

# Analyzing spatial data from mouse tracker methodology: An entropic approach

Antonio Calcagnì<sup>1</sup> · Luigi Lombardi<sup>1</sup> · Simone Sulpizio<sup>1,2</sup>

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Abstract Mouse tracker methodology has recently been advocated to explore the motor components of the cognitive dynamics involved in experimental tasks like categorization, decision-making, and language comprehension. This methodology relies on the analysis of computer-mouse trajectories, by evaluating whether they significantly differ in terms of direction, amplitude, and location when a given experimental factor is manipulated. In this kind of study, a descriptive geometric approach is usually adopted in the analysis of raw trajectories, where they are summarized with several measures, such as maximum-deviation and area under the curve. However, using raw trajectories to extract spatial descriptors of the movements is problematic due to the noisy and irregular nature of empirical movement paths. Moreover, other significant components of the movement, such as motor pauses, are disregarded. To overcome these drawbacks, we present a novel approach (EMOT) to analyze computer-mouse trajectories that quantifies movement features in terms of entropy while modeling trajectories as composed by fast movements and motor pauses. A dedicated entropy decomposition analysis is additionally

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<sup>2</sup> Faculty of Psychology, Vita-Salute San Raffaele University, Milan, Italy developed for the model parameters estimation. Two real case studies from categorization tasks are finally used to test and evaluate the characteristics of the new approach.

**Keywords** Mouse tracking · Spatial data · Entropy analysis · Movement trajectories · Aimed movements

# Introduction

Human movement is an integral part of the perceptualmotor system. People constantly use their arms, hands, and fingers in simple and complex activities, as for example, reaching objects, pointing at visual targets, typing on a keyboard, or moving a computer mouse. In all these activities, movements can be very complex and their study, in terms of kinematics and/or simple trajectories, can provide a simple and valid basis to uncover cognitive and behavioral processes underlying the observable structure of the data. Recently, many authors have focused on the analysis of human movements measured by means of a computer mouse, an approach called mouse tracking methodology (e.g., see: Freeman & Ambady, 2010; Hehman, Stolier, & Freeman, 2015). The basic idea is to consider the collected mouse movement trajectories as a continuous source of real-time information on the internal cognitive processes activated during a particular experimental task. For instance, in a dichotomous categorization task where two alternative choices are presented on a computer display (e.g., target vs. distractor), mouse trajectories can reveal, according to the experimental manipulation, the eventual competitive attraction that one of the two choices (e.g., distractor) acts on the correct target category (Spivey & Dale, 2006). This simple idea has recently been applied in several research fields, including social categorization (Dale et al., 2007),

Antonio Calcagnì antonio.calcagni@unitn.it

<sup>&</sup>lt;sup>1</sup> Department of Psychology and Cognitive Science, University of Trento, corso Bettini 31, 38068, Rovereto TN, Italy

moral decision-making (Koop, 2013), language comprehension (Morett & Macwhinney, 2013), numbers representation (Faulkenberry, 2014), and cross-modal processing (Coco & Duran, 2016).

Several strategies are available to analyze mouse tracking data both from a model-dependent framework (e.g., Friedman, Brown, & Finkbeiner, 2013; Mottet & Bootsma, 1999; Plamondon, 1995) and a model-independent framework (e.g., Freeman & Ambady, 1988; Meyer, Abrams, Kornblum, Wright, & Keith Smith, 2010). Although the model-dependent approaches have a long tradition in cognitive psychology (e.g., Wiener's diffusion models, Rayleigh-Duffing model, minimum-jerk kinematics), the data-driven model-independent approaches are still appealing in terms of simplicity and feasibility as a considerable number of papers have also shown recently (Freeman, 2016). In this paper, we will describe a novel mathematical procedure, called EMOT (Entropic MOuse Tracker), to analyze mouse-movement trajectories from a data-driven perspective. In particular, EMOT extends the main findings of the descriptive geometric approach (Freeman & Ambady, 2010) in analyzing the basic features of the mouse trajectories (i.e., directions and amplitudes). However, unlike the latter approach, our proposal provides a more robust modelization of the trajectories in case of noisy and irregular movement paths and, in addition, it models a previously ignored component of the hand movement, motor pauses, which can reflect important aspects of the reaching process, for instance decisional uncertainty in classification tasks (Plamondon, 1995; Johnson et al., 2012; Glaholt & Reingold, 2011).

The reminder of this article is organized as follows. In the "Analyzing movement trajectories: a brief overview", we provide a comprehensive overview of the perspectives used in analyzing mouse trajectories. In the "An entropic approach to mouse-tracking data", we present our proposal together with its main characteristics. The "Two empirical applications" describes two case studies showing the application of the new proposal to two psychological datasets. The "Discussion" provides a discussion of the results of this study with comments and suggestions for future works whereas the "Conclusions" ends the article.

### Analyzing movement trajectories: a brief overview

To formally represent goal-directed movement trajectories, two main approaches can be recognized in the modeldependent framework. In the first one, movement paths are evaluated by fitting probabilistic models on the collected data (e.g., Wiener's diffusion models, dynamic Markov chains) with the purpose of discovering important movement features, such as initiation process, decision phases,

changes of direction (Friedman et al., 2013). Although this approach is quite successful in modeling mouse trajectories, in some circumstances (e.g., when the model assumptions cannot be completely satisfied) it might lead to problems of data representation (Voss & Voss, 2008; White et al., 2010). In the second perspective, movement features like speed, acceleration, stability, and frictions are formally deducted using mathematical models (e.g., the Rayleigh-Duffing model - Mottet & Bootsma, 1999) and kinematic principles (e.g., minimum jerk, minimum energy - Engelbrecht, 1995; Flash & Henis, 1991; Plamondon, 2001). This approach has been widely adopted to study well-constrained class of movements usually recorded in simple tasks, as for instance smooth target-directed movements, planar movements, reaching with obstacles. However, to what extent it can account for highly structured and noisy movement paths is still under debate (Shadmehr, 2005). Unlike the model-dependent approaches, the model-independent ones make use of a data-driven perspective to detect process features related to hand movements. In particular, they can be based either on some computational methods for movement decomposition such as, initiation time, pause time, and verification time (Walker et al., 1993; Meyer et al., 1988) or on basic descriptive geometric method to detect significant movement features, such as pointwise distances (Freeman & Ambady, 2010; Hehman et al., 2015). Because our new proposal is mainly associated with this latter representation, in the following section we will briefly review the geometric approach.

#### **Descriptive geometric approach**

The descriptive geometric approach (DGA) gathers different qualitative analytic tendencies that have been commonly used to uncover cognitive features associated to the observable motor behaviors of the hand during mousetracking experiments involving choice, categorization, and decision-making tasks (Freeman & Ambady, 2010; Song & Nakayama, 2009). It has been widely applied in two choice-categorization tasks where participants are asked to select, by means of a computer mouse, the appropriate category of a stimulus against a competing alternative (Fig. 1). The underlying hypothesis here is that the stream of motor information recorded by the computer mouse might be considered as a window on the ongoing cognitive competitive dynamics involved during the task (e.g., the more two categories are similar, the more they are difficult to discriminate, the more the curvature is toward the incorrect category).

DGA basically implements a simple data-analysis procedure. First, the raw computer-mouse trajectories are pre-processed by space-rescaling, time-normalization, and eventually averaging. Next, the ensuing trajectories are carved up by using various measures, such as maximum



Fig. 1 Conceptual diagram of DGA in a two-choice categorization task. (a) Categorization schema with target C2, competitive category C1, and an hypothetical mouse path in *green*. (b) Cartesian mouse trajectories in two-dimensional plane (the bisector of the I

deviation (MD), area under the curve (AUC), *x-y* flips, sample entropy, and bimodal coefficient, which are all supposed to be good indicators of the ongoing dynamics underlying the cognitive tasks (see Fig. 1). These measures are finally used in post hoc analyses, like spatial attraction analysis, distributional analysis, and spatial disorder analysis (Hehman et al., 2015; Freeman & Ambady, 2010).

However, despite its simplicity, DGA can suffer from a number of potentially relevant drawbacks. First, the timenormalization procedure can sometimes disregard important noise which may be instead signal (e.g., see: Lucero & Koenig, 2000; Tang & Müller, 2008). Second, in some circumstances, averaging multiple movement profiles may lead to inappropriate results, especially when empirical paths of movement show different amplitudes, phase variations, and curvatures (Ramsay, 2006). Consequently, it is hard to choose a proper averaging operator (e.g., arithmetic, geometric, block-based) that preserves the profile information (Brown & Heathcote, 2003). Third, due to the possible problem of multiple-maxima in the raw movement profile, the spatial attraction analysis may be biased. Indeed, as reaching movements are noisy, the recorded movement profiles may not completely show clear patterns with just one inflection point (this is the case of complex sinusoidal and quasi-cyclic trajectories). As a result, spatial measures like MD, AUC, and x/y flips may not be sensitive enough to recognize relevant subcomponents of the movement. Finally, the spatial measures cannot capture features or information associated with the presence of motor pauses during the motor execution. However, these pause patterns can potentially reveal important characteristics of the dynamic of a cognitive process such as, for example, decisional conflicts or uncertainty in categorization or choice tasks (Johnson et al., 2012; Glaholt & Reingold, 2011; Plamondon & Alimi, 1997).

In the next section, we will present an alternative mathematical method, EMOT, for movement trajectories that can overcome many of the drawbacks of DGA by providing a good compromise between model flexibility and model

quadrant indicates the ideal movement). (c) Example of two descriptive measures of the movement path: *gray* indicates the area under the curve (AUC) whereas the *red straight line* indicates the maximum deviation/distance (MD)

simplicity. In particular, EMOT extends many of the major conclusions from DGA (e.g., hand movements can provide real-time indicators of cognitive processing, dynamic competition during categorization can be capitalized by abrupt shift of movement profile) by using a *model-based representation* for the computer-mouse trajectories. In addition, this method will also provide a valuable way to detect other movement events (e.g., motor pauses) that may potentially reveal decisional uncertainty and/or conflicts during the cognitive task.

# An entropic approach to mouse-tracking data

Generally, a computer-mouse trajectory can be characterized in terms of fast movements, which correspond to rapid motor executions in the movement space (see Fig. 2a, points in green), and motor pauses, occurring when the speed of the mouse cursor decreases and eventually breaks in a given region of the movement space (see Fig. 2a, points in the red ellipse. For further readings see: Hwang, Keates, Langdon, & Clarkson, 1988; Meyer et al., 2005).<sup>1</sup> By adopting an information-theoretic perspective, EMOT aims to quantify the decision process, which is codified by spatial components of computer-mouse trajectories, with a set of entropy measures within a single-trial approach. In particular, the noisy and dynamic x-y trajectory is initially mapped into a set of polar objects (distances and angles). Next, a linear histogram model of angles of movements is built, which stores the most important spatial features of the computer-mouse trajectory such as location, directions, and amplitudes.

<sup>&</sup>lt;sup>1</sup>Technically, since trajectories are represented as collections of Cartesian coordinates points ordered in the natural way they were recorded (the temporal information is disregarded in spatial analyses), motor pauses are conceived as those parts of a trajectory with equal—or almost equal, in a given small neighborhood—Cartesian coordinates, whereas fast movements are those parts with different Cartesian coordinates that remain when motor pauses are removed from the original trajectory (e.g., see: Hogan & Sternad, 2007).

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Finally, the histogram model is used to determine a quantification of spatial events involved in the original movement path (e.g., competition between target and competing cues present on the screen) in terms of fast movements and motor pauses. The final step is realized according to a tailor-made entropy decomposition of the histogram model. In this way, similarly to DGA, our method will provide information concerning the spatial properties of a trajectory (i.e., location, direction, amplitude) with regards to both the target and competing cues. However, unlike DGA, EMOT supplies a model for the noisy mouse-movements and, in addition, a way to represent motor pauses that are usually neglected in the DGA approach. In fact, motor pauses may reveal important aspects of the dynamic cognitive processes such as, for example, decisional conflicts in categorization and/or decisional uncertainty associated with the participants' behaviors in the experimental task (Johnson et al., 2012; Glaholt & Reingold, 2011; Plamondon & Alimi, 1997).

## Data representation and modeling

Let  $\mathbf{p} = (\mathbf{x}, \mathbf{y}) \in \mathbb{R}^n \times \mathbb{R}^n$  be an array of Cartesian coordinates associated to the streaming of x-y data of the computer mouse movements with n being the recorded number of movements points for a given participant in a specific trial. The modeling step consists of transforming the mouse movement trajectory into a spatial motion model, while retaining as much as possible of the properties of the original trajectory (i.e., location, direction, amplitude) present in the original movement space. Inspired by some previous works in the field of action recognition (Gowayyed et al., 2013; Kapsouras & Nikolaidis, 2014; Chen et al., 2015) and topic analysis (Wang et al., 2013), we used the linear histogram of movement angles as a simple trajectory model (Shadmehr & Mussa-Ivaldi, 2012; Calcagnì & Lombardi, 2014). The use of a histogram model simplifies the study of trajectories and spatial features in a proper low-dimensional movement space. This facilitates, for instance, the emergence of relevant movement properties like the linear spatial attraction of the distractor on the target cue. More technically, let  $\mathbf{p}^* = (\mathbf{r}, \boldsymbol{\theta})$  be the transformed vector of **p**, where  $\mathbf{r} = \sqrt{\mathbf{x}^2 + \mathbf{y}^2}$  and  $\boldsymbol{\theta} =$  $atan2\{y, x\}$ . In **r** the power is element-wise whereas, for the sake of representation, the function atan2{.} is implemented using a special clockwise version which works in the subspace [0, 3.14] (half quadrant). Next, the vector of angles  $\theta \in \mathbb{R}^n_+$  is modeled by the histogram  $\mathcal{H}_{\theta} = (\eta, \omega) =$  $\{(\eta_1, \omega_1), ..., (\eta_t, \omega_t), ..., (\eta_T, \omega_T)\}$  where  $\eta_t$  is the t<sup>th</sup> bin  $(t = 1, ..., T), \omega_t$  represents the frequency of occurrence of movement points in  $\eta_t$ , and T is the natural histogram parameter (Fisher, 1995). The search for the best number of bins T is an important point in the construction of the model  $\mathcal{H}_{\theta}$ . As is known, several rational criteria (e.g., Sturges'

formula, Doane's formula, Freedman–Diaconis' rule) as well as automated procedures (e.g., see: Shimazaki & Shinomoto, 2007) can be employed to get appropriate estimates of *T*. In our context, this search is automated using a dedicated trial-by-trial sensitivity analysis (Birgé & Rozenholc, 2006).<sup>2</sup> Figure 2 shows a graphical representation of the EMOT

righte 2 shows a graphical representation of the EMOT modeling step. In particular, panel (A) represents the prototypical case in which the competing cue C1 produces attraction. In this example, the support of the histogram spans (in angles) from C1 to C2 although the majority of frequencies is still located around C1. On the contrary, panel (C) reflects a situation in which mouse movements are largely located toward the final selected cue C2 while showing fewer directions toward C1 even though they span along the interval ranging from C1 to C2. This situation might occur when fast and hasty mouse movements do not relate with a real attraction for the competing cue C1. Finally, Panel (B) codifies a situation where both C1 and C2 cues produce a strong attraction on mouse movements with the consequence of an higher recorded uncertainty.

## **Extracting motion features**

To quantify fast movements and motor pauses from movement trajectories, we first transformed the linear histogram by means of an operator  $\phi(\eta, \omega)$ , which returns for each distinct bin  $\eta_t$  the corresponding cumulative frequency  $\sum_{s \le t} \omega_s$  smoothed according to a standard interpolation algorithm (e.g., see: Eilers & Goeman, 2004). Next, the *cumulative residual entropy* of  $\phi(\eta, \omega)$  was computed as follows:

$$\psi = [\phi(\eta, \omega) - 1] \log[1 - \phi(\eta, \omega)]^T \mathbf{1}$$
(1)

where **1** is a  $T \times 1$  vector of all ones. The quantity  $\psi$  is a modified version of the traditional Shannon entropy and has been firstly introduced by Rao et al. (2004). It is defined as a measure of the average amount of information and therefore is related with the degree of complexity in a given system (for further details see: Di Crescenzo & Longobardi, 2009; F. Wang & Vemuri, 2007).<sup>3</sup> In our context,  $\psi$  is an overall

<sup>&</sup>lt;sup>2</sup>In the EMOT context, the best value for *T* is sought adopting an iterative single-trial sensitivity analysis. Particularly, the algorithm iteratively evaluates stability and fluctuations of the EMOT results over a set of admissible *T*'s. As for multi-objective optimization techniques, the best *T* is determined with a robust integration of sub-optimal *T*'s. Finally, the goodness of the solution is measured with an *accuracy index* which relates on how reliable is the final result. Generally, low values for such index are caused by a low quality of the movement profile (e.g., bad *x*-*y* data sampling, sparse data).

<sup>&</sup>lt;sup>3</sup>Although several measures of information are available in this context (e.g., Shannon entropy, fractal entropy) we opted for the cumulative residual entropy because: (a) it can be naturally applied on objects like  $\phi(\eta, \omega)$ , (b) it is defined in both discrete and continuous domains, and



Fig. 2 Conceptual diagram of EMOT modeling: from mouse trajectories to histogram of spatial motion information (points of motor pause in the *red ellipse*, points of fast movement in *green*). ( $\mathbf{a}$ - $\mathbf{c}$ ) Hypothetical histograms of spatial motion information: ( $\mathbf{a}$ ) Pattern with attraction toward C1; ( $\mathbf{b}$ ) Pattern with equally distributed attraction; ( $\mathbf{c}$ ) Pattern with no attraction toward C1. Note that C1 and C2 refer to distractor and target, respectively

measure of the *total spatial motion information* captured by the movement model  $\phi(\eta, \omega)$ , which represents the amount of information reflected in the movement trajectory. In order to account for the sub-components of the trajectory, Eq. 1 is additively decomposed, as follows:

$$\psi = \xi + \zeta \equiv [\phi(\eta, \tau) - 1] \log[1 - \phi(\eta, \tau)]^T \mathbf{1} + [\phi(\eta, \upsilon) - 1] \log[1 - \phi(\eta, \upsilon)]^T \mathbf{1}$$
(2)

where  $\xi$  and  $\zeta$  are the unknown residual cumulative entropies associated with the fast movement and the motor pauses, respectively. Similarly,  $\tau$  and v are  $T \times 1$  vectors of unknown weights of the models of fast movements and motor pauses, respectively. Furthermore, by letting  $\zeta = \zeta_1 + \zeta_2$  to include the information related to competing and correct cues, Eq. 2 can be additionally decomposed as:

$$\psi = \xi + \zeta_1 + \zeta_2$$
  

$$\equiv [\phi(\eta, \tau) - 1] \log[1 - \phi(\eta, \tau)]^T 1 + [\phi(\eta, \upsilon_1) - 1] \log[1 - \phi(\eta, \upsilon_1)]^T 1 + [\phi(\eta, \upsilon_2) - 1] \log[1 - \phi(\eta, \upsilon_2)]^T 1$$
(3)

where  $\zeta_1$  and  $\zeta_2$  are the unknown cumulative residual entropies of motor pauses occurred in the direction of the competing cue and the target (see Fig. 2a), whereas  $v_1$  and  $v_2$  are  $T \times 1$  vectors of unknown weights of the corresponding components. The decomposition of the quantity  $\psi$ allows for the identification of the movement components and their separate analysis. The overall estimation problem boils down in determining the values of the unknown quantities  $\xi$ ,  $\zeta_1$ , and  $\zeta_2$  that satisfy the equality (3), given the observed data  $\theta$ .

# *Estimating* $\xi$ , $\zeta_1$ , $\zeta_2$

In line with the descriptive nature of our proposal and in order to retain the single-trial approach upon which EMOT is based, we estimated  $\xi$ ,  $\zeta_1$ ,  $\zeta_2$  by reformulating the decomposition as a non-linear optimization problem (NLP) within the minimum Kullback–Leibler framework (Ciavolino and Calcagnì, 2015; Good, 1963), a dual version of the wellknown Jayne's maximum entropy principle (Kapur & Kesavan, 1992). However, in order to apply this procedure, we first need to define a set of external feasible information about  $\xi$ ,  $\zeta_1$ , and  $\zeta_2$  that will constrain the class of possible solutions of our decomposition problem.

As the only information available is contained in the vector of angles of movements, we can use  $\theta$  to derive some *proxies* that can be used in solving our problem. In particular, let  $\theta_0 = \mathcal{F}_0(\theta)$  be the *zeroth-frequency moment* of  $\theta$ where  $\theta_0$  contains only distinct element of  $\theta$  with no ties whereas  $\mathcal{F}_0$  is a standard algorithm for this purpose (Coppersmith & Kumar, 2004).<sup>4</sup> Like for  $\mathcal{H}_{\theta}$ , we can also define the histogram for  $\theta_0$ . More specifically, let  $\mathcal{H}_{\theta_0} = (\eta, \pi) =$  $\{(\eta_1, \pi_1), ..., (\eta_t, \pi_t), ..., (\eta_T, \pi_T)\}$  be the histogram model for  $\theta_0$ , where  $\pi$  represents the occurrences of the movements (without duplicates) in  $\theta_0$ . Given its nature,  $\pi$  can serve as a proxy for  $\tau$ . By contrast, to define feasible proxies for  $v_1$  and  $v_2$ , we will make use of a procedure similar to the one adopted in the "spatial pyramid matching", a pattern recognition technique which is used to recursively divide an

<sup>(</sup>c) it is applicable to both bimodal and unimodal models of  $\mathcal{H}_{\theta}$  (Rao et al., 2004).

<sup>&</sup>lt;sup>4</sup>As example, consider the array of angles  $\theta = (0.23, 0.23, 0.45, 0.88, 0.88, 0.88, 1.10, 1.10)$ . Extracting its zeroth-frequency moment leads to the vector  $\theta_0 = (0.23, 0.45, 0.88, 1.10)$ , which contains only the unique elements of  $\theta$ .

image histogram into sub-histograms of features (Lazebnik et al., 2009). More precisely, let us define:

$$\begin{aligned} \mathcal{U} &= (\mathcal{H}_{\theta} - \mathcal{H}_{\theta_0}) = \{ (\eta_1, \lambda_1), ..., (\eta_t, \lambda_t), ..., (\eta_T, \lambda_T) \} \\ &= \{ (\eta_1, \omega_1 - \pi_1), ..., \\ (\eta_t, \omega_t - \pi_t), ..., (\eta_T, \omega_T - \pi_T) \} \end{aligned}$$

and compute the median class  $\eta_S$  of  $\mathcal{U}$ . Next, we set:

$$\mathcal{U}_{1} = (\eta, \lambda_{1}) = \{(\eta_{1}, \lambda_{1}), ..., (\eta_{s}, \lambda_{s}), ..., (\eta_{s}, \lambda_{s})\}$$
  
$$\mathcal{U}_{2} = (\eta, \lambda_{2}) = \{(\eta_{s+1}, \lambda_{s+1}), ..., (\eta_{t}, \lambda_{t}), ..., (\eta_{T}, \lambda_{T})\}$$

as sub-models associated with the semi-spaces of the target (C2 in Fig. 2) and competing cues (C1 in Fig. 2), respectively. Finally, the ensuing vectors  $\lambda_1$  and  $\lambda_2$  can be used as proxies for the quantities  $v_1$  and  $v_2$ .

Finally, let:

$$\begin{aligned} \boldsymbol{\xi}(\boldsymbol{\tau}) &= [\boldsymbol{\phi}(\boldsymbol{\eta}, \boldsymbol{\tau}) - \mathbf{1}] \log[\mathbf{1} - \boldsymbol{\phi}(\boldsymbol{\eta}, \boldsymbol{\tau})]^T \mathbf{1} \\ \boldsymbol{\zeta}_1(\boldsymbol{v}_1) &= [\boldsymbol{\phi}(\boldsymbol{\eta}, \boldsymbol{v}_1) - \mathbf{1}] \log[\mathbf{1} - \boldsymbol{\phi}(\boldsymbol{\eta}, \boldsymbol{v}_1)]^T \mathbf{1} \\ \boldsymbol{\zeta}_2(\boldsymbol{v}_2) &= [\boldsymbol{\phi}(\boldsymbol{\eta}, \boldsymbol{v}_2) - \mathbf{1}] \log[\mathbf{1} - \boldsymbol{\phi}(\boldsymbol{\eta}, \boldsymbol{v}_2)]^T \mathbf{1} \end{aligned}$$
(4)

being the cumulative residual entropies associated with the fast movements and the motor pauses, respectively, whereas  $\tau$ ,  $v_1$ , and  $v_2$  are the associated  $T \times 1$  unknown vectors.

Now, we have all the tokens to exactly define the nonlinear optimization problem. In particular, by using the proxies  $\pi$ ,  $\lambda_1$  and  $\lambda_2$ , the NLP problem can be set as follows:

minimize  

$$\begin{aligned} & \mathbf{1}^{T} [\log(\tau) - \log(\pi)] \tau + \mathbf{1}^{T} [\log(\upsilon_{1}) - \log(\lambda_{1})] \upsilon_{1} \\ & + \mathbf{1}^{T} [\log(\upsilon_{2}) - \log(\lambda_{2})] \upsilon_{2} \\ & \text{subject to: } \psi = \xi(\tau) + \zeta_{1}(\upsilon_{1}) + \zeta_{2}(\upsilon_{2}) \\ & 1 = \mathbf{1}^{T} \tau, \quad 1 = \mathbf{1}^{T} \upsilon_{1}, \quad 1 = \mathbf{1}^{T} \upsilon_{2} \end{aligned}$$
(5)

where **1** is a vector of ones of appropriate order whereas the objective function to be minimized is the well-known Kullback–Leibler (KL) divergence, which is expressed as a function of the unknowns quantities  $\tau$ ,  $v_1$ ,  $v_2$  and the proxies  $\pi$ ,  $\lambda_1$ ,  $\lambda_2$ . Note that the first constraint in the equation ensures that all the estimates are still compatible with the decomposition of the quantity  $\psi$  whereas the other constraints are just normalization factors which guarantee well-posed estimates.

Considering the nature of the problem (5), the (approximated) solutions can be found numerically using an interiorpoint method that allows for efficient and effective handling of the equality constraints described in Eq. 4 (Gill et al., 1981). A preliminary pilot study confirmed this expectation by showing that the algorithm was indeed fast and accurate enough to provide stable and convergent numerical solutions.

#### Implementation

The EMOT method is implemented as an add-on package for the Matlab environment and includes function for data modeling, estimating parameters, and performing graphical analyses of trajectories and extracted measures. All the features involved in the analysis (e.g., NLP algorithm and parameters, histogram parameters, sensitivity analysis) can be set by the user. Moreover, different types of analysis (e.g., subject-by-subject and global) with different characteristics can be selected. Finally, the application provides a single output containing all the results of the analysis. The EMOT tool works with the raw *x-y* computer-mouse trajectories that can be collected with any recording software. The EMOT package is freely available at http://polorovereto. unitn.it/~antonio.calcagni/emot.html.

# Interpretation of the EMOT measures

**Measure**  $\psi$  The cumulative residual entropy is used as an overall quantity of the spatial motion information expressed by the movement data. As such,  $\psi$  conveys the overall dynamics of the x-y trajectory executed in the movement space (e.g., degree of freedom of the movement, spatial exploration) and correlates to the amount of spatial uncertainty due to the movement dynamics. Consequently, it takes its minimum value when the movement is simply executed in the direction of the target C2, i.e., when the movement shows no attraction toward the competing cue C1, whereas takes its maximum value when the movement dynamics show attraction/competition toward the competing cue C1 (see Fig. 2a). This interesting property makes  $\psi$  directly comparable with MD/AUC measures of the DGA approach. However, since  $\psi$  is calculated using the models  $\mathcal{H}_{\theta}$  and  $\phi(\eta, \omega)$  rather than directly  $\theta$ , it is more robust to noisy and uneven movement trajectories than MD/AUC.

**Decomposition of**  $\psi$  The additive decomposition,  $\psi$  =  $\xi + \zeta_1 + \zeta_2$ , allows for a simple and effective analysis of the motor information and provides insights into the mouse trajectories recorded during the task. Indeed, the measures  $\xi$ ,  $\zeta_1$ , and  $\zeta_2$  quantify motion events that are relevant in the mouse-tracking context, as they might reveal important aspects of the involved cognitive processes, such as decisional conflicts and decisional uncertainty (Johnson et al., 2012; Glaholt & Reingold, 2011). In particular, the component  $\xi$  quantifies rapid movement events associated, for instance, with motor-execution behaviors, which can arise after a decision has been made. Instead, the components  $\zeta_1$ and  $\zeta_2$  represent pause movement events that are associated, for example, with processes of goal formulation and/or reformulation and can uncover the dynamics of the decision process (Johnson et al., 2012; Glaholt & Reingold, 2011).

## Two empirical applications

In this section, we will shortly present two applications of the EMOT approach based on two already published datasets. In the first application, we will describe a singletrial analysis on data coming from a standard categorization task (Dale et al., 2006). Here, we will evaluate (a) how the entropy-based indices behave and (b) how they can be interpreted when empirical movement profiles are considered individually. In the second application, we will also evaluate the EMOT measures on a group analysis of lexical decision data (Barca & Pezzulo, 2012). In both the applications our measures will be also matched to a set of the most frequently used DGA measures. Note that the applications we report here have only illustrative purposes with the aim to highlight the importance of considering both the movement and pause measures as relevant indexes of cognitive processing.

# Categorizing atypical exemplars

General context and motivation Categorizing stimuli with atypical nature usually involves competition and uncertainty in participants that have to choose among categories with high similarities. Indeed, typical stimuli are also recognized faster and more accurately than atypical ones (Dale et al., 2007; Rips et al., 1973). By using the mouse tracking methodology, Dale et al. (2006) have investigated the effect of stimulus typicality in the context of the categorization of natural stimuli (e.g., animals). In particular, they proposed a simple semantic categorization task where basic level animal names (e.g., gorilla) were assigned to their respective superordinate category (e.g., mammal). Participants were asked to categorize animal stimuli in the correct category by choosing between two possible assignments (e.g., gorilla: mammal vs. reptile) with the hypothesis that stimuli showing higher proximity with the incorrect category will result in larger mouse trajectories reflecting competition and uncertainty in the categorization process. We expect that task competition and uncertainty would be reflected both in terms of fast movements and motor pauses.

**Data, measures, procedure** The sample is represented by 34 right-handed students from the Cornell University. Lexical stimuli (125 names of animals) belonging to the natural superordinate categories of mammal, fish, reptile, bird, and insect, were extracted from the McRae et. al.'s study (McRae et al., 1997) together with their feature-semantic measures and measures of similarities. Participants were presented with two category names (target and competitor) placed in the right and left-hand corner of a computer screen whereas the stimulus name was placed on the bottom of the

screen. Participants were asked to categorize the animals by clicking the computer-mouse on their correct categories. In the meantime, the x-y streaming of the mouse coordinates were recorded. The positions of target and competitor and, for each trial, the competitor category were randomly chosen among the four possible animal categories.

**Data analysis and results** We re-analyzed the original x-y mouse trajectories data using the EMOT approach. In addition, we also computed some well-known DGA measures (MD, AUC, xFlips, samEnt: sample entropy, AC: acceleration components) using the package mousetrap developed by P. J. Kieslich and F. Henninger.<sup>5</sup> The AC measure was instead calculated as indicated in Duran et al. (2010).

Figure 3 shows a prototypical case, which can be nicely described by the DGA approach (e.g., see: Spivey & Dale, 2006). The pattern contains two small pauses at the beginning and end of the movement, possibly reflecting planning motor executions and their finalization (e.g., see: Flash & Henis, 1991). The movement appears simple and clean with total spatial information, which is entirely due to fast movements ( $\psi = \xi = 2.37$ ) with no motor pauses in the direction of the competing lexical category *reptile*. In this example, EMOT and DGA measures convey converging results.

By contrast, Fig. 4 depicts a typical movement profile characterized by uncertainty in classifying the stimulus seal in the correct category mammal because of the lexical competition of the other category *fish*. This is reflected in the trajectory as well as in the pauses occurring toward the competing category. The empirical movement path is defined by two main features: a first rapid movement toward the incorrect category, which ends with a motor pause and a second rapid movement toward the correct category. The overall dynamics of the movement is largely due to the two fast movements ( $\xi = 2.94, 91\%$ ) with only a motor pause for the competing category ( $\zeta_1 = 0.28, 9 \%; \zeta_2 = 0, 0 \%$ ). This profile is an example of a movement path composed by two discrete sub-movements separated by a pause (e.g., see: Hwang et al., 2005; van der Wel, Eder, Mitchel, Walsh, & Rosenbaum, 2009), which is difficult to reconcile with the assumption of continuous movement trajectories assumed by some of the DGA interpretations (e.g., see: J. Freeman, Dale, & Farmer, 2011).

Figure 5 illustrates a more complex profile with an atypical curvature toward the incorrect category *fish*. Here, the pattern shows a movement composed by three sub-movements with three areas of motor pause in the direction of both categories. The movement starts with a rapid ballistic trajectory toward the correct target *mammal*, turns toward the incorrect category *fish*, and turns again toward

<sup>&</sup>lt;sup>5</sup>https://cran.r-project.org/web/packages/mousetrap/



**Fig. 3** First case study: Participant Id. no. 2. EMOT measures:  $\psi = 2.37, \xi = 2.37 (100 \%), \zeta_1 = 0 (0 \%), \zeta_2 = 0 (0 \%)$ . DGA Measures: MD = 0.28, AUC = 0.17, xFlips = 1, samEnt = 0.14, AC = 12.

Completing time: 650 ms. Average sample time: 845 ms. Note that  $\mathcal{H}_{\theta}$  and  $\mathcal{H}_{\theta_0}$  are the spatial motion models whereas  $\tau$ ,  $\upsilon_1$ , and  $\upsilon_2$  represent the estimated components of the EMOT model

the correct *mammal* where it definitely stops. In this example, all the three of the EMOT measures were activated ( $\xi = 1.65, 75 \%$ ;  $\zeta_1 = 0.35, 16 \%$ ;  $\zeta_1 = 0.18, 9 \%$ ). Note that for this pattern the DGA measures do not capture all the richness capitalized by the movement trajectory. For instance, AUC = 0 suggests that no attraction has been exercised by the competing category *fish*.

Finally, Figs. 6 and 7 show two patterns of movements where there is no (continuous) attraction toward the distractor. In particular, Fig. 6 presents a path with a fast movement in the direction of the correct category *mammal* followed by a motor pause just before the final clicking. The overall movement dynamic is mainly due to fast motor executions  $(\xi = 1.56, 81 \%)$  and a motor pause in the area of the target  $(\zeta_2 = 0.36, 19 \%; \zeta_1 = 0, 0 \%)$ . Note that in this case some of the DGA measures, such as MD and AUC, show negative values, which are hard to interpret in substantive terms. Moreover, xFlips suggests a kind of complexity along the xaxis although there is no displacement toward the category bird. Similarly, Fig. 7 depicts a movement path characterized by a sequence of submovements along the direction of the correct category mammal, conjoined by two pauses. The overall dynamics of the movement is mainly due to movement executions ( $\xi = 0.78, 82\%$ ). Motor pauses, which are in the direction of the target ( $\zeta_2 = 0.13, 18\%$ ;  $\zeta_1 = 0, 0\%$ ), may reflect here the activation of verification components (e.g., see: Meyer et al., 1988).

#### A lexical decision task

In this second application, we studied the behavior of EMOT and DGA measures by looking at participants' performance in a lexical decision task.

**General context and motivation** Lexical decision is one of the most used task in psycholinguistic literature since the 1960s. Generally, in this task, participants see a printed stimulus on the screen (e.g., *hand*) and have to perform a dichotomous choice to decide whether it is a real word or not. The lexical decision task is widely used in the study of visual world recognition and reading. Since this task is very simple and versatile, it has been employed in tens of different experimental situations and is suitable for studying multiple levels of the linguistic processing (e.g., Hawkins, Brown, Steyvers, & Wagenmakers, 2012; Norris & Kinoshita, 2008; Yap, Balota, Tse, & Besner, 2008).



**Fig. 4** First case study: Participant Id. no. 26. EMOT measures:  $\psi = 3.22, \xi = 2.94$  (91 %),  $\zeta_1 = 0.28$  (9 %),  $\zeta_2 = 0$  (0 %). DGA measures: MD = 0.59, AUC = 0.16, xFlips = 2, samEnt = 0.26,

AC = 16. Completing time: 1100 ms. Average sample time: 1031 ms. Note that  $\mathcal{H}_{\theta}$  and  $\mathcal{H}_{\theta_0}$  are the spatial motion models whereas  $\tau$ ,  $\upsilon_1$ , and  $\upsilon_2$  represent the estimated components of the EMOT model

In this second application, we assessed the extent to which the overall entropy measure  $\psi$  and each of its subcomponents,  $\xi$ ,  $\zeta_1$ , and  $\zeta_2$ , are affected by a stimulus type factor composed by words, pseudowords (i.e., sequences of letters that do not exist in the language but can be read), and random strings (i.e., random sequence of letters that are phonotactically illegal in the language) in a lexical decision task. We expect that the entropy measure  $\psi$  will be characterized by a pattern similar to that of the geometric measure MD/AUC with in general pseudowords being associated with larger levels of uncertainty in the decision process. Moreover, we also assessed the importance played by the pauses or pauses (measured by means of the quantities  $\zeta_1$  and  $\zeta_2$ ) in shaping the level of uncertainty associated with more difficult stimuli.

**Data, measures, procedure** As a case study, we take advantage of the work by Barca and Pezzulo (2012) that is, for our purpose, a perfect case of lexical decision. They ran a lexical decision experiment in Italian by adopting a stimulus type factor with four different categories: Words of high written frequency (HF, e.g., *acqua* 'water'), words of low written frequency (LF, e.g., *cervo* 'deer'), pseudowords

(PW, e.g., *dorto*), and strings (STR, e.g., *btfpr*). Participants saw a total of 96 stimuli (1/4 for each category), once at the time, and had to categorize each stimulus as either a word or a nonword; the two alternative answers were displayed at the top left and top right of the screen and the choice was performed by reaching one of the two alternatives with the mouse.

**Data analysis and results** We re-analyzed the original *x*y mouse trajectories collected by Barca and Pezzulo (2012) by using the EMOT approach and compared the entropy measures with the standard measures of the DGA (i.e., MD, AUC, xFlips, samEnt, and AC) that are computed as described for the first application. Moreover, in our analysis, other than using the stimulus type factor used by Barca and Pezzulo (2012), we added a further psycholinguistic variable, bigram frequency, as a covariate. Bigram frequency is the frequency with which adjacent pairs of letters (bigrams) occur in printed texts; for its characteristics, it may be considered as a measure of orthographic typicality (see, e.g.,: Hauk, Davis, Ford, Pulvermüller, & Marslen-Wilson, 2006) and we used it as a covariate in the analysis to further reduce error variance. Only bigram frequency was used since it was



**Fig. 5** First case study: Participant Id. no. 19. EMOT measures:  $\psi = 2.18$ ,  $\xi = 0.53$  (24 %),  $\zeta_1 = 1.64$  (75 %),  $\zeta_2 = 0.01$  (1 %). DGA measures: MD = 0.63, AUC = 0, xFlips = 3, samEnt = 0.52,

the only psycholinguistic variable that could be computed for all the stimuli.

#### Examples of single-case analysis

Figure 8 illustrates a profile from the low frequency (LF) condition. The path is a typical case characterized by a first fast movement that proceeds on the center of the screen for a while, then a second movement is initiated toward NW before reversing in the direction of W. This pattern suggests a prolonged decision uncertainty rather than a continuous competition between the two categories. The overall movement dynamics is proportionally due to motor executions  $(\xi = 2.37, 62 \%)$  and pauses  $(\zeta_1 = 1.08, 28 \%; \zeta_2 = 0.34,$ 10 %). Figure 9 shows instead a quasi-cyclic trajectory involving several discrete sub-movements and pauses with no attraction in the direction of NW. The overall movement dynamics is mainly ascribed to motor executions ( $\xi = 2.21$ , 79 %) and pauses toward the target ( $\zeta_2 = 0.58, 21$  %;  $\zeta_1 = 0, 0$  %). Interestingly, in this case, DGA measures fail to recognize the characteristics of this profile: AUC is close to zero because of the quasi-cyclic trajectory shown by this profile, which yields summing positive and negative portions of area at the same time.

AC = 25. Completing time: 1050 ms. Average sample time: 880 ms. Note that  $\mathcal{H}_{\theta}$  and  $\mathcal{H}_{\theta_0}$  are the spatial motion models whereas  $\tau$ ,  $\upsilon_1$ , and  $\upsilon_2$  represent the estimated components of the EMOT model

Finally, Fig. 10 depicts a profile characterized by three submovements with two pauses in the direction of both the cues. The three movement executions represent a large amount of total spatial information ( $\xi = 1.00, 57 \%$ ) whereas it is interesting to note that motor pauses are approximately the same in their values ( $\zeta_1 = 0.379, 22\%$ ;  $\zeta_2 = 0.381, 21\%$ ). This suggests that the participant showed greater uncertainty in categorizing the stimulus as nonword or word. Indeed, he/she first hesitated toward *W*, then toward *NW*, before selecting the correct final choice. In sum, all the three examples illustrated in this second application would result in some level of interpretation difficulty for the basic DGA measures (particularly for MD and AUC).

#### Group analysis

As a first step, we ran nonparametric correlation analyses to evaluate the eventual degree of similarity between the entropy measures and the geometric measures. Table 1 reports the results of the correlational analyses. For this type of data, a high degree of similarity was observed between  $\psi$  and MD (and to some less degree between  $\psi$  and AUC), whereas only mild correlations were observed between  $\psi$ 



**Fig. 6** First case study: Participant Id. no. 18. EMOT measures:  $\psi = 1.92, \xi = 1.56$  (81 %),  $\zeta_1 = 0$  (0 %),  $\zeta_2 = 0.36$  (19 %). DGA measures: MD = -0.20, AUC = -0.07, xFlips = 2, samEnt = 0.17,

and the other DGA measures (xFlips, samEnt, and AC). Because of the model definition, we naturally observed also positive correlations between  $\psi$  and its subcomponents,  $\xi$ ,  $\zeta_1$ , and  $\zeta_2$ , with  $\xi$  showing the largest correlation among the three terms. Interestingly, the correlational analysis showed a negative association between the two pause entropies  $\zeta_1$  and  $\zeta_2$ . Finally, the last line of Table 1 reports the nonparametric correlations between the overall response times (RTs) and the mouse tracking measures. From a quick inspection of these correlations, it seems clear that the mouse tracking measures and RTs are independent quantities characterizing different components of the process. In particular, the overall duration of a lexical decision task does not capture the motor uncertainties unfolding during the decisional process.

As a second step, to analyze the impact of the experimental factor on the  $\psi$  measure (as dependent variable), we ran a robust linear mixed model<sup>6</sup> (RobLMM) using stimulus type as factor (with HF as reference level of the factor) and bigram frequency as covariate; random intercepts for subjects and items were also included in the model. Table 2 reports some descriptive statistics of the subcomponents of

AC = 19. Completing time: 900 ms. Average sample time: 817 ms. Note that  $\mathcal{H}_{\theta}$  and  $\mathcal{H}_{\theta_0}$  are the spatial motion models whereas  $\tau$ ,  $\upsilon_1$ , and  $\upsilon_2$  represent the estimated components of the EMOT model

 $\psi$  as a function of the four levels of the experimental factor. To compare the results for  $\psi$ , we also run similar analyses using the DGA measures as new dependent variables. Table 3 reports the overall results of the RobLMM analysis. As expected, the results of the robust regressions showed very similar patterns for AUC, MD, and  $\psi$ : In all the models, there was a significant difference between PW and HF (AUC:  $\beta_{pw} = 0.123$ , SE = 0.016, t = 7.655; MD:  $\beta_{pw} =$ 0.233, SE = 0.018, t = 13.212;  $\psi$ :  $\beta_{pw} = 10.574$ , SE = 0.832, t = 12.714) with an increasing degree of uncertainty in PW with respect to HF. However,  $\psi$  showed also marginal effects for STR ( $\beta_{str} = 2.231$ , SE = 1.117, t = 1.998). No further effect reached significance in the three models. By contrast, sample entropy and acceleration showed a moderate effect between LF and HF (samEnt:  $\beta_{\text{lf}} = 0.012$ , SE = 0.006, t = 2.034; AC:  $\beta_{\text{lf}} = 0.015$ , SE = 0.006, t = 2.488) but only sample entropy was significantly different between PW and HF (samEnt:  $\beta_{pw} = 0.015$ , SE = 0.006, t = 2.488). The last DGA measure, xFlips, did not show any significant difference. Finally, the results for the RT analysis showed a significant difference between PW and HF ( $\beta_{pw} = 162.011$ , SE = 9.575, t = 16.919) as well as a significant difference between LF and HF ( $\beta_{\text{lf}} = 41.076$ , SE = 9.157, t =4.486). In particular, this latter result about low frequency words showed how response time and some of the mouse

<sup>&</sup>lt;sup>6</sup>To run the analyses, we used the R package robustlmm by Manuel Koller. The package is freely available on the CRAN repository.



**Fig. 7** First case study: Participant Id. no. 20. EMOT measures:  $\psi = 0.91$ ,  $\xi = 0.78$  (82 %),  $\zeta_1 = 0$  (0 %),  $\zeta_2 = 0.13$  (18 %). DGA measures: MD = 0.09, AUC = 0.02, xFlips = 4, samEnt = 0.71,

AC = 23. Completing time: 1300 ms. Average sample time: 817 ms. Note that  $\mathcal{H}_{\theta}$  and  $\mathcal{H}_{\theta_0}$  are the spatial motion models whereas  $\tau$ ,  $\upsilon_1$ , and  $\upsilon_2$  represent the estimated components of the EMOT model

trajectory measures, such as the EMOT measures, MD and AUC, captured different facets of the lexical decision process.

After having evaluated the overall entropy effect as measured by  $\psi$ , we further investigated the single components,  $\xi$ ,  $\zeta_1$ , and  $\zeta_2$ , of the EMOT model by running different Rob-LMMs on the same set of independent variables. About the entropy measure of fast movements,  $\xi$ , the result of the Rob-LMM analysis showed a significant difference between PW and HF ( $\beta_{pw} = 9.625$ , SE = 0.772, t = 12.461), which was also the only observed statistically significant result in the model. The pattern was similar to that of the overall measure  $\psi$ , with a larger degree of uncertainty in PW as compared to HF. For the pauses toward the distractor,  $\zeta_1$ , the results of the robust analysis showed a significant effect for both PW and STR ( $\beta_{pw} = 0.282$ , SE = 0.054, t = 5.222;  $\beta_{\text{str}} = 0.207$ , SE = 0.073, t = 2.856) with larger levels of uncertainty in PW and STR with respect to HF. By contrast, the pauses toward the target  $(\zeta_2)$  did not show any significant effect in the RobLMM analysis. Overall, the pattern of results showed that stimulus type affected two of the three components of the overall entropy measure  $\psi$ . In particular, the level of entropy is larger for PW than HF both in pure movements and pauses toward the distractor ( $\xi$  and  $\zeta_1$ ); by

contrast, stimulus type does not affect the level of entropy for pauses toward the target,  $\zeta_2$ . These results indicate that in some psycholinguistic tasks, such as lexical decision with words, pseudowords, and random strings, pure movements play a relevant role in characterizing the decisional process, with in general easier stimuli being associated with lower levels of movement entropy as measured by mouse trajectories. However, the uncertainty related with pure movement does not tell the entire story, as also the entropy associated with pauses, in particular that occurred in the direction of the distractor, is an important aspect in describing the mechanisms involved in the decisional task. As far as we know, this is the first time that motor pauses have been modeled and investigated to better understand the cognitive processes involved in such empirical paradigms.

## Discussion

The new EMOT approach aimed to analyze computermouse trajectories recorded during mouse-tracking experiments, in terms of their constitutive components (fast movements and motor pauses). EMOT offers a way not only to detect such components, but also to quantify



**Fig. 8** Second case study: Participant Id. no. 25. EMOT measures:  $\psi = 3.79, \xi = 2.37$  (62 %),  $\zeta_1 = 1.08$  (28 %),  $\zeta_2 = 0.34$  (10 %). DGA measures: MD = 1.51, AUC = 1.03, xFlips = 10, samEnt = 0.34, AC = 23. Completing time: 1763 ms. Average sample

time: 1509 ms. Note that  $\mathcal{H}_{\theta}$  and  $\mathcal{H}_{\theta_0}$  are the spatial motion models whereas  $\tau$ ,  $\upsilon_1$ , and  $\upsilon_2$  represent the estimated components of the EMOT model. Note also that *NW* and *W* indicate nonwords and word categories whereas *ghiro* is the Italian stimulus for *dormouse* 

them in terms of entropy, a means that helps to measure decisional uncertainty during two-choice categorization tasks (see Application 1). This is an important feature of EMOT, which is different from other existing approaches, such as DGA (Freeman & Ambady, 2010) or parsing based techniques (Hwang et al., 2005).

In general, the decomposition of  $\psi$  entails a set of relations among the subcomponents  $\xi$ ,  $\zeta_1$ , and  $\zeta_2$  that can guide a researcher to interpret EMOT results. The first component  $\xi$  is related to (rapid) movements. Because two-choice categorization tasks naturally require a final decision, a *good* sampled trajectory should always show positive values of  $\xi$ , which indicates that a dynamic movement occurred. Even very fast movements always involve a number of motor executions that are detected by the subcomponent  $\xi$ . Consequently, movement profiles with  $\xi = 0$  would reveal problems during sampling of computer-mouse movements. Given its nature,  $\xi$  is similar to the more traditional DGA measures and may be associated to both the decisional unfolding and fulfillment in categorization tasks (e.g., see: Freeman & Ambady, 2010).

With regards to  $\zeta$ , positive values are not mandatory events, as indicated by those profiles showing rapid motor

execution without relevant slowing-down phenomena (e.g., see Fig. 3). This could be the case, for example, when the decision process either has been completed before a participant moves the pointer or the decision unfolds smoothly. However, the most typical profiles involve positive values for  $\xi$  followed by positive values for one of the two components  $\zeta_1$  or  $\zeta_2$ . We suggest that  $\zeta_1$  and  $\zeta_2$  mirror different processes. A prominence of  $\zeta_1$  may be associated to a conflict between the target and the competitor category, with larges values denoting a stronger competition exerted by the distractor (Fig. 4 exemplifies this process). Differently, a prominence toward  $\zeta_2$  seems to be associated to the verification component of the decision process, which may be tentatively linked to the activity of the monitoring system (e.g., see: Resulaj, Kiani, Wolpert, & Shadlen, 2009).

In sum, the EMOT approach as well as its empirical validation give us the chance to make a more general consideration on the dual-choice task. As nicely exemplifies Fig. 5, the categorization process does not necessarily involve a continuous mouse attraction toward the distractor; instead, in some cases, the process seem to unfolds through discrete consecutive movements (e.g., see: Fishbach, Roy, Bastianen, Miller, & Houk, 2007).



**Fig. 9** Second case study: Participant Id. no. 2. EMOT measures:  $\psi = 2.79, \xi = 2.21 (79 \%), \zeta_1 = 0 (0 \%), \zeta_2 = 0.58 (21 \%).$  DGA measures: MD = -0.26, AUC = 0.01, xFlips = 8, samEnt = 0.26, AC = 13. Completing time: 1794 ms. Average sample time: 1450 ms.

Note that  $\mathcal{H}_{\theta}$  and  $\mathcal{H}_{\theta_0}$  are the spatial motion models whereas  $\tau$ ,  $\upsilon_1$ , and  $\upsilon_2$  represent the estimated components of the EMOT model. Note also that *NW* and *W* indicate nonwords and word categories

For an overall schema of the most relevant cases of EMOT measure combinations, see Table 4.

# Potential and limits of the EMOT approach

Although temporal analyses might shed light on the dynamics of the cognitive characteristics of the movement (Jonsen et al., 2005), we recognize that the EMOT framework uses the spatial components of empirical trajectories as the only informational source. However, this simplification can be easily justified. First, we were interested in analyzing spatial descriptors of the movement, which are important in the two-choice categorization domain (like cue attraction). This entailed the use of a trajectory-oriented approach of analysis, focusing on the extraction of specific location parameters (e.g., absolute or relative angles) used in subsequent statistical analyses (Demšar & Virrantaus, 2010). Second, as also pointed out by the results of our second application, the analysis of the spatial information provided different insights into the mechanisms underlying mouse-tracking tasks, which are not always uncovered by the temporal information (Georgopoulos et al., 1981). Moreover, the use of more complex models considering both spatial and temporal information together, such as the correlated random walk (CRW), usually requires some additional assumptions regarding the movement generation phase and the type of movement structure (e.g., navigation with no references, movement patters with no repetition. See: Bergman, Schaefer, & Luttich, 2000; McClintock et al., 2012), which would be difficult to test in the mouse-tracking context. By contrast, EMOT does not require specific assumptions as it provides a descriptive/phenomenological representation of the process. Indeed, it offers a simple mathematical method to summarize the movement trajectories with a small set of spatial descriptors and measures.

Like for all mouse-tracking methods, also the quality of EMOT results are strictly related to those of the original x-y data registration. Although computer-mice have notable advantages (e.g., low cost, easy availability), they have limitations that may cause problems to the kinematic properties of the trajectories (O'Really & Plamondon, 2011). Because some setting variables, such as mouse gain, handedness, arm positioning, can affect results of mouse-tracking analyses, computer-mouse devices should be carefully used and possibly calibrated before running experimental tasks (Flodgren et al., 2007; O'Really & Plamondon, 2011). For



**Fig. 10** Second case study: Participant Id. no. 8. EMOT measures:  $\psi = 1.76, \xi = 1.00 (57 \%), \zeta_1 = 0.38 (21 \%), \zeta_2 = 0.38 (22 \%).$  DGA measures: MD = 0.70, AUC = 0.38, xFlips = 11, samEnt = 0.14, AC = 17. Completing time: 1263 ms. Average sample

time: 1532 ms. Note that  $\mathcal{H}_{\theta}$  and  $\mathcal{H}_{\theta_0}$  are the spatial motion models whereas  $\tau$ ,  $\upsilon_1$ , and  $\upsilon_2$  represent the estimated components of the EMOT model. Note also that *NW* and *W* indicate nonwords and word categories

instance, in a two-choice categorization task, as those discussed in this paper, mouse gain may affect the reaching trajectory of the mouse by intensifying or diminishing the level of smoothness of the trajectory. For example, cursor speed may deteriorate the fine-grained movements of the mice. Consequently, eventual competition/curvature of the trajectories could result in artifacts arising from the lack of precision of the hand. Indeed, as is known, larger movement amplitudes are usually required by low mouse gain whereas a higher precision is instead needed with high mouse gain (Dillen et al., 2005). Other factors such as cues direction, inter-distances among cues, size/width of the screen

 Table 1
 Spearman's correlations for all possible distinct pairs of measures

	$\psi$	ξ	ζ1	ζ2	MD	AUC	xFlips	samEnt	AC
ψ	_	_	_	_	_	_	_	_	-
ξ	0.779	-	_	_	_	-	-	_	_
ζ1	0.315	0.385	—	_	_	—	—	_	_
ζ2	0.372	-0.118	-0.378	_	-	—	—	_	_
MD	0.902	0.772	0.336	0.258	-	—	—	_	_
AUC	0.740	0.634	0.109	0.353	0.731	_	—	_	_
xFlips	0.337	0.231	0.187	0.103	0.364	0.269	—	_	_
samEnt	0.419	0.359	0.242	0.078	0.443	0.296	0.233	_	_
AC	0.447	0.350	0.169	0.159	0.426	0.348	0.570	0.406	_
RT	0.072	0.074	-0.039	0.033	0.096	-0.036	-0.178	0.085	-0.068

Note that,  $\psi$ ,  $\xi$ ,  $\zeta_1$ , and  $\zeta_2$  are the EMOT measures, MD (maximum deviation), AUC (area under the curve), xFlips, samEnt (sample entropy), and AC (acceleration components) indicate DGA measures whereas the last line reports the correlations between the overall response time (RT) and the mouse tracking measures

Table 2 N	Aean values of the entropy,	geometric, and response	time measures as a function	n of the experimental factor
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	ψ	ξ	ζ1	ζ2	RT	AUC	MD	xFlips	samEnt	AC
HF	25.810	21.278	0.771	3.783	1421.582	0.767	0.286	8.201	0.199	14.659
	(14.334)	(13.391)	(1.729)	(5.156)	(180.971)	(0.310)	(0.353)	(4.395)	(0.130)	(6.591)
LF	27.509	22.580	0.779	4.174	1463.200	0.801	0.335	7.882	0.215	14.599
	(14.739)	(14.263)	(1.735)	(5.286)	(201.099)	(0.336)	(0.410)	(4.181)	(0.155)	(6.316)
PW	36.928	31.699	1.472	3.834	1578.814	0.912	0.573	8.437	0.212	15.186
	(19.088)	(18.143)	(2.451)	(5.064)	(181.363)	(0.392)	(0.531)	(4.165)	(0.131)	(6.130)
STR	26.272	21.994	0.969	3.318	1422.084	0.739	0.292	8.579	0.198	14.581
	(14.402)	(13.243)	(1.773)	(4.798)	(173.742)	(0.316)	(0.350)	(4.442)	(0.133)	(6.126)

Standard deviations are reported in parenthesis. Note that,  $\psi$ ,  $\xi$ ,  $\zeta_1$ , and  $\zeta_2$  are the EMOT measures, MD (maximum deviation), AUC (area under the curve), xFlips, samEnt (sample entropy), and AC (acceleration components) indicate DGA measures whereas HF (high frequency), LF (low frequency), PW (pseudowrods), STR (strings) denote the experimental factors of the second application

should be carefully checked since they may affect the quality of computer-mouse recordings (Phillips & Triggs, 2001). Also, the age of participants should be considered before performing a computer-mouse task because aging may seriously affect motor control of the hand by reducing precision and smoothness of the movement (Smith et al., 1999). Consequently, also EMOT results may be influenced by kinematic characteristics, especially for the quantification of fast movements and pauses. However, since EMOT only works with x-y spatial information, kinematic variables that may affect the temporal resolution of the data (e.g., gain, acceleration) do not largely affect its measures. With this respect, a first simulation study conducted by varying acceleration, mouse gain, and direction of movements confirmed such intuition (see Supplementary materials). Anyway, as for other mouse-tracking based analytic techniques, setting computer-mouse devices in an appropriate way would certainly improve the general performances of the EMOT representation and reduce the effect of artifacts on the quantification of movement features such as pauses.

Despite some potential negative impact of uncontrolled kinematic variables, several advantages can be still advocated for the EMOT approach. For instance, comparing with DGA, it extracts movement features using a trialby-trial approach and, consequently, the spatial measures are computed using all the information available in the empirical trajectories as they are recorded. An additional advantage of our method concerns the comparison of different movement profiles. For example, when researchers want to analyze whether two or more movement paths differ from one another in terms of spatial information. While this is impractical for other data-driven approaches, in our proposal different profiles can be efficiently compared in terms of cumulative Kullback-Leibler entropy (Baratpour & Rad, 2012). For instance, given two movement profiles  $\phi_1$ and  $\phi_2$ , which are associated with two movement data  $\theta_1$ and  $\theta_2$ , their divergence can be calculated as  $\chi(\phi_1, \phi_2) =$  $[\boldsymbol{\delta} - \log(\boldsymbol{\delta}) - \mathbf{1}]^T (\mathbf{1} - \phi_1)$ , where the vector  $\boldsymbol{\delta}$  is obtained from the element-wise ratio between the vectors  $\mathbf{1} - \phi_1$  and  $1 - \phi_2$  (with 1 being a vector of ones of appropriate order). This measure reaches its minimum value ( $\chi = 0$ ) when the movement profiles  $\phi_1$  and  $\phi_2$  are the same, that is to say when the two movement paths provide the same quantity of spatial information.

# **Further extensions**

As for any method, EMOT might be improved in various aspects. For example, the extension of our approach to multi-choice contexts, where participants have to assign

	ψ	ξ	ζ1	ζ2	RT	AUC	MD	xFlips	samEnt	AC
HF - LF	n.s.	n.s.	n.s.	n.s.	**	n.s.	n.s.	n.s.	*	n.s.
HF - PW	**	**	**	n.s.	**	**	**	n.s.	*	*
HF - STR	*	n.s.	*	n.s.	n.s.	n.s.	n.s.	n.s.	n.s.	n.s.

Table 3 Summary of the main effects observed on the different measures

In the RobLMM, \*\* denotes |t| values strictly larger than 3.0; \* indicates  $1.95 < |t| \le 3.0$ ; n.s. denotes  $|t| \le 1.95$ . Note that,  $\psi$ ,  $\xi$ ,  $\zeta_1$ , and  $\zeta_2$  are the EMOT measures whereas MD (maximum deviation), AUC (area under the curve), xFlips, samEnt (sample entropy), and AC (acceleration components) indicate DGA measures

Tal	ble 4	Exemplary	relations	among	ξ,	ζ1, ζ	52	and	their	interpretation	ons
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$\xi > \zeta_1 > \zeta_2$	Movement dynamics is mainly represented by fast motor executions whereas entropy of pauses toward distractor is higher than that for the target. This is a typical case with increasing levels of cognitive processing due to the distractor. The special case $\zeta_2 = 0$ indicates no decisional competition in selecting the correct category. An example of this pattern is represented in Fig. 4.
$\xi > \zeta_1$ and $\zeta_2 > \zeta_1$	This is a typical case with a slight increasing of cognitive processing due to the distractor. The overall dynamics is mainly due to motor executions and pauses in the direction of the target. This indicates a decision processing mainly oriented toward the target category, with a mild tendency to a verification component of the final decision. Examples of these patterns are represented in Figs. 6, 7, 9.
$\xi < \zeta_1$ and $\zeta_2 < \zeta_1$	This pattern codifies particular situations where an increasing in cognitive processing is caused by the distractor: this increase appears as a large amount of pauses instead of a typical dynamic continuous movement. Figure 5 shows an example of this pattern.
$\xi > \zeta_1 = \zeta_2$	This pattern regards two different configurations: (i) $\zeta_1 = \zeta_2 = 0$ indicates movements showing no competition because of either the decision unfolds smoothly or decision is finalized before moving the pointer; (ii) $\zeta_1 = \zeta_2 > 0$ reflects patterns with a degree of uncertainty for which pauses toward the distractor and target similarly contribute. Figures 3 and 10 exemplify (i) and (ii), respectively.

stimuli to several possible categories. In a similar way, EMOT could be modified to handle with the problem of multi-trial integration, where entropy measures would need to be extracted from the distributions of the participants' trials. This latter would turn out to the modelization of the error components across trials and participants, which would require the use of a stochastic decomposition of the equality  $\psi = \xi + \zeta_1 + \zeta_2$  instead of the deterministic representation used throughout the paper. All these points need to be considered in further investigations.

# Conclusions

In this paper, we described a novel approach to analyze spatial data arising from mouse-tracking experiments and offer a way to extract spatial descriptors of the movement in the context of two-choice categorization tasks. In particular, our contribution extended the main findings of the descriptive geometric approach (DGA) by providing a way to decompose the spatial information provided by the movement trajectories. We argued that mouse movement trajectories can be regarded as composed of fast movement executions and motor pauses, which are both important for a full understanding of the processes underlying a mouse-tracking task. To illustrate the utility of the new method, we applied our new approach to two real datasets of mouse-tracking data, representing typical categorization tasks in a two-choice paradigm. As expected, we were able to identify interesting spatial movement features, describing characteristics of the processes involved in this type of tasks, such as the decisional uncertainty. Moreover, the second application showed how the proposed entropy measures can capture facets of the categorization process, which would not be represented using standard RT as well as other geometric or distancebased measures. Overall, these results highlighted how differences in terms of global movement trajectories can be better explained considering their constituent components (i.e., fast movement and pauses).

In conclusion, this study has yielded valuable findings in the decomposition of movement trajectories in terms of fast movements and pauses. Indeed, the information related with the sole movement does not tell the entire story, as also pauses, in particular those occurring in the direction of the competing cue, are important aspects in describing the mechanisms involved in decisional tasks. As far as we know, this is the first time that motor pauses have been modeled and investigated to better understand the cognitive processes involved in mouse-tracking studies. We believe that new research exploring and analyzing movement trajectories will benefit from the advantages gained by the EMOT method.

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# Supplementary material for: Analysing spatial data from mouse tracker methodology: An entropic approach

The supplementary material contains the results of a simple case study concerning the impact of some relevant kinematic variables (such as direction, computer-mouse gain, acceleration) on the EMOT and DGA measures presented in our contribution (see also the discussion section in the main text of the article).

# Experimental setup

The registrations were performed by a MacBook Pro 2.3 GHz Intel Core i5 with a monitor resolution of 1280x800. A Logitech M90 Corded USB Mouse was used and a Java application was developed for the tracking of x-y mouse coordinates. All the experimental equipments were carefully checked: the table was steady and clean, the movement space of the computer-mouse was free from objects (e.g., keyboard), the cable of the mouse was checked in order to avoid problems during the experimental recording (no mouse pads was used). Moreover, background processes like antivirus and upgrade threads were closed before running the experimental application, and Wi-fi was disabled.

# Design and procedure

Computer-Mouse trajectories associated to voluntary movements were generated by the first author of the present contribution on the basis of the following simple experimental design. Three factors were varied in a complete three-factorial design:

(i) the direction of the movement trajectories (D) with two levels: (scenario 1) a linear movement toward the target cue (placed on the top-right of the screen) with no curvature, (scenario 2) a movement with a curvature toward the competing cue (placed on the top-left corner of the screen).

- (ii) the computer-mouse gain (G) with three levels: low, medium, high. These values were generated according to a discretization of the mouse gain scale provided by the settings of the operative system (OSX 10.10.5).
- (iii) the acceleration of the movement with two conditions: ANAS (as natural as possible) and ACAS (as constant as possible). In the ANAS condition, the experimenter moved as natural as possible the computer-mouse from the start, placed on the bottom of the screen, to the target (no curvature scenario) or to move the mouse toward the competing cue and then reverting to the target (curvature scenario). This condition corresponds to a standard two-choice categorization task in the context of mouse-tracking. Instead, in the ACAS condition the experimenter was trained to move the mouse as constant as possible at 120bpm pace as dictated by a digital metronome. Due to the inter-cues distances and the graphical representation of the movement space, a trajectory with no curvature would normally require 4+1 beats (where 1 bit is required by the mouse clicking). On the contrary, a trajectory showing curvature would usually require 4+4+1 beats to reach the final target (i.e., 4 beats to reach the target cue from the competing area, and 1 bit for the final mouse clicking).

Forty-one trajectories were generated for each of the 12 conditions of the factorial design. The whole design provided a total of  $2 \times 3 \times 2 \times 41 = 492 \ x-y$  trajectories.

# Measures

The EMOT measures  $(\psi, \xi, \zeta_1, \zeta_2)$  as well as a subset of standard DGA measures (AUC, xFlips, samEnt: sample entropy, AC: acceleration component) were considered. The EMOT measures were obtained as described in the paper whereas the other DGA indexes were computed with the R-package mousetrap developed by P. J. Kieslich and F. Henninger.<sup>1</sup>

<sup>&</sup>lt;sup>1</sup>The R-package is available at: https://cran.r-project.org/web/packages/mousetrap/. The AC measure was instead calculated as described in: Duran, N. D., Dale, R., & McNamara, D. S. (2010). The action dynamics of overcoming the truth. *Psychonomic Bulletin & Review*, 17(4), 486-491.

# **Basic descriptive results**

Figure 1 shows all the computer-mouse trajectories collected form the experimenter's voluntary movements. The linear scenario (1) is represented by blue trajectories, whereas the curvature scenario (2) is represented by red trajectories. Given the limited scope of this experimental design we will limit our analysis to simple descriptive results.

For all the following graphical representations, each distinct figure is associated to a target measure and contains the empirical distributions for the different combinations of the experimental design as well as the corresponding main effects for the second factor and third factor separately. The top panel and bottom panel of the figure refers to scenario 1 and scenario 2, respectively.

Figure 2 shows the empirical distributions of the  $\psi$  measure. Very mild effects were observed for the mouse gain factor with increasing levels of gain being associated with larger values of overall entropy  $\psi$ . No evident effect was observed for the two different types of movement acceleration. Figure 3 depicts the empirical distributions of the subcomponent  $\xi$ . In particular, in the scenario 2 , ANAS trajectories were associated with larger values of  $\xi$ . Also for this index, no evident impact of mouse gain can be observed. The effects for the pause subcomponent toward the distractor  $(\zeta_1)$  is illustrated in Figure 4. As expected, for all the linear trajectories toward the target the  $\zeta_1$ component is totally unaffected by both the type of movement acceleration and level of intensity of mouse gain. In the second scenario, ANAS trajectories were associated with lower values of  $\zeta_1$ . No evident impact of mouse gain was observed also for this condition. Figure 5 shows the empirical distributions of the pause subcomponent toward the target ( $\zeta_2$ ). Here a large effect due to type of movement acceleration is observed for the second scenario (curved trajectories) with ANAS type movements being characterized by lower  $\zeta_2$  values. No evident effect was observed for all the other conditions.

Figure 6 illustrates the empirical distributions for the DGA measure AUC. No difference between ACAS and ANAS trajectories was observed for linear movements toward the target, whereas for curved trajectories with attraction toward the distractor (scenario 2), the ANAS movements were associated with larger values of AUC. No clear evidence can be observed for the impact of mouse gain in the two distinct scenarios. Very similar results were observed for the other DGA measure, MD. Figure 7 describes the empirical distributions for the kinematic measure acceleration (AC). As expected by the kinematic nature of AC, a clear effect of movement acceleration was observed for both linear and curved trajectories with natural movements (ANAS) being characterized by lower

levels of acceleration. A mild effect of mouse gain was also observed for AC. This index decreased with larger values of mouse gain. Figure 8 pertains the empirical distributions for the xFlips measure. Because of the discrete nature of this variable, the graphical representations associated with the experimental conditions are of dubious interpretations. Finally, figure 9 shows the effects associated to the sample entropy measure (samENT). Here the only clear evidence seems to be associated with the curved trajectories in the ACAS condition which seems to be characterized by larger sample entropies.

# **Concluding remarks**

Overall, the results suggest that:

- (a) acceleration and computer-mouse gain have an effect on how the pointer behaves and, relatedly, on the realized x-y trajectories.
- (b) high levels of mouse gain involve increasing of motor control and requiring of precision as reflected in the landing phase of the reaching movement.
- (c) natural movements (ANAS) usually require less sophisticated movements in order to reach target and competing cues whereas movements with constant acceleration (ACAS) seem to require more control and precision as they are guided by an external rhythmic tool (e.g., a metronome).
- (d) For start-to-target movements (scenario with no attraction), EMOT measures do not vary as function of mouse gain and acceleration profiles as instead DGA measures do.
- (e) For start-to-competitor-to-target movements (scenario with attraction), EMOT measures vary as a function of acceleration only.

In sum, kinematic variables seem to have a non-negligible effect on the way in which mouse movements are realized. As EMOT simply models raw computer mouse trajectories, without regards to the data generation, its measures may be influenced by physical parameters, especially for the quantification of fast movements and pauses. The same applies for the standard DGA measures. However, since EMOT only works with x-y spatial information, kinematic properties that may affect the temporal resolution of the data (e.g., acceleration) do not largely affect its measures. Anyway, setting computer-mouse devices appropriately, certainly improves the general performances of the EMOT representation and reduces the effect of noise and artifacts on the quantification of movement features such as pauses. Further investigation is required to systematically evaluate these important aspects.



possible) and ACAS (as constant as possible) correspond to the two levels of the acceleration variable whereas target and competing Figure 1. x-y computer-mouse trajectories realized according to the no-curvature scenario (blue color) and the curvature scenario (red color). Note that MG indicates the computer-mouse gain variable with three levels (low, medium, high), ANAS (as natural as cues are on the top-right and top-left corners of the screen, respectively.

Figure 2. Graphical results for  $\psi$  measure. The first row corresponds to scenario with no curvature whereas the second row to scenario with curvature. Note that the three levels L, M, H (low, medium, high) are for the mouse gain (MG) variable whereas ANAS and ACAS are the two levels of the acceleration variable.



Figure 3. Graphical results for  $\xi$  measure. The first row corresponds to scenario with no curvature whereas the second row to scenario with curvature. Note that the three levels L, M, H (low, medium, high) are for the mouse gain (MG) variable whereas ANAS and ACAS are the two levels of the acceleration variable.







Figure 5. Graphical results for  $\zeta_2$  measure. The first row corresponds to scenario with no curvature whereas the second row to scenario with curvature. Note that the three levels L, M, H (low, medium, high) are for the mouse gain (MG) variable whereas ANAS and ACAS are the two levels of the acceleration variable.











т

Σ

ANAS

ACAS

ACAS.H

ACAS.M

ACAS.L

scenario with curvature. Note that the three levels L, M, H (low, medium, high) are for the mouse gain (MG) variable whereas ANAS and ACAS are the two levels of the acceleration variable.





Figure 8. Graphical results for xFlips measures. The first row corresponds to scenario with no curvature whereas the second row to scenario with curvature. Note that the three levels L, M, H (low, medium, high) are for the mouse gain (MG) variable whereas ANAS and ACAS are the two levels of the acceleration variable.





