1	A new method for the determination of primary and secondary							
2	terrestrial and marine biomarkers in ice cores using liquid							
3	chromatography high-resolution mass spectrometry							
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33 Abstract

The majority of atmospheric compounds measured in ice cores are inorganic, while analysis of their organic counterparts is a less well developed field. In recent years, understanding of formation, transport pathways and preservation of these compounds in ice and snow has improved, showing great potential for their use as biomarkers in ice cores. This study presents an optimised analytical technique for quantification of terrestrial and marine biosphere emissions of secondary organic aerosol (SOA) components and fatty acids in ice using HPLC-MS analysis. Concentrations of organic compounds in snow and ice are extremely low (typically ppb or ppt levels) and thus pre-concentration is required prior to analysis. Stir bar sorptive extraction (SBSE) showed potential for fatty acid compounds, but failed to recover SOA compounds. Solid phase extraction (SPE) recovered compounds across both organic groups but methods improving some recoveries came at the expense of others, and background contamination of fatty acids was high. Rotary evaporation was by far the best performing method across both SOA and fatty acid compounds, with average recoveries of 80%. The optimised preconcentration - HPLC-MS method achieved repeatability of 9% averaged for all compounds. In environmental samples, both concentrations and seasonal trends were observed to be reproducible when analysed in two different laboratories using the same method.

52 Keywords

54 Ice Core, Organic Aerosol, Biomarker, Mass Spectrometry, Rotary Evaporation, Paleoclimate55

68 1 Introduction

69 Analysis of organic compounds in ice cores is a growing area of investigation in paleoclimate 70 reconstruction [1]. A small number of organic compounds have already been investigated and 71 shown to give robust environmental records, including biomass burning markers [2,3], 72 anthropogenic pollutants such as persistent organic pollutants (POPs) and polycyclic aromatic 73 hydrocarbons (PAHs) [4,5], and the sea-ice proxy methanesulfonic acid (MSA) [6]. However, 74 non-anthropogenic organic compounds sourced from both the terrestrial and marine 75 biosphere are in general not as well developed in either analytical quantification, or our 76 understanding of any available records.

77 Fatty acids are sourced from terrestrial leaf epicuticular waxes, soil dust, microbial processes 78 or marine phytoplankton [7] and entrained in the atmosphere as so-called primary aerosols 79 [8]. Their concentrations may be expected to demonstrate a record of biogeochemical 80 emissions [9]. Relatively resistant to degradation [10], they persist in the atmosphere at time 81 scales at least allowing long-range transportation over several days. Fatty acids from 82 terrestrial sources may be identified as high molecular weight fatty acids (HFA) (>C24), as 83 opposed to low molecular weight fatty acids (LFA) (<C24) which are indicative of marine and 84 microbial sources [7,8].

85 Isoprenes and terpenes are emitted from all plants and form a significant contribution to the 86 hydrocarbon budget of the atmosphere [11]. They are also emitted from algal sources in ocean 87 regions [12,13], a minor source in comparison to terrestrial emissions [14]. Significant 88 terrestrial emissions have been observed over a wide range of ecosystems; for example, 89 isoprene and monoterpenes are shown to dominate the flux of organic compounds above 90 Amazonian forest canopies [15,16]. Sesquiterpenes also contribute, though emissions, flux 91 and oxidation pathways are difficult to study because of their very high reactivity [17]. Shown 92 to change in correspondence to ambient atmospheric conditions, isoprene and terpene 93 emissions have been proposed to result from a 'thermotolerance mechanism' of plants [11]. 94 The production of isoprene is also shown to both increase (where NOx levels are high) and 95 decrease (where NOx levels are low) the local production of ozone through a series of 96 oxidation reactions, which in the latter case may be beneficial in a plant's protection of leaves 97 from ozone damage [11,18].

98

99 Isoprene and terpenes have short chemical lifespans of minutes up to a few hours [15]. 100 However, some oxidation products of these compounds in both gas and condensed)i.e. 101 aerosol) phase demonstrate a greater potential for longevity in the atmosphere, and possible 102 subsequent deposition on snow and ice further from the source region.

103 Some compounds from these groups have been detected in snow throughout polar and low-104 latitude mountainous regions and with records dating back over many centuries. The most 105 successful examples include the detection of lipid compounds in ice layers dating back 450 106 years at Site J, Greenland [19], oxidation products of isoprene and monoterpenes in ice up to 107 350 years old in Alaska [20], and an annually resolved record of carboxylic acids and inorganic 108 ions between 1942-1993 from Grenzgletscher (Monte Rosa Massif) in the southern Swiss Alps 109 [21]. One thing these studies have in common is compound concentrations at parts per billion 110 (ppb) levels or well below, more commonly parts per trillion (ppt), leading us to the requirement 111 to preconcentrate samples to allow reliable detection and quantification.

112 Rotary evaporation preconcentration has been previously applied to both the SOA and fatty 113 acid compound groups including compounds which we consider in this study. Pokhrel et al. 114 [22] quantified fatty acids in rotary evaporated Alaskan ice samples using gas chromatography 115 mass spectrometry (GC-MS), with average concentrations of individual compounds ranging 116 between 0.09 and 20.3 ppb (Limit of Detection (LOD) 0.001ppb, percentage recovery not 117 reported). Kawamura (1993) achieved LODs of 0.05 ppb for oxocarboxylic acids, and 118 measured dicarbonyls at concentrations of 0.25-1.72 ppb in snow and aerosol samples. In 119 examples of SOA compounds, Pokhrel et al. [20] and Fu et al. [24] rotary evaporated ice from 120 Alaska and Kamchatka respectively, with GC-MS analysis detecting isoprene and 121 monoterpene SOA compounds at 6.99±17 to 692±702 ppb in the Alaskan and 0.05-18.4 ppb 122 in the Kamchatkan (percentage recovery was not reported) ice.

Stir bar sorptive extraction (SBSE) has also been used to preconcentrate snow and ice samples. Muller-Tautges *et al.* [25] used a polydimethylsiloxane (PDMS) coated bar for extraction of α -dicarbonyls (glyoxal and methylglyoxal). Using high-performance liquid chromatography coupled to electrospray ionization tandem mass spectrometry (HPLC-ESI-MS/MS), they report LODs of 0.242 and 0.213 ppb for glyoxal and methylglyoxal respectively, and recoveries of 78.9±5.6 % for glyoxal and 82.7±7.5 % for methylglyoxal.

129 This study aims to provide an optimised method of pre-concentration, detection and 130 quantification for a wide list of the most promising terrestrial and marine organic biomarkers 131 in ice (Table 1) for paleo environmental reconstruction. The commonly used biomass burning 132 marker levoglucosan [2,26] is also included in the list to enable comparisons between our 133 results and detection of an organic compound by existing studies. The criteria for the 134 compounds on our list is that they should have either been detected in snow and ice previously 135 or shown potential to be detected in such locations via their long-range transport in 136 atmospheric aerosol, sufficiently long atmospheric lifetime over long distances, and 137 preservation in snow and ice. Furthermore, emission changes of the compound should be 138 related to climatological or environmental changes at the source. A final consideration is the

- 139 availability of laboratory standards of the compounds to allow calibration, quantification and
- 140 multi-laboratory comparison.
- 141
- 142 **Table 1:** Target compound list for this study, by compound group and in order of increasing
- 143 number of carbon atoms.

Source	Compound name	Neutral Formula
Isoprene-derived SOA	Meso-erythritol*	$C_4H_{10}O_4$
Isoprene-derived SOA	Methyl-tetrols	$C_5H_{12}O_4$
Monoterpene-derived SOA	Pimelic acid*	C7H12O₄
Monoterpene-derived SOA	1.2.4-butanetricarboxylic acid (BTCA)*	
Monotorpone-derived SOA	3-mothyl-1 2 3-butanetricarboxylic acid	0711006
Monoterpene-derived SOA	(MBTCA)	$C_8H_{12}O_6$
Monoterpene-derived SOA	Terebic acid	$C_7H_{10}O_4$
Monoterpene-derived SOA	Pinolic acid	$C_{10}H_{18}O_3$
Monoterpene-derived SOA	Cis-pinonic acid	$C_{10}H_{16}O_3$
Monoterpene-derived SOA	Keto-pinic acid	$C_{10}H_{14}O_3$
Sesquiterpene-derived SOA	β-caryophyllinic acid	$C_{14}H_{22}O_4$
Sesquiterpene-derived SOA	β-caryophyllonic acid	$C_{15}H_{24}O_3$
Sesquiterpene-derived SOA	β-nocaryophyllonic acid	$C_{14}H_{22}O_4$
Biomass burning	Levoglucosan	$C_6H_{10}O_5$
		$C_4H_6O_5$
Biogenic SOA	D-malic acid	
		$C_7H_6O_3$
Primary biogenic	Salicylic acid	
Low molecular weight fatty	Lauric acid	$C_{12}H_{24}O_2$
acids (LFA) (<c24); <="" marine="" td=""><td>Myristic acid</td><td>$C_{14}H_{28}O_2$</td></c24);>	Myristic acid	$C_{14}H_{28}O_2$
microbial sources	Heptadecanoic acid	$C_{17}H_{34}O_2$
	Oleic acid	$C_{18}H_{34}O_2$
	Nonadecanoic acid	$C_{19}H_{38}O_2$
	Arachidonic acid	$C_{20}H_{32}O_2$
	Behenic acid	$C_{22}H_{44}O_2$

			Tricosanoic acid	$C_{23}H_{46}O_2$	
High molecular weight fatty			Heptacosanoic acid	$C_{27}H_{54}O_2$	
acids	(HFA)	(>C24);	Octacosanoic acid	$C_{28}H_{56}O_2$	
terrestrial biomass			Melissic acid	$C_{30}H_{60}O_2$	

144 *surrogate standards (analytes chemically similar to those being extracted where actual 145 standard not available)

- 146
- 147

148 **2** Materials and methods

Sample analysis, after preconcentration in a rotary evaporator, was carried out using high performance liquid chromatography (HPLC) electrospray ionisation (ESI) high-resolution mass spectrometry (HRMS) with a post-column injection of ammonium hydroxide in methanol. The method has been optimised for analytes in Table 1 and the optimisations steps leading to this final methodology are described in section 3.

154

155 2.1 Chemicals and reagents

Dichloromethane (>99.9%, Optima[™], HPLC/MS, Fisher Chemical), and acetonitrile (>99.9%, 156 157 Optima[™] HPLC/MS, Fisher Chemical) were used for preparation of the bulk standard 158 solutions. Standard solutions of each analyte were prepared at a concentration of 100 ppm in 159 acetonitrile for methyltetrols (synthesised standard), meso-erythritol (≥99%, Sigma-Aldrich®), 160 levoglucosan (99%, Sigma-Aldrich®), ketopinic acid (99%, Sigma-Aldrich®), pinolic acid 161 (Sigma-Aldrich®, analytical grade), terebic acid (Sigma-Aldrich®, analytical grade), MBTCA 162 (synthesised standard), BTCA (99%, Sigma-Aldrich®), cis-pinonic acid (98%, Sigma-163 Aldrich[®]), D-malic acid (HPLC/GC suitable, Supelco), salicylic acid (\geq 99%, Sigma-Aldrich[®]), pimelic acid (98%, Sigma-Aldrich®), β-caryophyllinic acid (synthesised standard), β-164 165 caryophyllonic acid (synthesised standard), β-nocaryophyllonic acid (synthesised standard), oleic acid (>99%, Sigma-Aldrich®), arachidonic acid (95%, Sigma-Aldrich®), palmitic acid 166 167 (≥99%, Fluka[™]), heptadecanoic acid (≥98%, Sigma-Aldrich®), lauric acid (97.9%, European Directorate for the Quality of Medicines & HealthCare), myristic acid (≥99.5%, Fluka[™]), d10-168 169 pimelic acid (99%, Sigma-Aldrich®) and d3-malic acid (98%, Sigma-Aldrich®), and in 170 dichloromethane for behenic acid (≥99%, Fluka[™]), melissic acid (≥98%, Sigma-Aldrich®), 171 tricosanoic acid (>99%, Sigma-Aldrich[®]), heptacosanoic acid (\geq 97%, Sigma-Aldrich[®]), 172 octacosanoic acid (≥98%, Sigma-Aldrich®), nonadecanoic acid (≥99.5%, Fluka[™]) and d31-173 palmitic acid (99%, Sigma-Aldrich®). Five of the compounds on our list are not commercially

available standards and were therefore specifically synthesised and provided by other labs;
MBTCA from the lab of Magda Claeys (University of Antwerp, Belgium), methyl-tetrols from
the lab of Jean-Louis Clement (Aix-Marseille Universite, France), and β-caryophyllonic, βcaryophyllinic, and β-nocaryophyllonic acids from the lab of Thorsten Hoffman (University of
Mainz, Germany). Standard solutions were then combined into a diluted standard mixture of
all analytes at a concentration of 1 ppm in acetonitrile. All standards were stored at -18°C.
Methanol (>99.9%, Optima[™] UHPLC/MS, Fisher Chemical), water (>99.9%, Optima[™]

UHPLC/MS, Fisher Chemical), and acetonitrile (>99.9%, Optima[™] HPLC/MS, Fisher Chemical) were used as eluents. Ammonium hydroxide (25% in water, LC-MS grade, Honeywell Fluka[™]), ammonium formate (≥99%, Sigma-Aldrich®), ammonium acetate (≥98%, Sigma-Aldrich®), sodium acetate (≥99%, Sigma-Aldrich®), ammonium fluoride (≥99.99%, Sigma-Aldrich®), and formic acid (98%, LC-MS grade, Honeywell Fluka[™]) were tested as eluent additives.

187

188 **2.2** Cleaning procedures and solvent purification

All glassware was baked in a furnace at 450°C for 8hrs following the method of Müller-Tautges *et al.* (2014). Solvents, used as eluents and for preparation of the diluted standard solutions, were additionally cleaned by ozonation. The set up used a stream of air (Zero grade, BOC) at 0.2 L/min run through a glass tube containing a UV lamp (185/254 nm, Appleton Woods), which created air at high concentrations of ozone (ca. 290 ppm). This air was bubbled directly through the solvents using a pre-cleaned glass pipette, for 1 hr per 1 L of solvent. Solvents were then sonicated for 15 minutes to remove residual ozone from the solvent.

196

197 **2.3** Sample preparation

198 Ice samples from the Belukha glacier (Russian Altai Mountains) ice core were provided by the 199 Paul Scherrer Institut, Switzerland, for which details on drilling, transportation and cutting can 200 be found in [27,28]. Additionally for organics samples, cut using the band-saw to remove any 201 outer ice surfaces, the sample surfaces were scraped using a clean metal blade and placed 202 directly inside amber glass vials with PTFE lined caps. Cut samples were transported onward 203 to Cambridge frozen, and stored at -25°C until melting (in sealed glass vials inside a clean 204 room at approx. 16°C), preconcentration and analysis. A total of 19 samples were measured 205 at sub-annual resolution, representing the time period 1866-1869.

206 Samples were preconcentrated in a rotary evaporator [22, and thereafter]; this followed testing 207 of a range of methods using stir-bar preconcentration, SPE or rotary evaporation. Optimisation

and the choice of final parameters are discussed in section 3.4.

209 10 mL of each sample was evaporated to dryness after addition of d3-malic acid, d10-pimelic 210 acid, and d31-palmitic acid at a concentration of 10 ppb in a 50 mL round-bottom flask. 211 Evaporation was done with a water bath temperature of 30°C, a rotator speed of 60 rpm, and 212 a vacuum pressure of 100 mbar. 3 mL of methanol was added to the flask and sonicated for 213 5 mins. The methanolic extract was transferred into a 4 mL vial and evaporated down to 0.5 214 mL under a gentle flow of N₂ at room temperature of approximately 18°C. 0.1 mL of methanolic 215 extract was then transferred into a glass HPLC vial for analysis while the remaining 0.4 mL 216 were kept at -18°C for eventual future analysis.

217

218 **2.4** Instrumental analysis

219 All analyses used a HPLC-ESI-HRMS with an Accela system HPLC (Thermo Scientific, 220 Bremen, Germany) coupled to an LTQ Velos Orbitrap (Thermo Scientific, Bremen, Germany). 221 A Waters XBridge[™] C18 (3.5 µm, 3.0x150 mm) column was used for chromatographic 222 separation of the analytes. Mobile phases were (A) water with 0.5 mM NH₃ and (B) methanol 223 with 0.5 mM NH₃. Separation was done at room temperature ($\sim 20^{\circ}$ C), with a flow rate of 250 224 µL/min. Elution gradient was: 0–3 min 0% B, 3–4 min linear gradient from 0% to 30% B, 4–9 225 min 30% B, 9–10 min linear gradient from 30% to 100% B, 10–25 min 100% B, 25–26 min 226 linear gradient from 100% to 0% B, 26-35 min 0% B. In addition, a post-column injection of 227 methanol with 5 mM NH₃ was added at 100 µL/min. Injection volume was 20 µL. All analytes 228 were quantified in negative ionisation using the following ESI source parameters: 400°C 229 source temperature, 40 arbitrary units (a.u.) sheath gas flow rate, 20 a.u. auxiliary gas flow 230 rate, 3.5 kV needle voltage, 350°C transfer capillary temperature, S-Lens RF Level 50%. MS 231 spectra were collected in full scan, with a resolution of 100 000 at m/z 400, in the mass range 232 m/z 80–600 and in MS/MS for all target compounds with a collision-induced dissociation (CID) 233 energy of 30 (normalized collision energy). The mass spectrometer was calibrated routinely 234 to within an accuracy of ± 2 ppm, using Pierce LTQ Velos ESI Positive Ion Calibration Solution 235 and a Pierce ESI Negative Ion Calibration Solution (Thermo Scientific, Bremen, Germany). 236 The instrument was calibrated daily using standard solutions in the range 1-100 ppb in 237 methanol prepared by diluting the 1 ppm stock standard mixture. d3-m alic acid, d10-pimelic 238 acid and d31-palmitic acid at a concentration of 10 ppb were used as internal standards.

240 samples.

241

239

Quality check standards at a concentration of 10 ppb have also been analysed every 10

242 **3 Results and Discussion**

The aim of the study was to develop a single analytical method for the quantification of both primary, e.g. long chain fatty acids, and secondary, e.g. oxidation products of isoprene, monoterpenes and sesquiterpenes, sourced biomarkers in ice cores using HPLC-MS.

246

247 **3.1** Optimisation of the chromatographic separation

248 The optimisation of the chromatographic separation aimed at finding a good compromise in 249 terms of retention and sensitivity between low molecular weight and high molecular weight 250 compounds. Different chromatographic columns have been tested: two long C18 columns 251 (Waters Atlantis® T3 and Waters Xbridge[™], 3.5 µm, 3.0x150 mm), a short C18 column 252 (Phenomenex Synergi[™] Hydro-RP, 4.0 µm, 4.6x50 mm), a C3 column (Agilent ZORBAX SB-253 C3, 3.5 µm, 3.0x100 mm), and a pentafluorophenyl (PFP) column (Phenomenex Kinetex® 254 PFP, 2.6 µm, 2.1x100 mm). The HPLC columns have been tested with different eluent 255 compositions using a gradient elution with water as eluent A and an organic phase constituting 256 of either acetonitrile, methanol or a mixture of methanol and isopropyl alcohol (90:10) as eluent B. In addition, different combinations of additives have been tested on both the water and the 257 258 organic phase to improve separation and instrumental response: formic acid (0.01% and 259 0.1%), ammonium formate (5 mM), ammonium acetate (5 mM), sodium acetate (5 µM), 260 ammonium fluoride (1 mM) and ammonium hydroxide (0.1, 0.5, 1 and 5 mM). A list of the 261 different conditions tested, including different combinations of chromatographic columns, 262 eluents and additives, is reported in Table S1 in the supporting information. The effects of 263 different eluents tested on the separation, peak shape and sensitivity towards the target 264 analytes are schematically shown in Figure 1.







Figure 1: Example chromatograms showing the effect of the pH of the eluents on the elution time, peak shape and sensitivity of the HPLC-ESI-HRMS method for the determination of

- time, peak shape and sensitivity of the HPLC-ESI-HRMS method for the determination of
 terrestrial and marine biomarkers both primarily and secondarily sourced. "%B" indicates the
- 270 percentage concentration of organic phase in the eluent.
- 271

272

273 Elution of long-chain fatty acids proved to be challenging due to their high affinity for all the 274 stationary phases. For example, retention time of melissic acid ($C_{30}H_{60}O_2$) was >60 mins for 275 both long C18 columns with neutral eluents (flow rate 250 µL/min). There was not any 276 significant improvement in this regard by using a short C18 column while the C3 and PFP 277 columns provided shorter retention times (<60 mins at 250 µL/min). Methanol provided slightly 278 shorter retention times, more symmetric and sharper peaks for long chain fatty acids 279 compared with acetonitrile. The use of a mixture of methanol and isopropyl alcohol 280 significantly, but not sufficiently, reduced retention times of long chain fatty acids. The best 281 results in terms of instrumental response and sufficiently short retention times have been 282 obtained using ammonium hydroxide as an additive in the organic phase. Ammonium 283 hydroxide can successfully deprotonate the fatty acids decreasing their affinity for the 284 stationary phase.

285 Concerning low molecular weight compounds, the two long C18 columns provided the best 286 chromatographic separation with all eluent compositions tested. However, the use of 287 ammonium hydroxide as an additive shortens their retention times so that the smallest 288 compounds are eluted close to the dead time. A combination of acidic eluent A (with 0.01% 289 and 0.1% formic acid) and basic eluent B (with 0.1-5 mM ammonium hydroxide) was tested to 290 overcome this issue while maintaining short retention times and a good instrumental response 291 for fatty acids. While chromatographic separation improved for most of the low molecular 292 weight compounds, the most acidic compounds, like the β -hydroxy acid (malic acid) and the 293 tricarboxylic acids (BTCA and MBTCA), presented extensive peak broadening due to the 294 establishment of an equilibrium between the protonated and neutral forms, or the neutral and 295 deprotonated forms, which significantly decreased sensitivity for those compounds. The same 296 applies with the other eluent additives tested which provided a neutral pH eluent. Using 297 ammonium hydroxide at a concentration of 0.5 mM in both eluent A and B provides a good 298 compromise between retention of low molecular weight compounds and sensitivity (sharp 299 peaks) for β -hydroxy acids and tricarboxylic acids.

Finally, gradient elution has been optimised for chromatographic separation of low molecular weight compounds in conjuction with analysis time (dependent on the elution of fatty acids).

- 302 Retention times of all analytes with the optimised gradient elution (see section "2.4" for details)
- 303 are demonstrated in the example chromatogram for a 100 ppb standard in Figure 2.
- 304



Retention time (base peak)

306 Figure 2: Example chromatogram in base peak for a 100 ppb standard solution with the fully 307 optimised method of chromatographic separation and instrumental response. Compounds are 308 as follows by increasing retention time: 1.81 (BTCA), 1.81 (MBTCA), 1.90 (D-malic acid), 1.90 309 (Pimelic acid), 2.76 (Levoglucosan), 2.88 (Meso-erythritol), 3.02 (Terebic acid), 3.04 (Methyl-310 tetrols), 3.10 (Pinolic acid), 7.63 (cis-pinonic acid), 7.65 (Salicylic acid), 7.92 (Keto-pinic acid), 311 7.93 (β -caryophyllinic acid), 12.75 (β -nocaryophyllonic acid), 12.91 (β -caryophyllonic acid), 312 13.17 (Lauric acid), 13.28 (Myristic acid), 13.35 (Arachidonic acid), 13.46 (Oleic acid), 13.52 313 (Heptadecanoic acid), 13.73 (Nonadecanoic acid), 14.18 (Behenic acid), 14.37 (Tricosanoic 314 acid), 15.33 (Heptacosanoic acid), 15.66 (Octacosanoic acid), 16.52 (Melissic acid).

315

317 **3.2** Optimisation of the instrumental response

318 Most of the target analytes are organic acids, and so are better ionised in negative mode. In 319 one particular case, the isoprene-derived methyl-tetrols and the surrogate standard meso-320 erythritol, the analyte could be ionised in both positive and negative polarity. Positive ionisation 321 as protonated (with formic acid additive), adduct with ammonium (with ammonium formate 322 and acetate additives) and adduct with sodium (with sodium acetate additive) molecular ions 323 was compared with negative ionisation as deprotonated molecular ions (with ammonium 324 hydroxide or ammonium fluoride additives). The best performances were obtained using 325 ammonium hydroxide as an additive in negative ionisation. High concentrations of ammonium 326 hydroxide are necessary to ensure good sensitivity for those compounds; however, it also 327 reduces chromatographic separation. In order to increase the instrumental response for meso-328 erythritol and methyl-tetrols in particular, while maintaining a good chromatographic 329 separation, post-column injections of ammonium hydroxide solutions were tested. Solutions 330 of 5 mM, 50 mM, 100 mM and 200 mM ammonium hydroxide in either water or methanol at a 331 flow rate of 10-100 µL/min were tested. The best results, with a sensitivity increase by a factor 332 of five for the two compounds, have been obtained using a 5 mM ammonium hydroxide 333 solution in methanol at a flow rate of 100 µL/min and this is therefore the conditions chosen 334 as most optimised. Increasing the ammonium hydroxide concentration further did not make 335 any improvement. The post-column injection also provided a higher sensitivity for the other 336 analytes, especially those being eluted at the beginning of the chromatographic run at 100% 337 A eluent composition.

Optimisation of source parameters with the final chromatographic method was done by changing source temperature between 50 to 400°C, capillary temperature between 300-350°C, sheath gas flow rate between 40-60 a.u., RF Lens between 10-100% and needle voltage between 3-4 kV. The best instrumental response for all analytes were obtained using 400°C source temperature, 350°C capillary temperature, 40 a.u. sheath gas flow rate, RF lens of 50% and 3.5 kV needle voltage. Auxiliary gas flow rate was kept at 20 a.u. while the sweep gas was not used.

Sample injection volume was also tested between 1-100 µL. A final injection volume of 20 µL was used as it provided a good compromise between maximising injected quantity for better sensitivity at low concentrations, and providing sharp enough chromatographic peaks in the concentration range tested.

349

350 3.3 Optimisation of the decontamination procedures

Ozonation of both UHPLC water and UHPLC methanol significantly reduced, but did not totally
 eliminate, background contamination of unsaturated fatty acids to the extent that calibration

353 curves could be generated for all fatty acids on our list down to 1 ppb concentrations. We 354 compared background contaminations in ozonated and non-ozonated solvents for all target 355 analytes and observed that ozonated solvent did not introduce or increase contaminations for 356 any compound.

The remaining contamination in the water blanks may come from sample preparation, the solvent used to make the stock-standard solution, or the instrument during sample analysis. It is worth noticing that the use of ozonated solvents causes a shift in the elution of most of the analytes, especially fatty acids, to higher retention times.

- 361 In an attempt to decrease background contamination of some fatty acids (e.g. lauric and 362 myristic acids), we tested (i) adding a C18 SPE cartridge mounted on the water line (before 363 the pump) in order to trap in the cartridge the fatty acids eventually present in water, and (ii) 364 using an on-line trap (chromatographic) column [29] mounted between the mixer and the 365 injector in order to separate chromatographically the background contamination of fatty acids 366 in the eluents from the analyte and contamination present in the samples. The SPE cartridge 367 did not make any improvement since it is probably quickly overloaded with the contamination 368 and does not retain further contaminants. The use of a trap column caused a significant 369 background reduction of lauric, myristic and palmitic acid however this was accompanied by 370 a loss of sensitivity for other target analytes. While we cannot explain the loss of sensitivity, 371 we decided to sacrifice those three analytes in order to maintain a good sensitivity overall.
- 372

373 **3.4** Optimisation of the pre-concentration method

374 3.4.1 Stir-bar (SBSE) pre-concentration

375

The polydimethylsiloxane (PDMS) stir bar used in previous studies [25,30] (GERSTEL Twister®) enables extraction of organic compounds from a liquid matrix. The bars are also available with a second solid phase type: the EG/Silicon stir bar is a combination of PDMS / Ethylene glycol (EG). Both PDMS and EG stir bars were tested here.

380 The variables in the process which were optimised are as follows: stir bar solid phase (EG or 381 PDMS), stir time (10 or 22 hrs), solvent for desorption of compounds from the bar (methanol 382 or acetonitrile with additions of ammonium hydroxide), sonication time for desorption (15 383 minutes or 1 hr). There were further tests adjusting the pH of the sample (pH 3.5 or 5) to 384 protonate the most acidic analytes and increase their affinity for the stationary phase for initial 385 capture, and adding salts to the sample (sodium chloride, sodium sulphate) to decrease the 386 solubility of non-electrolytes and increase their transfer to the stationary (organic) phase 387 (salting-out effect). All tests were performed on a 10 mL sample of 10 ppb bulk standard 388 solution of all compounds, concentrating down to 1 mL of sample for injection in to the HPLC-

MS at a final theoretic maximum concentration of 100 ppb if recovery was 100%. Factors which were kept constant throughout the tests were the stir rate of the magnetic plate (700 rpm) and the temperature of solvents, which were at the lab temperature of 18°C.

The final, most optimised (i.e. highest average recoveries of all compounds), stir bar method stirred the PDMS bar in the liquid sample at 700rpm for 20hours, and then desorbed the compounds in to 1mL of methanol+0.5mM ammonium hydroxide by sonication for 15 minutes.

- 395 This is illustrated graphically in Figure S1.
- 396 3.4.2 SPE pre-concentration
- 397

In this study we tested a C18 cartridge (Perkin Elmer), and two new cartridges not previously used for organic analysis in snow or ice: HyperSep[™] SAX (Thermo Fisher Scientific), a strong anion exchange sorbent for extraction of weak acids) and Strata-X® X-A (Phenomenex®), a strong anion-exchange functionalized polymeric sorbent.

402 A number of factors can be adjusted throughout the SPE method. This includes changing the 403 counter ion (in this case, from chloride to formate), adjusting the acidity of the samples 404 themselves (either acidified or basified from original pH) to change affinity of the compounds 405 to the cartridge, changing solvents or solutions used to wash the cartridge to reduce loss of 406 target compounds at this stage (either 25 mM ammonium acetate in water or 0.25% 407 ammonium hydroxide in water for the first wash, and methanol for the second wash), and 408 changing the number of washing stages (via elimination of the second wash stage). Further 409 tested were the solvents or solutions used to elute the compounds, to improve recovery rate 410 of total compounds from the cartridge (either 5% formic acid in methanol, ammonium 411 hydroxide in water at solutions of 0.25%, 2%, and 5%, 1.2% hydrogen chloride in methanol, 412 20 mM potassium hydroxide (KOH) in water).

413 Factors that were constant throughout the tests were as follows; all cartridges were 1 mL in 414 size with 100 mg sorbent mass. Manufacturer guidelines stipulate using 1 mL of solvents and 415 solutions at stages 1, 2, 4 and 5 for this size and mass of cartridge. All samples were 10 mL 416 of 50 ppb bulk standard, concentrated to 1 mL corresponding to a final concentration of 500 417 ppb if recovery is fully successful. Conditioning stages were always 1 mL of UHPLC water 418 followed by 1 mL of UHPLC methanol. Because cartridge tops are open, all tests were 419 performed under a fume hood and the cartridges covered over with foil between additions of 420 liquid to the cartridges, to limit contamination.

421 The final method, considered most fully optimised due to best overall compounds recoveries, 422 conditioned the HyperSep[™] SAX cartridge with 1 mL of water and 1 mL of methanol, changed 423 the counter ion to formate using 1 mL of 2% formic acid followed by 1 mL water and 1 mL 424 water at pH 7, loaded 1 mL of liquid sample, washed the cartridge with 1 mL of 25 mM ammonium acetate solution at pH 8, and eluted the compounds with 1 mL of a 50/50
water/methanol solution with 5% ammonium hydroxide. This is illustrated graphically in Figure
S2.

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429 3.4.3 Rotary evaporation pre-concentration

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Figure 3 illustrates the processing steps associated with rotary evaporation pre-concentration,
described for the most fully optimised method (i.e. the method with the highest recoveries of
compounds).

Variables tested in method optimisation were the addition of KOH to the samples to adjust pH, the volume of solvent used to redissolved compounds in step 2 (1-4 mL), whether to stir or sonicate this solvent to extract the dried analytes from the glass wall, and how many samples to run on the rotary evaporator at the same time (one or four flasks). This last point arises because it is possible to fit an attachment to the rotator to allow up to four individual evaporator flasks to be run at the same time.

Factors that were kept constant were as follows; water bath temperature was 30°C, rotator speed 60 rpm, and vacuum pressure of 100 mbar. Compounds were eluted from the flask with high purity methanol. All samples were 10 mL of 10 ppb bulk standard solution. The resulting 0.5 mL sample corresponds to a final concentration for analysis of 200 ppb if recovery was fully successful. The above combination of factors gave a sample evaporation time (i.e. step 1) of ~45 minutes. The final method, considered fully optimised, is as presented in Figure 3.





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- 449 **Figure 3:** Sample preparation stages of the fully optimised rotary evaporator process resulting
- 450 in concentation of analytes by a factor of 20.

452 3.4.4 Comparison of the pre-concentration

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The results presented here refer to the fully optimised version (based on the entire compound list) of each of the methods: SBSE, SPE and rotary evaporation. Results are presented in Figures 4 and 5.

457 Stir bar pre-concentration was not successful for SOA compounds, with 0% recovery. The 458 technique performed better for fatty acids, with recovery of 60% on average. It was the most 459 successful of all techniques for the longer chain fatty acids on our list, heptacosanoic acid, 460 octacosanoic acid, and melissic acid, with recoveries of 68%, 91%, and 104% respectively. 461 This is considerably higher than those obtained with the SPE and rotary evaporation 462 techniques.

463 SPE achieved highly variable results test-to-test, with methods improving some compound 464 recoveries often being at the expense of other compounds. For example, a test in which elution 465 used 50/50 water/methanol with 2% NH₄OH (herein referred to as Test A), instead of 5% in 466 the chosen 'most fully optimised' test (referred to herein as Test B), while keeping all other 467 variables the same, gave higher recoveries of terebic acid, pinolic acid, keto-pinic acid, oleic 468 acid, heptadecanoic acid, nonadecanoic acid, and behenic acid and tricosanoic acid. 469 However, Test A performed very poorly for the smallest SOA compounds BTCA, MBTCA and 470 pimelic acid, as well as all β -sesquiterpene SOA compounds (Figure 4). Because the aim is 471 to achieve a method which targets all the compounds groups on the list, the Test A method 472 was not therefore chosen as more optimised.

473 Considering the SPE optimisations steps, we can conclude that exchanging the counter ion 474 from chloride to formate and using ammonium acetate 5 mM solution at the wash stage 475 strongly improved retention of our target compounds on the cartridge, with the chosen elution 476 method exerting the most control on the overall success. Using NH₄OH in solution with either 477 methanol or water, at concentrations 0.25-5%, gave the best results compared to alternative 478 elutions, such as formic acid in methanol, as basic solutions are good eluents for anion-479 exchange cartridges. An elution solution of 2-5% NH₄OH in water was the most successful for 480 SOA compounds. The highest recovery elution solution for fatty acids was 2-5% NH₄OH in 481 methanol, which gave higher fatty acid recoveries in tests leading up to the most optimised 482 final test, but as previously shown in Figure 4, this gave lower recoveries for SOA compounds. 483 The 5% NH₄OH in 50/50 methanol/water solution was the optimal balance between the two, 484 recovering fatty acids at acceptable levels while not compromising SOA recovery in 485 comparison to elution in water.



488 Figure 4: Compound recovery comparison of the fully optimised SPE method. The 489 processing steps of the SPE is the same for both tests changing only in the final elution, 490 which used 5% NH4OH in 50/50 methanol/water solution in Test B and 2% NH4OH 50/50 491 solution in Test A. The tests demonstrate improved recoveries of some compounds, in this 492 case fatty acids, comes always at the expense of reduced recoveries of others and thus full 493 optimisation was difficult for all compounds. It should be noted that we could not compare 494 lauric acid, myristic acid and arachidonic acid in this case because of contamination affecting 495 the calibration curve in Test A.

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The fully optimised method, Test B, was the highest of any method for recoveries of our smallest SOA compounds and was particularly successful for sesquiterpene oxidation products (recovering \geq 100%) and moderately successful for some SOA compounds and shorter-chain fatty acids, with recoveries between ~30—50%. However SPE did not perform well for the alcohols in our target list, meso-erythritol, methyl-tetrols and levoglucosan, with the latter showing very low recovery levels (only measurable in 2 of the total 18 iterations of the SPE method which were tested), and the others in none (including the most optimised 505 choice). Collection of the 'waste' at each stage of the SPE method (see Figure S2) revealed 506 that the loss of these compounds was entirely at the load stage, meaning none of the 507 compound concentration was retained by the cartridge. This was not improved from test-to-508 test by changing of the counter ion, and suggests that the SAX cartridge is too polar and thus 509 not appropriate for these compounds.

510 When considering the initial SPE tests between the different types of sorbent mass in the 511 cartridge, the results overall promoted the use of the SAX cartridge since the load phase 512 showed loss of the least number of compounds. The C18 cartridge, although showing some 513 loss of methyl-tetrols, meso-erythritol and levoglucosan at the load stage, also showed loss at 514 the wash stage, suggesting these compounds were retained to some extent by this cartridge 515 and with further optimisation may show successful recoveries for these specific compounds. 516 This is for future consideration if these are specific target compounds.

517 Considering this 'balancing act' between methods and compound recoveries, it was decided 518 that although recovery was well below 100% for many compounds, the method had probably 519 reached its optimum output if the goal was to target such a diverse list of compounds of varying 520 molecular sizes, structures and chemical-physical properties such as polarity. Such a list 521 makes it difficult to find an all-inclusive technique at each stage of the SPE method, using one 522 type of cartridge, and the 'success' of the method must therefore be adjusted in expectation.

Rotary evaporation was the most successful method of pre-concentration, being the only method to display recovery to some extent of all compounds. In Figure 5, the exception to this is lauric acid, myristic acid and oleic acid because of very high background contamination which prevented generation of a calibration curve at ppb concentration levels. This was improved with ozonation of the solvents (see also the "Optimisation of the decontamination procedures" section, 3.3).

529 In more general terms, it was observed that only one sample could be run at a time, as the 530 multiple-vial attachment of the rotary evaporator caused cross-contamination between 531 samples. The greater the solvent volume used to elute the compounds from the dried rotary 532 evaporator vial, the greater the compound recovery; this is because it increased the coverage 533 of the solvent over the vial surface during sonication to include the entire surface which the 534 liquid sample was in contact with during evaporation. The maximum increase in solvent 535 volume required was 3 mL, since this covered the whole inner-vial surface upon rotation of 536 the vial within the sonicator.

537 The least lowest recoveried overall (<10%) were for the smallest compounds (lowest C-538 numbers) on the compound list: BTCA, MBTCA, and D-malic acid. This is perhaps due to their 539 higher vapour pressures, meaning they are more easily lost at the evaporation stage than the 540 majority of the other compounds we test which have lower vapour pressures. The exception 541 to this is meso-erythritol, which has higher vapour pressure and yet shows higher recovery. Recoveries of other compounds were 33-100%, with average recovery of 80% overall; 86% for SOA compounds (not including BTCA, MBTCA and D-malic acid) and 69% for fatty acids. Considering this overall recovery, it is the best method of pre-concentration for the compound list as a whole. It is therefore the method we carry forward for sample preparation before further optimisation work. The best preconcentration method for each individual compound can be found summarised in Table S2.



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Figure 5: Comparative compound recoveries for each of the most fully optimised preconcentration techniques. Dashed red lines represent 100% recovery, while blue bars differentiate compounds recovered only after ozonation of solvents to reduce background contamination (tested for rotary evaporation only). Asterisks represent compounds that were recovered, but contamination was too high to obtain a reliable calibration curve.

555 **3.5 Validation of the method**

Instrumental LODs were evaluated on standard solutions using the Hubaux-Vos method, following IUPAC recommendations [31,32]. Limits of quantifications (LOQs) were evaluated as 10/3*LODs. Sensitivity (slope of the calibration line) and linearity range were tested on standard solutions. Linearity was tested up to a concentration of 100 ppb using both the r-Pearson correlation test and the F-test to compare linear and quadratic fits. Results showed a good linearity in the tested range. Method/instrumental repeatability has been evaluated in real ice core samples. Validation parameters are reported and described in Table 2.

563 Matrix effects were tested by comparing the slopes of two calibration lines; one for standard 564 solutions in water and one for standard additions (of the same concentrations) to an ice core 565 sample. Results of the *t*-test showed that there are no statistically significant differences 566 between the two slopes at 95% confidence level.

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568 3.5.1 Interlaboratory comparison

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570 Ice samples from the Belukha glacier ice core were prepared for analysis using the fully 571 optimised preconcentration method. The final sample was split for replicate analysis on two 572 HPLC-MS instruments; the first was the same HPLC-LTQ Velos Orbitrap used for 573 methodological development at the Department of Chemistry, University of Cambridge, UK, 574 and the second a UHPLC UltiMate3000 coupled with a Thermo Scientific™ Q Exactive™ 575 Hybrid Quadrupole-Orbitrap MS at the Department of Chemical Sciences, University of 576 Padua, Italy. Both instruments used the same optimised settings developed previously, and 577 were tested for limits of detection using replicate calibration standards. Overall, the Q Exactive 578 showed detection limits down to ppt levels for SOA compounds, while the LTQ Velos Orbitrap 579 did not achieve detection below ppb. The Q exactive also gave lower detection limits for most 580 fatty acids, mostly in the ppb, rather than ppt, range.

581 The same compounds were detected in both sample analyses of the Belukha ice core; D-582 malic acid, Terebic acid, Methyl-tetrols, Keto-pinic acid, Pimelic acid, cis-pinonic acid, 583 Heptacosanoic acid, Octacosanoic acid, and Melissic acid, with the addition of MBTCA on the 584 Q Exactive which was below detection limits on the LTQ Velos Orbitrap. Results are shown 585 as reproducibility-between-instruments values in Table 2, which are R² values from linear 586 regression lines of scatterplots comparing the data series for each compound from the two 587 different instruments. Some example scatterplots are shown in Figure 6 for a representative 588 selection of compounds, and in Figure S3 for all other compounds. For particular compounds 589 the concentrations in individual samples varied by typically a factor 10 between seasons, 590 indicating that the reproducibility achieved here is sufficient to clearly observe the seasonal

trends on different instruments. One compound, heptacosanoic acid, showed very poor
 reproducibility with an R² value of 0.32. This was due to high background contamination
 levels, combined with low compound concentrations in samples.

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Figure 6: Scatterplots of comparison compound concentrations from replicate sample analysis on two different Orbitrap HPLC-MS instruments. Compounds shown are chosen to represent the different compound groups of interest. The plots demonstrate good reproducibility of both concentrations and trends based on R² values of linear regression lines (black). 1:1 lines are presented in light grey for comparison.

603 Table 2: Compound specific limit of detection achieved using a linear calibration method, of standard values 1, 10 and 100ppb, listed in order of 604 lowest to highest detection limit for the Cambridge instrument. Also presented are retention time, limit of quantification, limit of detection for the 605 comparative instrument in Padua, instrument repeatability (i.e. variability between repeat injections of the same sample in to the same instrument), 606 method repeatability (variability between different samples prepared using the same method and analysed on one instrument), reproducibility 607 (difference in results between the same samples analysed on two different instruments, given as R² of a linear regression line between the two 608 sets of sample concentrations) and recovery (the percentage of the compound recovered from analysis compared to that which was present in 609 the original sample before processing, as determined using standards of known input values). As is expected, RSD values of the method and 610 instrumental repeatability increased greatly as concentrations lowered towards detection limits for all compounds, and the presented values 611 therefore exclude values at 1ppb concentration so as not to be disproportionately weighted to these high errors. N/D = not detected.

Compound	Retention	LOD	LOQ	LOD	Instrumental	Method	Reproducibility	Recovery
	time (min)	(ppb)	(ppb)	(ppb)	Repeatability	Repeatability	(R ²)	(%)
					(%RSD)	(%RSD)		
	[Cambridge]	[Cambridge]	[Cambridge]	[Padua]	[Cambridge]	[Cambridge]	[Cambridge]	[Cambridge]
Nonadecanoic acid	13.73	2.00	6.67	0.29	0.94	9.50	N/D	57
Pimelic acid	1.90	2.32	7.73	0.04	1.47	2.02	0.87	43
β-nocaryophyllonic acid	12.75	2.52	8.40	0.25	3.18	2.67	N/D	122
D-malic acid	1.90	2.61	8.70	0.09	2.68	2.31	0.92	10
Keto-pinic acid	7.92	2.62	8.73	0.03	3.46	13.14	0.90	80
MBTCA	1.81	2.68	8.93	0.04	1.37	3.92	N/D	3
β-caryophyllonic acid	12.91	2.73	9.10	0.11	2.39	11.69	N/D	95
β-caryophyllinic acid	7.93	2.91	9.70	0.07	3.05	11.27	N/D	45

BTCA	1.81	3.09	10.30	0.08	2.31	5.77	N/D	3
Lauric acid	13.17	4.47	14.90	13.72	2.43	6.23	N/D	62
Methyl-tetrols	3.04	4.57	15.23	0.55	3.26	10.62	0.94	79
Arachidonic acid	13.25	4.69	15.63	0.05	3.51	10.96	N/D	84
Tricosanoic acid	14.37	4.73	15.77	0.25	2.53	6.21	N/D	87
Terebic acid	3.02	5.65	18.83	0.09	4.99	7.27	0.85	120
Behenic acid	14.18	5.93	19.77	0.33	4.46	5.28	N/D	95
Meso-erythritol	2.88	5.94	19.80	3.16	17.74	15.49	N/D	40
Heptadecanoic acid	13.52	6.27	20.90	2.33	1.00	7.06	N/D	100
Pinolic acid	3.10	8.38	27.93	0.06	7.15	10.20	N/D	84
Cis-pinonic acid	7.63	8.94	29.80	1.00	7.80	10.96	0.83	92
Salicylic acid	7.65	10.23	34.10	0.35	9.09	11.27	N/D	81
Octacosanoic acid	15.66	11.73	39.10	0.32	1.35	12.10	0.74	44
Heptacosanoic acid	15.33	12.21	40.70	0.49	1.99	9.09	0.32	53
Melissic acid	16.52	17.03	56.77	6.04	0.28	17.03	0.67	33
Levoglucosan	2.76	17.53	58.43	100	27.72	10.29	N/D	106
Myristic acid	13.28	19.14	63.80	11.88	6.59	8.70	N/D	N/A
Oleic acid	13.46	20.13	67.10	2.11	2.91	12.22	N/D	54

615 4 Conclusions

This study presents a fully optimised HPLC-MS analytical method, including preconcentration steps, for the detection and quantification of fatty acids and secondary organic aerosol components in ice cores as markers of terrestrial and marine activity. The method is shown to provide reproducible results for concentrations of organic markers in ice core samples in the range of ppt-ppb concentrations.

621 The study tested and compared three pre-concentration techniques with the aim of choosing 622 the best method for the compound list as a whole, representing a wide range of organic 623 compounds detectable in snow and ice. The chosen method was rotary evaporation, with 624 average recoveries of 80%. However, optimising one technique for all compounds was 625 challenging and different techniques were more successful for individual compounds. For 626 future analysis, the recommendation would be to reduce the target list following an initial broad 627 investigation in to the sample content, to allow specific preconcentration techniques to be 628 applied to those markers. Alternatively if a more extensive list of compounds is maintained 629 and where enough sample volume is available, to divide each sample between multiple 630 preconcentration methods. As an example, for very long chain fatty acids, specifically those 631 considered to be indicators of terrestrial source location (heptacosanoic acid, octacosanoic 632 acid, and melissic acid), stir bars would give the best recoveries. For the very smallest SOA 633 compounds (BTCA, MBTCA, and D-malic acid) solid phase extraction is recommended, which 634 would also give reasonable results for other SOA compounds such as sesquiterpene SOAs, 635 but not in combination with fatty acids. If the intention was specifically to target isoprene SOAs 636 (methyl-tetrols and meso-erythritol) or levoglucosan, rotary evaporation is the only successful pre-concentration method out of those applied here, and could be combined with good 637 638 recoveries of fatty acids in the same analysis.

639 At this early stage of the development of these novel organic markers in ice, we hope to 640 investigate the presence of as many organic compounds as possible in a single sample 641 preparation step in ice samples across multiple locations. The rotary evaporation method 642 combined with the optimised HPLC-MS methodology allows the maximum potential for 643 compound recovery, with low error for methodological repeatability and good reproducibility 644 when applied to analysis in different mass spectrometers. The final method therefore provides 645 maximum potential for the identification of new records of organic compounds in ice, and is 646 carried forward to future sample analysis.

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