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Host–guest driven ligand replacement on monodisperse inorganic nanoparticles†

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We demonstrate that crown ether-assisted ligand replacement on Fe₃O₄ NPs using halide salts leads to quantitative stripping of an existing stabilizer shell with unprecedented (complete) efficiency; this allows subsequent re-grafting of functional ligands at maximal surface density. The mechanism of the anion-driven ligand replacement is elucidated by varying the halide salt and the versatility by varying the re-grafted ligand.

Inorganic nanoparticles (NPs) have many applications in different areas including diagnostic,¹ multimodal imaging,² catalysis³ and electronics-optoelectronics.⁴ Such opportunities have led to the development of methods to synthesize a large variety of nanocrystals of well-defined size and uniform shape.⁵ The size and morphology of NPs is precisely tunable by applying stabilizing agents (mostly oleic acid (OA)) with high affinity to the NP surface during synthesis.⁶ These capping molecules are not suitable ligands for most of the subsequent applications, which *e.g.* require a close access to the inorganic cores (*via* thin organic⁷ or inorganic⁸ shells) for the efficient charge transfer between semiconductor NPs,⁹ or densely grafted organic shells for colloidal stability in physiological media¹⁰ or functional drug delivery assemblies. This has led to an enormous effort to control the grafting and exchange of ligand shells on inorganic nanoparticles.¹¹

Commonly applied routes to efficiently replace the capping oleic acid layer include the use of adsorbates with high affinity to the surface of NPs, *e.g.* chalcogenide for quantum dots¹² or disodium 4,5-dihydroxy-1,3-benzenedisulfonate for Fe₃O₄ NPs.¹³ These processes are typically irreversible, with no option for further surface modification, such as the re-grafting of a molecularly tailored surface modifier that presents ideal

physico-chemical properties for the application medium to which the NPs subsequently will be subjected. Addressing this problem by directly replacing OA with the desired functional ligand equipped with a high-affinity anchor binding to the core is extremely challenging and requires multi-step protocols to achieve complete functionalization.¹⁴ Additionally, the displacement of the existing ligands can be achieved by applying a large excess of anions such as BF₄[−] (using NOBF₄ or Et₃BF₄); this approach exploits the electrostatic interactions between the ions and the NP surface, followed by the final displacement of the BF₄[−] layer by high-affinity ligands featuring the desired chemistry.^{14,15} The reason for using these exotic salts is that their weak association achieve sufficient reactive free anion to favour surface displacement of strongly adhering ligands such as oleic acid.

We demonstrate that host–guest coordination complexes of crown ethers and common, “laboratory bench” metal–halide salts, such as NaF and KF generate “naked” F[−] anions driving a quantitative and highly efficient ligand replacement on the surface of Fe₃O₄ NPs. Nuclear magnetic resonance (NMR), infrared spectroscopy (ATR-FTIR), thermogravimetric analysis (TGA) and X-ray photoelectron spectroscopy (XPS) are used to prove the quantitative removal of oleic acid from the surface of spherical, single-crystalline, superparamagnetic iron oxide nanocrystals synthesized with the method of Hyeon *et al.*¹⁶ The stripped NPs are subsequently re-grafted by organic ligands presenting different physical and chemical properties; this demonstrates how our simple ligand replacement protocol is a powerful new tool for creating monodisperse NPs decorated with precise shell chemistries of highest purity.

15-crown-5 or 18-crown-6 (250 mg) and NaF (50 mg) were dissolved in 1 ml water and added to a mixture of 10 ml hexane solution of NPs (25 mg ml^{−1}) and 7 ml isopropanol. Crown ether strongly coordinates Na⁺ from added salts to obtain the naked nucleophilic anion (Scheme 1). The naked anion can displace the deprotonated OA at the NP surface; the latter possibly weakly coordinating to the cation-crown ether host–guest complex. Stripped NPs precipitate immediately after gentle shaking of the NP dispersion and can sub-

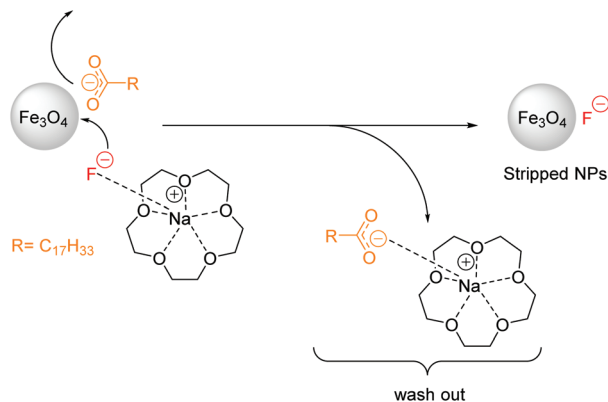
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Scheme 1 Mechanism of oleic acid stripping demonstrated on Fe_3O_4 NPs using excess of 15-crown-5 and NaF.

sequently be spun down *via* centrifugation after addition of isopropanol to decrease the surface tension of the solvent interface during centrifugation. Precipitated NPs were washed several times with hexane, isopropanol and water, respectively to remove residues of OA, salt and crown ether.

Fig. 1A shows TEM images of NPs as-synthesized. These nanoparticles have a dense shell of OA and are well dispersed on the grid. After stripping by 15-crown-5 and NaF, the particles display no change in shape and size, but the absence of an organic shell leads to dense aggregates after drying on the grid (Fig. 1B).

The complete removal of OA represents a crucial step for NP functionalization, since precisely knowing and controlling the ligand stoichiometry is a fundamental requirement for many applications.¹⁷ The complete removal of OA from Fe_3O_4 NPs was confirmed by infrared spectroscopy (ATR-FTIR), nuclear magnetic resonance spectroscopy (NMR), thermogravimetric analysis (TGA) and X-ray photoelectron spectroscopy (XPS). FTIR spectra of as-synthesized and stripped NPs in Fig. 2A show that the C–H stretching vibrations at $2800\text{--}3000\text{ cm}^{-1}$ and the characteristic peaks¹⁸ of C=O at

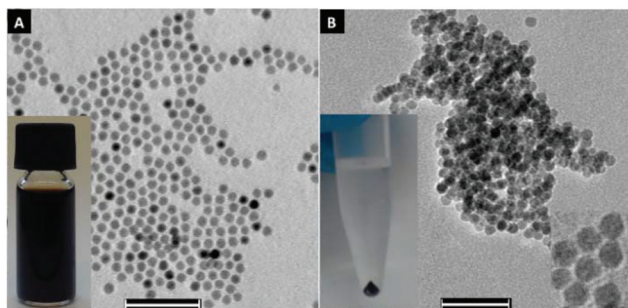


Fig. 1 (A) TEM images of 10 nm oleic acid-capped Fe_3O_4 NPs. The inset shows oleic acid-capped iron oxide NPs dispersed in hexane. (B) TEM images of NPs stripped by crown ether and NaF. The inset on the left shows NPs after centrifugation and the inset on the right shows high magnification TEM of stripped NPs. Scale bar: 50 nm.

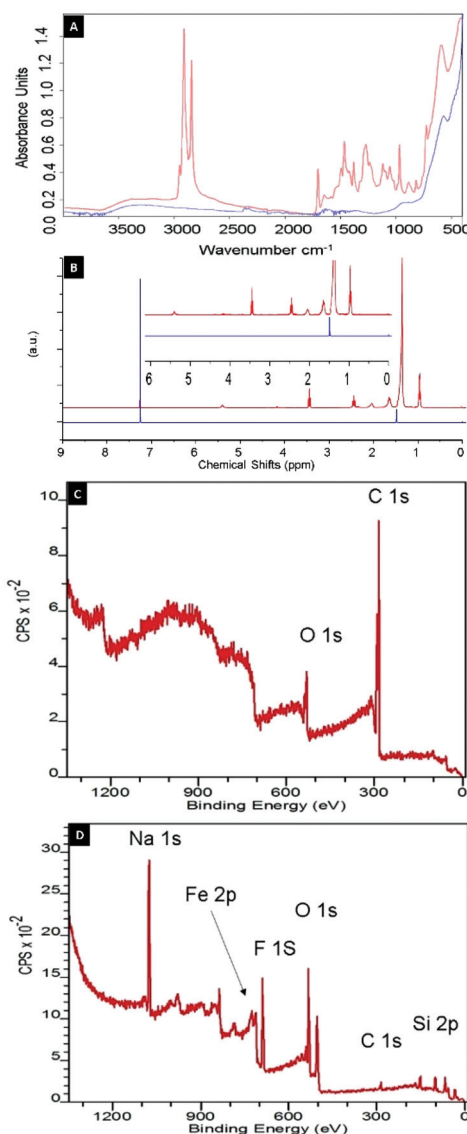


Fig. 2 (A) ATR-FTIR spectra of as-synthesized oleic acid-capped NPs (red) and NPs stripped with 15-crown-5/NaF (blue). (B) NMR spectra of isolated shell from oleic acid-capped (red) and stripped (blue) NPs. XPS survey spectra (emission angle of 75°) of (C) oleic acid-capped and (D) stripped NPs.

$1600\text{--}1715\text{ cm}^{-1}$ disappeared completely after treatment with 15-crown-5/NaF.

Since superparamagnetic Fe_3O_4 nanoparticles change the relaxation time and broaden the NMR spectrum, particles were dissolved in concentrated HCl and the shell material was extracted with chloroform. The resulting $^1\text{H-NMR}$ profiles neither showed a signal from OA nor from crown ether, which confirms the quantitative removal of the OA ligand and of the excess host-guest complex (Fig. 2B). Finally, the TGA data in Fig. 3 (blue line) shows that no organic content could be found in the stripped NP sample.

The stripping process was tested with different anions including F^- , Cl^- , Br^- and I^- . All salts lead to precipitation of



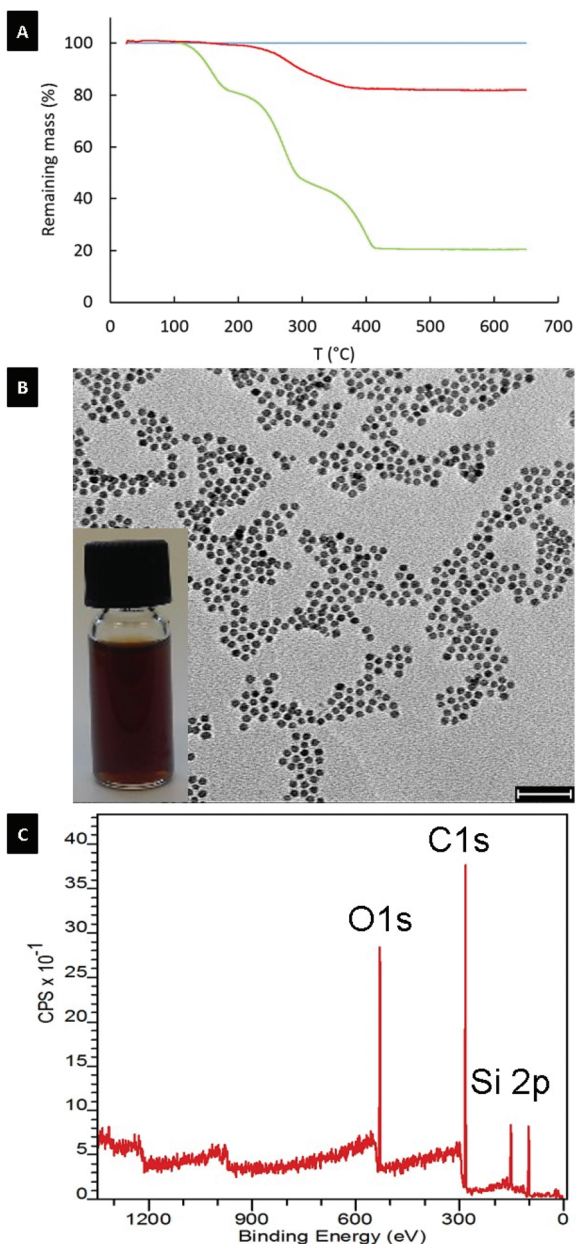


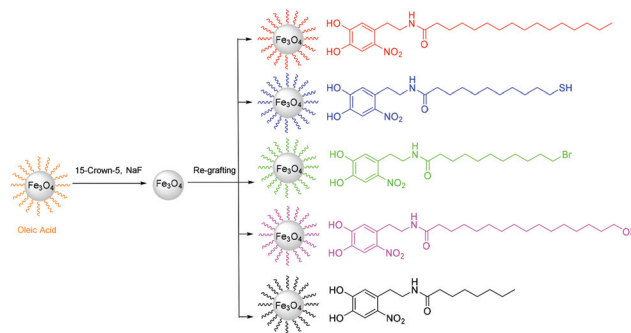
Fig. 3 (A) TGA results for 11 nm oleic acid-capped (green), stripped (blue) and re-grafted with PNDAs (red) NPs. (B) TEM image of NPs re-grafted with PNDAs. The inset shows a dispersion of re-grafted PNDAs particles in THF. Scale bar: 50 nm. (C) XPS survey spectra of PNDAs re-grafted NPs.

NPs in the aqueous phase in the presence of crown ether. The phase transfer and precipitation is caused by the change of solubility of the NPs upon removal of the OA shell. However, complete removal of organics was uniquely observed by TGA for F^- as anion (Fig. S1 and Table S1[†]) and precipitation of the NPs was complete already after 30 seconds. In contrast, even after 24 hours of incubation, detectable traces of organic ligand were recorded by TGA, FTIR and NMR on NPs treated with Cl^- , Br^- and I^- analogues (Fig. S2 and Table S2[†]).

The chemical composition of the nanoparticle coating was confirmed by XPS after each modification step (Tables S3–S5[†]). As shown in Fig. 2C, the XPS spectrum of OA-coated NPs exhibits a single C 1s peak at 285 eV, which was attributed to carbon atoms of OA, whereas the C : O ratio agrees well with the chemical composition of this ligand. After stripping of OA-capped NPs with 15-crown-5/NaF, the intensity of the C 1s peak in the XPS spectrum (Fig. 2D) becomes negligible, confirming the observations derived from NMR, ATR-FTIR and TGA analyses, and further demonstrating the complete removal of OA from the surface of the NPs. Simultaneously, the appearance of the characteristic signals of Na 1s and F 1s peaks at 1071 and 684 eV, respectively, indicate the presence of salt from the stripping process. However, a F : Na stoichiometric ratio of 2 : 1 suggests the presence of excess fluoride anions covering the NP cores (Table S4[†]). Importantly, the stripping did not result in a change to the nanoparticle cores as demonstrated by X-ray diffraction (XRD) measurements (Fig. S3[†]). XRD showed the presence of Fe_2O_3/Fe_3O_4 with the same diffraction peak positions and widths before and after the stripping process.

Interestingly, the efficiency of OA-stripping increased with the decrease of size of the anion. Since the smallest anion, F^- , is a rather hard base, it should be easily desorbed from the NP surface in protic solvent (protons being a hard acid).¹⁹ Hence, in water also at neutral pH the F^- layer could be displaced from the NP surface, yielding colloids without any ligand particularly susceptible to the re-grafting of functional ligands with high affinity for the Fe_3O_4 surface.

In particular, following the successful removal of OA using 15-crown-5/NaF and phase transfer to water to also remove F^- ligand, the bare NPs were functionalized through irreversible grafting of nitrodopamide (ND)-bearing ligands, generating a dense shell presenting the desired chemistry (Scheme 2).²⁰ The completely stripped NPs were first collected and added together with nitrodopamide-modified ligand to a DMF : MeOH (3 : 1) mixture; the MeOH was evaporated with rotavapor, NPs precipitated by addition of acetone, collected, repeatedly re-suspended in acetone and centrifuged to remove excess ligand (see ESI[†] for details).



Scheme 2 Nitrodopamide-anchored ligands with different lengths and terminal groups re-grafted on NPs stripped by 15-crown-5/NaF.



The TGA result for 11 nm superparamagnetic iron oxide nanoparticles re-grafted with palmitoyl-nitrodopamide (PNDA) using this approach is shown in Fig. 3 (red line). PNDA constitutes an extraordinary 19% total organic content measured by TGA on the NP samples re-grafted and extensively purified of excess ligand (Fig. 3A and Table S4†). This corresponds to 3.1 molecules per nm² and is higher than achieved after an extensive multi-step protocol for direct ligand exchange (2.7 molecules per nm²) for 3.5 nm iron oxide nanoparticles.²¹ This grafting density closely corresponds to the theoretical maximum grafting density expected for nitrodopamine on iron oxide.^{21,22} TEM images after re-grafting with PNDA (Fig. 3B) show no change in size or shape compared to the initial OA-capped NPs. Also, XRD spectra showed no change to the particle crystal structure (Fig. S3†). Iron oxide nanoparticles re-grafted with PNDA were perfectly suspended in THF as expected (Fig. 3B inset). DLS on particles before stripping (OA-capped in toluene) and after re-grafting with PNDA in THF showed the nanoparticle size virtually unchanged (Fig. S4†). This demonstrates that individually modified and colloidally stable nanoparticles were obtained by dense ligand re-grafting. Finally, in the XPS spectrum of stripped NPs re-grafted with PNDA (Fig. 3C), the ratio of C : O is in good agreement with the stoichiometric ratio of the new ligand and no signals corresponding to Na 1s and F 1s are found. The method of stripping and re-grafting was independent of size in the superparamagnetic nanoparticle range as demonstrated additionally on *e.g.* cores with a diameter of 4.2 nm (Fig. S5†).

We also re-grafted other nitrodopamide-anchored ligands with various lengths and terminal groups onto NPs stripped by 15-crown-5/NaF using the same procedure as for PNDA (Scheme 2). The chosen ligand end-functionalities (–SH, –Br, –OH) are useful for further chemical modification *via* disulfide coupling, atom transfer radical polymerization (ATRP) or esterification, respectively. The functionalized NPs all had a grafting density of ~3 ligands per nm² measured by TGA (Table S2†). Thus, optimal re-grafting of NDA-anchored non-polar ligands at the theoretically achievable maximum grafting density onto stripped NPs was consistently achieved independent of chemical functionality.

Conclusions

In summary, we report the first application of crown ethers to completely remove strongly complexed ligands from the surface of NPs at room temperature by simple phase transfer to aqueous suspension using readily available sodium or potassium halide salts. A strong dependence on anion size was observed for displacement of oleic acid. Complete removal of oleic acid from the iron oxide nanoparticle surface was observed only for F[–], which is small enough to intercalate between the OA and surface iron ion to displace the ligand from the surface. Furthermore, F[–] was displaced from the NP surface in water so that stripped NPs could easily be re-grafted

with ligands of various length and functional groups thanks to the weak electrostatic interaction of anion and NP surface cation. The theoretical maximum grafting density for nitrodopamide-anchored ligands could thus be achieved regardless of ligand functionality. The presented method should be straightforward to extend to ligand replacement on other types of inorganic nanoparticles synthesized through diffusion-limited, size-focused growth assisted by strongly complexed anionic ligands such as oleic acid.

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