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Rank-one theorem and subgraphs of BV functions in Carnot groups[☆]

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ABSTRACT

We prove a rank-one theorem *à la* G. Alberti for the derivatives of vector-valued maps with bounded variation in a class of Carnot groups that includes Heisenberg groups \mathbb{H}^n for $n \geq 2$. The main tools are properties relating the horizontal derivatives of a real-valued function with bounded variation and its subgraph.

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1. Introduction

One of the main results in the theory of functions with bounded variation (BV) is the rank-one theorem. Recall that a function $u \in L^1(\Omega, \mathbb{R}^d)$ has bounded variation in an open set $\Omega \subset \mathbb{R}^n$ ($u \in BV(\Omega, \mathbb{R}^d)$) if the derivatives Du of u in the sense of distributions are represented by a (matrix-valued) measure with finite total variation. The measure Du can then be decomposed as the sum $Du = D^a u + D^s u$ of a measure $D^a u$, that is absolutely continuous with respect to \mathcal{L}^n , and a measure $D^s u$ that is singular with respect to \mathcal{L}^n . The Radon–Nikodym derivative $\frac{D^s u}{|D^s u|}$ of $D^s u$ with respect to its total variation $|D^s u|$ is a $|D^s u|$ -measurable map from Ω to $\mathbb{R}^{d \times n}$. The rank-one theorem states that $|D^s u|$ -a.e. this map takes values in the space of rank-one matrices. We refer to [3] for more details on BV functions.

The rank-one theorem was first conjectured by L. Ambrosio and E. De Giorgi in [7] and it has important applications to vectorial variational problems and systems of PDEs. It was proved by G. Alberti in [1] (see also [2,8]): due to its complexity, Alberti's proof is generally regarded as a *tour de force* in measure theory. Two different proofs of the rank-one theorem were recently found. One is due to G. De Philippis and F. Rindler and follows from a profound PDE result [9], where a rank-one property for maps with bounded deformation (BD) was also proved for the first time. At the same time another proof, of a geometric flavor and considerably simpler than those in [1,9], was provided by the second- and third-named authors in [27].

Motivated by these results, in this paper we consider the following natural generalization. Let X_1, \dots, X_m be linearly independent vector fields in \mathbb{R}^n , $m \leq n$, and let $u : \Omega \rightarrow \mathbb{R}^d$ be a function with *bounded H -variation* in an open set $\Omega \subset \mathbb{R}^n$, i.e., a vector valued function such that the distributional *horizontal* derivatives $D_H u := (X_1 u, \dots, X_m u)$ are represented by a $d \times m$ -matrix valued measure with finite total variation in Ω ; consider the singular part $D_H^s u$ of $D_H u$ with respect to \mathcal{L}^n . Is it true that the Radon–Nikodym derivative $\frac{D_H^s u}{|D_H^s u|}$ is a rank-one matrix $|D_H^s u|$ -a.e.?

We investigate this question in the setting of *Carnot groups* $\mathbb{G} \equiv \mathbb{R}^n$ (see Section 2) endowed with a left-invariant basis X_1, \dots, X_m of the first layer \mathfrak{g}_1 in the stratification of their Lie algebra. In particular, we find two assumptions on \mathbb{G} , that we call properties \mathcal{C}_2 and \mathcal{R} (see Definitions 2.2 and 5.1, respectively), that ensure the rank-one property for BV_H functions in \mathbb{G} . We will discuss later the role played by these properties in our argument. Our first main result is the following

Theorem 1.1. *Let \mathbb{G} be a Carnot group satisfying properties \mathcal{C}_2 and \mathcal{R} ; let $\Omega \subset \mathbb{G}$ be an open set and $u \in BV_{H,loc}(\Omega, \mathbb{R}^d)$ be a function with locally bounded H -variation. Then the singular part $D_H^s u$ of $D_H u$ is a rank-one measure, i.e., the matrix-valued function $\frac{D_H^s u}{|D_H^s u|}(x)$ has rank one for $|D_H^s u|$ -a.e. $x \in \Omega$.*

It is worth pointing out that Theorem 1.1 applies to the n -th Heisenberg group \mathbb{H}^n provided $n \geq 2$. Recall that Heisenberg groups, defined in Example 2.1 below, are the most notable examples of Carnot groups.

Corollary 1.2. *Let u be as in Theorem 1.1 and assume that \mathbb{G} is the Heisenberg group \mathbb{H}^n , $n \geq 2$; then $D_{\mathbb{H}}^s u$ is a rank-one measure. More generally, the same holds if \mathbb{G} is a Carnot group of step 2 satisfying property \mathcal{C}_2 .*

Corollary 1.2 is an immediate consequence of Theorem 1.1, see Remarks 2.4 and 5.3.

Theorem 1.1 does not directly follow from the outcomes of [9], see Remark 5.5. Its proof follows the geometric strategy devised in [27] and it is based on the relations between a (real-valued) BV_H function u in \mathbb{G} and the H -perimeter of its subgraph $E_u := \{(x, t) : t < u(x)\} \subset \mathbb{G} \times \mathbb{R}$. Recall that a set $E \subset \mathbb{G} \times \mathbb{R}$ has finite H -perimeter if its characteristic function χ_E has bounded H -variation with respect the vector fields of a basis of the first layer in the Lie algebra stratification of the Carnot group $\mathbb{G} \times \mathbb{R}$. Our second main result is the following

Theorem 1.3. *Suppose that $\Omega \subset \mathbb{G}$ is open and bounded and let $u \in L^1(\Omega)$. Then u belongs to $BV_H(\Omega)$ if and only if its subgraph E_u has finite H -perimeter in $\Omega \times \mathbb{R}$.*

Actually, the proof of Theorem 1.1 requires much finer properties than the one stated in Theorem 1.3. Such properties are stated in Theorems 4.2 and 4.3 in a much more general context than Carnot groups, i.e., for maps with bounded H -variation with respect to a generic fixed family of linearly independent vector fields X_1, \dots, X_m on \mathbb{R}^n . Theorem 4.2, from which Theorem 1.3 immediately follows, focuses on the relations between the horizontal (in \mathbb{R}^n) derivatives of u and the horizontal (in $\mathbb{R}^n \times \mathbb{R}$) derivatives of χ_{E_u} . Theorem 4.3 instead deals with the relations between the horizontal normal to E_u and the polar vector σ_u in the decomposition $D_H u = \sigma_u |D_H u|$, and it also deals with the relations between $D_H^a u, D_H^s u$ and the horizontal derivatives of χ_{E_u} . When $m = n$ and $X_i = \partial_{x_i}$ one recovers some results that belong to the folklore of Geometric Measure Theory and are scattered in the literature (see e.g. [28], [11, 4.5.9] and [17, Section 4.1.5]); we tried here to collect them in a more systematic way. We were not able to find references for some of the results we stated.

Property \mathcal{R} (“rectifiability”) intervenes in ensuring that the horizontal derivatives of χ_{E_u} are a “rectifiable” measure, see Definition 5.1. This is a non-trivial technical obstruction one has to face when following the strategy of [27]: the rectifiability of sets with finite H -perimeter in Carnot groups is indeed a major open problem, which has been solved only in step 2 Carnot groups (see [14,15]) and in the class of Carnot groups of type \star ([26]). See also [4] for a partial result in general Carnot groups.

Once the rectifiability of E_u is ensured, the proof of Theorem 1.1 follows rather easily from the technical Lemma 3.2 below, which is the natural counterpart of the Lemma in [27]. The latter, however, was proved by utilizing the area formula for maps between

rectifiable subsets of \mathbb{R}^n , see e.g. [3]. A similar tool is not available in the context of Carnot groups, a fact which forces us to follow a different path. The proof of Lemma 3.2 is indeed achieved by a covering argument that is based on the following result: we state it and postpone to Section 2 the definitions of property \mathcal{C}_k , the Hausdorff measure \mathcal{H}^d , the homogeneous dimension Q of \mathbb{G} and of hypersurfaces of class C_H^1 with their horizontal normal.

Theorem 1.4. *Let $k \geq 1$ be an integer, \mathbb{G} a Carnot group satisfying property \mathcal{C}_k and let $\Sigma_1, \dots, \Sigma_k$ be hypersurfaces of class C_H^1 with horizontal normals ν_1, \dots, ν_k . Let also $x \in \Sigma := \Sigma_1 \cap \dots \cap \Sigma_k$ be such that $\nu_1(x), \dots, \nu_k(x)$ are linearly independent. Then, there exists an open neighborhood U of x such that*

$$0 < \mathcal{H}^{Q-k}(\Sigma \cap U) < \infty.$$

In particular, the measure \mathcal{H}^{Q-k} is σ -finite on the set

$$\Sigma^\natural := \{x \in \Sigma : \nu_1(x), \dots, \nu_k(x) \text{ are linearly independent}\}.$$

Theorem 1.4, that we prove in Appendix A, is an easy consequence of Theorems A.3 and A.5 proved, respectively, in [12] and [22]. Theorem A.5, in particular, states the much deeper property that Σ^\natural is locally an *intrinsic Lipschitz graph*. To this aim, one needs the intersection $T_x \Sigma_1 \cap \dots \cap T_x \Sigma_k$ of the *tangent subgroups* to Σ_i at x to admit a (necessarily commutative) complementary homogeneous subgroup that is horizontal, i.e., contained in $\exp(\mathfrak{g}_1)$. This algebraic property is guaranteed by property \mathcal{C}_k (“complementability”), see Remark 2.3. We will provide in Appendix A a proof of Theorem A.5 which does not rely on the homotopy invariance of the topological degree and is then simpler and shorter than the one in [22].

For the validity of Theorem 1.4, property \mathcal{C}_k might seem a restrictive one. We however point out that Theorem 1.4 is no longer valid already when $k = 2$ and \mathbb{G} is the first Heisenberg group \mathbb{H}^1 , which does not satisfy \mathcal{C}_2 : indeed, in this setting the measure $\mathcal{H}^{Q-2}(\Sigma^\natural)$ might be either 0 or $+\infty$ (even locally) as shown by A. Kozhevnikov [19]. See also [20,25].

The fact that Theorem 1.4 does not apply to \mathbb{H}^1 (actually, to $\mathbb{H}^1 \times \mathbb{R} \times \mathbb{R}$, see the proof of Lemma 3.2) prevents us from proving the rank-one Theorem 1.1 for $\mathbb{G} = \mathbb{H}^1$. This does not follow from [9] either (see Remark 5.6) and, thus, it remains a very interesting open problem.

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2. Preliminaries on Carnot groups

2.1. Algebraic facts

A Carnot (or stratified) group is a connected, simply connected and nilpotent Lie group whose Lie algebra \mathfrak{g} is stratified, i.e., it has a decomposition $\mathfrak{g} = \mathfrak{g}_1 \oplus \dots \oplus \mathfrak{g}_s$ such that

$$\forall j = 1, \dots, s - 1 \quad \mathfrak{g}_{j+1} = [\mathfrak{g}_j, \mathfrak{g}_1], \quad \mathfrak{g}_s \neq \{0\} \quad \text{and} \quad [\mathfrak{g}_s, \mathfrak{g}] = \{0\}.$$

We refer to the integer s as the step of \mathbb{G} and to $m := \dim \mathfrak{g}_1$ as its rank; apart from the case in which \mathbb{G} is a Heisenberg group (see Example 2.1), n denotes the topological dimension of \mathbb{G} . The group identity is denoted by 0.

The exponential map $\exp : \mathfrak{g} \rightarrow \mathbb{G}$ is a diffeomorphism and, given a basis X_1, \dots, X_n of \mathfrak{g} , we often identify \mathbb{G} with \mathbb{R}^n by means of exponential coordinates:

$$\mathbb{R}^n \ni x = (x_1, \dots, x_n) \longleftrightarrow \exp(x_1 X_1 + \dots + x_n X_n) \in \mathbb{G}.$$

A one-parameter family $\{\delta_\lambda\}_{\lambda>0}$ of dilations $\delta_\lambda : \mathfrak{g} \rightarrow \mathfrak{g}$ is defined by $\delta_\lambda(X) := \lambda^j X$ for any $X \in \mathfrak{g}_j$; notice that $\delta_{\lambda\mu} = \delta_\lambda \circ \delta_\mu$. By composition with \exp one can then define a one-parameter family, for which we use the same symbol δ , of group isomorphisms $\delta_\lambda : \mathbb{G} \rightarrow \mathbb{G}$.

Example 2.1. Apart from Euclidean spaces, which are the only commutative Carnot groups, the most basic examples of Carnot groups are Heisenberg groups. Given an integer $n \geq 1$, the n -th Heisenberg group \mathbb{H}^n is the $2n + 1$ dimensional Carnot group of step 2 whose Lie algebra is generated by $X_1, \dots, X_n, Y_1, \dots, Y_n, T$ and the only non-vanishing commutation relations among these generators are given by

$$[X_j, Y_j] = T \quad \text{for any } j = 1, \dots, n.$$

The stratification of the Lie algebra is given by $\mathfrak{g}_1 \oplus \mathfrak{g}_2$, where $\mathfrak{g}_1 := \text{span}\{X_j, Y_j : j = 1, \dots, n\}$ and $\mathfrak{g}_2 := \text{span}\{T\}$. In exponential coordinates

$$\mathbb{R}^n \times \mathbb{R}^n \times \mathbb{R} \ni (x, y, t) \longleftrightarrow \exp(x_1 X_1 + \dots + y_n Y_n + tT)$$

one has

$$X_j = \partial_{x_j} - \frac{y_j}{2} \partial_t, \quad Y_j = \partial_{y_j} + \frac{x_j}{2} \partial_t, \quad T = \partial_t.$$

In this paper, given a Carnot group \mathbb{G} we will frequently deal with products like $\mathbb{G} \times \mathbb{R}^N$. Needless to say, this is the Carnot group with algebra $\mathfrak{g} \times \mathbb{R}^N$ with product defined by $[(X, t), (Y, s)] = ([X, Y], 0)$ for any $X, Y \in \mathfrak{g}, t, s \in \mathbb{R}^N$ and whose stratification is given by $(\mathfrak{g}_1 \times \mathbb{R}^N) \oplus (\mathfrak{g}_2 \times \{0\}) \oplus \dots \oplus (\mathfrak{g}_s \times \{0\})$.

Definition 2.2. Let \mathbb{G} be a Carnot group with rank m and let $1 \leq k \leq m$ be an integer. We say that \mathbb{G} satisfies the *property* \mathcal{C}_k if the first layer \mathfrak{g}_1 of its Lie algebra has the following property: for any linear subspace \mathfrak{w} of \mathfrak{g}_1 of codimension k there exists a commutative complementary subspace in \mathfrak{g}_1 , i.e., a k -dimensional subspace \mathfrak{h} of \mathfrak{g}_1 such that $[\mathfrak{h}, \mathfrak{h}] = 0$ and $\mathfrak{g}_1 = \mathfrak{w} \oplus \mathfrak{h}$.

Remark 2.3. As customary in the literature, we say that $\mathbb{W} \subset \mathbb{G}$ is a *vertical plane* of codimension k (for some $1 \leq k \leq m$) if $\mathbb{W} = \exp(\mathfrak{w} \oplus \mathfrak{g}_2 \oplus \cdots \oplus \mathfrak{g}_s)$ for some linear subspace \mathfrak{w} of \mathfrak{g}_1 of codimension k (possibly $\mathfrak{w} = \{0\}$); such a \mathbb{W} is a homogeneous normal subgroup of \mathbb{G} of topological dimension $n - k$, see also Section 3 below. Then, a Carnot group has the property \mathcal{C}_k if and only if, for any vertical plane \mathbb{W} in \mathbb{G} , there exists a complementary homogeneous subgroup \mathbb{H} that is horizontal, i.e., such that $\mathbb{H} \subset \exp(\mathfrak{g}_1)$. Notice also that, in this case, \mathbb{H} is necessarily commutative.

Remark 2.4. The Heisenberg group \mathbb{H}^n has the property \mathcal{C}_k if and only if $1 \leq k \leq n$.

All Carnot groups have the property \mathcal{C}_1 . Free Carnot groups (see e.g. [18]) have the property \mathcal{C}_k if and only if $k = 1$.

A Carnot group of rank m has the property \mathcal{C}_m if and only if \mathbb{G} is Abelian (i.e., $\mathbb{G} \cong \mathbb{R}^m$).

Remark 2.5. It is an easy exercise to show that, if $k \geq 2$ and \mathbb{G} has the property \mathcal{C}_k , then \mathbb{G} has also the property \mathcal{C}_h for any $1 \leq h \leq k$.

Lemma 2.6. Let $N \geq 1$ be an integer and \mathbb{G} be a Carnot group. Then \mathbb{G} has the property \mathcal{C}_k if and only if $\mathbb{G} \times \mathbb{R}^N$ has the property \mathcal{C}_k .

Proof. It is clearly enough to prove the statement for $N = 1$.

Assume first that \mathbb{G} has the property \mathcal{C}_k and let \mathfrak{w} be a k -codimensional subspace of the first layer $\mathfrak{g}_1 \times \mathbb{R}$ of the Lie algebra of $\mathbb{G} \times \mathbb{R}$. We have two cases according to the dimension of $\mathfrak{w}' := \mathfrak{w} \cap (\mathfrak{g}_1 \times \{0\})$:

- if $\dim \mathfrak{w}' = m - k$, using the \mathcal{C}_k property of \mathbb{G} one can find a k -dimensional commutative subspace \mathfrak{h} of \mathfrak{g}_1 such that $\mathfrak{g}_1 \times \{0\} = \mathfrak{w}' \oplus (\mathfrak{h} \times \{0\})$. In particular, $\mathfrak{g}_1 \times \mathbb{R} = \mathfrak{w} \oplus (\mathfrak{h} \times \{0\})$;
- if $\dim \mathfrak{w}' = m + 1 - k$, then $\mathfrak{w} = \mathfrak{w}' \subset \mathfrak{g}_1 \times \{0\}$ and, by Remark 2.5, one can find a $(k-1)$ -dimensional commutative subspace \mathfrak{h} of \mathfrak{g}_1 such that $\mathfrak{g}_1 \times \{0\} = \mathfrak{w} \oplus (\mathfrak{h} \times \{0\})$. In particular, $\mathfrak{g}_1 \times \mathbb{R} = \mathfrak{w} \oplus (\mathfrak{h} \times \mathbb{R})$.

In both cases we have found a commutative complementary subspace of \mathfrak{w} .

Assume now that $\mathbb{G} \times \mathbb{R}$ has the property \mathcal{C}_k and let \mathfrak{w} be a k -codimensional linear subspace of \mathfrak{g}_1 . Then $\mathfrak{w} \times \mathbb{R}$ is a k -codimensional linear subspace of $\mathfrak{g}_1 \times \mathbb{R}$, hence it admits a k -dimensional commutative complementary subspace \mathfrak{h} in $\mathfrak{g}_1 \times \mathbb{R}$. Denoting by

$\pi : \mathfrak{g}_1 \times \mathbb{R} \rightarrow \mathfrak{g}_1$ the canonical projection, it is readily noticed that $\pi(\mathfrak{h})$ is a k -dimensional commutative subspace of \mathfrak{g}_1 such that $\mathfrak{g}_1 = \mathfrak{w} \oplus \pi(\mathfrak{h})$. This concludes the proof. \square

2.2. Metric facts

Let \mathbb{G} be a Carnot group with stratified algebra $\mathfrak{g} = \mathfrak{g}_1 \oplus \dots \oplus \mathfrak{g}_s$. We endow \mathfrak{g} with a positive definite scalar product $\langle \cdot, \cdot \rangle$ such that $\mathfrak{g}_i \perp \mathfrak{g}_j$ whenever $i \neq j$. We also let $|\cdot| := \langle \cdot, \cdot \rangle^{1/2}$. We fix an orthonormal basis X_1, \dots, X_n of \mathfrak{g} adapted to the stratification, i.e., such that $\mathfrak{g}_j = \text{span}\{X_{m_{j-1}+1}, \dots, X_{m_j}\}$ for any $j = 1, \dots, s$, where $m_j := \dim(\mathfrak{g}_1) + \dots + \dim(\mathfrak{g}_j)$ and $m_0 := 0$ (in particular, $m_1 = m$).

We will frequently use the *homogeneous* (pseudo-)norm $\|\cdot\|$ on \mathbb{G} defined in this way: if $x = \exp(Y_1 + \dots + Y_s)$ for $Y_j \in \mathfrak{g}_j$, then

$$\|x\| := \sum_{j=1}^s |Y_j|^{1/j}.$$

Clearly one has $\|\delta_\lambda(x)\| = \lambda\|x\|$ for any $x \in \mathbb{G}, \lambda > 0$. Homogeneous pseudo-norms arising from different choices of the scalar product $\langle \cdot, \cdot \rangle$ on \mathbb{G} are equivalent.

The group \mathbb{G} is endowed with the *Carnot–Carathéodory (CC) distance* d induced by the family X_1, \dots, X_m , as we now introduce. Given an interval $I \subset \mathbb{R}$, a Lipschitz curve $\gamma : I \rightarrow \mathbb{G}$ is said to be *horizontal* if there exist functions $h_1, \dots, h_m \in L^\infty(I)$ such that for a.e. $t \in I$ we have

$$\dot{\gamma}(t) = \sum_{i=1}^m h_i(t)X_i(\gamma(t)). \tag{2.1}$$

Letting $|h| := (h_1^2 + \dots + h_m^2)^{1/2}$, the length of γ is defined as

$$L(\gamma) := \int_I |h(t)| dt.$$

It is well-known that for any pair of points $x, y \in \mathbb{G}$ there exists a horizontal curve joining x to y . We can therefore define a distance function d letting

$$d(x, y) := \inf \{L(\gamma) : \gamma : [0, T] \rightarrow M \text{ horizontal with } \gamma(0) = x \text{ and } \gamma(T) = y\}.$$

It is also well-known that, for any pair $x, y \in \mathbb{G}$, there exists a geodesic joining x and y , i.e., a horizontal curve γ realizing the infimum in the previous formula. Notice that

$$d(zx, zy) = d(x, y) \quad \text{and} \quad d(\delta_\lambda(x), \delta_\lambda(y)) = \lambda d(x, y) \quad \forall x, y, z \in G, \lambda > 0$$

and that $d(x, y)$ is equivalent to $\|x^{-1}y\|$.

We denote by $B(x, r)$ open balls of center $x \in \mathbb{G}$ and radius $r > 0$ with respect to the CC distance; we also write B_r instead of $B(0, r)$, so that $B(x, r) = xB_r$. The diameter $\text{diam } E$ of $E \subset \mathbb{G}$ and the distance $d(E_1, E_2)$ between $E_1, E_2 \subset \mathbb{G}$ is understood with respect to the CC distance.

As customary, for $E \subset \mathbb{G}, d > 0$ and $\delta > 0$ we set

$$\mathcal{H}_\delta^d(E) := \inf \left\{ \sum_{i=1}^{\infty} (\text{diam } E_i)^d : E \subset \bigcup_{i=1}^{\infty} E_i, \text{diam } E_i < \delta \right\}$$

$$\mathcal{S}_\delta^d(E) := \inf \left\{ \sum_{i=1}^{\infty} (\text{diam } B_i)^d : B_i \text{ are open balls, } E \subset \bigcup_{i=1}^{\infty} B_i, \text{diam } B_i < \delta \right\}$$

and we define the d -dimensional Hausdorff measure and d -dimensional spherical Hausdorff measure of E respectively as

$$\mathcal{H}^d(E) := \lim_{\delta \downarrow 0} \mathcal{H}_\delta^d(E) = \sup_{\delta > 0} \mathcal{H}_\delta^d(E)$$

$$\mathcal{S}^d(E) := \lim_{\delta \downarrow 0} \mathcal{S}_\delta^d(E) = \sup_{\delta > 0} \mathcal{S}_\delta^d(E).$$

The Hausdorff dimension of E is $\inf\{d : \mathcal{H}^d(E) = 0\} = \sup\{d : \mathcal{H}^d(E) = \infty\}$. It is well-known that the metric space (\mathbb{G}, d) has Hausdorff dimension $Q := \sum_{j=1}^s j \dim \mathfrak{g}_j$ and that, in exponential coordinates and up to multiplicative constants, the measures $\mathcal{H}^Q, \mathcal{S}^Q$ and \mathcal{L}^n coincide, all of them being Haar measures on \mathbb{G} .

3. Intrinsic regular hypersurfaces in Carnot groups

We say that a continuous real function f on an open set $\Omega \subset \mathbb{G}$ is of class C_H^1 if its horizontal derivatives $X_1 f, \dots, X_m f$ are continuous in Ω . In this case we write $f \in C_H^1(\Omega)$ and we set $\nabla_H f := (X_1 f, \dots, X_m f)$.

A set $S \subset \mathbb{G}$ is a C_H^1 hypersurface if for any $x \in S$ there exist an open neighborhood U of x and $f \in C_H^1(U)$ such that

$$S \cap U = \{y \in U : f(y) = 0\} \quad \text{and} \quad \nabla_H f \neq 0 \text{ on } U.$$

In this case, we define the horizontal normal to x as $\nu_S(x) := \frac{\nabla_H f(x)}{|\nabla_H f(x)|} \in \mathbb{R}^m$. The normal $\nu_S(x) = ((\nu_S(x))_1, \dots, (\nu_S(x))_m)$ is defined up to sign and it can be canonically identified with a horizontal vector at x by

$$\nu_S(x) = (\nu_S(x))_1 X_1(x) + \dots + (\nu_S(x))_m X_m(x).$$

A C^1_H hypersurface has locally finite \mathcal{H}^{Q-1} -measure, see e.g. [30] and the references therein.¹

The hyperplane $\nu_S(x)^\perp$ in \mathfrak{g} is a Lie subalgebra. The associated subgroup $T_x S := \exp(\nu_S(x)^\perp)$ is called *tangent subgroup* to S at x : we point out the well-known property that

$$\forall \varepsilon > 0 \exists \bar{r} = \bar{r}(x, \varepsilon) > 0 \text{ such that } \forall r \in (0, \bar{r}) \quad (x^{-1}S) \cap B_r \subset (T_x S)_{\varepsilon r} \cap B_r, \quad (3.2)$$

where for $E \subset \mathbb{G}$ and $\delta > 0$ we denote by E_δ the δ -neighborhood of E . A proof of (3.2), using the fact that in exponential coordinates $T_x S = \{(\xi, \eta) \in \mathbb{R}^n = \mathbb{R}^m \times \mathbb{R}^{n-m} : \xi \perp \nu_S(x)\}$, is implicitly contained in the proof of Lemma A.4. Notice also that

$$T_x S = \exp(\{X \in \mathfrak{g}_1 : Xf(x) = 0\} \oplus \mathfrak{g}_2 \cdots \oplus \mathfrak{g}_s);$$

in particular, while $\nu_S(x)$ depends on the scalar product $\langle \cdot, \cdot \rangle$ on \mathfrak{g} , the subgroup $T_x S$ is intrinsic.

The tangent group $T_x S$ is a vertical plane of codimension 1 (or *vertical hyperplane*), where we say that $\mathbb{W} \subset \mathbb{G}$ is a *vertical plane* of codimension $k, 1 \leq k \leq m$, if $\mathbb{W} = \exp(\mathfrak{w} \oplus \mathfrak{g}_2 \oplus \cdots \oplus \mathfrak{g}_s)$ for some linear subspace \mathfrak{w} of \mathfrak{g}_1 of codimension k (possibly $\mathfrak{w} = \{0\}$). Such a \mathbb{W} is a homogeneous normal subgroup of \mathbb{G} of topological dimension $n - k$ and Hausdorff dimension $Q - k$. The intersection of vertical planes is always a vertical plane (of possibly higher codimension).

The following simple lemma will be used in the proof of Lemma 3.2.

Lemma 3.1. *Let $\mathbb{W} \subset \mathbb{G}$ be a vertical plane of codimension k and let $x \in \mathbb{W}, r > 0$ and $\varepsilon \in (0, 1)$ be fixed. Then, the set $\mathbb{W} \cap B(x, r)$ can be covered by a family of balls $\{B(y_\ell, \varepsilon r)\}_{\ell \in L}$ of radius εr with cardinality $\#L \leq (4/\varepsilon)^{Q-k}$.*

Proof. By dilation and translation invariance, it is not restrictive to assume that $x = 0$ and $r = 1$. Let $\{y_\ell\}_{\ell \in L}$ be a maximal family of points of $\mathbb{W} \cap B(0, 1)$ such that the balls $B(y_\ell, \varepsilon/2)$ are pairwise disjoint; working by contradiction, it can be easily seen that the family $\{B(y_\ell, \varepsilon)\}_{\ell \in L}$ covers $\mathbb{W} \cap B(0, 1)$. The measure \mathcal{H}^{Q-k} is locally finite on \mathbb{W} (see e.g. [21,23,24]), is left-invariant and it is $(Q - k)$ -homogeneous with respect to dilations. In particular, setting $M := \mathcal{H}^{Q-k}(\mathbb{W} \cap B(0, 1))$, we have

$$\left(\frac{\varepsilon}{2}\right)^{Q-k} M \#L = \sum_{\ell \in L} \mathcal{H}^{Q-k}(\mathbb{W} \cap B(y_\ell, \varepsilon/2)) \leq \mathcal{H}^{Q-k}(\mathbb{W} \cap B(0, 2)) = 2^{Q-k} M,$$

which proves the claim. \square

¹ Actually, this also follows from Theorem 1.4 with $k = 1$.

A key tool in the proof of the rank-one Theorem 1.1 is the following Lemma 3.2 which, in turn, uses Theorem 1.4, whose proof is instead postponed to Appendix A. We denote by $\pi : \mathbb{G} \times \mathbb{R} \rightarrow \mathbb{G}$ the canonical projection $\pi(x, t) = x$.

Lemma 3.2. *Let \mathbb{G} be a Carnot group satisfying property \mathcal{C}_2 . Let Σ_1, Σ_2 be C^1_H hypersurfaces in $\mathbb{G} \times \mathbb{R}$ with unit normals $\nu_{\Sigma_1}, \nu_{\Sigma_2}$. Then, the set*

$$R := \left\{ p \in \Sigma_1 : \exists q \in \Sigma_2 \text{ such that } \begin{array}{l} \pi(q) = \pi(p), \\ (\nu_{\Sigma_1}(p))_{m+1} = (\nu_{\Sigma_2}(q))_{m+1} = 0, \\ \nu_{\Sigma_1}(p) \neq \pm \nu_{\Sigma_2}(q) \end{array} \right\}$$

is \mathcal{H}^Q -negligible.

Proof. Let us consider the distances $d_{\mathbb{G} \times \mathbb{R}}$ and $d_{\mathbb{G} \times \mathbb{R} \times \mathbb{R}}$ on (respectively) $\mathbb{G} \times \mathbb{R}$ and $\mathbb{G} \times \mathbb{R} \times \mathbb{R}$ defined by

$$\begin{aligned} d_{\mathbb{G} \times \mathbb{R}}((x, t), (x', t')) &:= d(x, x') + |t - t'| & \forall x, x' \in \mathbb{G}, t, t' \in \mathbb{R} \\ d_{\mathbb{G} \times \mathbb{R} \times \mathbb{R}}((x, t, s), (x', t', s')) &:= d(x, x') + |t - t'| + |s - s'| & \forall x, x' \in \mathbb{G}, t, t', s, s' \in \mathbb{R}, \end{aligned}$$

where d is the Carnot–Carathéodory distance on \mathbb{G} . Such distances are left-invariant and homogeneous, hence they are equivalent to the Carnot–Carathéodory distances on $\mathbb{G} \times \mathbb{R}$ and $\mathbb{G} \times \mathbb{R} \times \mathbb{R}$; in particular, it is enough to prove the statement when the Hausdorff measure \mathcal{H}^Q is the one induced by $d_{\mathbb{G} \times \mathbb{R}}$ on $\mathbb{G} \times \mathbb{R}$. We use the same notation $B(a, r)$ for balls of radius $r > 0$ in either $\mathbb{G}, \mathbb{G} \times \mathbb{R}$ or $\mathbb{G} \times \mathbb{R} \times \mathbb{R}$, according to which group the center a belongs to.

The sets

$$\begin{aligned} \tilde{\Sigma}_1 &:= \{(x, t, s) \in \mathbb{G} \times \mathbb{R} \times \mathbb{R} : (x, t) \in \Sigma_1, s \in \mathbb{R}\} \\ \tilde{\Sigma}_2 &:= \{(x, t, s) \in \mathbb{G} \times \mathbb{R} \times \mathbb{R} : (x, s) \in \Sigma_2, t \in \mathbb{R}\} \end{aligned}$$

are clearly C^1_H hypersurfaces in $\mathbb{G} \times \mathbb{R} \times \mathbb{R}$ and, moreover,

$$\begin{aligned} \nu_{\tilde{\Sigma}_1}(x, t, s) &= ((\nu_{\Sigma_1}(x, t))_1, \dots, (\nu_{\Sigma_1}(x, t))_m, (\nu_{\Sigma_1}(x, t))_{m+1}, 0) \\ \nu_{\tilde{\Sigma}_2}(x, t, s) &= ((\nu_{\Sigma_2}(x, s))_1, \dots, (\nu_{\Sigma_2}(x, s))_m, 0, (\nu_{\Sigma_2}(x, s))_{m+1}). \end{aligned}$$

Let us define

$$\begin{aligned} \tilde{R} &:= \{P \in \tilde{\Sigma}_1 \cap \tilde{\Sigma}_2 : (\nu_{\tilde{\Sigma}_1}(P))_{m+1} = (\nu_{\tilde{\Sigma}_2}(P))_{m+2} = 0 \text{ and } \nu_{\tilde{\Sigma}_1}(P) \neq \pm \nu_{\tilde{\Sigma}_2}(P)\} \\ &= \{(x, t, s) \in \tilde{\Sigma}_1 \cap \tilde{\Sigma}_2 : (\nu_{\Sigma_1}(x, t))_{m+1} = (\nu_{\Sigma_2}(x, s))_{m+1} = 0 \\ &\quad \text{and } \nu_{\Sigma_1}(x, t) \neq \pm \nu_{\Sigma_2}(x, s)\}. \end{aligned}$$

By construction we have $\tilde{\pi}(\tilde{R}) = R$, where $\tilde{\pi} : \mathbb{G} \times \mathbb{R} \times \mathbb{R} \rightarrow \mathbb{G} \times \mathbb{R}$ is the group homomorphism defined by $\tilde{\pi}(x, t, s) := (x, t)$; moreover the measure $\mathcal{H}^Q \llcorner \tilde{R}$ is σ -finite by Theorem 1.4 (notice that we are also using Lemma 2.6). We are going to show that $\mathcal{H}^Q(\tilde{\pi}(T)) = 0$ for any fixed $T \subset \tilde{R}$ such that $\mathcal{S}^Q(T) < \infty$; this is clearly enough to conclude.

For any $P \in T$ and $i = 1, 2$, the tangent space $T_P \tilde{\Sigma}_i$ equals $\mathbb{W}_i \times \mathbb{R} \times \mathbb{R}$ for a suitable vertical hyperplane \mathbb{W}_i of \mathbb{G} . In particular, setting $\mathbb{W} = \mathbb{W}(P) := \mathbb{W}_1 \cap \mathbb{W}_2$, we have by (3.2) that for any $P \in T$ and any $\varepsilon \in (0, 1)$ there exists $\bar{r} = \bar{r}(\varepsilon, P) > 0$ such that

$$\begin{aligned} (P^{-1}T) \cap B(0, r) &\subset (\mathbb{W} \times \mathbb{R} \times \mathbb{R})_{\varepsilon r} \cap B(0, r) \\ &= (\mathbb{W}_{\varepsilon r} \times \mathbb{R} \times \mathbb{R}) \cap B(0, r) \quad \text{for any } r \in (0, \bar{r}). \end{aligned} \tag{3.3}$$

Notice also that \mathbb{W} is a vertical plane of codimension 2 in \mathbb{G} . Let $\varepsilon > 0$ be fixed and set

$$T_j := \{P \in T : \bar{r}(\varepsilon, P) \geq \frac{1}{j}\}, \quad j = 1, 2, \dots$$

Since $T_j \uparrow T$, the proof will be accomplished by showing that for any fixed j

$$\mathcal{H}^Q(\tilde{\pi}(T_j)) < C\varepsilon, \tag{3.4}$$

where $C > 0$ is a constant that will be determined in the sequel.

Let us prove (3.4). Fix $\delta \in (0, \frac{1}{j})$; since $\mathcal{H}^Q(T_j) \leq \mathcal{H}^Q(T) < +\infty$, one can find a (countable or finite) family $\{B(\tilde{P}_i, r_i/2)\}_i$ of balls in $\mathbb{G} \times \mathbb{R} \times \mathbb{R}$ such that $0 < r_i < \delta$,

$$T_j \subset \bigcup_i B(\tilde{P}_i, r_i/2) \quad \text{and} \quad \sum_i (r_i/2)^Q \leq \sum_i (\text{diam } B(\tilde{P}_i, r_i/2))^Q \leq C_1$$

where $C_1 := \mathcal{H}^Q(T) + 1$. We can also assume that $T_j \cap B(\tilde{P}_i, r_i/2)$ is non-empty for any i . Choosing $P_i \in T_j \cap B(\tilde{P}_i, r_i/2)$, for any i the balls $B(P_i, r_i)$ have then the following properties:

$$P_i \in T_j, \quad 0 < r_i < \delta, \quad T_j \subset \bigcup_i B(P_i, r_i) \quad \text{and} \quad \sum_i r_i^Q \leq 2^Q C_1. \tag{3.5}$$

Setting $\mathbb{W}_i := \mathbb{W}(P_i)$, by (3.3) we have

$$\begin{aligned} (P_i^{-1}T_j) \cap B(0, r_i) &\subset ((\mathbb{W}_i)_{\varepsilon r_i} \times \mathbb{R} \times \mathbb{R}) \cap B(0, r_i) \\ &= ((\mathbb{W}_i)_{\varepsilon r_i} \cap B(0, r_i)) \times (-r_i, r_i) \times (-r_i, r_i). \end{aligned} \tag{3.6}$$

By Lemma 3.1, for any i we can find a family of balls $\{B(y_{i,\ell}, \varepsilon r_i)\}_{\ell \in L_i}$ such that

$$\forall \ell \in L_i \quad y_{i,\ell} \in \mathbb{W}_i, \quad \#L_i \leq (8/\varepsilon)^{Q-2} \quad \text{and} \quad \mathbb{W}_i \cap B(0, 2r_i) \subset \bigcup_{\ell \in L_i} B(y_{i,\ell}, \varepsilon r_i).$$

In particular

$$(\mathbb{W}_i)_{\varepsilon r_i} \cap B(0, r_i) \subset (\mathbb{W}_i \cap B(0, r_i + \varepsilon r_i))_{\varepsilon r_i} \subset \bigcup_{\ell \in L_i} B(y_{i,\ell}, 2\varepsilon r_i). \tag{3.7}$$

Let us also fix points $\{\tau_k\}_{k \in K_i} \subset (-r_i, r_i)$ such that $\#K_i \leq 2\varepsilon^{-1}$ and

$$(-r_i, r_i) \subset \bigcup_{k \in K_i} (\tau_k - 2\varepsilon r_i, \tau_k + 2\varepsilon r_i) \tag{3.8}$$

By (3.6), (3.7) and (3.8) we get

$$(P_i^{-1}T_j) \cap B(0, r_i) \subset \bigcup_{\substack{\ell \in L_i \\ k, h \in K_i}} B(y_{i,\ell}, 2\varepsilon r_i) \times (\tau_k - 2\varepsilon r_i, \tau_k + 2\varepsilon r_i) \times (\tau_h - 2\varepsilon r_i, \tau_h + 2\varepsilon r_i).$$

For any $\ell \in L_i$ and $k, h, h' \in K_i$ one has

$$\begin{aligned} & \tilde{\pi}(B(y_{i,\ell}, 2\varepsilon r_i) \times (\tau_k - 2\varepsilon r_i, \tau_k + 2\varepsilon r_i) \times (\tau_h - 2\varepsilon r_i, \tau_h + 2\varepsilon r_i)) \\ &= \tilde{\pi}(B(y_{i,\ell}, 2\varepsilon r_i) \times (\tau_k - 2\varepsilon r_i, \tau_k + 2\varepsilon r_i) \times (\tau_{h'} - 2\varepsilon r_i, \tau_{h'} + 2\varepsilon r_i)) \\ &= B(y_{i,\ell}, 2\varepsilon r_i) \times (\tau_k - 2\varepsilon r_i, \tau_k + 2\varepsilon r_i) \\ &\subset B((y_{i,\ell}, \tau_k), 4\varepsilon r_i) \end{aligned}$$

which, using (3.5), implies that

$$\begin{aligned} \tilde{\pi}(T_j) &\subset \bigcup_i \tilde{\pi}(T_j \cap B(P_i, r_i)) \\ &\subset \bigcup_i \bigcup_{\substack{\ell \in L_i \\ k, h \in K_i}} \tilde{\pi}(P_i(B(y_{i,\ell}, 2\varepsilon r_i) \times (\tau_k - 2\varepsilon r_i, \tau_k + 2\varepsilon r_i) \times (\tau_h - 2\varepsilon r_i, \tau_h + 2\varepsilon r_i))) \\ &\subset \bigcup_i \bigcup_{\substack{\ell \in L_i \\ k \in K_i}} \tilde{\pi}(P_i)B((y_{i,\ell}, \tau_k), 4\varepsilon r_i) \\ &= \bigcup_i \bigcup_{\substack{\ell \in L_i \\ k \in K_i}} B(p_{i\ell k}, 4\varepsilon r_i) \end{aligned}$$

where $p_{i\ell k} := \tilde{\pi}(P_i)(y_{i,\ell}, \tau_k) \in \mathbb{G} \times \mathbb{R}$. Using again (3.5) we obtain that

$$\mathcal{H}_{4\varepsilon\delta}^Q(T_j) \leq \sum_i \#L_i \#K_i (8\varepsilon r_i)^Q \leq \varepsilon \sum_i 2^{6Q-5} r_i^Q \leq 2^{7Q-5} C_1 \varepsilon$$

which, by the arbitrariness of $\delta \in (0, \frac{1}{j})$, gives the claim (3.4). \square

4. Functions with bounded H -variation and subgraphs

Let $X = (X_1, \dots, X_m)$ be an m -tuple of linearly independent vector fields in \mathbb{R}^n ; for $i = 1, \dots, m$ and $j = 1, \dots, n$ we consider smooth functions a_{ij} such that

$$X_i(x) = \sum_{j=1}^n a_{ij}(x) \partial_{x_j}.$$

The model case is of course that of a Carnot group $\mathbb{G} \equiv \mathbb{R}^n$ endowed with a left-invariant basis X_1, \dots, X_m of the first layer \mathfrak{g}_1 in the Lie algebra stratification; in the present section, however, we work in higher generality.

One of the main purposes of this paper is the study of *functions with bounded H -variation* ([6,13]), that we are going to introduce only very briefly. In this section, Ω is an open subset of \mathbb{R}^n and, given $\varphi \in C^1(\Omega, \mathbb{R}^m)$, we let $\operatorname{div}_X \varphi := \sum_{i=1}^m X_i^* \varphi_i$ where X_i^* denotes the formal adjoint operator of the vector field X_i . Given a \mathbb{R}^m -valued function f on Ω and a \mathbb{R}^m -valued measure μ on Ω we use the compact notation $\int_{\Omega} f \cdot d\mu$ for the sum $\int_{\Omega} f_1 d\mu_1 + \dots + \int_{\Omega} f_m d\mu_m$.

Definition 4.1. We say that $u \in L^1_{loc}(\Omega)$ is a function of *locally bounded H -variation* in Ω , and we write $u \in BV_{H,loc}(\Omega)$, if there exists a vector valued Radon measure $D_H u = (D_{X_1} u, \dots, D_{X_m} u)$ with locally finite total variation such that for every $\varphi \in C^1_c(\Omega; \mathbb{R}^m)$ we have

$$\int_{\Omega} \varphi \cdot dD_H u = - \int_{\Omega} u \operatorname{div}_X \varphi d\mathcal{L}^n. \tag{4.9}$$

Moreover, if $u \in L^1(\Omega)$, we say that u has *bounded H -variation* in Ω ($u \in BV_H(\Omega)$) if $D_H u$ has finite total variation $|D_H u|$ on Ω .

We say that $E \subset \Omega$ has *finite H -perimeter* in Ω if its characteristic function χ_E belongs to $BV_H(\Omega)$.

We recall that the total variation $|\mu|$ of a \mathbb{R}^d -valued measure $\mu = (\mu_1, \dots, \mu_d)$ is defined for Borel sets B as

$$\begin{aligned} |\mu|(B) &:= \sup \left\{ \sum_{\ell=1}^{\infty} |\mu(B_{\ell})| : (B_{\ell})_{\ell} \text{ disjoint Borel subsets of } B \right\} \\ &= \sup \left\{ \int_B \varphi \cdot d\mu : \varphi : B \rightarrow \mathbb{R}^d \text{ Borel function, } |\varphi| \leq 1 \right\}. \end{aligned}$$

If $A \Subset \Omega$ is open and $u \in BV_{H,loc}(\Omega)$, one can easily prove that

$$|D_H u|(A) = \sup \left\{ \int_A u \operatorname{div}_X \varphi \, d\mathcal{L}^n : \varphi \in C_c^1(A; \mathbb{R}^m), |\varphi| \leq 1 \right\};$$

actually, $u \in BV_H(A)$ if and only if the supremum on the right-hand side is finite. The total variation is lower-semicontinuous with respect to the L^1_{loc} convergence; moreover (see [16,13]), for any $u \in BV_H(\Omega)$ there exists a sequence $(u_h)_h$ in $C^\infty(\Omega) \cap BV_H(\Omega)$ such that

$$\begin{aligned} u_h &\rightarrow u \text{ in } L^1(\Omega) \\ |D_H u_h|(\Omega) &\rightarrow |D_H u|(\Omega) \\ |D_{X_i} u_h|(\Omega) &\rightarrow |D_{X_i} u|(\Omega) \quad \forall i = 1, \dots, m \\ |(D_H u_h, \mathcal{L}^n)|(\Omega) &\rightarrow |(D_H u, \mathcal{L}^n)|(\Omega). \end{aligned} \tag{4.10}$$

The aim of this section is the study of the relations occurring between a function $u \in BV_H(\Omega)$ and its *subgraph*

$$E_u := \{(x, t) \in \Omega \times \mathbb{R} : t < u(x)\} \subset \Omega \times \mathbb{R}.$$

We introduce the family $\tilde{X} = (\tilde{X}_1, \dots, \tilde{X}_{m+1})$ of linearly independent vector fields in \mathbb{R}^{n+1} defined for $(x, t) \in \mathbb{R}^n \times \mathbb{R}$ by

$$\begin{aligned} \tilde{X}_i(x, t) &:= (X_i(x), 0) \in \mathbb{R}^{n+1} \cong \mathbb{R}^n \times \mathbb{R} \quad \text{if } i = 1, \dots, m \\ \tilde{X}_{m+1}(x, t) &:= \partial_t. \end{aligned}$$

If $U \subset \mathbb{R}^{n+1}$ is open and $u \in BV_{H,loc}(U)$ with respect to the family \tilde{X} we write $D_{\tilde{H}} u := (D_{\tilde{X}_1} u, \dots, D_{\tilde{X}_{m+1}} u)$.

The following result is the natural generalization of some classical facts about Euclidean functions of bounded variation, see e.g. [17, Section 4.1.5]. We denote by $\pi : \mathbb{R}^{n+1} \rightarrow \mathbb{R}^n$ the canonical projection $\pi(x, t) = x$; $\pi_\#$ denotes the associated push-forward of measures.

Theorem 4.2. *Suppose Ω is bounded in \mathbb{R}^n and let $u \in L^1(\Omega)$. Then u belongs to $BV_H(\Omega)$ if and only if its subgraph E_u has finite H -perimeter (with respect to the family \tilde{X}) in $\Omega \times \mathbb{R}$.*

Moreover, writing $D_{\tilde{H}} \chi_{E_u} := (D_{\tilde{X}_1} \chi_{E_u}, \dots, D_{\tilde{X}_m} \chi_{E_u})$, then the following statements hold:

- (i) $\pi_\# D_{\tilde{X}_i} \chi_{E_u} = D_{X_i} u$ for any $i = 1, \dots, m$;
- (ii) $\pi_\# \partial_t \chi_{E_u} = -\mathcal{L}^n$;
- (iii) $\pi_\# |D_{\tilde{X}_i} \chi_{E_u}| = |D_{X_i} u|$ for any $i = 1, \dots, m$;
- (iv) $\pi_\# |\partial_t \chi_{E_u}| = \mathcal{L}^n$;

- (v) $\pi_{\#} |D'_{\tilde{H}} \chi_{E_u}| = |D_H u|;$
- (vi) $\pi_{\#} |D'_{\tilde{H}} \chi_{E_u}| = |(D_H u, -\mathcal{L}^n)|.$

Proof. Suppose first that $\chi_{E_u} \in BV_H(\Omega \times \mathbb{R})$ with respect to the family \tilde{X} . We need to fix a sequence $(g_h)_h$ in $C_c^\infty(\mathbb{R})$ such that g_h is even, $g_h \equiv 1$ on $[0, h]$, $g_h \equiv 0$ on $[h + 1, +\infty)$ and $\int_{\mathbb{R}} g_h(t) dt = 2h + 1$. Let $\varphi \in C_c^1(\Omega, \mathbb{R}^m)$ with $|\varphi| \leq 1$ be fixed. By the Dominated Convergence Theorem we have

$$\begin{aligned} \int_{\Omega \times \mathbb{R}} \varphi(x) \cdot d(D'_{\tilde{H}} \chi_{E_u})(x, t) &= \lim_{h \rightarrow +\infty} \int_{\Omega \times \mathbb{R}} g_h(t) \varphi(x) \cdot d(D'_{\tilde{H}} \chi_{E_u})(x, t) \\ &= - \lim_{h \rightarrow +\infty} \int_{\Omega \times \mathbb{R}} \chi_{E_u}(x, t) g_h(t) \operatorname{div}_X \varphi(x) d\mathcal{L}^{n+1}(x, t) \\ &= - \lim_{h \rightarrow +\infty} \int_{\Omega} \left(\int_{-\infty}^{u(x)} g_h(t) dt \right) \operatorname{div}_X \varphi(x) d\mathcal{L}^n(x). \end{aligned}$$

For every $z \in \mathbb{R}$ and every $h \in \mathbb{N}$ we have

$$\int_{-\infty}^z g_h(t) dt \leq |z| + h + \frac{1}{2} \quad \text{and} \quad \lim_{h \rightarrow +\infty} \left(\int_{-\infty}^z g_h(t) dt - h - \frac{1}{2} \right) = z;$$

using the fact that $\int_{\Omega} \operatorname{div}_X \varphi(x) d\mathcal{L}^n(x) = 0$, by the Dominated Convergence Theorem we obtain

$$\begin{aligned} \int_{\Omega \times \mathbb{R}} \varphi(x) \cdot d(D'_{\tilde{H}} \chi_{E_u})(x, t) &= - \lim_{h \rightarrow +\infty} \int_{\Omega} \left(\int_{-\infty}^{u(x)} g_h(t) dt - h - \frac{1}{2} \right) \operatorname{div}_X \varphi(x) d\mathcal{L}^n(x) \\ &= - \int_{\Omega} u(x) \operatorname{div}_X \varphi(x) d\mathcal{L}^n(x) \\ &= \int_{\Omega} \varphi(x) \cdot d(D_H u)(x). \end{aligned} \tag{4.11}$$

In particular, $u \in BV_H(\Omega)$ and, for any open set $A \subset \Omega$,

$$\begin{aligned} |D_H u|(A) &\leq |D'_{\tilde{H}} \chi_{E_u}|(A \times \mathbb{R}) \\ |D_{X_i} u|(A) &\leq |D_{\tilde{X}_i} \chi_{E_u}|(A \times \mathbb{R}) \quad \text{for any } i = 1, \dots, m. \end{aligned} \tag{4.12}$$

Before passing to the reverse implication we observe two facts. First, for any $\varphi \in C_c^1(\Omega)$ one has

$$\begin{aligned}
 \int_{\Omega \times \mathbb{R}} \varphi(x) d(\partial_t \chi_{E_u})(x, t) &= \lim_{h \rightarrow +\infty} \int_{\Omega \times \mathbb{R}} \varphi(x) g_h(t) d(\partial_t \chi_{E_u})(x, t) \\
 &= - \lim_{h \rightarrow +\infty} \int_{\Omega \times \mathbb{R}} \varphi(x) g'_h(t) \chi_{E_u}(x, t) d\mathcal{L}^{n+1}(x, t) \\
 &= - \lim_{h \rightarrow +\infty} \int_{\Omega} \varphi(x) \left(\int_{-\infty}^{u(x)} g'_h(t) dt \right) d\mathcal{L}^n(x) \tag{4.13} \\
 &= - \lim_{h \rightarrow +\infty} \int_{\Omega} \varphi(x) g_h(u(x)) d\mathcal{L}^n(x) \\
 &= - \int_{\Omega} \varphi d\mathcal{L}^n
 \end{aligned}$$

whence, for any open set $A \subset \Omega$,

$$\mathcal{L}^n(A) \leq |\partial_t \chi_{E_u}|(A \times \mathbb{R}). \tag{4.14}$$

Second, if $\varphi \in C^1_c(\Omega, \mathbb{R}^{m+1})$ one has by (4.11) and (4.13)

$$\int_{\Omega \times \mathbb{R}} \varphi(x) \cdot d(D_{\widetilde{H}} \chi_{E_u})(x, t) = \int_{\Omega} \varphi(x) \cdot d(D_H u, -\mathcal{L}^n)(x)$$

which gives for any open set $A \subset \Omega$

$$|(D_H u, -\mathcal{L}^n)|(A) \leq |D_{\widetilde{H}} \chi_{E_u}|(A \times \mathbb{R}). \tag{4.15}$$

Suppose now that $u \in BV_H(\Omega)$. Let $A \subset \Omega$ be open and let $\varphi \in C^1_c(A \times \mathbb{R})$ and $i = 1, \dots, m$ be fixed. Let $(u_h)_h$ be a sequence in $C^\infty(A) \cap BV_H(A)$ satisfying (4.10) (with A in place of Ω); then

$$\begin{aligned}
 &\int_{A \times \mathbb{R}} \varphi d(D_{\widetilde{X}_i} \chi_{E_{u_h}}) \\
 &= - \int_{A \times \mathbb{R}} \chi_{E_{u_h}}(x, t) \widetilde{X}_i^* \varphi(x, t) d\mathcal{L}^{n+1}(x, t) \\
 &= - \int_A \left(\int_{-\infty}^{u_h(x)} \sum_{j=1}^n \partial_{x_j} (a_{ij}(x) \varphi(x, t)) dt \right) d\mathcal{L}^n(x) \tag{4.16}
 \end{aligned}$$

$$\begin{aligned}
 &= - \int_A \left(\sum_{j=1}^n \partial_{x_j} \int_{-\infty}^{u_h(x)} a_{ij}(x) \varphi(x, t) dt - \sum_{j=1}^n a_{ij}(x) \varphi(x, u_h(x)) \partial_{x_j} u_h(x) \right) d\mathcal{L}^n(x) \\
 &= \int_A \varphi(x, u_h(x)) X_i u_h(x) d\mathcal{L}^n(x),
 \end{aligned}$$

where we used the fact that $x \mapsto a_{ij}(x) \int_{-\infty}^{u_h(x)} \varphi(x, t) dt$ is in $C_c^1(A)$. In a similar way

$$\begin{aligned}
 \int_{A \times \mathbb{R}} \varphi d(\partial_t \chi_{E_{u_h}}) &= - \int_A \left(\int_{-\infty}^{u_h(x)} \partial_t \varphi(x, t) dt \right) d\mathcal{L}^n(x) \\
 &= - \int_A \varphi(x, u_h(x)) d\mathcal{L}^n(x).
 \end{aligned} \tag{4.17}$$

Formulas (4.16) and (4.17) imply that for any $\varphi \in C_c^1(A \times \mathbb{R}, \mathbb{R}^{m+1})$

$$\int_{A \times \mathbb{R}} \varphi \cdot d(D_{\widetilde{H}} \chi_{E_{u_h}}) = \int_A \varphi(x, u_h(x)) \cdot d(D_H u_h, -\mathcal{L}^n)(x).$$

Since $\chi_{E_{u_h}} \rightarrow \chi_{E_u}$ in $L^1(A \times \mathbb{R})$ we obtain

$$\begin{aligned}
 |D_{\widetilde{H}} \chi_{E_u}|(A \times \mathbb{R}) &\leq \liminf_{h \rightarrow +\infty} |D_{\widetilde{H}} \chi_{E_{u_h}}|(A \times \mathbb{R}) \leq \lim_{h \rightarrow +\infty} |(D_H u_h, -\mathcal{L}^n)|(A) \\
 &= |(D_H u, -\mathcal{L}^n)|(A) < +\infty,
 \end{aligned} \tag{4.18}$$

which proves that $\chi_{E_u} \in BV_{\widetilde{H}}(\Omega \times \mathbb{R})$, as desired. Notice that, using the lower semicontinuity in a similar way, one also gets

$$\begin{aligned}
 |D'_{\widetilde{H}} \chi_{E_u}|(A \times \mathbb{R}) &\leq |D_H u|(A) \\
 |D_{\widetilde{X}_i} \chi_{E_u}|(A \times \mathbb{R}) &\leq |D_{X_i} u|(A) \quad \text{for any } i = 1, \dots, m \\
 |\partial_t \chi_{E_u}|(A \times \mathbb{R}) &\leq \mathcal{L}^n(A) < +\infty.
 \end{aligned} \tag{4.19}$$

Eventually, statements (i) and (ii) follow from (4.11) and (4.13), while statements (iii)–(vi) are consequences of formulas (4.12), (4.14), (4.15), (4.18) and (4.19). \square

Let us introduce some further notation. For $u \in BV_{H,loc}(\Omega)$ we decompose its distributional horizontal derivatives as $D_H u = D_H^a u + D_H^s u$, where $D_H^a u$ is absolutely continuous with respect to \mathcal{L}^n and $D_H^s u$ is singular with respect to \mathcal{L}^n . We also write $D_H^a u = Xu \mathcal{L}^n$ for some function $Xu \in L^1_{loc}(\Omega, \mathbb{R}^m)$.

We also consider the polar decomposition $D_H u = \sigma_u |D_H u|$, where $\sigma_u : \Omega \rightarrow \mathbb{S}^{m-1}$ is a $|D_H u|$ -measurable function. In case $u = \chi_E$ is the characteristic function of a set

$E \subset \Omega \times \mathbb{R}$ of locally finite \tilde{H} -perimeter in $\Omega \times \mathbb{R}$ we write $D_{\tilde{H}}\chi_E = \nu_E |D_{\tilde{H}}\chi_E|$ for some Borel function $\nu_E = ((\nu_E)_1, \dots, (\nu_E)_{m+1})$ called *horizontal inner normal* to E .

The following result is basically a consequence of Theorem 4.2.

Theorem 4.3. *Let $u \in BV_H(\Omega)$ and define*

$$S := \{(x, t) \in \Omega \times \mathbb{R} : (\nu_{E_u})_{m+1}(x, t) = 0\}$$

$$T := \{(x, t) \in \Omega \times \mathbb{R} : (\nu_{E_u})_{m+1}(x, t) \neq 0\}.$$

Then, the following identities hold

$$\nu_{E_u}(x, t) = (\sigma_u(x), 0) \quad \text{for } |D_{\tilde{H}}\chi_{E_u}| \text{-a.e. } (x, t) \in S; \tag{4.20}$$

$$\nu_{E_u}(x, t) = \frac{(Xu(x), -1)}{\sqrt{1 + |Xu(x)|^2}} \quad \text{for } |D_{\tilde{H}}\chi_{E_u}| \text{-a.e. } (x, t) \in T; \tag{4.21}$$

$$\pi_{\#}(D_{\tilde{H}}\chi_{E_u} \llcorner S) = (D_H^s u, 0); \tag{4.22}$$

$$\pi_{\#}(D_{\tilde{H}}\chi_{E_u} \llcorner T) = (D_H^a u, -\mathcal{L}^n). \tag{4.23}$$

Proof. Thanks to Theorem 4.2 (vi) we can disintegrate the measure $|D_{\tilde{H}}\chi_{E_u}|$ with respect to $|(D_H u, -\mathcal{L}^n)|$ (see e.g. [3, Theorem 2.28]): for every $x \in \Omega$ there exists a probability measure μ_x on \mathbb{R} such that for every Borel function $g \in L^1(\Omega \times \mathbb{R}, |D_{\tilde{H}}\chi_{E_u}|)$

$$\int_{\Omega \times \mathbb{R}} g(x, t) d|D_{\tilde{H}}\chi_{E_u}|(x, t) = \int_{\Omega} \left(\int_{\mathbb{R}} g(x, t) d\mu_x(t) \right) d|(D_H u, -\mathcal{L}^n)|(x).$$

It follows that for any Borel function $\varphi : \Omega \rightarrow \mathbb{R}$

$$\begin{aligned} \int_{\Omega} \varphi(x) d(D_H u, -\mathcal{L}^n)(x) &= \int_{\Omega} \varphi(x) d\pi_{\#}(\nu_{E_u} |D_{\tilde{H}}\chi_{E_u}|)(x) \\ &= \int_{\Omega \times \mathbb{R}} \varphi(x) \nu_{E_u}(x, t) d|D_{\tilde{H}}\chi_{E_u}|(x, t) \\ &= \int_{\Omega} \varphi(x) \left(\int_{\mathbb{R}} \nu_{E_u}(x, t) d\mu_x(t) \right) d|(D_H u, -\mathcal{L}^n)|(x). \end{aligned} \tag{4.24}$$

Since $D_H^a u$ and $D_H^s u$ are mutually singular we have

$$|(D_H u, -\mathcal{L}^n)| = |(D_H^a u, -\mathcal{L}^n)| + |(D_H^s u, 0)| = \sqrt{1 + |Xu|^2} \mathcal{L}^n + |D_H^s u|$$

and (4.24) gives

$$\int_{\Omega} \varphi d\left((Xu, -1)\mathcal{L}^n + (\sigma_u, 0)|D_H^s u|\right) \tag{4.25}$$

$$= \int_{\Omega} \varphi(x) \left(\int_{\mathbb{R}} \nu_{E_u}(x, t) d\mu_x(t) \right) d\left(\sqrt{1 + |Xu|^2}\mathcal{L}^n + |D_H^s u|\right)(x). \tag{4.26}$$

Denote by I a subset of Ω such that $\mathcal{L}^n(I) = 0$ and $|D_H^s u|(\Omega \setminus I) = 0$. Considering Borel test functions φ such that $\varphi = 0$ in $\Omega \setminus I$, we deduce that for $|D_H^s u|$ -a.e. $x \in I$ one has

$$(\sigma_u(x), 0) = \int_{\mathbb{R}} \nu_{E_u}(x, t) d\mu_x(t).$$

Taking on both sides the scalar product with $(\sigma_u(x), 0)$ we get

$$\left\langle (\sigma_u(x), 0), \int_{\mathbb{R}} \nu_{E_u}(x, t) d\mu_x(t) \right\rangle = 1,$$

and, since $\mu_x(\mathbb{R}) = 1$ and (for $|(D_H u, -\mathcal{L}^n)|$ -a.e. $x \in \Omega$) $|\nu_{E_u}(x, t)| = 1$ for μ_x -a.e. t , we deduce that

$$\nu_{E_u}(x, t) = (\sigma_u(x), 0) \quad \text{for } |D_H^s u| \text{-a.e. } x \in I \text{ and } \mu_x \text{-a.e. } t \in \mathbb{R},$$

i.e.,

$$\nu_{E_u}(x, t) = (\sigma_u(x), 0) \quad \text{for } |D_{\widetilde{H}} \chi_{E_u}| \text{-a.e. } (x, t) \in I \times \mathbb{R}. \tag{4.27}$$

Taking into account again (4.25) and letting φ be such that $\varphi = 0$ on I we instead obtain

$$\begin{aligned} & \int_{\Omega} \varphi \frac{(Xu, -1)}{\sqrt{1 + |Xu|^2}} \sqrt{1 + |Xu|^2} d\mathcal{L}^n \\ &= \int_{\Omega} \varphi(x) \left(\int_{\mathbb{R}} \nu_{E_u}(x, t) d\mu_x(t) \right) \sqrt{1 + |Xu(x)|^2} d\mathcal{L}^n(x) \end{aligned}$$

Consequently, for \mathcal{L}^n -a.e. $x \in \Omega \setminus I$ we have

$$\int_{\mathbb{R}} \nu_{E_u}(x, t) d\mu_x(t) = \frac{(Xu(x), -1)}{\sqrt{1 + |Xu(x)|^2}}.$$

Reasoning as before we deduce that

$$\nu_{E_u}(x, t) = \frac{(Xu(x), -1)}{\sqrt{1 + |Xu(x)|^2}} \quad \text{for } \mathcal{L}^n\text{-a.e. } x \in \Omega \setminus I \text{ and } \mu_x\text{-a.e. } t \in \mathbb{R},$$

or equivalently

$$\nu_{E_u}(x, t) = \frac{(Xu(x), -1)}{\sqrt{1 + |Xu(x)|^2}} \quad \text{for } |D_{\widetilde{H}}\chi_{E_u}|\text{-a.e. } (x, t) \in (\Omega \setminus I) \times \mathbb{R}. \tag{4.28}$$

Formula (4.27) implies that $|D_{\widetilde{H}}\chi_{E_u}|\text{-a.e. } (x, t) \in I \times \mathbb{R}$ belongs to S and that $|D_{\widetilde{H}}\chi_{E_u}|\text{-a.e. } (x, t) \in T$ belongs to $(\Omega \setminus I) \times \mathbb{R}$. Similarly, (4.28) says that $|D_{\widetilde{H}}\chi_{E_u}|\text{-a.e. } (x, t) \in (\Omega \setminus I) \times \mathbb{R}$ belongs to T and that $|D_{\widetilde{H}}\chi_{E_u}|\text{-a.e. } (x, t) \in S$ belongs to $I \times \mathbb{R}$. Since S and T are disjoint, this is enough to conclude (4.20) and (4.21). Statement (4.22) now easily follows because

$$\pi_{\#}(D_{\widetilde{H}}\chi_{E_u} \llcorner S) = \pi_{\#}(\nu_{E_u} |D_{\widetilde{H}}\chi_{E_u}| \llcorner (I \times \mathbb{R})) = (\sigma_u, 0) | (D_H u, -\mathcal{L}^n) | \llcorner I = (D_H^s u, 0).$$

Similarly, one has

$$\begin{aligned} \pi_{\#}(D_{\widetilde{H}}\chi_{E_u} \llcorner T) &= \pi_{\#}(\nu_{E_u} |D_{\widetilde{H}}\chi_{E_u}| \llcorner ((\Omega \setminus I) \times \mathbb{R})) \\ &= \frac{(Xu, -1)}{\sqrt{1 + |Xu|^2}} | (D_H u, -\mathcal{L}^n) | \llcorner (\Omega \setminus I) = (Xu, -1) \widetilde{\mathcal{L}}^n, \end{aligned}$$

which gives (4.23). \square

5. The rank-one theorem for BV_H functions in Carnot groups

We now use the results of the previous section in the setting of a Carnot group \mathbb{G} . We utilize the notation of Section 2; in particular, we identify $\mathbb{G} \equiv \mathbb{R}^n$ by exponential coordinates and a left-invariant basis X_1, \dots, X_m of \mathfrak{g}_1 is fixed. The vector fields $\widetilde{X}_1, \dots, \widetilde{X}_{m+1}$ on $\mathbb{G} \times \mathbb{R}$ are defined as in the previous section; notice that they form a basis of the first layer of the Lie algebra of $\mathbb{G} \times \mathbb{R}$. The homogeneous dimension of $\mathbb{G} \times \mathbb{R}$ is $Q + 1$.

A set $R \subset \mathbb{G}$ is H -rectifiable if $\mathcal{H}^{Q-1}(R) < \infty$ and there exists a (finite or countable) family $(\Sigma_i)_i$ of C_H^1 hypersurfaces in \mathbb{G} such that

$$\mathcal{H}^{Q-1}\left(R \setminus \bigcup_i \Sigma_i\right) = 0.$$

We define the horizontal normal ν_R to R as

$$\nu_R(x) := \nu_{\Sigma_i}(x) \quad \text{if } x \in R \cap \Sigma_i \setminus \bigcup_{j < i} \Sigma_j.$$

The normal ν_R is well-defined (up to sign) \mathcal{H}^{Q-1} -a.e. on R .²

² The key property to prove this assertion is that the set of points where two C_H^1 hypersurfaces intersect transversally is \mathcal{H}^{Q-1} -negligible: this fact holds true in any equiregular Carnot-Carathéodory space, see

Definition 5.1. We say that a Carnot group \mathbb{G} satisfies property \mathcal{R} if the following holds. For any bounded open set $\Omega \subset \mathbb{G}$ and any $u \in BV_H(\Omega)$, the distributional \tilde{X} -derivatives $D_{\tilde{H}}\chi_{E_u}$ of the characteristic function of the subgraph E_u of u can be represented as

$$D_{\tilde{H}}\chi_{E_u} = \nu_{\partial_H^* E_u} \theta \mathcal{S}^Q \llcorner \partial_H^* E_u \tag{5.29}$$

for some H -rectifiable set $\partial_H^* E_u$ in $\Omega \times \mathbb{R}$ and some positive density $\theta \in L^1(\partial_H^* E_u, \mathcal{S}^Q)$. We call $\partial_H^* E_u$ the H -reduced boundary of E_u .

Notice that, in Definition 5.1, the measure $D_{\tilde{H}}\chi_{E_u}$ has finite total variation by Theorem 4.2.

Remark 5.2. In view of Theorem 1.3, for the validity of property \mathcal{R} in \mathbb{G} it is enough that a rectifiability theorem holds for sets with finite H -perimeter in $\mathbb{G} \times \mathbb{R}$; namely, it suffices that any set E with finite H -perimeter in $\mathbb{G} \times \mathbb{R}$ satisfies $D_{\tilde{H}}\chi_E = \nu_{\partial_H^* E} \theta \mathcal{S}^Q \llcorner \partial_H^* E$ for some H -rectifiable set $\partial_H^* E$ and some positive density $\theta \in L^1(\partial_H^* E, \mathcal{S}^Q)$. We conjecture that this, in turn, is equivalent to the validity of a rectifiability theorem for sets with finite H -perimeter in \mathbb{G} ; in particular, we conjecture that property \mathcal{R} is equivalent to the rectifiability theorem in \mathbb{G} .

Remark 5.3. If \mathbb{G} is a Carnot group of step 2, then \mathbb{G} satisfies property \mathcal{R} : this follows from the fact that $\mathbb{G} \times \mathbb{R}$ is also a step 2 Carnot group and that the rectifiability theorem holds in any step 2 Carnot group, see [15].

Remark 5.4. If (5.29) holds, then

$$|D_{\tilde{H}}\chi_{E_u}| = \theta \mathcal{S}^Q \llcorner \partial_H^* E_u \quad \text{and} \quad \nu_{E_u} = \nu_{\partial_H^* E_u} \mathcal{S}^Q\text{-a.e. on } \partial_H^* E_u.$$

Proof of Theorem 1.1. Without loss of generality one can assume that $u = (u_1, \dots, u_d) \in BV_H(\Omega, \mathbb{R}^d)$. It is not restrictive to assume that Ω is bounded. For any $i = 1, \dots, d$ we write $D_H^s u_i = \sigma_i |D_H^s u_i|$ for a $|D_H^s u_i|$ -measurable map $\sigma_i : \Omega \rightarrow \mathbb{S}^{m-1}$; notice that, using the notation of Section 4, the equality $\sigma_i = \sigma_{u_i}$ holds $|D^s u_i|$ -almost everywhere. We also let $E_i := \{(x, t) \in \Omega \times \mathbb{R} : t < u_i(x)\}$ be the subgraph of u_i , that has finite H -perimeter in $\Omega \times \mathbb{R}$ by Theorem 4.2. Denoting by $\partial_H^* E_i$ the H -reduced boundary of E_i and writing $\nu_i = \nu_{E_i}$ for the measure theoretic inner normal to E_i , we have by Theorem 4.3 and Remark 5.4 that

$$|D_H^s u_i| = \pi_{\#}(\theta_i \mathcal{S}^Q \llcorner S_i) \quad \text{for some positive } \theta_i \in L^1(\partial_H^* E_i, \mathcal{S}^Q),$$

e.g. [10]. Actually, in view of Theorem 1.1 we could restrict to the setting of Carnot groups satisfying property \mathcal{C}_2 , where the claim follows from Theorem 1.4.

where $S_i := \{p \in \partial_H^* E_i : (\nu_i(p))_{m+1} = 0\}$ and $\pi_\#$ denotes push-forward of measures through the projection π defined by $\mathbb{G} \times \mathbb{R} \ni (x, t) \mapsto x \in \mathbb{G}$. By rectifiability, we can assume that $\partial_H^* E_i$ is contained in the union $\cup_{\ell \in \mathbb{N}} \Sigma_\ell^i$ of C_H^1 hypersurfaces Σ_ℓ^i in $\mathbb{G} \times \mathbb{R}$.

Using Theorem 4.3, Remark 5.4 and Lemma 3.2 the following properties hold for S^Q -a.e. $p \in S_1 \cup \dots \cup S_d$:

$$\text{if } p \in S_i, \text{ then } \nu_i(p) = (\sigma_i(\pi(p)), 0) \tag{5.30}$$

$$\text{if } p \in \Sigma_\ell^i, \text{ then } \nu_i(p) = \pm \nu_{\Sigma_\ell^i}(p) \tag{5.31}$$

$$\text{if } p \in \Sigma_\ell^i \text{ and } \exists q \in S_j \cap \Sigma_k^j \cap \pi^{-1}(\pi(p)), \text{ then } \nu_{\Sigma_\ell^i}(p) = \pm \nu_{\Sigma_k^j}(q). \tag{5.32}$$

Up to modifying each S_i on a S^Q -negligible set and each σ_i on a $|D_H^s u_i|$ -negligible set, we can assume that (5.30), (5.31) and (5.32) hold for any $p \in S_1 \cup \dots \cup S_d$ and that, for any $i = 1, \dots, d$, $\sigma_i = 0$ on $\Omega \setminus \pi(S_i)$.

Since $D_H^s u = (\sigma_1 |D_H^s u_1|, \dots, \sigma_d |D_H^s u_d|)$ and $|D_H^s u|$ is concentrated on $\pi(S_1) \cup \dots \cup \pi(S_d)$, it is enough to prove that the matrix-valued function $(\sigma_1, \dots, \sigma_d)$ has rank 1 on $\pi(S_1) \cup \dots \cup \pi(S_d)$. This follows if we prove that the implication

$$i, j \in \{1, \dots, d\}, i \neq j, x \in \pi(S_i) \implies \sigma_j(x) \in \{0, \sigma_i(x), -\sigma_i(x)\}$$

holds. If i, j, x are as above and $x \notin \pi(S_j)$, then $\sigma_j(x) = 0$. Otherwise, $x \in \pi(S_i) \cap \pi(S_j)$, i.e., there exist $p \in S_i$ and $\ell \in \mathbb{N}$ such that $\pi(p) = x$ and $\sigma_i(x) = \pm \nu_{\Sigma_\ell^i}(p)$ and there exist $q \in S_j$ and $k \in \mathbb{N}$ such that $\pi(q) = x$ and $\sigma_j(x) = \pm \nu_{\Sigma_k^j}(q)$. By (5.32) we obtain $\sigma_j(x) = \pm \sigma_i(x)$, as wished. \square

Remark 5.5. As an easy consequence of Remark 2.4 and Remark 5.3, Theorem 1.1 holds for the Heisenberg group \mathbb{H}^n provided $n \geq 2$. This result does not directly follow from [9], as we now briefly explain using the notation of Example 2.1 and restricting for simplicity to $n = 2$, the general case $n \geq 2$ being a straightforward generalization.

Let $u \in BV_H(\Omega, \mathbb{R}^m)$ for some open set $\Omega \subset \mathbb{H}^2$. It can be easily seen that the matrix-valued measure $(\mu_1, \mu_2, \mu_3, \mu_4) := D_H u = (X_1 u, X_2 u, Y_1 u, Y_2 u)$ satisfies the equations

$$\mathcal{A} \mu := \begin{pmatrix} X_1 \mu_2 - X_2 \mu_1 \\ Y_1 \mu_4 - Y_2 \mu_3 \\ X_1 \mu_4 - Y_2 \mu_1 \\ Y_1 \mu_2 - X_2 \mu_3 \\ X_1 \mu_3 - Y_1 \mu_1 + Y_2 \mu_2 - X_2 \mu_4 \end{pmatrix} = 0$$

in the sense of distributions. Write the first-order differential operator \mathcal{A} (the *horizontal curl* in \mathbb{H}^2 , see [5, Example 3.12]) in the form

$$\mathcal{A} = A_1 \partial_{x_1} + A_2 \partial_{x_2} + A_3 \partial_{y_1} + A_4 \partial_{y_2} + A_5 \partial_t$$

for suitable $A_j = A_j(x, y, t)$ and consider the wave cone $\Lambda_{\mathcal{A}}(x, y, t)$ (see [9]) associated with \mathcal{A}

$$\Lambda_{\mathcal{A}}(x, y, t) := \bigcup_{\xi \in \mathbb{R}^5 \setminus \{0\}} \ker \mathbb{A}_{x,y,t}(\xi), \quad \text{where } \mathbb{A}_{x,y,t}(\xi) := 2\pi i \sum_{j=1}^5 A_j(x, y, t) \xi_j.$$

One can readily check that

$$\mathbb{A}_{x,y,t}(\xi) = 0 \quad \text{for } \xi := \left(\frac{y}{2}, -\frac{x}{2}, 1\right) \in \mathbb{R}^5 \setminus \{0\},$$

i.e., the wave cone $\Lambda_{\mathcal{A}}(x, y, t)$ is the full space for any $(x, y, t) \in \mathbb{H}^2$. In particular, [9, Theorem 1.1] gives no information on the polar decomposition of $D_H^s u$.

Remark 5.6. The rank-one property for BV functions in the first Heisenberg group remains a very interesting open question, since it does not follow either from Theorem 1.1 (because property \mathcal{C}_2 fails for \mathbb{H}^1) or from [9, Theorem 1.1], as we now explain.

Let $u \in BV_H(\Omega, \mathbb{R}^m)$ for some open set $\Omega \subset \mathbb{H}^1$; we use again the notation of Example 2.1 and we set $p = (x, y, t) \in \mathbb{H}^1 \cong \mathbb{R}^3$. One can check that $(\mu_1, \mu_2) := D_H u = (Xu, Yu)$ satisfies

$$\mathcal{A}\mu := \begin{pmatrix} YX\mu_1 - 2XY\mu_1 + XX\mu_2 \\ YY\mu_1 - 2YX\mu_2 + XY\mu_2, \end{pmatrix} = 0$$

in the sense of distributions. Now \mathcal{A} (the horizontal curl in \mathbb{H}^1 , see [5, Example 3.11]) is a second-order differential operator that one can write as

$$\mathcal{A} = \sum_{|\alpha|=2} A_\alpha(p) \partial^\alpha,$$

where $\alpha \in \mathbb{N}^3$ is a multi-index and $\partial^\alpha = \partial_{x_1}^{\alpha_1} \partial_y^{\alpha_2} \partial_t^{\alpha_3}$. As before, one can define the wave cone

$$\Lambda_{\mathcal{A}}(p) = \bigcup_{\xi \in \mathbb{R}^3 \setminus \{0\}} \ker \mathbb{A}_p(\xi), \quad \text{where } \mathbb{A}_p(\xi) = (2\pi i)^2 \sum_{|\alpha|=2} A_\alpha(p) \xi^\alpha.$$

Again, one has

$$\mathbb{A}_p(\xi) = 0 \quad \text{for } \xi := \left(\frac{y}{2}, -\frac{x}{2}, 1\right) \in \mathbb{R}^3 \setminus \{0\}$$

and the wave cone $\Lambda_{\mathcal{A}}(x, y, t)$ is the full space.

Appendix A. Intersection of regular hypersurfaces vs. intrinsic Lipschitz graphs

A.1. Intrinsic Lipschitz graphs

We follow [12]. Let \mathbb{W}, \mathbb{H} be homogeneous (i.e., invariant under dilations) complementary subgroups of \mathbb{G} , i.e., such that $\mathbb{W} \cap \mathbb{H} = \{0\}$ and $\mathbb{G} = \mathbb{W}\mathbb{H}$. In particular, for any $x \in \mathbb{G}$ there exist unique $x_{\mathbb{W}} \in \mathbb{W}$ and $x_{\mathbb{H}} \in \mathbb{H}$ such that $x = x_{\mathbb{W}}x_{\mathbb{H}}$. Recall (see e.g. [12, Remark 2.3]) that any homogeneous subgroup \mathbb{W} is stratified, that is, its Lie algebra \mathfrak{w} is a subalgebra of \mathfrak{g} and $\mathfrak{w} = \mathfrak{w}_1 \oplus \cdots \oplus \mathfrak{w}_s$ where $\mathfrak{w}_i = \mathfrak{w} \cap \mathfrak{g}_i$. Moreover, the metric (Hausdorff) dimension of \mathbb{W} is $Q_{\mathbb{W}} := \sum_{i=1}^s i \dim \mathfrak{w}_i$.

The *intrinsic graph* of a function $\phi : \mathbb{W} \rightarrow \mathbb{H}$ is defined by

$$\text{gr } \phi := \{w\phi(w) : w \in \mathbb{W}\}.$$

We introduce the homogeneous cones $C_{\mathbb{W}, \mathbb{H}}(x, \alpha)$ of center $x \in \mathbb{G}$ and aperture $\alpha > 0$ as

$$C_{\mathbb{W}, \mathbb{H}}(x, \alpha) := xC_{\mathbb{W}, \mathbb{H}}(0, \alpha) \quad \text{where} \quad C_{\mathbb{W}, \mathbb{H}}(0, \alpha) := \{y \in \mathbb{G} : \|x_{\mathbb{W}}\| \leq \alpha \|x_{\mathbb{H}}\|\}.$$

Definition A.1. A function $\phi : \mathbb{W} \rightarrow \mathbb{H}$ is *intrinsic Lipschitz* if there exists $\alpha > 0$ such that

$$\forall x \in \text{gr } \phi \quad \text{gr } \phi \cap C_{\mathbb{W}, \mathbb{H}}(x, \alpha) = \{x\}.$$

We say that $S \subset \mathbb{G}$ is an *intrinsic Lipschitz graph* if there exists an intrinsic Lipschitz map $\phi : \mathbb{W} \rightarrow \mathbb{H}$ such that $S = \text{gr } \phi$.

Remark A.2. We will later use the following equivalent definition of intrinsic Lipschitz continuity: $\phi : \mathbb{W} \rightarrow \mathbb{H}$ is intrinsic Lipschitz if and only if there exists $\beta > 0$ such that

$$\forall x \in \text{gr } \phi \quad \text{gr } \phi \cap D(x, \mathbb{H}, \beta) = \{x\}$$

where the homogeneous cone $D(x, \mathbb{H}, \beta)$ is defined by

$$D(x, \mathbb{H}, \beta) := xD(\mathbb{H}, \beta) \quad \text{and} \quad D(\mathbb{H}, \beta) := \bigcup_{h \in \mathbb{H}} \overline{B(h, \beta d(h, 0))}.$$

Indeed, it is enough to observe that, for any $\alpha > 0$ and $\beta > 0$, there exist $\beta_{\alpha} > 0$ and $\alpha_{\beta} > 0$ such that

$$C_{\mathbb{W}, \mathbb{H}}(x, \alpha) \supset D(\mathbb{H}, \beta_{\alpha}) \quad \text{and} \quad D(\mathbb{H}, \beta) \supset C_{\mathbb{W}, \mathbb{H}}(x, \alpha_{\beta}).$$

This, in turn, is a consequence of a homogeneity argument based on the following fact: if $S := \{x \in \mathbb{G} : \|x\| = 1\}$ and

$$A_\alpha := S \cap \text{int}(C_{\mathbb{W}, \mathbb{H}}(x, \alpha)), \quad B_\beta := S \cap \text{int}(D(\mathbb{H}, \beta)),$$

then $\{A_\alpha\}_{\alpha>0}$ and $\{B_\beta\}_{\beta>0}$ are monotone families of (relatively) open subsets of S such that the intersection

$$\bigcap_{\alpha>0} A_\alpha = \bigcap_{\beta>0} B_\beta = \mathbb{H} \cap S$$

is a compact set.

The following result will be used in the proof of Theorem 1.4.

Theorem A.3 ([12, Theorem 3.9]). *Let \mathbb{W}, \mathbb{H} be homogeneous complementary subgroups of \mathbb{G} , let $\phi : \mathbb{W} \rightarrow \mathbb{H}$ be intrinsic Lipschitz and let $\alpha > 0$ be as in Definition A.1. Then there exists a positive $C = C(\mathbb{W}, \mathbb{H}, \alpha)$ such that*

$$\frac{1}{C} r^{Q_{\mathbb{W}}} \leq \mathcal{H}^{Q_{\mathbb{W}}}(\text{gr } \phi \cap B(x, r)) \leq C r^{Q_{\mathbb{W}}} \quad \forall x \in \text{gr } \phi, r > 0.$$

A.2. Transversal intersections of C^1_H hypersurfaces are intrinsic Lipschitz graphs

The aim of this section is proving Theorem A.5, due to V. Magnani [22], for which we need the preparatory Lemma A.4. Actually, its use could be avoided by utilizing a local version of Theorem A.3 which, even though not explicitly stated there, would easily follow adapting the techniques of [12]. We note however that Lemma A.4, and (A.33) in particular, provides also a proof of (3.2).

Lemma A.4. *Let $\Omega \subset \mathbb{G}$ be open, $f \in C^1_H(\Omega)$, $\bar{x} \in \Omega$ and let $A := \nabla_H f(\bar{x})$. Then, for any $\varepsilon > 0$ there exist an open set $U \subset \Omega$ with $\bar{x} \in U$ and a function $g \in C^1_H(\mathbb{G})$ such that*

- (i) $g = f$ on U ;
- (ii) $|\nabla_H g - A| < \varepsilon$ on \mathbb{G} .

Proof. Without loss of generality we can assume that $\bar{x} = 0$. We preliminarily fix a smooth function $\chi : \mathbb{G} \rightarrow [0, 1]$ such that $\chi \equiv 1$ on B_1 and $\chi \equiv 0$ on $\mathbb{G} \setminus B_2$. For any $r > 0$, the functions $\chi_r := \chi \circ \delta_{1/r}$ satisfy

$$0 \leq \chi_r \leq 1, \quad \chi_r \equiv 1 \text{ on } B_r, \quad \chi_r \equiv 0 \text{ on } \mathbb{G} \setminus B_{2r}, \quad |\nabla_H \chi_r| \leq \frac{C}{r}$$

for some positive C independent of r .

Let $\varepsilon > 0$ be fixed. We fix $r > 0$ such that $|\nabla_H f - A| < \varepsilon$ on B_{2r} . With this choice, setting $\lambda(x) := A_1 x_1 + \dots + A_m x_m$ (where x is represented in exponential coordinates) we prove that

$$|f(x) - \lambda(x)| < 2\varepsilon r \quad \text{for any } x \in B_{2r}. \tag{A.33}$$

Indeed, for any $x \in B_{2r}$ there exists a horizontal curve $\gamma : [0, 1] \rightarrow \mathbb{G}$ such that $\gamma(0) = 0$, $\gamma(1) = x$ and $L(\gamma) < 2r$. By definition, there exists $h \in L^\infty([0, 1], \mathbb{R}^m)$ such that

$$\dot{\gamma}(t) = \sum_{i=1}^m h_i(t) X_i(\gamma(t)) \quad \text{for a.e. } t \in [0, 1].$$

Moreover, for any $i = 1, \dots, m$ we have $\int_0^1 h_i = x_i$, because in exponential coordinates one has $X_i(x) = \partial_{x_i} + \sum_{\ell > m+1} a_{i\ell} \partial_{x_\ell}$ (see e.g. [29]). It follows that

$$\begin{aligned} |f(x) - \lambda(x)| &= \left| \int_0^1 \sum_{i=1}^m h_i(t) X_i f(\gamma(t)) dt - \int_0^1 \sum_{i=1}^m A_i h_i(t) dt \right| \\ &\leq \int_0^1 |h(t)| \|\nabla_H f(\gamma(t)) - A\| dt \\ &< 2\varepsilon r. \end{aligned}$$

We now define $g := \chi_r f + (1 - \chi_r)\lambda$; statement (i) is readily checked, while for (ii)

$$\begin{aligned} |\nabla_H g - A| &= |\chi_r \nabla_H f + (1 - \chi_r)A + (f - \lambda)\nabla_H \chi_r - A| \\ &\leq \chi_r |\nabla_H f - A| + |f - \lambda| |\nabla_H \chi_r| \\ &\leq \varepsilon + 2C\varepsilon. \end{aligned}$$

The proof is then accomplished. \square

We can now prove the main result of this section. Since property \mathcal{C}_1 holds in any Carnot group, when $k = 1$ Theorem A.5 states in particular that hypersurfaces of class C^1_H in a Carnot group \mathbb{G} are locally intrinsic Lipschitz graphs of codimension 1.

Theorem A.5 ([22, Theorem 1.4]). *Let \mathbb{G} be a Carnot group of rank m and let $\Sigma_1, \dots, \Sigma_k$, $k \leq m$, be hypersurfaces of class C^1_H with horizontal normals ν_1, \dots, ν_k ; let $x \in \Sigma := \Sigma_1 \cap \dots \cap \Sigma_k$ be such that $\nu_1(x), \dots, \nu_k(x)$ are linearly independent. Consider the vertical plane $\mathbb{W} := T_x \Sigma_1 \cap \dots \cap T_x \Sigma_k$ of codimension k and assume that there exists a complementary homogeneous horizontal subgroup \mathbb{H} such that $\mathbb{G} = \mathbb{W}\mathbb{H}$. Then, there exists an open neighborhood U of x and an intrinsic Lipschitz $\phi : \mathbb{W} \rightarrow \mathbb{H}$ such that*

$$\Sigma \cap U = \text{gr } \phi \cap U.$$

Proof. We work in exponential coordinates associated with an adapted basis X_1, \dots, X_n of \mathfrak{g} such that

$$\mathbb{H} = \exp(\text{span} \{X_1, \dots, X_k\}), \quad \mathbb{W} = \exp((\text{span} \{X_{k+1}, \dots, X_s\}) \oplus \mathfrak{g}_2 \oplus \dots \oplus \mathfrak{g}_s).$$

By definition we can find an open neighborhood U of x and $f = (f_1, \dots, f_k) \in C^1_H(U, \mathbb{R}^k)$ such that $\Sigma \cap U = \{x \in U : f(x) = 0\} \cap U$ and the $m \times k$ matrix-valued function $\nabla_H f$ has rank k in U . Actually, by our choice of the basis the $k \times k$ minor $M := (X_1 f(x), \dots, X_k f(x))$ has rank k .

Let ε be a positive number, to be fixed later and only depending on M . By Lemma A.4, possibly restricting U we can assume that f is defined on the whole \mathbb{G} , that $f \in C^1_H(\mathbb{G}, \mathbb{R}^k)$ and $|\nabla_H f - \nabla_H f(x)| < \varepsilon$; in particular,

$$|(X_1 f, \dots, X_k f) - M| < \varepsilon \quad \text{on } \mathbb{G}.$$

It is enough to prove that the level set $R := \{x \in \mathbb{G} : f(x) = 0\}$ is an intrinsic Lipschitz graph. We divide the proof of this claim into two steps.

Step 1: R is the intrinsic graph of some $\phi : \mathbb{W} \rightarrow \mathbb{H}$. It is enough to show that, for any $w \in \mathbb{W}$, there exists a unique $h \in \mathbb{H}$ such that $f(wh) = 0$; in particular, this allows to define the map ϕ by $\phi(w) := h$.

The map $(h_1, \dots, h_k) \longleftrightarrow \exp(h_1 X_1 + \dots + h_k X_k)$ is a group isomorphism between \mathbb{H} and \mathbb{R}^k . Upon identifying \mathbb{H} and \mathbb{R}^k in this way, for any $w \in \mathbb{W}$ we can consider $f_w : \mathbb{R}^k \rightarrow \mathbb{R}^k$ defined by $f_w(h) := f(wh)$. This map is of class C^1 and

$$\nabla f_w(h) = (X_1 f(wh), \dots, X_k f(wh)).$$

We have $|\nabla f_w - M| < \varepsilon$ which, if ε is small enough, implies that f_w is a C^1 diffeomorphism of \mathbb{R}^k : see e.g. the argument in [11, 3.1.1].³ This concludes the proof of Step 1; we notice also that, possibly reducing ε , there exists $c > 0$ such that (see again in [11, 3.1.1])

$$|f(wh_1) - f(wh_2)| = |f_w(h_1) - f_w(h_2)| \geq c|h_1 - h_2| \quad \forall h_1, h_2 \in \mathbb{R}^k. \tag{A.34}$$

Step 2: ϕ is intrinsic Lipschitz. By Remark A.2 it is enough to prove that

$$\text{gr } \phi \cap D(x, \mathbb{H}, \beta) = \{x\} \quad \text{for any } x \in \mathbb{G}$$

for a suitable $\beta > 0$ that we will choose in a moment.

Let then $x \in \text{gr } \phi$ be fixed; consider $x' \in D(x, \mathbb{H}, \beta)$, so that $x' = xy$ for some $y \in D(\mathbb{H}, \beta)$. By definition, there exists $h \in \mathbb{H}$ such that

$$d(0, h^{-1}y) = d(h, y) \leq \beta d(h, 0).$$

Denoting by L the Lipschitz constant of f we deduce using (A.34) that

³ The careful reader will notice that the argument in [11, 3.1.1] works also when the parameter δ introduced therein is $+\infty$.

$$\begin{aligned} |f(x')| &= |f(xhh^{-1}y) - f(x)| \\ &\geq |f(xh) - f(x)| - |f(xhh^{-1}y) - f(xh)| \geq c\|h\| - Ld(h, y) \geq (\tilde{c} - \beta L)d(0, h) \end{aligned}$$

for some $\tilde{c} > 0$. In particular, if β is small enough, one can have $f(x') = 0$ only if $h = 0$, which immediately gives $x' = x$. This concludes the proof. \square

We can eventually prove Theorem 1.4.

Proof of Theorem 1.4. By property \mathcal{C}_k and Remark 2.3, the vertical plane $\mathbb{W} := T_x\Sigma_1 \cap \dots \cap T_x\Sigma_k$ admits a complementary horizontal homogeneous subgroup \mathbb{H} . One can then easily conclude using Theorems A.3 and A.5. \square

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