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# The Neural Bases of Event Monitoring across Domains: a Simultaneous ERP-fMRI Study

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The ability to check and evaluate the environment over time with the aim to detect the occurrence of target stimuli is supported by sustained/tonic as well as transient/phasic control processes, which overall might be referred to as event monitoring. The neural underpinning of sustained attentional control processes involves a fronto-parietal network. However, it has not been well-defined yet whether this cortical circuit acts irrespective of the specific material to be monitored and whether this mediates sustained as well as transient monitoring processes. In the current study, the functional activity of brain during an event monitoring task was investigated and compared between two cognitive domains, whose processing is mediated by differently lateralized areas. Namely, participants were asked to monitor sequences of either faces (supported by right-hemisphere regions) or tools (left-hemisphere). In order to disentangle sustained from transient components of monitoring, a simultaneous EEG-fMRI technique was adopted within a block design. When contrasting monitoring versus control blocks, the conventional fMRI analysis revealed the sustained involvement of bilateral fronto-parietal regions, in both task domains. Event-related potentials (ERPs) showed a more positive amplitude over frontal sites in monitoring compared to control blocks, providing evidence of a transient monitoring component. The joint ERP-fMRI analysis showed that, in the case of face monitoring, these transient processes rely on right-lateralized areas, including the inferior parietal lobule and the middle frontal gyrus. In the case of tools, no fronto-parietal areas correlated with the transient ERP activity, suggesting that in this domain phasic monitoring processes were masked by tonic ones. Overall, the present findings highlight the role of bilateral fronto-parietal regions in sustained monitoring, independently of the specific task requirements, and suggest that right-lateralized areas subtend transient monitoring processes, at least in some task contexts.

**Keywords:** EEG-fMRI, face processing, tool processing, sustained monitoring, transient monitoring, cognitive control

## INTRODUCTION

Flexible goal-directed behaviors require an adaptive cognitive control system that selects task-relevant contextual information and optimize their processing. A key cognitive control function supporting efficient systems is monitoring. This ability refers to a set of checking and evaluating processes, directed to assess stimuli or responses. As such, it represents a multifaceted function, recruited in a variety of apparently unrelated tasks and encompassing different sub-processes. For instance, in experiments on performance monitoring, it denotes the ability to continuously check action outcomes in order to detect errors and adjust future action selection (Ridderinkhof and Ullsperger, 2004; Ullsperger et al., 2014). In time monitoring paradigms, it includes processes devoted to continuous updating of temporal/probability information in order to anticipate the occurrence of an upcoming stimulus and prepare motor response (Coull et al., 2000; Vallesi et al., 2007b, 2009; Coull, 2009). In prospective memory paradigms, it involves the active maintenance of task goals and the check of the environment to detect prospective targets (Guynn, 2003; Smith, 2003).

In all these tasks, monitoring is supported by both sustained/tonic and transient/phasic processes. When the occurrence of target stimuli/events is expected over time, sustained control processes should be instantiated, which promote and prepare individuals to their detection. In the same context, however, the occurrence of single stimuli elicits more transient control processes, since each of them should be assessed one-by-one. While the sustained monitoring processes are ubiquitous and span multiple trials, the transient monitoring processes operate within a trial. To give some examples: performance monitoring tasks requires the continuous assessment of whether ongoing actions match expected goals; on the other hand, it involves more transient responses, such as error detection and subsequent action adjustments. Similarly, in time monitoring tasks, temporal expectations bias response preparatory processes over time. However, the actual onset of each target stimulus update these expectations in a more trial-by-trial basis (Coull et al., 2016). In prospective memory tasks, individuals are engaged in the sustained maintenance of the prospective goal and, at the same time, they must check stimuli item-by-item in order to detect targets. This dual process is engaged especially in non-focal prospective tasks, that require the detection of a stimulus feature unrelated to the ongoing task (McDaniel et al., 2015; Cona et al., 2016). The two qualitatively different cognitive controls processes might be assimilated to the proactive and reactive modes theorized by Braver (2012). They might act in a “semi-independent” manner, thus they may be both engaged simultaneously or one could dominant on the other, in distinct moments in time, according to the experimental requirements (Gonthier et al., 2016).

Functional magnetic resonance imaging (fMRI) studies have provided evidence on distinct neural mechanisms subserving sustained and transient attentional control processes (Braver et al., 2003; Reynolds et al., 2009; McDaniel et al., 2013). These studies have used a mixed blocked/event design to disentangle between sustained and transient brain responses. By this

methodological approach, block-related (sustained) functional brain activity and event-related (phasic) activity were extracted. Findings converged in showing a fronto-parietal network as the principal contributor of sustained attentional control processes. Specifically, in a prospective memory task, Reynolds et al. (2009) found that the activity of bilateral cortical regions of the middle frontal gyrus, namely the anterior (BA 10/46) and dorsolateral (BA 46/9) prefrontal cortex, regions of the superior and inferior parietal lobe (BA 7/40), and the anterior cingulate cortex were modeled as a sustained process, spanning the entire task block. Regions specifically engaged in item checking, in a transient (event-related) fashion, did not emerge. A selective transient response of the middle temporal gyrus was only found when the target was encountered. Similarly, McDaniel et al. (2013) found that bilateral areas, including the dorsolateral prefrontal cortex (middle frontal gyrus, BA 46), the anterior cingulate area (BA 32), the inferior frontal junction (precentral gyrus, BA 47/44), the frontal eye fields (precentral/middle frontal gyrus, BA 6), and superior parietal lobule (BA 7), were involved in sustained top-down attentional control. The transient brain response elicited by target trials involved the activity of some of the aforementioned fronto-parietal regions, namely, the left inferior frontal junction (BA 44), the frontal eye fields (BA 8/6) and the bilateral inferior parietal lobule (BA 40) plus the left anterior cingulate gyrus (BA 32), the bilateral anterior insula (BA 47) and ventral parietal cortex, which are likely involved in stimulus-driven processes. No evidence of transient processes related to non-target trials was provided. This is surprising, if one considering that, in a task requiring event monitoring, each stimulus (not just the targets) should undergo transient checking/evaluating processes (cf. Vallesi, 2014). Therefore, a question remains unexplored: are the transient item checking processes (i.e., the reactive control processes) obscured by more sustained monitoring processes because of the sluggish temporal resolution of fMRI?

To address this point, we designed an event monitoring experiment where participants were required to check series of visual stimuli over time for detecting the occurrence of target events (see also Poth et al., 2014). This event monitoring ability is crucial in many everyday activities, such as in the work of security officers who have to continuously inspect individuals as well as objects to identify critical targets and ensure the safe movement of a mass of people. In order to unveil the presence of sustained as well as transient monitoring processes, we conducted a simultaneous EEG-fMRI study. This multimodal technique is the gold-standard for characterizing spatial and temporal dynamics of brain activity over different time scales, within the same experimental session (Laufs, 2008; Mulert and Lemieux, 2009; Ullsperger and Debener, 2010; Huster et al., 2012; Jorge et al., 2014). In the current study, a blocked EEG-fMRI design was specifically devised. The co-registration approach allowed us, on the one hand, to capture the functional changes of brain regions associated with sustained activity across trials and inter-stimulus intervals (fMRI data) and, on the other hand, to capture the fast brain responses elicited by each event (ERP data). By doing so, we could disentangle tonic and phasic brain responses which are likely to at least partially overlap in space and time. By coupling block-related fMRI data and ERP data we were able to detect

brain regions, among those showing a sustained activity, which actually intervened in a stimulus-driven fashion. Moreover, the simultaneous EEG-fMRI recording helps overcoming the limit of examining long-lasting and phasic components in separate blocks, as in previous studies. As such, this approach represents a possible alternative to mixed (Visscher et al., 2003; Petersen and Dubis, 2012) or “hybrid” (Braver et al., 2003) block/event-related fMRI designs.

Another missing point in the literature is whether there is a unique fronto-parietal circuit that mediates sustained monitoring processes, common to different task/material to be processed. Previous findings suggest that this possibility is very likely. However, direct evidence supporting this point is limited.

Along this line, a block-design fMRI experiment (Benn et al., 2014) represents an encouraging attempt to differentiate between long-lasting monitoring components and trial-by-trial ones and to compare monitoring processes involved in different domains (numerical and visuo-spatial). The authors contrasted non-monitoring blocks to blocks that required monitoring information either over time or trial-by-trial. The conjunction analysis showed that an extensive fronto-parietal network was associated with monitoring over time in both domains. These results suggested that domain-independent processes constituted the long-lasting component of monitoring. Compared to non-monitoring blocks, the trial-by-trial monitoring condition in the numerical domain activated the right superior parietal, left inferior parietal and bilateral superior and medial frontal gyri. Unfortunately, no evidence about the discrete, trial-by-trial monitoring component was collected in the visuo-spatial domain. Furthermore, areas activated in both sustained and transient monitoring were not investigated.

Recently, the domain-general nature of monitoring has been corroborated by an event-related potential (ERP) study. Here, participants had to monitor either verbal or spatial information while performing a concurrent verbal or spatial task, respectively (Capizzi et al., 2016). Stimuli in monitoring blocks elicited a more pronounced positivity over frontal and parietal scalp regions compared to stimuli in non-monitoring blocks, which was interpreted as reflecting greater attentional resources needs to maintain the focus of attention on the monitoring requirements.

To elucidate whether the same fronto-parietal network would be recruited independently of the material to be monitored, in the current event monitoring experiment participants were asked to monitor the occurrence of different stimulus materials, within the same experimental session. To better dissociate the hemispheric contribution according to the domain, materials known to be processed by differently lateralized brain regions were used. Namely, in the control condition (i.e., non-monitoring blocks) participants performed a categorization task involving either faces or tools. While the processing of faces is usually subtended by dominant right temporal-occipital areas (e.g., Busigny et al., 2010; Frässle et al., 2016; for a recent review Yovel, 2016), the processing of tools mostly relies on a dominant left-lateralized fronto-parietal network (Grafton et al., 1997; Chao and Martin, 2000; Proverbio et al., 2013; Orban and Caruana, 2014; Perini et al., 2014). In monitoring blocks, they were also asked to

monitor the occurrence of specific stimuli (i.e., faces or tools), which constituted the target stimuli. Since the probability of the target occurrence slightly varied across experimental blocks, participants had to continuously monitor stimuli over time in order to efficiently detect them.

Our primary hypothesis was that monitoring processes are mediated by a network of fronto-parietal cortical areas, with an important node in the lateral prefrontal cortex (Henson et al., 1999; Vallesi et al., 2007a,b, 2009; Shallice et al., 2008; Vallesi and Crescentini, 2011), and that these areas operate in a domain-independent fashion. By comparing blocks requiring monitoring to blocks not requiring this process, we expected that the activation of fronto-parietal areas would emerge, similarly for the two domains (i.e., faces and tools). The second hypothesis was that some of these fronto-parietal areas reflect sustained/tonic monitoring processes, whereas some others support more transient/phasic ones. By integrating block-related fMRI and ERP measures we expected to differentiate the neural bases of these two monitoring components.

## MATERIALS AND METHODS

### Participants

Twenty-two students from the University of Padua took part in the study. Data from two participants were discarded because of excessive head movements ( $> \pm 3$  mm in any translation direction) and two others because of low task performance (accuracy level  $< 2.5$  standard deviations). Therefore, the results are reported here for 18 participants (12 female; mean age: 23 years; age range: 20–28 years). They were all right-handed, as indicated by the Edinburgh Handedness Inventory (Oldfield, 1971; mean laterality score: 89.4, range: 70–100), and reported normal or corrected-to-normal visual acuity (MRI-compatible glasses were used when appropriate). The study was approved by the Bioethical Committee of the Azienda Ospedaliera di Padova and was conducted according to the guidelines of the Declaration of Helsinki. All participants signed a written informed consent prior to their participation and were paid 25 euro after the experiment.

### Stimuli

Stimuli consisted of pictures of faces and tools. The face pictures were downloaded from publicly available internet databases, after obtaining appropriate permissions when required (<http://agingmind.utdallas.edu/download-stimuli/face-database/>, Minear and Park, 2004; <http://www.macbrain.org/resources.htm> Tottenham et al., 2009; <http://mmlab.ie.cuhk.edu.hk/archive/facesketch.html>, Wang and Tang, 2009). All pictures were cropped and resized in ovals of 184 (width)  $\times$  272 (height) pixels. Hair and gender-related features (such as beard and make-up) were removed. Overall, a total of 50 face pictures were created, half female and half male. They belonged to different races, white/Caucasian, black/African-American, Hispanic, Middle-East, Indian. In addition, 20 pictures of Chinese individuals and 20 of older Caucasian adults were included as target stimuli to be monitored. Faces were selected that have a neutral expression and as few as gender-related features as possible.

Q7



The tool pictures were obtained via accurate selection on the web. Familiar tools (e.g., scissors, comb, guitar) were collected, that is, manipulable objects with a clear affordance, which implicitly suggests a motor interaction. The tool pictures were resized to maintain either the width or the height of the faces. Overall, a set of 50 tool pictures was selected, belonging to 15 unimanual and 15 bimanual categories. Twenty pictures of cooking tools (e.g., whisk, frying pan) and 20 of working tools (e.g., screwdriver, drill) were included as target stimuli to be monitored.

All pictures were converted in gray scale using the GIMP software (<http://gimp.org>). Luminance values were equalized across all images using the SHINE toolbox (Luminance Histogram Matching; Willenbockel et al., 2010), implemented in Matlab. Overall, a total of 280 face pictures and 280 tool pictures were presented across the whole experiment.

A pilot study was carried out on 12 subjects to ensure that all stimuli were easily recognizable and correctly categorized as female/male faces and unimanual/bimanual objects with at least 90% of accuracy. In addition, a set of 40 scrambled images (272 × 272 pixel) were created by averaging the pixels of 20 randomly selected pictures and were used during rest/fixation phases. All images were presented centrally on a 64 (width) × 40 (height) cm screen (InVivo Esys Display, Gainesville, FL, USA), 1,280 × 800 pixel resolution, on a white background. Faces were 9 (width) × 14 (height) cm of size and objects were contained in a 14 (width) × 14 (height) cm square. The screen was placed at the head of the bore and the images were visible to the participants through a double mirror system mounted on the head coil, with the head of participants lying 150 cm from the monitor.

## Procedure and Task

An illustrative picture of the experimental procedure is reported in **Figure 1**. Four types of blocks were pseudo-randomly presented, namely monitoring blocks and non-monitoring blocks containing faces (hereafter named “Mon Faces” and “NonMon Faces,” respectively), monitoring blocks and non-monitoring blocks containing tools (hereafter named “Mon Tools” and “NonMon Tools,” respectively). The entire experiment contained 10 blocks of each type (Mon Faces, NonMon Faces, Mon Tools, and NonMon Tools), which were grouped in 5 scanning runs of 8 blocks each (2 for each type). Each run consisted of alternating cycles of fixation (A) and task (B) blocks presented in an ABAB succession. Fixation blocks (denoted by a centrally presented scrambled image) lasted randomly 8, 10, 12, 14, or 16 s (mean duration 12 s); task blocks were 40 s long and included 14 trials, containing 7 female and 7 male faces, or 7 unimanual and 7 bimanual tools. Monitoring blocks included from 2 to 6 target stimuli. Each stimulus was presented centrally for 800 ms, followed by a blank with an inter-stimulus interval continuously varying between 1,900 and 2,100 ms. This small random variation of the inter-trial interval ensured that the stimuli were not locked to multiples of the slice acquisition frequency, minimizing the influence of any residual MRI artifact in the EEG trace. Stimulus presentation and response collection were controlled by Eprime 2 software (Schneider et al., 2002).

In Face blocks participants had to decide whether the face gender was female or male. In Tool blocks they were asked to decide whether the object is generally manipulated with one hand (unimanual objects) or with both hands (bimanual objects). Responses were given by pressing one of two buttons with the two index fingers lying on two-button MRI-compatible response boxes. All possible combinations between category (female/male, unimanual/bimanual) and responding hand were counterbalanced across participants. In addition to this ongoing task, in half of the experimental blocks participants were asked to perform a monitoring task, namely they had to detect specific categories of faces or tools (targets). In Mon Faces blocks they were asked to detect either Chinese faces or faces of people older than 50 years; in Mon Tool blocks they were asked to detect either cooking tools or working utensils (in Italian: “attrezzi da lavoro”<sup>1</sup>). The two target categories never appeared together in the same block. Each block was preceded by an instruction screen (2 s in the fixation block, 6.5 s in the task blocks) that indicated the task to be executed, the target category to be monitored, and reminded the stimulus-response mappings. In order to verify that participants correctly detected the target stimuli, at the end of Mon blocks they were asked to estimate the approximate number of targets. The numbers from 1 to 6 appeared, two at a time, and participants had to press the response button (beneath the left or right index) corresponding to the number of targets that they had estimated. Participants were instructed not to count but to focus on target detection. Furthermore, they were informed that the Monitoring blocks had a 100% probability to contain at least 1 target stimulus in order to encourage the involvement of monitoring processes.

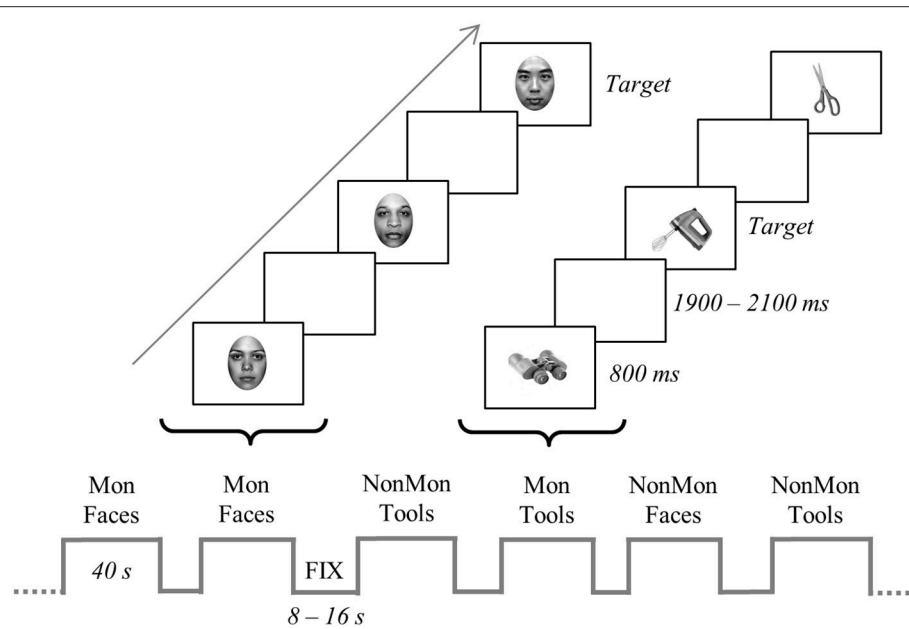
A practice session was performed the day before, outside the scanner. During this session one sample of each type of block was presented and trial-by-trial feedbacks on participants’ accuracy were provided. If participant’s overall accuracy was below 80%, the practice was repeated until she/he reached this criterion. The experimenter clarified any doubt on the experimental session and ensured that the instructions were clearly understood.

## FMRI Signal Acquisition and Preprocessing

MR images were acquired using a 3T Ingenia Philips whole-body scanner (Philips Medical Systems, Best, The Netherlands) equipped with a 32-channel head-coil, at the Neuroradiology Unit of the Azienda Ospedaliera of Padova. Functional volumes were obtained using a whole head T2\*-weighted echo-planar image (EPI) sequences (repetition time, TR: 2,000 ms; echo time, TE: 35 ms; 25 axial slices with ascending acquisition; voxel size: 2.4 × 2.4 × 4.8 mm; flip angle, FA: 90°; field of view, FOV: 230 mm, acquisition matrix: 84 × 80; SENSE factor: 2 in anterior-posterior direction).

Special care was taken to ensure that frontal areas and cerebellum would be included in the imaging volume. Small foam cushions were placed around the participant’s head to minimize head movements and to ensure a comfortable position; they also wore earplugs to reduce acoustic noise. A total of 282 EPI images

<sup>1</sup>The Italian word specifically refers to tools usually found in a toolbox and used to fix or build things, not to general tools, such as scissors.



**FIGURE 1** | Schematic representation of the experiment. The bottom of the figure displays an example of block sequence; blocks (40-s) were alternated with fixation blocks (8–16 s). The top of the figure depicts an example of trial sequence within a two monitoring (Mon) blocks. Faces and tools were displayed for 800 ms in each trial and appeared in separate blocks. In the figure, the target stimulus in the Face Mon block is represented by a Chinese face, whereas in the Tool Mon block is the picture of a blender. In the non-monitoring (NonMon) blocks the subject has to categorize the picture as female/male face or unimanual/bimanual tool. In the Mon blocks the subjects has to perform the categorization task and to detect to the target stimuli.

were acquired for each of the five runs. Two dummy scans at the beginning of each run were not acquired. High-resolution T1-weighted anatomical images (TR/TE: 8.1/3.7; 180 sagittal slices; FA: 8°; voxel size: 0.49 × 0.49 × 1 mm; FOV: 220 mm; acquisition matrix: 220 × 220) were acquired after the functional runs.

The fMRI data pre-processing and statistical analyses were performed using SPM12 (Statistical Parametric Mapping software; Wellcome Department of Cognitive Neurology, London, UK; <http://www.fil.ion.ucl.ac.uk/spm>). Functional images were spatially realigned to compensate for participants' head movements during the experiment using a 4th degree B-Spline interpolation and a mean image of the realigned volumes was created. For normalization, the 6-parameter rigid-body transformation from the mean image to the anatomical image was concatenated with a transformation from the anatomical image to the standard Montreal Neurological Institute (MNI) template (2 mm<sup>3</sup> voxel-size) and applied to all volumes. Normalization to MNI was performed by combining registration and tissue classification into a single generative model, which also includes parameters that account for image intensity non-uniformity. The functional images were then spatially smoothed with an 8 mm full-width-at-half-maximum Gaussian filter.

## EEG Signal Acquisition and Preprocessing

The EEG signal was recorded using a MR-compatible system (Brain Products, Munich, Germany), connected to 64 sintered Ag/AgCl ring electrodes, equipped with current-limiting 5-kΩ resistors and mounted on an elastic cap (BrainCap MR) according to the extended 10–20 system. Reference and

ground channels were located over FCz and AFz, respectively. An electrode placed in the middle of participants' back, approximately 4 cm left to the spine, was used to acquire the electrocardiographic (ECG) signal. Impedance values were kept below 5 kΩ. Raw data were band-pass filtered between 0.016 and 250 Hz digitized at a sampling rate of 5 kHz. The EEG was monitored while scanning using online correction software (RecView 1.4, Brain Products). Overall, the EEG recording procedure was performed according to safety and data quality guidelines provided by Mullinger et al. (2013).

The EEG data preprocessing was performed using either BrainVision Analyzer 2.1 (Brain Products) or EEGLAB 12.0 (Delorme and Makeig, 2004), implemented in Matlab, according to each specific preprocessing step. The gradient artifact (GA) was removed from EEG data using the fMRI artifact slice template removal (FASTR) algorithm (Niazy et al., 2005), implemented in EEGLAB (FMRIB plug-in). A total of 31 consecutive volume artifacts were included in the averaging window for computing the artifact template. The gradient residual artifacts were removed by the Optimal Basis Set (OBS) procedure (Niazy et al., 2005). The resulting EEG signal was low-pass filtered by applying a windowed sinc FIR filter, with a cut-off frequency of 40 Hz, a Kaiser Window type with a beta of 5.65, a maximum pass-band deviation of 0.001 and a transition band of 10 Hz (Widmann et al., 2014). The ballistocardiographic (BCG) artifact was removed using a semi-automatic procedure implemented in BrainVision Analyzer. As an initial step, the R peaks of every heart pulse were automatically detected and marked on the ECG channel. Visual inspection

was then conducted on the whole ECG signal to ensure the correct positioning of all the peaks. Finally, the artifact was removed from all EEG channels by means of an average template subtraction, analogously to the procedure implemented for the GA (Allen et al., 1998, 2000; Niazy et al., 2005).

The EEG signal was then down-sampled to 500 Hz. The BCG artifact residuals, ocular movements and muscle artifacts were removed by means of an Independent Component Analysis (ICA; Debener et al., 2007; Mantini et al., 2007), based on an extended Infomax algorithm (Bell and Sejnowski, 1995). The continuous EEG signal was segmented in epochs ranging from -200 ms before stimulus onset to 1,000 ms after stimulus presentation. The resulting epochs were then baseline-corrected using a time window from -200 to 0 ms. Data were re-referenced to the average of all electrodes, with the exception of the ECG channel. To allow a reliable integration with fMRI block analysis, all trials belonging to a block were included in the ERP average. Afterwards, the resulting block-by-block ERPs were averaged for each condition, namely NonMon Faces, Mon Faces, NonMon Tools, Mon Tools.

## DATA ANALYSIS

### Behavioral Data Analysis

Accuracy and response times (RTs) on the female/male and unimanual/bimanual tasks were examined in order to investigate the effect of Monitoring (NonMon, Mon) and Domain (Faces, Tools). Due to the non-normal distribution of accuracy data, the non-parametric Friedman's ANOVA was performed. Post-hoc Wilcoxon signed-rank tests were then run on pairs of conditions to reveal significant differences between pair of conditions. A  $2 \times 2$  repeated measure ANOVA model was used to test the effect of Monitoring and Domain factors on mean RTs. For all the behavioral analyses, the significance level was set at  $\alpha = 0.05$  and corrected for multiple comparisons in *post hoc* tests using the Bonferroni procedure. The partial eta squared ( $\eta^2$ ) was computed to quantify the effect size. Statistical analyses were conducted using the SPSS 22 software (IBM).

### fMRI Data Analysis

For each participant, first-level analyses were performed using a General Linear Model (GLM). Five task-related regressors entered the GLM, one for each block type (Mon Tools, NonMon Tools, Mon Faces, NonMon Faces and Fixation), which were convolved with a canonical Hemodynamic Response Function (HRF). Six additional regressors derived from the motion correction step were also included in the design matrix as regressors of no-interest, to account for variance associated with head movements. Slow signal drifts were removed using a 240 s high-pass filter. The hemodynamic response for each of the four experimental conditions was contrasted with the average of the hemodynamic response in the Fixation blocks, used as baseline. The second-level (i.e., group) SPM maps were generated from the individual contrast maps using a random-effect model. Namely, a  $2$  (Domain: Faces, Tools)  $\times$   $2$  (Monitoring: Mon, NonMon) full-factorial ANOVA was performed and the following specific *t*-contrasts were computed: Faces > Tools and Tools > Face

(collapsed for Monitoring factor), Mon Faces > NonMon Faces and Mon Tools > NonMon Tools (collapsed for Domain factor).

Moreover, a conjunction analysis was performed to investigate those voxels which were commonly activated in Mon compared to NonMon in Face and Tool blocks. The whole brain was considered in the analysis. The statistical significance of the blood oxygenation level-dependent (BOLD) response changes was set at  $p < 0.05$  using a voxel-level family-wise error (FWE) correction for multiple comparisons. A cluster-size threshold of 20 contiguous voxels was further applied (Lieberman and Cunningham, 2009). The anatomical regions corresponding to MNI coordinates of the peak voxels within each cluster were extracted by referring to the probabilistic Anatomical Automated Labeling (AAL) atlas, implemented in SPM12 (Tzourio-Mazoyer et al., 2002, <http://www.gin.cnrs.fr/AAL2>). The "whereami" toolbox of AFNI (Cox, 2012) was used to find the likely Brodmann area (BA) for each cluster.

### ERP Data Analysis

The mean global field power (GFP) was computed for each subject and condition. This measure summarizes the contribution of all electrodes point-by-point and indexes global modulations in the strength of the electric field (Lehmann and Skrandies, 1980). Mathematically, it equals the root mean square of the average-referenced amplitude values across all electrodes at a given point in time. The extraction of ERP components was time-centered on the interval where differences between conditions in GFP emerged based on paired *t*-tests (Figure S1). Analogously, the electrodes to be considered for each ERP component were determined by examining the topographical distribution of *t*-tests (*t*-maps), which resulted from contrasting individual ERP averages between pairs of conditions. The ERP components were quantified in terms of peak amplitude, peak latency or mean amplitude. The effects of Monitoring and Domain factors were assessed by means of  $2 \times 2$  repeated-measure ANOVAs.

### EEG-fMRI Integration

The relationship between the ERP and the fMRI responses was investigated using the integration-by-prediction method (Debener et al., 2006; Mulert et al., 2008; Eichele et al., 2009). This method, which was developed for event-related designs, in this study was adapted to a block design. First of all, all five runs were concatenated. To build the correct concatenation model, the high-pass filter and temporal non-sphericity calculations were corrected to account for the original run lengths. The ERP components that were found to be associated with the monitoring process in the conventional analyses were considered for the integration analysis. As it will be detailed in the result section, for each block the mean voltage amplitude either of the frontal or the parietal ERP components was extracted and entered into two separate GLMs as parametric modulators of the BOLD response. For example, the mean ERP amplitude extracted from a Mon Face block over frontal electrode sites modulated the estimated BOLD response in that block.

Overall, 4 ERP regressors were included in the first-level GLM, together with the 11 conventional regressors (4 for



experimental conditions, 1 for fixation, and 6 for movement parameters). Before entering the model, each ERP regressor was orthogonalized with respect to its conventional regressor by mean centering it. This procedure allows the detection of hemodynamic responses specifically related to variations in the ERP response and not to some general feature of the task experimental condition (Debener et al., 2006; Mulert et al., 2008; Eichele et al., 2009). Afterwards, the ERP regressors were convolved with the canonical HRF function. At the group level, the relationship between ERP amplitudes and BOLD responses was assessed using a  $2 \times 2$  full-factorial ANOVA model. The t-contrasts between the ERP-related regressors were generated. Namely, the activations obtained from the Mon Faces > NonMon Faces and Mon Tools > NonMon Tools contrasts were used as inclusive masks, in order to identify the brain regions associated with the ERP components within the clusters of voxels emerged from the conventional fMRI analysis.

## RESULTS

### Behavioral Results

Both data on accuracy and RTs confirmed the presence of a Monitoring cost (lower performance in Mon compared to NonMon blocks). Mean values are summarized in **Table 1**. The Friedman's test showed significant differences in accuracy on the categorization task across conditions [ $\chi^2(3, 18) = 36.27, p < 0.001$ ]. *Post hoc* Wilcoxon's tests on pairs of variables revealed that the accuracy in Mon blocks was lower than accuracy in NonMon blocks, for both Faces ( $Z = 3.59, p < 0.001$ ) and Tools ( $Z = 3.74, p < 0.001$ ). No differences in accuracy emerged between Faces and Tools (both  $Zs < 1.34, ps > 0.178$ ). The  $2$  (Faces, Tools)  $\times$   $2$  (MonNon, Mon) ANOVA performed on mean RTs yielded a significant main effect of Monitoring [ $F(1, 17) = 60.57, p < 0.001, \eta^2 = 0.781$ ] and a significant Monitoring  $\times$  Domain interaction [ $F(1, 17) = 11.41, p = 0.004, \eta^2 = 0.402$ ]. The interaction revealed that while the Monitoring cost was present in both domains, it was higher in Tools compared to Faces [ $t(17) = 3.38, p < 0.004$ ]. The mean accuracy in the estimation of the number of targets reported at the end of each monitoring block was 92.7% (SD = 21.5) for Faces and 98.3% (SD = 3.8) for Tools ( $Z = 1.00, p = 0.317$ ).

### fMRI Results

In **Tables 2, 3** the results of the  $2 \times 2$  full-factorial ANOVA ( $p < 0.05$ , voxel-level FWE correction) are reported. Specifically, the **Table 2** contains the between Faces and Tools t-contrasts. Compared to the Tools, the Faces yielded a positive activation of the right fusiform gyrus and of the amygdala, bilaterally (**Figure 2A**, top panel). Compared to the Faces, the Tools processing activated a broader set of brain regions encompassing the fusiform gyrus bilaterally (mainly in the left hemisphere), the middle temporal gyrus and the middle occipital gyrus bilaterally, the left inferior parietal lobule (IPL), comprising the supramarginal gyrus and the intra-parietal sulcus (IPS), the right inferior temporal gyrus, the left inferior frontal gyrus (pars triangularis), and the right cerebellum (**Figure 2A**, bottom panel).

**TABLE 1** | Mean response times (RT) and percentage of accurate responses (ACC) on the Non-Monitoring (NonMon) and Monitoring (Mon) blocks by Stimulus material (Face, Tools).

	Faces		Tools	
	ACC (%)	RT (ms)	ACC (%)	RT (ms)
NonMon	97.3 (3.9)	583 (94)	98.6 (1.0)	573 (64)
Mon	93.8 (3.5)	643 (112)	93.1 (2.9)	658 (102)

Standard deviation values are reported in parentheses.

**TABLE 2** | Brain regions showing significant fMRI activations (voxel-level  $P_{FWE} < 0.05$ ) in the Faces vs. Tools blocks.

Anatomical region	Side	BA	Cluster size	MNI coordinates (mm)			T-values
				x	y	z	
<b>Faces &gt; Tools</b>							
Amygdala	R		187	22	-6	-14	7.98
Fusiform gyrus	R	37	58	38	-56	-18	7.77
Amygdala	L		38	-18	-6	-18	5.42
<b>Tools &gt; Faces</b>							
Fusiform gyrus	R	37	1,103	32	-46	-8	15.28
Fusiform gyrus	L	37	5,260	-30	-46	-12	14.83
Middle temporal gyrus	L	37		-48	-62	-4	14.36
Middle occipital gyrus	L	19		-42	-80	8	12.12
Middle occipital gyrus	R	19	816	30	-72	34	8.62
Middle occipital gyrus	R	39		42	-78	10	8.13
Inferior temporal gyrus	R	37	202	50	-58	-6	8.61
Supramarginal gyrus	L	40	93	-58	-32	34	6.67
Inferior parietal lobule	L	40		-54	-32	44	5.01
Inferior frontal, triangular part	L	45	61	-52	30	12	6.55
Cerebellum	R		46	28	-76	-48	6.49
Inferior parietal lobule	L	40	192	-36	-50	56	6.14
Inferior parietal lobule	L	40		-38	-42	48	5.75

L and R stand for left and right hemisphere, respectively. MNI coordinates and t-values are reported for all peaks observed within a cluster. Anatomical labels derived from the Anatomical Automated Labeling (AAL) atlas.

The contrast between Mon and NonMon blocks showed the recruitment of extensive clusters of voxels including bilateral frontal and parietal cortical regions, consistently associated with sustained control processes in previous studies (**Table 3** and **Figure 2B**). The activated regions were very similar between the two domains. The conjunction analysis revealed the areas commonly involved in both domains (**Table 3** and **Figure 2B**, bottom panel). Specifically, in the frontal right hemisphere, the inferior portions of the middle frontal gyrus (MFG), the inferior frontal gyrus (IFG, pars opercularis), and the dorsolateral portion of the superior frontal gyrus (SFG) were activated. At the parietal level, the angular gyrus, portions of the IPL, comprising the supramarginal gyrus, and a smaller cluster in the right precuneus were found. In the left hemisphere, the activity mainly included

**TABLE 3 |** Brain regions showing significant fMRI activation (voxel-level  $P_{FWE} < 0.05$ , unless otherwise specified) in the Monitoring vs. Non-Monitoring blocks.

Anatomical region	Side	BA	Cluster size	MNI coordinates (mm)			T-values
				x	y	z	
<b>Faces: Mon &gt; NonMon</b>							
Superior parietal lobule	L	7	519	-28	-64	46	8.83
Inferior parietal lobule	L	40		-40	-48	40	6.80
Inferior parietal lobule	L	40		-46	-46	50	6.08
Supplementary Motor Area	L	6	589	-6	10	52	8.79
Superior frontal gyrus, dorsolateral	R	6		20	10	54	5.92
Supplementary Motor Area	L	6		-16	2	66	5.40
Angular gyrus	R	7	860	34	-62	48	7.90
Inferior parietal lobule	R	40		44	-46	42	7.12
Inferior parietal lobule	R	40		36	-52	44	6.72
Inferior frontal gyrus, opercular part	R	9.44	576	40	10	30	7.27
Middle frontal gyrus	R	9		42	30	32	7.01
Middle frontal gyrus	R	46		40	34	22	5.40
Inferior frontal gyrus, triangular part	L	44.45	658	-40	20	26	6.87
Inferior frontal gyrus, triangular part	L	45		-50	24	28	6.69
Precentral gyrus	L	9		-44	2	36	6.23
Insula	L	47	85	-32	26	0	6.57
Middle frontal gyrus	L	10	56	-30	50	16	6.19
Precuneus	R	7	84	8	-66	46	6.07
Insula	R	47	24	32	26	-4	5.46
<b>Tools: Mon &gt; NonMon</b>							
Supplementary Motor Area	L	6	3,707	-6	10	52	10.26
Middle frontal gyrus	R	9		42	30	32	9.41
Superior frontal gyrus, dorsolateral	R	6.32		20	8	52	7.62
Inferior frontal gyrus, triangular part	L	44.45	2,485	-36	22	26	10.01
Insula	L	47		-32	26	0	8.49
Precentral gyrus	L	9		-44	4	30	7.84
Superior parietal lobule	L	7	772	-28	-64	48	7.98
Inferior parietal lobule	L	40		-42	-46	42	7.80
Insula	R	47	242	32	26	-4	7.83
Precuneus	R	7	198	10	-66	48	7.23
Angular	R	7	520	34	-62	48	7.11
Inferior parietal lobule	R	40		50	-40	48	6.06
Inferior parietal lobule	R	47		44	-46	44	5.95
Precuneus	L	7	75	-10	-70	52	6.60
Middle cingulate gyrus	R	23	39	6	-22	26	6.22
<b>Mon &gt; NonMon (Conjunction)</b>							
Supplementary Motor Area	L	6	577	-6	10	52	8.79
Superior frontal gyrus, dorsolateral	R	6		20	10	54	5.92

(Continued)

**TABLE 3 |** Continued

Anatomical region	Side	BA	Cluster size	MNI coordinates (mm)			T-values
				x	y	z	
Supplementary Motor Area	L	6		-16	2	64	5.35
Superior parietal lobule	L	7	486	-28	-64	48	7.98
Inferior parietal lobule	L	40		-40	-48	40	6.80
Angular gyrus	R	7	436	34	-62	48	7.11
Inferior parietal lobule	R	40		48	-40	46	6.01
Inferior frontal gyrus, opercular part	R	9	524	42	10	32	7.02
Middle frontal gyrus	R	9		42	30	32	7.01
Middle frontal gyrus	R	46		40	34	22	5.40
Inferior frontal gyrus, triangular part	L	44	652	-40	20	26	6.87
Inferior frontal gyrus, triangular part	L	45		-50	24	28	6.69
Precentral gyrus	L	6		-44	2	36	6.23
Insula	L	47	85	-32	26	0	6.57
Middle frontal gyrus	L	10	56	-30	50	16	6.19
Precuneus	R	7	84	8	-66	46	6.07
Insula	R	47	24	32	26	-4	5.46
<b>Mon Faces &gt; NonMon Faces-ERP modulation*</b>							
Inferior parietal lobule	R	40	63	46	-50	42	3.35
Middle frontal gyrus	R	9	85	48	24	34	3.31
Middle frontal gyrus	R	9		38	26	32	2.61

L and R stand for left and right hemisphere, respectively. MNI coordinates and t-values are reported for all peaks observed within a cluster. Anatomical labels derived from the Anatomical Automated Labeling (AAL) atlas.

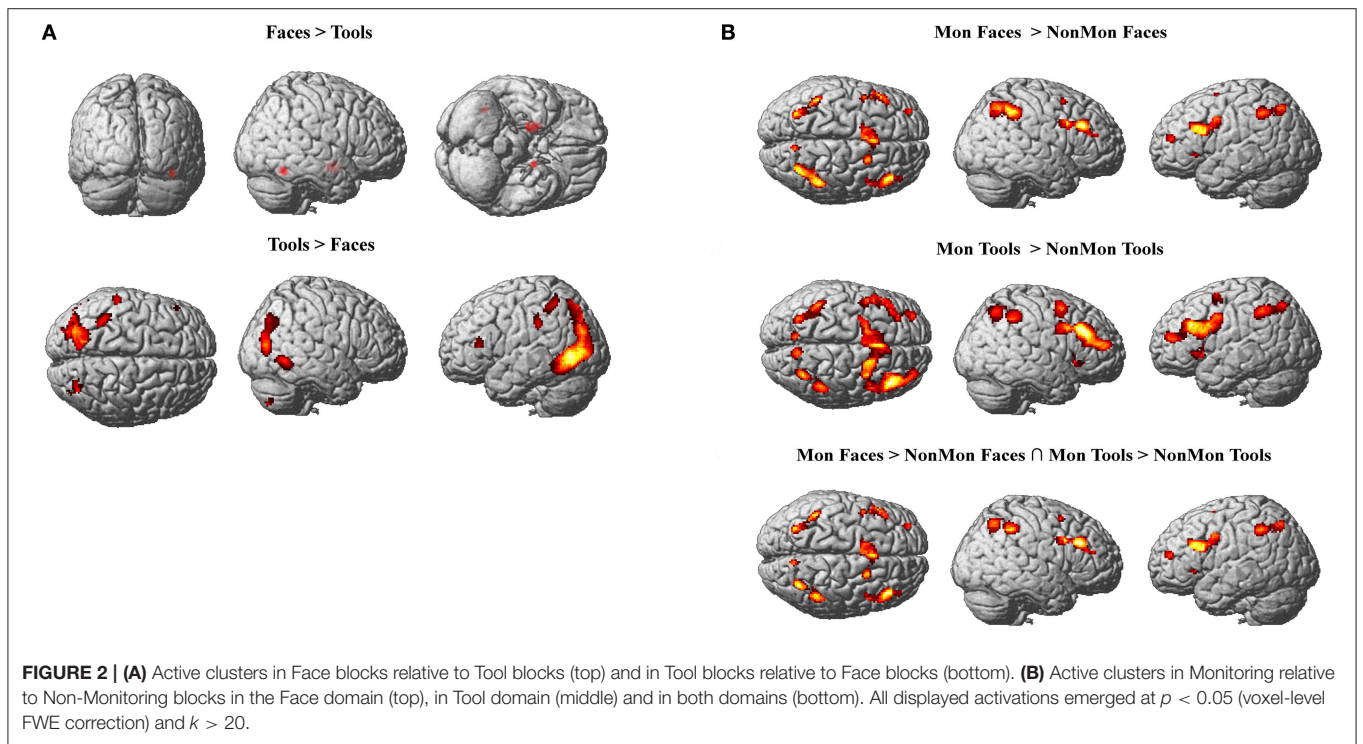
\*Voxel-level uncorrected  $p < 0.005$ ,  $k > 52$ .

more ventral PFC regions (i.e., the pars triangularis of the IFG), and a small cluster peaking in a frontopolar portion of the MFG. Moreover, a medial portion of the SFG corresponding to the supplementary motor area (pre-SMA) and extending to anterior cingulate cortex (ACC), and a portion of the precentral gyrus corresponding to the inferior frontal junction (IFJ, i.e., the intersection between the precentral sulcus and the inferior frontal sulcus) were also active in Mon blocks. At the parietal level, the activation clusters in the left hemisphere comprised portions of the superior parietal lobule (SPL) and IPL. Both the right and the left parietal clusters contained the IPS. Smaller clusters involved the insula bilaterally.

Differential patterns of activations for Mon vs. NonMon blocks between Face and Tools were explored through second level interaction t-contrasts (weights for the Mon Face, NonMon Face, Mon Tools, NonMon Tools blocks: 1 -1 -1 1 and -1 1 1 -1). This analysis revealed that no regions exhibited differential monitoring-related activations between the two domains.

### ERP Results

As expected, a large negative peak was evoked at the onset of the pictures of faces over parieto-occipital and temporo-occipital electrodes (Figure 3A). This peak clearly represents a



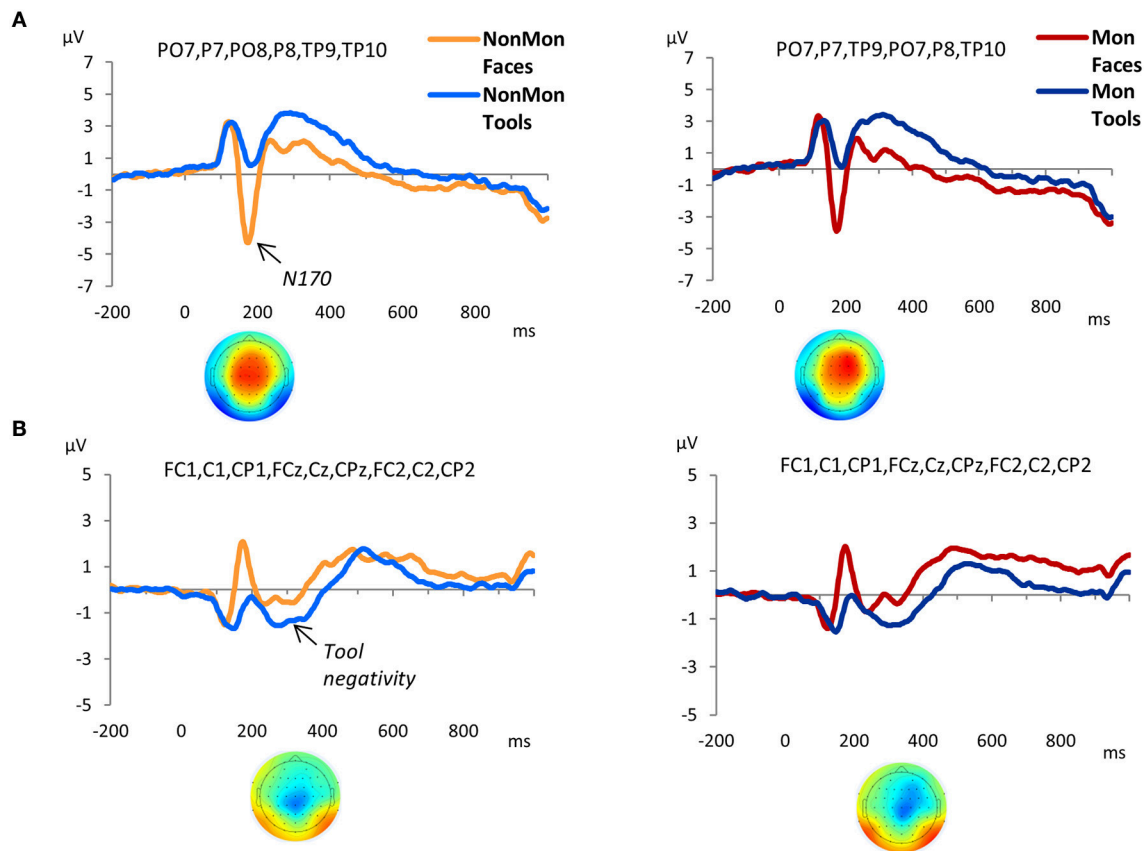
N170 component. The amplitude of the peak was contrasted across experimental conditions. Based on previous literature (Itier and Taylor, 2004; Rossion and Jacques, 2008), and on temporal and spatial information derived from the GFP and the t-maps (Figure S1), the latency of the maximum negative peak was extracted from 140 to 210 ms in PO7, P7, PO8, P8, TP9, TP10 electrodes. The amplitude mean over a 12 ms time-window around the identified peak latency was measured. The 2 (NonMon, Mon)  $\times$  2 (Faces, Tools) ANOVA yielded a significant main effect of Domain [ $F_{(1, 17)} = 74.85, p < 0.001, \eta^2 = 0.815$ ], which statistically confirmed the presence of a larger negative peak for Faces. Moreover, a Monitoring  $\times$  Domain interaction emerged [ $F_{(1, 17)} = 6.96, p = 0.017, \eta^2 = 0.815$ ] that revealed a decrease of the N170 amplitude in Mon blocks compared to NonMon blocks only in the Face domain ( $p = 0.039$ ). The mean latency of the peak was 177 ms ( $SD = 10$ ) and 178 ms ( $SD = 10$ ) for Faces in the NonMon and Mon blocks respectively, and 181 ( $SD = 9$ ) and 184 ( $SD = 10$ ) for Tools. The ANOVA on mean latencies showed a main effect of Domain [ $F_{(1, 17)} = 7.71, p = 0.013, \eta^2 = 0.312$ ], which confirmed that the peak emerged slightly earlier for Faces.

The GFP exhibited an increased strength of the electric field in the Tool blocks compared to Face blocks from 240 to 340 ms (Figure S1). The t-maps revealed that this modulation mainly affected the following electrodes: FC1, FCz, FC2, C1, Cz, C2, CP1, CPz, and CP2. The waveforms of picture-evoked potentials plotted over these electrodes showed a negative deflection that characterized the responses to tools compared to faces (Figure 3B). Therefore we named this ERP component as “tool negativity.” The mean ERP amplitude of this component was

extracted for each subject and condition in these electrodes, from 240 to 340 ms, and submitted to a  $2 \times 2$  ANOVA. This analysis yielded a significant main effect of Domain [ $F_{(1, 17)} = 38.80, p < 0.001, \eta^2 = 0.695$ ] which confirmed larger negative ERP responses for Tools. Moreover, a main effect of Monitoring [ $F_{(1, 17)} = 7.58, p = 0.014, \eta^2 = 0.308$ ] revealed more positive ERP waveforms in Mon blocks.

The GFP revealed that differences between Mon and NonMon blocks emerged from 320 to 520 ms over frontal as well as parietal sites in both domains, as confirmed by the t-maps (Figure S1). The frontal ERP component was characterized by a positive deflection in the Mon blocks compared to NonMon blocks (Figure 4A), whereas the parietal component was characterized by a negative deflection in the Mon blocks (Figure 4B). Given its spatio-temporal trend, the latter component might be assimilated to a P3. Based on the t-maps, the frontal component was quantified in terms of mean ERP amplitude over F3, F1, Fz, F2, and F4 electrodes, whereas the parietal component was quantified in terms of mean ERP amplitude over PO3, POz, and PO4 electrodes.

To sum up, two monitoring-related ERP components emerged, a frontal positivity and a parietal negativity. The  $2 \times 2$  ANOVA on the frontal ERP component showed a main effect of Monitoring [ $F_{(1, 17)} = 48.18, p < 0.001, \eta^2 = 0.739$ ] that revealed that the pictures evoked more positive ERPs over frontal electrode sites in Mon compared to NonMon blocks. In addition, a main effect of Domain emerged [ $F_{(1, 17)} = 8.20, p = 0.011, \eta^2 = 0.325$ ] that showed that the ERPs (P3) were more positive for Faces compared to Tools. No significant interaction was found [ $F_{(1, 17)} = 2.66, p = 0.121, \eta^2 = 0.136$ ]. On the other hand, the



**FIGURE 3** | Grand-average waveforms of stimulus-locked ERPs for each Domain (Faces vs. Tools), separated by Monitoring condition (Non-Monitoring vs. Monitoring). **(A)** face-sensitive ERP component, N170; **(B)** tool-sensitive ERP component, here named Tool negativity. The zero time point corresponds to the onset of the stimulus. The t-maps in panel A represent the topographical distribution of *t*-tests values obtained from the difference between ERP averages in Face and Tool blocks from 140 to 210 ms **(A)**, and from the difference between ERP averages in Tool and Face blocks from 240 to 340 ms **(B)**. *T*-test values range from  $-10$  to  $+10$ .

analysis of ERP amplitude over parietal sites yielded a significant effect of Monitoring [ $F_{(1, 17)} = 51.19, p < 0.001, \eta^2 = 0.751$ ] that revealed an amplitude decrease in Mon compared to NonMon blocks. Neither the main effect of Domain [ $F_{(1, 17)} = 0.179, p = 0.678, \eta^2 = 0.01$ ] nor the Monitoring  $\times$  Domain interaction [ $F_{(1, 17)} = 0.478, p = 0.498, \eta^2 = 0.027$ ] were significant.

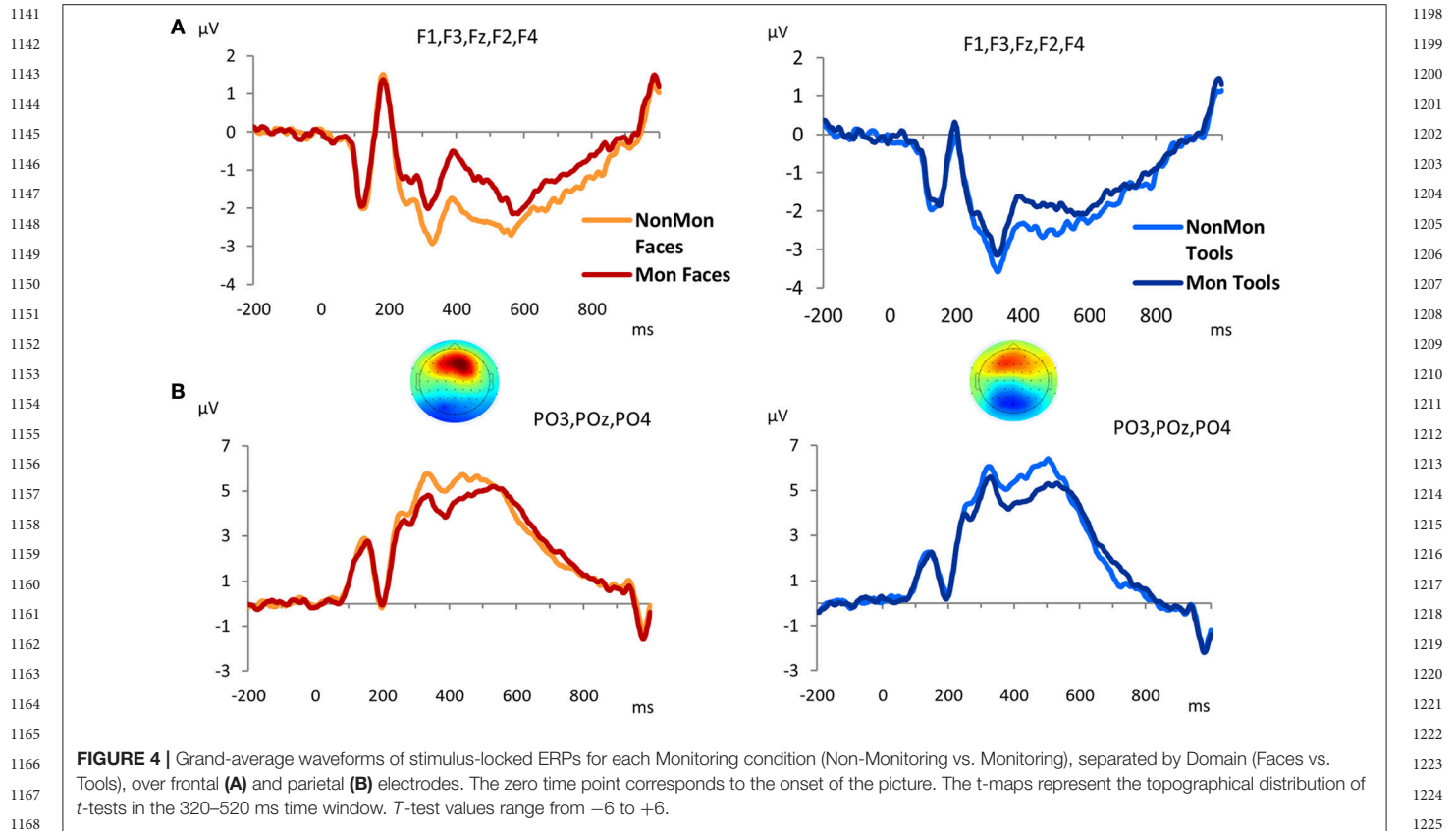
In all the above reported analyses, both fMRI and ERP, Target and Non-Target trials in the Mon blocks were collapsed. In order to examine the presence of differences in the event-related brain activity between Non-Target and Target trials within the Mon blocks compared to the NonMon trials, we analyzed the ERP amplitude in the 320–520 ms time window by means of two  $3 \times 2$  ANOVAs (only on correct trials; **Figure S2**). The analysis yielded a significant main effect of the trial type in both frontal [ $F_{(1.4, 11.4)} = 24.75, p < 0.001, \eta^2 = 0.593$ ] and parietal [ $F_{(1.4, 11.4)} = 10.99, p = 0.001, \eta^2 = 0.393$ ] electrodes. The *post hoc* test showed significant differences in ERP amplitude between NonMon and Non-Target trials ( $ps < 0.001$ ) and between NonMon and Target trials ( $ps < 0.003$ ), but not between Non-Target and Target trials ( $ps > 0.093$ ), in both scalp areas. These results demonstrated that the amplitude of the two examined ERP components did not differ between Target and Non-Target trials. A main effect

of Domain emerged only in frontal sites, as in the previous block analyses [ $F_{(1, 17)} = 7.01, p = 0.017, \eta^2 = 0.292$ ]. No significant interactions emerged ( $ps > 0.290$ ).

## ERP-fMRI Results

The monitoring-related ERP components which emerged in the conventional analyses were integrated with BOLD responses. Specifically, the mean amplitude of the ERPs over frontal electrodes (F1, F3, Fz, F2, and F4) in each block, from 320 to 520 ms, were entered in the first-level GLM as additional regressors (i.e., parametric modulator). Moreover, the mean ERP amplitude over parietal electrodes (PO3, POz, and PO4) in the same time-window was considered as a parametric modulator in a separate GLM (see the Statistical analysis section for details). No activations survived the  $p < 0.05$  voxel-level FWE correction. Typically, EEG-BOLD coupling yields weak results since they derive from the residual effects after the mean BOLD responses are removed (Liu et al., 2016). Therefore we lowered the voxel-level significance threshold to a  $p < 0.005$  uncorrected (Mulert et al., 2008). To control for multiple comparisons, the extent-threshold necessary to obtain a cluster-level FWE correction ( $p < 0.05$ ) was derived from a Monte-Carlo simulation with

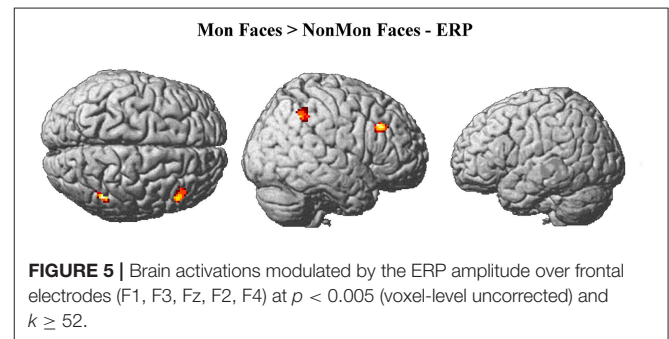




10,000 iterations (Slotnick and Schacter, 2004; <https://www2.bc.edu/sd-slotnick/scripts.htm>). Following this simulation, a cluster extent of a minimum of 52 contiguous voxels was considered. The activation of two right-lateralized clusters emerged when contrasting ERP regressors in Mon Faces vs. NonMon Faces (Table 3 and Figure 5). Namely, the activity of the right IPL, including the SMG, and of the right MFG were more activated in Mon compared to NonMon blocks and this activity was modulated by the frontal positivity potential. No clusters of voxels survived the chosen statistical threshold in the Tool domain and when considering parietal electrodes.

### Task Difficulty

In order to check whether the increased sustained activity in the Monitoring condition was the expression of task-difficulty rather than monitoring processes *per se*, we correlated functional changes in brain activity with RTs (Burgess et al., 2003). Namely, the mean RTs for each block were entered into the design matrix as parametric modulator instead of the ERPs. The same significance criterion was adopted ( $p < 0.005$  uncorrected, cluster extent threshold = 52 voxels). At the second level analysis, a  $2 \times 2$  full-factorial ANOVA model was built. The Mon > Ong contrast revealed that only one cluster was modulated by RTs, namely the posterior portion of the left inferior and middle temporal lobe ( $k = 146$ , peak coordinates =  $-48, -58, -10; -50, -60, 0; -42, -58, 2$ ). This area fell outside the mask created by the original contrast (Mon > Ong, fMRI results), meaning that



task difficulty cannot account for the increased brain response in the Monitoring condition. The involvement of the posterior temporal lobe was likely linked to the semantic retrieval process that supported the categorization tasks, especially for tools (Whatmough et al., 2002; Kellenbach et al., 2003; Whitney et al., 2012).

### DISCUSSION

The goal of the present study was twofold: (1) to investigate the neuroanatomical correlates of sustained and transient control processes mediating monitoring, and (2) to elucidate whether these brain structures are influenced by the nature of the to-be-processed material or are domain-independent. We referred



1255 to monitoring as the process of checking the environment  
 1256 over time for detecting the occurrence of target events (event  
 1257 monitoring). To address the first study goal, the EEG-fMRI  
 1258 coregistration technique was applied to a blocked experimental  
 1259 design, in which blocks requiring monitoring were contrasted  
 1260 to blocks not requiring monitoring. This approach enabled  
 1261 us to simultaneously capture both tonic (block-related fMRI)  
 1262 and phasic (event-related potentials) brain responses associated  
 1263 with the monitoring requirements. Conventional fMRI and  
 1264 ERP analyses were performed along with fMRI-ERP integration  
 1265 analyses (Debener et al., 2006; Mulert et al., 2008; Eichele et al.,  
 1266 2009). Namely, the block-by-block variations of ERPs were  
 1267 coupled with the corresponding block-by-block BOLD signal. To  
 1268 address the second goal, a within-subject design was used, in  
 1269 which participants were asked to monitor either faces or tools,  
 1270 in separate blocks. Task-domain specificities of monitoring were  
 1271 examined by comparing brain activity between the two types  
 1272 of blocks. These two stimulus materials were chosen because  
 1273 their processing is known to engage differently lateralized neural  
 1274 substrates.

1275 The conventional fMRI results showed a set of fronto-  
 1276 parietal regions in Mon compared to NonMon blocks  
 1277 commonly active in both domains, confirming our expectations  
 1278 that event monitoring has a domain-general nature. The  
 1279 electrophysiological results revealed that the transient  
 1280 component of monitoring, elicited by the onset of each  
 1281 event (i.e., the stimulus-evoked or reactive control processes)  
 1282 were represented by ERP amplitude modulations from 320  
 1283 to 520 ms after stimulus onset. The frontal ERP modulation  
 1284 correlated with the BOLD signal in the right IPL and right MFG  
 1285 for the face domain. No significant activations were detected for  
 1286 tool monitoring. A more detailed discussion of the functional  
 1287 significance of the results is provided below.

## 1288 **Monitoring vs. Non-monitoring**

1289 The fMRI findings confirmed previous evidence that points to the  
 1290 involvement of a fronto-parietal cortical network in monitoring  
 1291 the occurrence of target events over time (Reynolds et al., 2009;  
 1292 Vallesi et al., 2009; Vallesi and Crescentini, 2011; McDaniel et al.,  
 1293 2013; Benn et al., 2014; Vallesi, 2014). This network included  
 1294 the pre-SMA, the IFG, and lateral portions of the MFG at the  
 1295 frontal level, and the angular gyrus, the supramarginal gyrus  
 1296 and portions of the SPL at the parietal level. The activation  
 1297 of these areas reflected the action of tonic processes, which  
 1298 span multiple trials, across ITIs, and are not just instantiated at  
 1299 trial onsets. The conjunction analysis extended this evidence by  
 1300 showing that a similar bilateral set of fronto-parietal regions was  
 1301 recruited in Mon blocks compared to NonMon blocks in both  
 1302 stimulus domains. These results revealed the domain-general  
 1303 nature of the sustained control processes involved in monitoring  
 1304 and converged with the study of Benn et al. (2014) that found  
 1305 that long-lasting monitoring was associated with the bilateral  
 1306 activity of a fronto-parietal network of areas for both numerical  
 1307 and visuo-spatial domains.

1309 The bilateral involvement of the fronto-parietal areas  
 1310 suggested that these processes required the cooperation  
 1311 of multiple cortical areas between the two hemispheres.

1312 Furthermore, the fact that the identified areas belong to distinct  
 1313 functional resting networks led us to speculate that multiple  
 1314 control networks, not just the fronto-parietal one, were engaged  
 1315 for implementing the task. For example, the IPS and the IFG  
 1316 have been consistently found to represent central nodes of  
 1317 two attentional control systems, that are the “dorsal attention  
 1318 network” (DAN) and the “ventral attention network” (VAN;  
 1319 Corbetta and Shulman, 2002; Fox et al., 2005; Corbetta et al.,  
 1320 2008), respectively. The former system, IPS, SPL and frontal-  
 1321 eye-field, is hypothesized to play a key role in the top-down  
 1322 allocation of attention to goal-relevant and expected stimuli. The  
 1323 latter system, which is based on right-lateralized frontal areas  
 1324 comprising the temporo-parietal junction, extending into IPL,  
 1325 the IFG/MFG, the frontal operculum and the anterior insula,  
 1326 is thought to support stimulus-driven orienting of attention  
 1327 to relevant but unanticipated stimuli. Both systems integrate  
 1328 endogenous and exogenous signals, therefore there is not a strict  
 1329 dichotomy between them (Macaluso and Doricchi, 2013). The  
 1330 bilateral IPS activation in our study denoted the involvement  
 1331 of important nodes of the DAN, and specifically the top-down  
 1332 adjustment of the attentional focus according to the task goal  
 1333 (Langner and Eickhoff, 2013). On the other hand, the role of the  
 1334 right IFG might be attributed to the detection of relevant stimuli  
 1335 (Corbetta et al., 2008). Collectively, these two areas might have  
 1336 underlined the attention control requirements of our monitoring  
 1337 task.

1338 The involvement of the bilateral PFC (including both dorsal  
 1339 and ventral regions) cannot be fully explained by attentional  
 1340 control processes. The sustained coactivation of these areas  
 1341 suggested the intervention of additional tonic/proactive control  
 1342 component, such as the maintenance of task goals (Shallice  
 1343 and Burgess, 1991; Bunge et al., 2001; Miller and Cohen, 2001;  
 1344 Sakai and Passingham, 2003). Indeed, goal representations, which  
 1345 contain information regarding task requirements (e.g., detect  
 1346 cooking tools), needed to be maintained in an active state  
 1347 throughout the block in order to boost the target checking  
 1348 process.

1349 The activation of the pre-SMA cluster might have mediated  
 1350 the selection between task-set representations (Rushworth et al.,  
 1351 2002; Crone et al., 2006; Vallesi et al., 2015)<sup>2</sup>. Indeed, in  
 1352 the monitoring blocks two task-sets must be managed: the  
 1353 categorization task and the target detection task. Therefore, the  
 1354 pre-SMA involvement could be not strictly related to monitoring  
 1355 processes but also to dual-task requirements.

1356 The IFG and the IPS bilaterally, together with the pre-SMA  
 1357 and the insula, has been found to be part of the working memory  
 1358 network (Wager and Smith, 2003 for meta-analyses; Owen et al.,  
 1359 2005; Rottschy et al., 2012). In the monitoring task, working  
 1360 memory might have likely played a role in updating task goals  
 1361 as new information becomes available. However, it could also be  
 1362 related to the updating of counting. Although participants were  
 1363 instructed not to use the counting strategy, we cannot exclude  
 1364 they were actually engaged in it.

1365  
 1366 <sup>2</sup>We can rule out a role of pre-SMA in controlling or preparing motor outputs  
 1367 (Nachev et al., 2008) since the monitoring task does not require immediate extra  
 1368 motor responses.

1369 Importantly, in order to identify which cortical areas among  
 1370 those active across the entire block mediated phasic monitoring  
 1371 processes, the individual block-by-block ERP mean amplitude  
 1372 was entered into the GLM as a parametric modulator. This  
 1373 analysis revealed that, for face monitoring, two right-lateralized  
 1374 regions, namely the IPL and the MFG, covaried with the frontal  
 1375 ERP modulations. According to our hypotheses, these areas  
 1376 are active throughout the block but in a more phasic manner  
 1377 relative to the rest of the fronto-parietal network. Their transient  
 1378 activation might subserve a more trial-related evaluation of the  
 1379 events. Notably, the anatomical location of these areas were very  
 1380 close to the IPL and the inferior-middle frontal gyrus reported in  
 1381 a previous fMRI study focusing on monitoring spatial trajectories  
 1382 (Vallesi and Crescentini, 2011). The authors demonstrated that  
 1383 these areas were maximally activated in predictable trajectories,  
 1384 suggesting that the more the external contingencies match  
 1385 expectations, the higher their activity is. Taking together the  
 1386 findings of the present study and those of this earlier one,  
 1387 we may infer that the activity of these areas is linked with  
 1388 match/mismatch operations, which compare the actual stimulus  
 1389 to the expected one.

1390 The modulations in ERP amplitude, evoked by the onset of  
 1391 each event, highlighted the role of phasic processes also involved  
 1392 in monitoring blocks. In particular, a frontal ERP component  
 1393 was modulated in terms of an increased positivity in Mon  
 1394 compared to NonMon blocks. This ERP result was consistent  
 1395 with the findings in a similar study on event monitoring  
 1396 (Capizzi et al., 2016) and in previous studies focused on strategic  
 1397 monitoring in prospective memory (Cona et al., 2012, 2015a).  
 1398 Such positive deflection has been interpreted as reflecting the  
 1399 general recruitment of greater resources devoted to maintain the  
 1400 focus of attention on the monitoring requirements.

1401 When the individual block-by-block ERP mean amplitude was  
 1402 entered in fMRI analyses as parametric modulator in the GLM  
 1403 in the tool monitoring blocks, no activation cluster survived  
 1404 the statistical threshold. We may interpret this null result as  
 1405 reflecting the fact that in the tool domain a weaker transient  
 1406 activity, masked by a stronger sustained one, was engaged relative  
 1407 to faces. The stronger BOLD activity reported in monitoring tools  
 1408 compared to the faces, and the higher monitoring cost observed  
 1409 in response times, corroborate this tentative explanation. Further  
 1410 research needs to disambiguate this point.

1411 The operational definition of monitoring adopted in the  
 1412 current study might be strongly related to the constructs  
 1413 of vigilant attention and prospective memory (e.g., Kliegel  
 1414 et al., 2008; Langner and Eickhoff, 2013). Unlike typical  
 1415 vigilant attention tasks, however, our monitoring manipulation  
 1416 implies a categorization task and not simple detection or  
 1417 discrimination operations. Furthermore, in order to counteract  
 1418 the right frontoparietal deactivation associated with the increased  
 1419 time spent performing low demanding attention task (Coull  
 1420 et al., 1998) and to discourage the automatic processing, the  
 1421 monitoring task was inserted in an ongoing task. Unlike  
 1422 prospective memory tasks, the goals to be fulfilled were explicitly  
 1423 updated at the beginning of each block so that memory  
 1424 demands were minimized. In addition, the frequency of the  
 1425 target occurrence was higher than in typical prospective memory

1426 paradigms and the block duration shorter. Yet, some processes  
 1427 are likely to be commonly engaged in these two types of  
 1428 tasks. Indeed, vigilant attention is mediated by a mainly right-  
 1429 lateralized network of cortical structures (including middle and  
 1430 ventrolateral PFC, intraparietal sulcus and insula) as well as  
 1431 subcortical ones. In prospective memory, the dorsal fronto-  
 1432 parietal network, including precuneus and DLPFC, is associated  
 1433 with a strategic monitoring process (Cona et al., 2015b for  
 1434 a meta-analysis). This process reflects the allocation of top-  
 1435 down attentional and memory processes required, respectively,  
 1436 to maintain the intention active in mind and to monitor  
 1437 the environment for detecting the PM cues (i.e., the stimuli  
 1438 associated with the intention to execute).

## 1439 Faces vs. Tools

1440 The fMRI results confirmed that the processing of the two  
 1441 stimulus materials chosen for investigating the supra-ordinate  
 1442 nature of monitoring was subserved by a differently lateralized  
 1443 brain network. As expected, face processing was subtended  
 1444 by mainly right-lateralized areas compared to tool processing,  
 1445 whereas tools were processed by more left-lateralized regions.  
 1446 Specifically, faces compared to tools elicited the activation of the  
 1447 portion of the right fusiform gyrus, corresponding to the fusiform  
 1448 face area, which is devoted to face detection and recognition  
 1449 (Kanwisher et al., 1997; Kanwisher and Yovel, 2006; Frässle et al.,  
 1450 2016).

1451 In addition, the contrast revealed a significant activation of  
 1452 the amygdala, bilaterally. The significantly higher activation of  
 1453 the amygdala in the presence of neutral faces is likely linked to  
 1454 the processing of socially-relevant features of faces, in this case  
 1455 race (Todorov and Engell, 2008; Todorov, 2012). Interestingly,  
 1456 it has been found that the amygdala is affected by habituation  
 1457 when ingroup but not outgroup faces are presented (Hart et al.,  
 1458 2000). This phenomenon is part of the “other-race” face effect  
 1459 (Platek and Krill, 2009), and it has been proposed to result  
 1460 from an implicit and automatic process due to cultural learning  
 1461 (Lieberman et al., 2005). Since in our study faces belonging to  
 1462 different races were displayed, the activation of the amygdala  
 1463 suggests an implicit categorization of race (but the risk of reverse  
 1464 inference should be acknowledged here). The conventional ERP  
 1465 results confirmed the presence of a well-known face-selective  
 1466 component in face processing, the N170 over occipito-temporal  
 1467 and temporo-parietal electrodes (Itier and Taylor, 2004; Rossion  
 1468 and Jacques, 2008; Nguyen and Cunnington, 2014).

1469 As compared to faces, the tool processing engaged the  
 1470 activity of wider sets of clusters, more extended in the left  
 1471 hemisphere, which comprised the fusiform gyrus, the middle  
 1472 occipital gyrus and the middle temporal gyrus. This pattern of  
 1473 areas was coherent with the involvement of the lateral occipital  
 1474 complex (LOC), located on the lateral bank of the fusiform gyrus  
 1475 and extending ventrally and dorsally, which mediates object  
 1476 recognition processes (Grill-Spector and Malach, 2001; Perini  
 1477 et al., 2014). The data confirm that the object-sensitivity of  
 1478 the LOC is stronger in the left hemisphere when objects are  
 1479 compared to faces (Haist et al., 2010).

1480 Additionally, the tool processing activated the left IPL,  
 1481 comprising the supramarginal gyrus and the IPS. These parietal  
 1482

1483 regions, together with the middle temporal gyrus, store the  
 1484 representations of the movements associated with the object's use  
 1485 and are automatically engaged by viewing manipulable objects  
 1486 with a clear affordance (tools), independently of an overt motor  
 1487 output (Chao and Martin, 2000; Creem-Regehr and Lee, 2005).  
 1488 In particular, the left IPL stores hand-postures that can be used  
 1489 for planning object-directed actions (van Elk, 2014). Altogether,  
 1490 these findings suggest the involvement of both the ventral and  
 1491 the dorsal visual streams, deputed to the functional identification  
 1492 of an object, that is to its perceptual recognition and to its use,  
 1493 respectively (Goodale and Milner, 1992; Valyear and Culham,  
 1494 2010).

1495 The fact that monitoring in the tool blocks required longer  
 1496 response times is likely related to the specific task requirements.  
 1497 Indeed, the categorization of tools according to their use is  
 1498 more complex than the categorization of faces according to the  
 1499 race or age. The activation of the left inferior frontal gyrus in  
 1500 tools' processing might reflect the implicit use of some linguistic  
 1501 functions to support the categorization task (such as naming,  
 1502 Lupyan et al., 2012; Lupyan and Mirman, 2013). Furthermore, the  
 1503 activity of the left inferior frontal gyrus might be associated with  
 1504 the selection of semantic knowledges (Thompson-Schill et al.,  
 1505 1997).

1506 The electrophysiological counterpart confirmed these  
 1507 inferences. Specifically, the ERP marker of tool processing was  
 1508 represented by a negative deflection over central and pericentral  
 1509 electrodes at 240–340 ms, significantly larger for tools than faces.  
 1510 This negativity reminds the anterior negativity found in a passive  
 1511 viewing task where tools were compared to graspable objects,  
 1512 whose role was attributed to the automatic access to motoric  
 1513 object properties (Proverbio et al., 2011).

1514 Taken together, the fMRI and ERP results on face and tool  
 1515 processing were coherent with the previous literature in showing  
 1516 an opposite lateralization of face and object processing and  
 1517 validate our choice of stimuli.

## 1518 CONCLUSIONS

1519 The present research provides direct neuroimaging evidence of  
 1520 the domain-general nature of the sustained monitoring processes  
 1521 by showing that the same set of fronto-parietal cortical areas  
 1522 were co-activated when monitoring the environment for different  
 1523 types of events. Remarkably, the integration of fMRI and ERP  
 1524 findings offered a novel window into the attempt to detect the  
 1525 neural bases of a transient monitoring component overlapping  
 1526 with the sustained one. Indeed, while the bilateral fronto-parietal  
 1527  
 1528  
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 1539

1540 activation subtend the monitoring processes in a sustained  
 1541 manner, only right-lateralized clusters, at least in the face domain,  
 1542 expressed the phasic/transient component of the monitoring  
 1543 process.  
 1544

## 1545 AUTHOR CONTRIBUTIONS

1546 VT and AV conceived the experimental design. VT and SF  
 1547 created the task and the stimuli set. VT, IM, and SF collected the  
 1548 data. VT performed the EEG analyses. IM provided engineering  
 1549 support to MR set-up and performed fMRI and EEG-fMRI  
 1550 analyses. FC provided medical supervision and constructive  
 1551 suggestions to the experimental setting. AV provided significant  
 1552 feedbacks and contribution throughout all study phases. VT and  
 1553 IM drafted the manuscript. All authors provided substantial and  
 1554 critical revisions of the manuscript, approved the final version of  
 1555 the manuscript and agree to be accountable for all aspects of the  
 1556 work.  
 1557

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 1571

## 1572 SUPPLEMENTARY MATERIAL

1573 The Supplementary Material for this article can be found  
 1574 online at: <http://journal.frontiersin.org/article/10.3389/fnhum.2017.00376/full#supplementary-material>  
 1575  
 1576

1577 **Figure S1** | In **(A)**, the mean Global Field Power (GFP) between Faces and Tools  
 1578 is contrasted in Non-Monitoring (left) and Monitoring (right) blocks. In **(B)**, the  
 1579 mean GFP between Non-Monitoring and Monitoring blocks is contrasted in Face  
 1580 (left) and Tool (right) blocks. The gray frames mark the time-windows in which  
 1581 paired *t*-test denoted significant differences ( $p < 0.05$ ).  
 1582

1583 **Figure S2** | Grand-average waveforms of faces-locked ERPs (left) and  
 1584 tools-locked ERPs (right) in Non-Monitoring blocks compared to NonTarget and  
 1585 Target stimuli in Monitoring blocks. **(A)** depicts frontal electrodes, parietal **(B)**  
 1586 depicts parietal electrodes.  
 1587  
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- 1882 **Conflict of Interest Statement:** The authors declare that the research was  
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