock-dwelling forms (‘*X. isidiate*’), and
 627 the final three samples represent *X. neocumberlandia* (fertile, rock-dwelling forms – ‘*Xane*’); and ‘horsehairs’ – in
 628 brown – are represented by two species in the mycobiont genus *Bryoria*, with the first three samples represent *B.*
 629 *tortuosa* (‘*Brto*’) and the last three, *B. fremontii* (‘*Brfr*’).
 630