



A Main Sequence for Quasars

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The last 25 years saw a major step forward in the analysis of optical and UV spectroscopic data of large quasar samples. Multivariate statistical approaches have led to the definition of systematic trends in observational properties that are the basis of physical and dynamical modeling of quasar structure. We discuss the empirical correlates of the so-called "main sequence" associated with the quasar Eigenvector 1, its governing physical parameters and several implications on our view of the quasar structure, as well as some luminosity effects associated with the virialized component of the line emitting regions. We also briefly discuss quasars in a segment of the main sequence that includes the strongest FeII emitters. These sources show a small dispersion around a well-defined Eddington ratio value, a property which makes them potential Eddington standard candles.

Keywords: galaxy evolution, quasars, eigenvector 1, outflows, emission lines, supermassive black holes, black hole physics

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Specialty section:

This article was submitted to
Milky Way and Galaxies,
a section of the journal
Frontiers in Astronomy and Space
Sciences

Received: 17 October 2017

Accepted: 07 February 2018

Published: 01 March 2018

Citation:

Marziani P, Dultzin D, Sulentic JW, Del
Olmo A, Negrete CA,
Martínez-Aldama ML, D'Onofrio M,
Bon E, Bon N and Stirpe GM (2018) A
Main Sequence for Quasars.
Front. Astron. Space Sci. 5:6.
doi: 10.3389/fspas.2018.00006

1. INTRODUCTION

A defining property of type-1 quasars is the presence of broad and narrow optical and UV lines emitted by ionic species over a wide range of ionization potentials (IPs, Vanden Berk et al., 2001) which can be conveniently grouped in high-ionization lines (HILs) involving $IP \gtrsim 50$ eV, and low-ionization lines from ionic species with $IP \lesssim 20$ eV (Table 1). Optical and UV lines do not all show the same profiles, and quasar redshifts measured on different lines often show significant differences. Internal line shifts (i.e., differences in redshift from different emission lines measured for the same object) involve both broad and narrow emission lines and have offered a powerful diagnostic tool of the quasar innermost structure and of the emitting region dynamics since a few years after the discovery of quasars (Burbidge and Burbidge, 1967). This is true even if the assumption that unobscured type-1 quasars have very similar properties has remained widespread until very recent times. This assumption has been, in our opinion, one of the most damaging prejudices in the development of quasar research. At a meeting in 1999 in Mexico City Deborah Dultzin half-jokingly suggested that "a thousand spectra are worth more than one average spectrum" as an extension of the aphorism "a spectrum is worth a thousand pictures" (Dultzin-Hacyan et al., 2000). The developments in the last 15+ years have proved that this is indeed the case, although the value of single-epoch observations may have gone under appreciated with respect to other lines of evidence. We will therefore focus the scope of this review mainly to the organization of single-epoch spectra of large samples of quasars, following the "bottom-up" approach developed by Jack Sulentic and by his collaborators.

TABLE 1 | Identification of high- and low-ionization lines.

	Broad	Narrow	low-z	high-z
HILs (IP \gtrsim 50 eV)	CIV λ 1549, HeII λ 1640 NV λ 1240	[OIII] $\lambda\lambda$ 4959,5007, HeII λ 1640, [Ne III] λ 3869	Space	Visual
LILs (IP \lesssim 20 eV)	HI Balmer (H β), FeI, MgII λ 2800, Ca IR Triplet	Balmer, [OII] λ 3727, [O] λ 6300 [SII] $\lambda\lambda$ 6716,6731	Visual	IR

Over the years, the UV resonance line CIV λ 1549 was considered as representative of broad HILs, and [OIII] λ 4959,5007 were employed as strong and easily accessible narrow HILs. Typical broad LILs include Balmer lines, FeI emission as well as MgII λ 2800. Their analysis requires an accurate measurement of the quasar redshift. Narrow LILs (H β and [OII] λ 3727) have been found to be the best estimator of the quasar systemic redshift which defines the quasar “rest-frame” (e.g., Eracleous and Halpern, 2003; Hu et al., 2008; Bon et al., in preparation). The representative narrow and broad HILs [OIII] $\lambda\lambda$ 4959,5007 and CIV λ 1549 show systematic blueshifts with respect to LILs in a large fraction of type-1 AGN (see e.g., Gaskell 1982; Tytler and Fan 1992; Corbin and Boroson 1996; Marziani et al. 1996; Richards et al. 2002; Zamanov et al. 2002; Zhang et al. 2011; Marziani et al. 2016b, and Shen et al. 2016 for broad and narrow lines, respectively). Broad LILs could be used as a last resort especially in high-redshift quasars where the UV rest-frame is accessible from optical observations and no narrow lines are observable (Negrete et al., 2014). Even if broad LILs can show significant shifts with respect to rest frame, these are infrequent, and rarely as large as those found among HILs.

The interpretation of inter-line shifts in quasar spectra is mainly based on the Doppler effect due to gas motion with respect to the observer, along with selective obscuration. This explanation is almost universally accepted. For [OIII] λ 4959,5007 blueshifts, it is consistent with a moderately dense outflow ($\log n \sim 2 - 5$ [cm $^{-3}$]) of optically thin gas. For CIV λ 1549, the explanation is not fully consistent if line emission occurs from optically thick clouds distributed, for example, symmetrically in a bicone whose axis is aligned with the spin of the black hole (e.g., Zheng et al., 1990). Such optically thick outflows might more easily give rise to a net redshift, if the receding part of the outflow remains visible. While it is no longer under discussion that large CIV blueshifts (amplitude \gtrsim 1,000 km/s) involve radial motion + obscuration, Gaskell and Goosmann (2013) suggested an alternative explanation involving infall and “reflection.” The observer does not see photons from the line emitting gas, but photons backscattered toward herself from a sea of hot electrons over the accretion disk. If the photons were originally emitted from infalling gas (i.e., approaching the disk), the observer should see a net blueshift. This explanation has some appeal for CIV λ 1549, but sounds very unlikely for [OIII] λ 4959,5007 because [OIII] $\lambda\lambda$ 4959,5007 is emitted on spatial scales ranging from a few pc to thousands of pc, where a suitable “mirror” as the one potentially offered by

hot electrons surrounding the accretion disk may not exist. In view of source commonality in terms of CIV λ 1549 and [OIII] λ 4959,5007 blueshifts (Marziani et al., 2016a,b), we will follow the most widely accepted interpretation that blueshifts involve outflow and obscuration for both CIV λ 1549 and [OIII] λ 4959,5007. The interpretation of LIL blue- and redshifts will follow the same assumption (with some caveats, section 8.1).

This review will be focused on the way internal line shifts and other quasar properties can be efficiently organized. A major step was an application to quasar spectra of the Principal Component Analysis (PCA) carried out in the early 1990s (Boroson and Green, 1992; Francis et al., 1992). Boroson and Green (1992) measured the most prominent emission features in the H β spectral region, and found the first hint of the quasar “main sequence” (MS; their Figure 9). The PCA and other statistical techniques require measurable parameters for a set of sources. The starting point is therefore the definition of a set of parameters (section 2) that may be conducive to the identification of fundamental correlations (the Eigenvectors) as well as to physics. The PCA of type-1 quasars (we remark in section 3 that we are dealing exclusively with type-1, unobscured quasars) yields a first eigenvector from which the MS is defined (section 4). After reviewing the MS correlates (section 5), we show how the “empirical” eigenvector 1 can be connected to the main physical parameters of quasars seen as accreting systems (sections 6 and 7). The most intriguing results point toward two different accretion structures in type-1 quasars (section 8), which are however largely self-similar over a wide range in luminosity. As an example of the power of the MS to identify sources that are physically similar, we consider quasars located at the extreme tip of the MS which are potential distance indicators (section 9).

2. DIAGNOSTICS FROM SINGLE-EPOCH SPECTRA: INTERNAL LINE SHIFTS AND INTENSITY RATIOS

Single-epoch spectroscopy of large quasar samples yields data defined by limits in multiplexing (i.e., by the ability to obtain a record of signals in different wavebands with the same observations): synoptical observations of the UV, visual, NIR rest frame have been challenging until a few years ago (and, in part, they are still challenging to-date). Given the limit in multiplexing, observations of low- and high- z quasars provide different information since different rest-frame wavelength ranges are covered: visual spectrometers provide the rest-frame H β range at low z but the rest-frame UV at $z \gtrsim 1.5$. To cover the rest-frame UV at low- z , space-based observations are needed. To cover H β at high z , NIR spectroscopy is needed. These limitations are being overcome by new generation instruments mounted at the focus of 8m-class telescopes which provide simultaneous coverage of visual and NIR, but these facilities were not available at the time most of the work reviewed in this paper was done. Synoptic observations of visual and UV at low- z still require coordinated ground and space-based observations which are especially hard to come by.

Table 1 provides an overview of the lines covered in the different domains. When we speak of intermediate to high- z objects (a population of behemoth quasars that is now extinguished), we are speaking of sources that are not anymore observed at $z \lesssim 1$ (mainly for the “downsizing” of nuclear activity, see e.g. Springel et al., 2005; Sijacki et al., 2015; Fraix-Burnet et al., 2017, and references therein). On the other hand, at high- z , only relatively few quasars with luminosities comparable to those of low- z quasars are known since their apparent magnitude would be too faint. They aren’t yet efficiently sampled by the major optical source of quasar discovery, the Sloan Digital Sky Survey (SDSS, Blanton et al. 2017, and references therein).

2.1. Analysis

A prerequisite for a meaningful analysis of internal line shifts and intensity is a spectral resolution $R = \lambda/\delta\lambda \gtrsim 1,000$ and $S/N \gtrsim 20$. In addition, the quasar rest frame must be known with good precision. As mentioned in the Introduction, accurate redshifts can be obtained by limiting the measurements to narrow LILs ($H\beta$) and [OII] $\lambda 3727$, the latter with some caveats (Bon et al., in preparation). Narrow HILs (e.g., [OIII] $\lambda\lambda 4959, 5007$) show systematic blueshifts (Zamanov et al., 2002; Eracleous and Halpern, 2003; Rodríguez-Ardila et al., 2006; Hu et al., 2008) whose amplitude is a strong function of their location along the quasar main sequence (section 5).

Once the rest frame is known, quantitative measurements of emission line profiles centroids, line widths at different fractional heights become possible. Centroids are defined by: $c(\frac{i}{4}) = (\text{FW}(\frac{i}{4}) - 2 \cdot \lambda_0)/2$, $\forall i = 1, \dots, 4$, where the full width is $\text{FW}(\frac{i}{4}) = \lambda_R(\frac{i}{4}) - \lambda_B(\frac{i}{4})$.

In more recent times, we have applied a heuristic multicomponent decomposition whose rationale will be given in section 6.2, that is in part equivalent to a inter-percentile profile analysis (Shang et al., 2007; Marziani et al., 2010). This more model-dependent approach has been used along with centroids and width measurements on the full profile. Intensity ratios are computed separately for each profile component. The multicomponent fits have the advantage of isolating regions that are partly resolved in the radial velocity dimension and in different physical conditions (section 6.3).

An important element in the analysis of optical and UV lines is the measurement of the FeII emission contribution. As shown in Figure 1 of Marziani et al. (2006), FeII multiplets are strong in the optical and UV and even create a pseudo continuum in the range 2,100–3,000 Å. Contamination in the $H\beta$ spectral range is also strong. Within the limits of the past analysis, it has proven appropriate to assume that the FeII multiplet ratios are always the same, even if the FeII features change equivalent width and FWHM from object to object (Boroson and Green, 1992). The trend shown in **Figure 1** motivates this assumption. In practice, it has been possible to model the FeII emission using a scaled and broadened template obtained from the Narrow Line Seyfert 1 (NLSy1) galaxy I Zw 1, a strong FeII emitter with narrow broad lines. More sophisticated approaches varying multiplet ratios (e.g., Kovačević et al., 2010) are needed in the rare cases where FeII emission appears peculiar.

Given the quantitative measurements on line profile and line profile components, much of the past work has been inspired

by the Baconian approach (Bacon, 1902), deriving inferences by induction from observations without (much) prior benefit of theory. In practice this translated into (1) classifying data in a systematic way, to avoid a mixup of sources which are empirically different; (2) applying a quantitative but phenomenological description of the data, (3) performing uni- and multivariate statistics with a quantitative treatment of errors (including, for example, also the analysis of censored data). Model inferences have been deduced from the data separating aspects that were strongly constrained (for example, physical conditions derived from nebular physics), from those that required more speculative assumptions.

3. UNIFICATION MODELS AND TYPE-1 QUASARS

Unification schemes have provided a powerful conceptual framework suitable for organizing the analysis of AGN. The precursor distinction between type 1 and 2 Seyfert (Khachikian and Weedman, 1974) gained a convincing interpretation when Antonucci and Miller (1985) reported the discovery of a broad line component visible in the polarized spectrum of Seyfert 2 nuclei but invisible in natural light: the broad line region is hidden from view and only photons scattered by hot electrons toward our line of sight are received by the observer. This explanation remains alive and widely accepted today (e.g., Eun et al., 2017), even if we now know that is only a part of the story: type-2 AGN differ for environmental properties (Dultzin-Hacyan et al., 1999; Koulouridis et al., 2006; Villarroel and Korn, 2014), may intrinsically lack a BLR (Laor, 2000) at very low accretion rates, or may even be unobscured normal type-1 under special conditions (Marinucci et al., 2012). The point here is that unification models of RQ AGN separate two types of quasars on the basis of the viewing angle between the line of sight and the symmetry axis of the system (i.e., the spin axis of the black hole or the angular momentum vector of the inner accretion disk) but make no prediction on unobscured type 1 AGN. Orientation effects are expected also for unobscured type-1s, as we should observe them in the range of viewing angles, $0 \lesssim \theta \lesssim 45 - 60$. There is little doubt that broad line width is affected by orientation, especially for RL sources (e.g., Wills and Browne, 1986; Rokaki et al., 2003; Sulentic et al., 2003; Jarvis and McLure, 2006; Runnoe et al., 2014): a comparison between RL sources that are core-dominated (believed to be oriented with the jet axis close to the line-of-sight) and lobe-dominated (misaligned) shows that the $H\beta$ FWHM is larger in the latter class. This result strongly suggests a flattened, axisymmetric structure for the BLR. For RQ objects, the evidence is not obvious and an estimate of θ remains an unsolved problem at the time of writing. However, orientation effects are certainly not enough to explain the diversity of quasar spectral properties.

4. THE QUASAR MAIN SEQUENCE

At the time the Boroson and Green (1992) paper appeared, studies based on moderately sized samples (20-30 objects) were

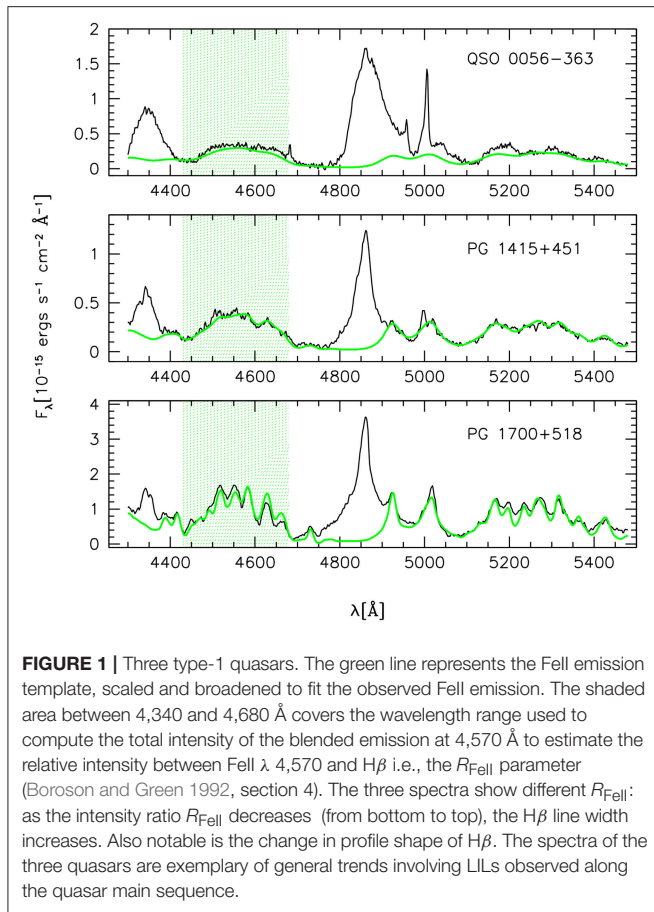


FIGURE 1 | Three type-1 quasars. The green line represents the FeII emission template, scaled and broadened to fit the observed FeII emission. The shaded area between 4,340 and 4,680 Å covers the wavelength range used to compute the total intensity of the blended emission at 4,570 Å to estimate the relative intensity between FeII λ 4,570 and H β i.e., the R_{FeII} parameter (Boroson and Green 1992, section 4). The three spectra show different R_{FeII} : as the intensity ratio R_{FeII} decreases (from bottom to top), the H β line width increases. Also notable is the change in profile shape of H β . The spectra of the three quasars are exemplary of general trends involving LILs observed along the quasar main sequence.

common and often reached confusing results from correlation analysis. The best example is the Baldwin effect (an anti-correlation between equivalent width of HILs and luminosity) which was found in some and then not found in similar samples without apparent explanation.¹ In this respect the sheer size of the Boroson and Green (1992) sample was a key improvement. A novel aspect was also the application of the PCA which considers each parameter as a dimension of a parameter space, and searches for a new parameter space with fewer dimensions (defined by linear combinations of the original parameters) as needed to explain most of the data variance (Murtagh and Heck, 1987; Marziani et al., 2006). The application of the PCA was not unprecedented in extragalactic astronomy (e.g., Diaz et al., 1989) but was well suited to quasar data that appeared weakly correlated among themselves without providing a clear insight of which correlations were the most relevant ones.

The quasar Eigenvector 1 was originally defined by a PCA of ≈ 80 Palomar-Green (PG) quasars and associated with an anti-correlation between strength of FeII λ 4570, R_{FeII} (or [OIII] 5007 peak intensity) and FWHM of H β (Boroson and Green, 1992).

¹The Baldwin effect was originally described by Baldwin et al. (1978) and later detected in several samples (Laor et al., 1995; Wills et al., 1999); negative results were concurrently obtained (Wu et al., 1983; Wilkes et al., 1999). Sulentic et al. (2000a) discuss early works, and the main reason of this apparent contradiction.

The parameter R_{FeII} is defined as the ratio between the integrated flux of FeII λ 4570 blend of multiplets, and that of the H β broad component:² $R_{\text{FeII}} = I(\text{FeII}\lambda 4570)/I(\text{H}\beta)$. Since 1992, various aspects of the Eigenvector 1 (E1) of quasars involving widely different datasets as well multi-frequency parameters have been discussed in more than 400 papers, as found on NASA ADS in August 2017 (Boroson and Green, 1992; Dultzin-Hacyan et al., 1997; Sulentic et al., 2000a,b, 2007; Shang et al., 2003; Grupe, 2004; Kuraszekiewicz et al., 2009; Mao et al., 2009; Tang et al., 2012). Earlier analyses have been more recently confirmed by the exploitation of SDSS-based samples (Yip et al., 2004; Wang et al., 2006; Zamfir et al., 2008; Kruczek et al., 2011; Richards et al., 2011; Marziani et al., 2013b; Shen and Ho, 2014; Brotherton et al., 2015; Sun and Shen, 2015).

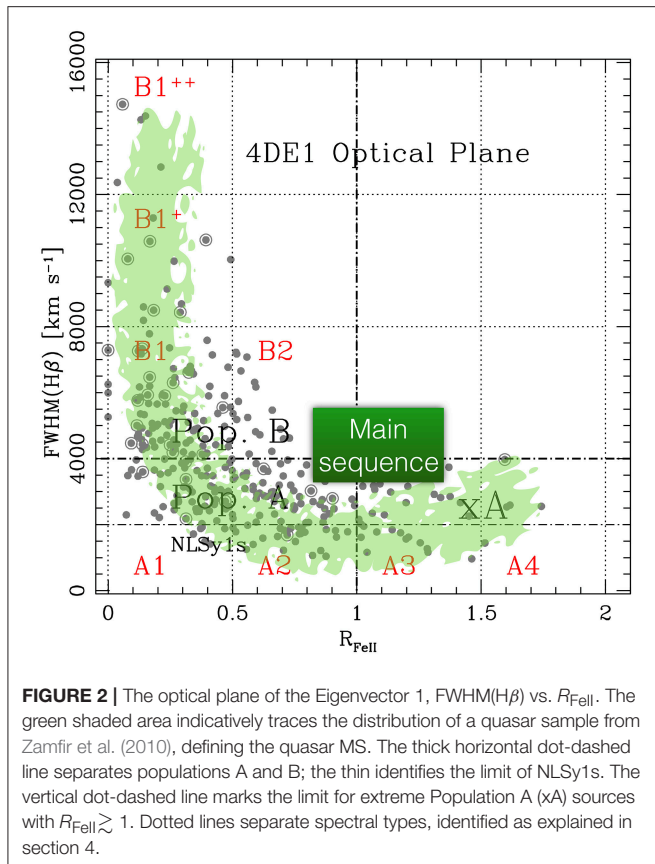
The second eigenvector – Eigenvector 2 – was found to be proportional to luminosity, and eventually associated with the HIL Baldwin effect(s) that are the most-widely discussed luminosity effects in quasar samples (Baldwin et al., 1978; Dietrich et al., 2002; Bian et al., 2012). The smaller fraction of variance carried by the Eigenvector 2 indicates that luminosity is not the major driver of quasar diversity, especially if samples are restricted to low- z . We will not further consider HIL luminosity effects³ but only discuss the influence of luminosity on the LIL FWHM (section 8.2).

The distribution of data points in the optical plane of the Eigenvector 1 FWHM(H β) vs. R_{FeII} traces the quasar main sequence (MS, **Figure 2**), defined for quasars of luminosity $\log L \lesssim 47$ [erg s⁻¹], and $z < 0.7$. The MS shape allows for the definition of a sequence of spectral types (**Figure 2**), and motivates subdividing the 4DE1 optical plane into a grid of bins of FWHM(H β) and FeII emission strength. Bins A1, A2, A3, A4 are defined in terms of increasing R_{FeII} with bin size $\Delta R_{\text{FeII}} = 0.5$, while bins B1, B1+, B1++, etc. are defined in terms of increasing FWHM(H β) with $\Delta \text{FWHM} = 4,000$ km s⁻¹. Sources belonging to the same spectral type show similar spectroscopic measurements (e.g., line profiles and line flux ratios). Spectral types are assumed to isolate sources with similar broad line physics and geometry. Systematic changes are reduced within each spectral type. If so, an additional advantage is that an individual quasar can be taken as a bona fide representative of all sources within a spectral type. The binning adopted (see **Figure 2**) has been derived for low- z (< 0.7) quasars. Systematic changes may not be eliminated in full, if an interpretation scheme such as the one of Marziani et al. (2001) applies, who posited a continuous effect of Eddington ratio and viewing angle (at a fixed M_{BH}) as the origin of the MS shape (section 7.5 provides further explanations).

Developments in the analysis before late 1999 of low- z quasar spectral properties are reviewed in Sulentic et al. (2000a). Data

²The term broad component without the suffix BC is used here to identify the full broad profile excluding the H β narrow component. In more recent times, we have distinguished between two components, the broad component H β_{BC} and the very broad component H β_{VBC} . The H β_{BC} is associated with the core of the H β broad line, and the H β_{VBC} with its broader base (see section 6.2).

³HIL luminosity effects are subject to strong biases. It is as yet unclear whether such biases can entirely account for the weak luminosity effects observed in large samples.



and ideas were in place as early as in year 2000 to introduce the idea of two quasar populations, A and B: Population A with $\text{FWHM}(\text{H}\beta) \leq 4,000 \text{ km s}^{-1}$; Population B (broader) with $\text{FWHM}(\text{H}\beta) > 4,000 \text{ km s}^{-1}$ (Sulentic et al., 2000a,b). Later developments have confirmed that the two populations are two distinct quasar classes. Population A may be seen as the class that includes local NLSy1s as well as high accretors (Marziani and Sulentic, 2014), and Population B as a class capable of high-power radio-loudness (Zamfir et al., 2008, see section 5). It now seems unlikely that the two populations are just the opposite extremes of a single quasar “main sequence” defined in the plane $\text{FWHM}(\text{H}\beta)$ vs. R_{FeII} (Sulentic et al., 2011, section 5), although the subdivision at $\text{FWHM}(\text{H}\beta) = 4,000 \text{ km s}^{-1}$ is not widely considered in literature. It is therefore worth analyzing the issue in some more detail after considering the main correlates along the MS.

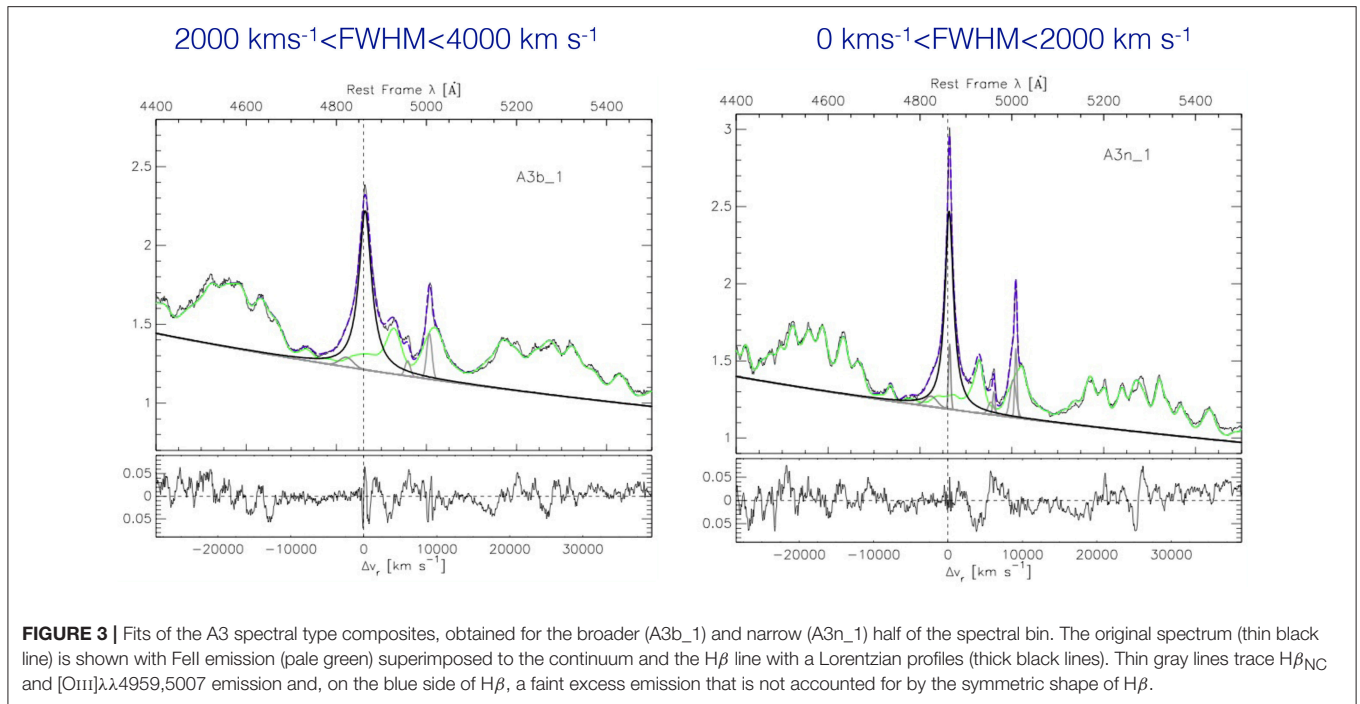
5. A BIRD’S EYE VIEW OF THE MS CORRELATES

Several correlates have been proved as especially relevant in the definition of the MS multifrequency properties.

- Balmer emission line profile shape – Several past works found a clear distinction between Pop. A and B in terms of Balmer line profile shapes (Sulentic et al., 2002; Marziani et al.,

2003b): Pop. A sources show Lorentzian Balmer line profiles, symmetric and unshifted; Pop. B, Double Gaussian (broad + very broad component, $\text{H}\beta_{\text{BC}} + \text{H}\beta_{\text{VBC}}$, section 6.2), most often redward asymmetric. While several authors described the Balmer line profiles of NLSy1s as Lorentzian (e.g., Véron-Cetty et al., 2001; Cracco et al., 2016), the transition between the profile types is apparently occurring at $4,000 \text{ km s}^{-1}$ and not at $2,000 \text{ km s}^{-1}$, the canonical limit of NLSy1. This early result (Sulentic et al., 2002) has been confirmed by several later analyses (e.g., Zamfir et al., 2010; Marziani et al., 2013b; Negrete et al., 2017). **Figure 3** shows composite spectra in the FWHM range $2,000\text{--}4,000$ and $0\text{--}2,000 \text{ km s}^{-1}$: the profile shape remains the same as the line gets broader (Negrete et al., 2017). Mirroring Paolo Padovani’s prescription as enunciated at the Padova meeting (Padovani 2017: no more RL, only jetted!), we would recommend to speak of Population A and B... and no more NLSy1s! In both cases, it is not just a matter of nomenclature: inter-sample comparison will be biased if the subdivision is inappropriate.

- UV diagnostic ratios – Major trends involve strong UV emission lines. Schematically, moving from spectral type B1++ to A4 we find: $\text{NV}\lambda 1240/\text{Ly}\alpha$: \nearrow ; $\text{AlIII}\lambda 1860/\text{SiIII}\lambda 1892$: \nearrow $\text{CIII}\lambda 1909/\text{SiIII}\lambda 1892$ \searrow $\text{W}(\text{NIII})1750$ \nearrow $\text{W}(\text{CIV}\lambda 1549)$ \searrow . These trends can be interpreted as an increase in density and metallicity and decrease in ionization parameter of the LIL-emitting part of the BLR toward the strongest FeII emitters at the tip of the MS (Baldwin et al., 1996; Wills et al., 1999; Bachev et al., 2004; Nagao et al., 2006; Negrete et al., 2012, 2013).
- $\text{CIV}\lambda 1549$ centroid shifts – The $\text{CIV}\lambda 1549$ centroid blueshifts are a strong function of a source location along the E1 MS, reaching maximum values in correspondence of the extreme Pop. A (xA, spectral types A3 and A4). They can be accounted for by a scaled, almost symmetric and unshifted profile (such as the one of $\text{H}\beta$) plus an excess of blueshifted emission, corresponding to a “virialized” emitting region plus an outflow/wind component, respectively (Marziani et al., 2010). The relative prominence of the two components is a function of the location on the MS: the outflow component can dominate in xA sources, and be undetectable in sources at the other end of the MS (B1++) where the broader profiles are found. If we measure the centroid shift at half maximum $c(\frac{1}{2})$, large blueshifts are found only in Pop. A (Sulentic et al., 2007). The blueshifted excess is at the origin of a correlation between centroid shifts of $\text{CIV}\lambda 1549$ and FWHM CIV (Coatman et al., 2016; Sulentic et al., 2017). This has important implications for M_{BH} estimates.
- $[\text{OIII}]\lambda\lambda 4959,5007$ doublet mimics the blueshift observed for $\text{CIV}\lambda 1549$ with respect to the rest frame. The average blueshift amplitude increases toward the high R_{FeII} end of the MS (Zamanov et al., 2002; Marziani et al., 2003a; Zhang et al., 2013; Cracco et al., 2016). This is emphasized by the distribution in the OP of the $[\text{OIII}]\lambda\lambda 4959,5007$ “blue outliers” (BOs) which show blueshift at peak of amplitude $\gtrsim 250 \text{ km s}^{-1}$. Large $[\text{OIII}]$ shifts such as those of the BOs are found for $\text{FWHM}(\text{H}\beta) < 4,000 \text{ km/s}$.



- LIL blueshifts – The profile of the resonance LIL MgII λ 2800 also suggests evidence of outflow (somewhat unexpectedly, Marziani et al. 2013b,a): low ionization outflows are detected in the xA spectral types, but lower radial velocities are involved in MgII λ 2800 than in CIV λ 1549 (~ 100 vs. $\sim 1,000$ km/s).
 - Radio loudness – The probability of being RL is much larger among Pop. B sources: 25%, among Pop. A $\approx 3 - 4\%$ (Zamfir et al., 2008). Core-dominated RL sources are displaced toward Pop. A in the xA spectral types, but lower radial velocities are involved in MgII λ 2800 than in CIV λ 1549 (~ 100 vs. $\sim 1,000$ km/s).
- Radio loudness – The probability of being RL is much larger among Pop. B sources: 25%, among Pop. A $\approx 3 - 4\%$ (Zamfir et al., 2008). Core-dominated RL sources are displaced toward Pop. A in the optical plane of the E1 because of orientation effects. Zamfir et al. (2008) suggest that RL sources should be considered as such only if very powerful with $\log P_\nu > 31.6$ [erg s $^{-1}$ Hz $^{-1}$] at 20 cm, and Kellerman's $\log R_K > 1.8$ (Kellermann et al., 1989), in line with the distinction of jetted and non-jetted suggested by Padovani (2016) which considers as jetted only sources for which there is evidence of powerful, relativistic ejections. On a broader perspective, radio-loudness may not be restricted to low Eddington ratio, once the basic prescriptions for explaining jet formation that involve extraction of the rotational energy of the black hole or of the accretion disk in the presence of a large-scale, well-ordered, and powerful magnetic field are satisfied (Blandford and Znajek, 1977; Blandford and Payne, 1982). Compact-steep spectrum (CSS) RL sources show high radio power (O'Dea, 1998) and properties that are of Pop. A, with relatively high L/L_{Edd} (Wu, 2009). Formation of jetted sources may occur also at high Eddington ratio, although the physical mechanism leading to jet production and collimation is presently unclear (for a review, see Czerny and You, 2016), and the jet properties may also be different (Gu, 2017, and references therein). If relatively low power

is considered ($\log P_\nu \sim 31$ [erg s $^{-1}$ Hz $^{-1}$]), the RL Pop. A sources include RL NSLy1s (Komossa et al., 2006). The γ -ray detection for some of them (Abdo et al., 2009; Foschini et al., 2010) may confirm their “jetted” nature. It has been suggested that RL NLSy1s have CSSs as a misaligned parent population (Berton et al., 2016). Therefore, the absence of luminous RL sources among Pop. A sources may be related to the absence of highly-accreting very massive black holes ($M_{\text{BH}} \gtrsim 10^9 M_\odot$) at relatively low- z (e.g., Cavaliere and Vittorini, 2000; Fraix-Burnet et al., 2017, and references therein).

- Soft X-ray slope – The steepness of the soft X-ray continuum measured by the photon index Γ_{soft} is also dependent on the location along the MS. Γ_{soft} is the measure of the soft-X excess (0.2–2 KeV) above a canonical power law with $\Gamma \approx 2$. Values of $\Gamma_{\text{soft}} > 2$ are mainly found for $\text{FWHM}(\text{H}\beta) < 4,000$ km/s (i.e., in Pop. A, Boller et al., 1996; Wang et al., 1996; Sulentic et al., 2000a; Grupe, 2004; Shen and Ho, 2014; Bensch et al., 2015).

Tables reporting main-sequence correlates are provided in several recent review and research papers (e.g., Sulentic et al., 2011; Fraix-Burnet et al., 2017), and in Chapter 3 and 6 of D'Onofrio et al. (2012). To restrict the attention of a subset of especially significant parameters, Sulentic et al. (2000a) introduced a 4DE1 parameter space. In addition to $\text{FWHM}(\text{H}\beta)$ and R_{FeII} , two more observationally “orthogonal” parameters, Γ_{soft} and $c(\frac{1}{2})$ CIV λ 1549 are meant to help establish a connection between observations and physical properties. The 4DE1 parameters clearly support the separation of Population A (FWHM

$H\beta < 4,000 \text{ km s}^{-1}$) and Population B (broader) sources,⁴ although the non-optical parameters are not always useful since they are MS correlates and often unavailable. The immediate interpretation of the 4DE1 parameters is summarized in **Table 2**. In the simplest term, the FWHM $H\beta$ is related to the velocity dispersion in the LIL emitting part of the BLR. On the converse, $c(1/2) \text{ CIV}$ yields a measurement affected by the high-ionization outflow detected in the HIL profile. The largest $c(1/2)$ values indicate a decoupling between the strongest HIL and LIL features, with the latter remaining symmetric and unshifted (Marziani et al., 1996). The parameter R_{FeII} is of more complex interpretation. R_{FeII} is affected by the metallicity (obviously, if $[\text{Fe}/\text{H}] = -10$, $R_{\text{FeII}} \approx 0$) but metallicity is most likely not all of the story (Joly et al., 2008), since FeII strength tends to saturate for high metallicity values. The main dependence is probably on ionization conditions, density and column density (section 7.5). A $\Gamma_{\text{soft}} > 2$ is usually ascribed to Compton thick soft X-ray emission from a hot corona above the disk, but may also be the high-energy tail of the spectral energy distribution of the disk itself, in case the inner disk is very hot (e.g., Done et al., 2012; Wang et al., 2014a).

5.1. Pop. A and B: Really a Dichotomy?

Supporting evidence in favor of a dichotomy between Pop. A and B includes the change in the $H\beta$ profile shape from Lorentzian-like to double Gaussian, with a redward asymmetry that is not detected in the narrower sources of Pop. A, not even in spectral type A1 where FeII emission is weak. Large $\text{CIV}\lambda 1549$ centroid blueshifts are not observed in Pop. B unless sources of very high-luminosity are considered (Bischetti et al., 2017; Bisogni et al., 2017; Sulentic et al., 2017). Therefore, a dichotomy at $\approx 4,000 \text{ km s}^{-1}$ for $z \lesssim 1$ and $\log L \lesssim 47$ [erg s^{-1}] is empirically supported by a sudden change in observations parameters. On the other hand, if R_{FeII} is mainly affected by L/L_{Edd} and the $H\beta$ FWHM by the viewing angle, it is hard to justify a dichotomy (Shen and Ho, 2014). The analysis of Marziani et al. (2001) indicates that spectral type A1 may include sources which are intrinsically of Pop. B and observed almost pole on. However, the FWHM $H\beta$ is also dependent on Eddington ratio (Nicastro, 2000, Figure 3 of Marziani et al. 2001). Most sources in bin A1 ($R_{\text{FeII}} \leq 0.5$) are true Pop. A with Lorentzian $H\beta$ profiles. The Eddington ratio corresponding to the change in $H\beta$ and $\text{CIV}\lambda 1549$ properties has been estimated to be $\approx 0.2 \pm 0.1$ in low- z , low-to-moderate L samples (Sulentic et al., 2000b; Marziani et al., 2003b). This is also the limit for the presence of a fully thin accretion disk (section 8.1; Abramowicz et al., 1988, 1997; Szuszkiewicz et al., 1996). At any rate, one should be aware that a fixed FWHM value only approximately corresponds to a well-defined Eddington ratio. The minimum FWHM for virialized systems (reached at maximum L/L_{Edd}) is luminosity-dependent (section 8.2), and so is the FWHM corresponding to any fixed L/L_{Edd} even if the luminosity dependence is weak up to $\log L \approx 47$ [erg s^{-1}] (Marziani et al., 2009).

⁴The $4,000 \text{ km s}^{-1}$ limit is appropriate at low redshift and moderate luminosity $\log L \lesssim 47$ [erg s^{-1}]; see the discussion in section 8.2.

TABLE 2 | Interpretation of the 4FE1 parameter space.

Parameter	Immediate interpretation	Relation to accretion parameters and orientation at low-to-moderate L ($\lesssim 10^{47} \text{ erg/s}$)
FWHM $H\beta$	LIL-BLR velocity field	$L/L_{\text{Edd}}, \theta, M_{\text{BH}}$
$c(1/2) \text{ CIV}$	HIL-BLR velocity field (outflow)	$L/L_{\text{Edd}}, \theta$
R_{FeII}	LIL ionization and density, Z/Z_{\odot}	L/L_{Edd} , possibly θ
Γ_{soft}	Compton-thick X-ray emission, accretion disk emission	L/L_{Edd}

6. FROM EMPIRICISM TO PHYSICS

6.1. Synergy Between Reverberation Mapping and Single-Epoch Spectroscopy of Quasars

Reverberation mapping derived from the response of the emitting regions with respect to continuum changes resolves the radial stratification of the line emitting regions and helps assess their velocity field (e.g., Peterson, 1998; Peterson and Horne, 2006). The synergy with reverberation mapping helps to partially remove the spatial degeneracy of single-epoch spectra. The strongest evidence in support of virial motion for the line emitting gas comes from two lines of reverberation mapping investigation: (1) the anticorrelation between line broadening and the time lag of different lines in response to continuum variation (Peterson and Wandel, 1999; Peterson et al., 2004). This relation has been found for some nearby Seyfert-1 nuclei with a slope close to the one expected from the virial relation. (2) Velocity-resolved reverberation mapping (e.g., Gaskell, 1988; Koratkar and Gaskell, 1991; Grier et al., 2013) rules out outflow as the main broadening mechanism for LILs. A general assumption adopted in the interpretation of single-epoch spectra is that virial motion is indicated by almost symmetric and unshifted line profiles (within $\approx 100 \text{ km s}^{-1}$ from rest frame, the typical rest frame uncertainty for moderate dispersion spectra).

6.2. Multi-Component Interpretation of Emission Lines

The broad profile of both LILs and HILs in each quasar spectrum can be modeled by changing the relative intensity of three main components, as shown schematically in **Figure 4**.

- The broad component (BC), which has been referred to by various authors as the broad component, the intermediate component, or the central broad component (e.g., Brotherton et al., 1994a; Popović et al., 2002; Kovačević-Dojčinović and Popović, 2015; Adhikari et al., 2016). As mentioned, the BC is represented by a symmetric and unshifted profile (Lorentzian for Pop. A or Gaussian for Pop. B) and is therefore assumed to be associated with a virialized BLR subsystem. The virialized BLR could be defined as the subregion that is in the condition to produce FeII emission.
- The blue shifted component (BLUE). A strong blue excess in Pop. A CIV profiles is not in doubt. In some CIV profiles

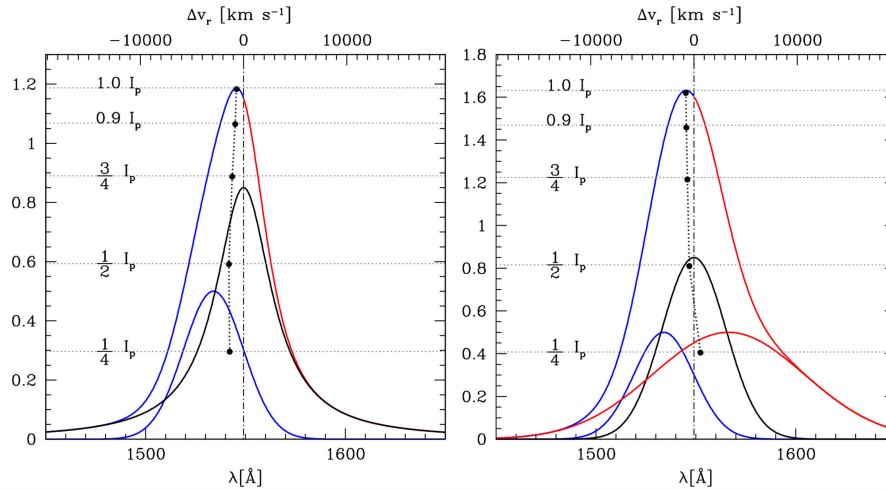


FIGURE 4 | Interpretation of line profiles, for Pop. A, and Pop. B (right). Fractional intensity levels where line centroids are measured are identified. Mock profiles are shown to represent the bare broad profile of any of the strongest emission lines of quasars. The left one is built on 2 components, as appropriate for Pop. A, which are the BC and a blue shifted excess BLUE. The blue shifted component is strong in HILs and weak in LILs. Pop. B profiles are accounted for by three components: in addition to the BC and BLUE, a redshifted VBC is needed to account for the prominent redward line profile asymmetry.

like the extreme Population A prototype I Zw1 the blue excess is by far the dominant contributor to the total emission line flux (Marziani et al., 1996; Leighly and Moore, 2004). BLUE is modeled by one or more skew-normal distributions (Azzalini and Regoli, 2012). The “asymmetric Gaussian” use is, at present, motivated empirically by the often irregular appearance of the blueshifted excess in CIV and MgII λ 2800.

- The very broad component (VBC). The VBC was postulated because of typical H β profile of Pop. B sources, that can be (empirically) modeled with amazing fidelity (i.e., with no significant residuals above noise in the fit composite spectra), using the sum of two Gaussians, one narrower and almost unshifted (the BC) and one broader showing a significant redshift $\sim 2,000$ km/s (Wills et al., 1993; Brotherton et al., 1994b; Zamfir et al., 2010). We expect a prominent CIV VBC associated with high ionization gas in the innermost BLR (Snedden and Gaskell, 2007; Marziani et al., 2010; Wang and Li, 2011; Goad and Korista, 2014). Past works indeed provided evidence of a VBC in CIV and Balmer lines (e.g., Marziani et al., 1996, 2010; Sulentic et al., 2000c; Punsly, 2010). Mirroring the definition of BLR, a VBLR may be defined as the region that is not able to emit significant FeII. Imposing significant FeII VBC emission in the multicomponent maximum likelihood fits of Population B H β produces unrealistic emission patterns in the FeII blend. Agreement is restored only when VBC emission is assumed negligible. At high L , BLUE can dominate in Pop. B CIV λ 1549 profile, too, but the VBC remains well-visible, especially in H β .

6.3. LIL- and HIL-Emitting Regions

The symmetric BC and the BLUE are consistent with a two-region model proposed since the late 1980s (Collin-Souffrin et al., 1988), where the BC is emitted in a flattened distribution of gas

while BLUE is associated with an high ionization outflow. A two region model such as a disk + radiation dominated wind is also qualitatively consistent with the data (Elvis, 2000).

Considering intensity ratios using the full line profiles can be misleading and may yield to problematic inferences. For example, the explanation of the Ly α /H β ratio has been one of the most challenging problems in the interpretation of line formation within the BLR (Netzer et al., 1995). It is easier to account for the observed ratios if BLUE and BC are kept separated. In the BLUE case, the ratio Ly α /H β is very high, consistent with relatively low density and high ionization (≈ 30 close to the case A in the low-density limit, Osterbrock and Ferland 2006), while in the BC case Ly α /H β is low ($\approx 5 - 10$) which requires high density and low-ionization, following CLOUDY simulations (Marziani et al., 2010). It has proven possible to reproduce the profiles of the strongest broad emission lines along the MS changing the relative proportion of the three components, BLUE, BC, and VBC, but assuming consistent component shift, width and shape parameter for all lines. As a second example, it is interesting to consider the case of the CIV λ 1549 profile in broad Pop. B RL and RQ sources. The centroid shifts to the blue are modest for both RQ and RL; however, redshifted $c(\frac{1}{2})$ are not unfrequent among RL but very rare among RQ (Sulentic et al., 2007; Richards et al., 2011). Comparing the CIV profile to H β offers a clue: H β can be accounted for by two Gaussians, one of them redshifted by $\sim 1,000-2,000$ km/s. With respect to the H β profile, the CIV lines shows a blueshifted excess (the BLUE component) that, even if not as strong as in Pop. A, has nonetheless the effect to symmetrize their profile. In some RL it may be completely absent, so that centroid measurements of CIV and H β agree (Marziani et al., 1996). This analysis allows us to infer two interesting facts: whenever BLUE is present we have CIV/H β $\gg 1$ (if BLUE is not detected in H β , a lower limit to CIV/H β is measured from the

noise); BLUE is apparently more prominent in Pop. B RQ than in RL, an effect that may be associated with the effect of the jet lateral expansion on the accretion disk wind (Sulentic et al., 2015).

The relative prominence of the three components can be accounted for in terms of balance of radiation and gravitation forces, at least in RQ quasars. If line emitting gas is optically thick to the Lyman continuum then the radiation will exert an outward acceleration that is inversely proportional to the column density N_c and directly proportional to the ionizing luminosity. The ratio between the radiative and gravitational acceleration for gas optically thick to the Lyman continuum is: $r_a = a_{\text{rad}}/a_{\text{grav}} \approx 0.176\kappa L_{44} M_{\text{BH},8}^{-1} N_{c,23}^{-1}$ where M_{BH} is in units of 10^8 solar masses, and L_{44} the bolometric luminosity in units of 10^{44} erg/s, κ the fraction of the bolometric continuum that is ionizing HI ($\lambda < 912\text{\AA}$), $\alpha \approx 0.5$ (Marziani et al., 2010). The equation can take the form $r_a \approx 7.2 L/L_{\text{Edd}} N_{c,23}^{-1}$, and shows that the net outward acceleration is proportional to L/L_{Edd} , and inversely proportional to column density N_c . If $r_a \gg 1$, radiative acceleration dominates, as it is apparently the case of the high ionization gas emitting the blueshifted excess (i.e., BLUE). If $r_a \ll 1$, the emitting gas may be infalling toward the central black hole, yielding the observed redshifted VBC. This interpretation is compatible with large N_c values for the VBLR and would naturally explain why the VBC is observed in objects with low Eddington ratio, $L/L_{\text{Edd}} \lesssim 0.1$. Similar considerations have been made to explain the origin of an FeII redshift with respect to the broad FeII emission (Ferland et al., 2009; Hu et al., 2012). While the reality of FeII emission shifts is debatable (Sulentic et al., 2012), an infall scenario may well apply to inner BLR yielding a redshifted VBC, provided that column density is high enough to withstand radiation forces.

7. CONNECTION TO ACCRETION PARAMETERS

Several physical parameters (black hole mass M_{BH} , Eddington ratio, spin, and the aspect angle θ) are expected to affect the parameters of the E1 MS, even if in an indirect way as in the case of the spin. Establishing a connection between a physical and an observational set of parameters is precisely the aim of the 4DE1 parameter space. **Table 2** lists the main physical parameters on which the empirical 4DE1 parameters are expected to be dependent. We still have a very incomplete view of the physics along the Eigenvector 1 sequence because we are able to make only coarse estimates of physical parameters. However, Eddington ratio and viewing angle θ are likely to be the main culprits affecting the location of a quasar along the MS, as discussed in the next sections.

7.1. Black Hole Mass

The virial black hole mass can be written as $M_{\text{BH}} = f r_{\text{BLR}} \delta v^2 / G$, where f is the emitting region structure or form factor, δv is a VBE (typically the line FWHM or its dispersion), and r_{BLR} is a characteristic distance from the black hole of the line emitting gas (i.e., in practice the distance derived from reverberation mapping or from the photoionization method). There are two fundamental aspects to consider: evaluating M_{BH}

requires consistent estimates of r_{BLR} and δv , for different lines; in addition, the parameters entering the virial expression of M_{BH} ($f, r_{\text{BLR}}, \delta v$) all depend on the location of the E1 sequence. It is an unfortunate circumstance that, to-date, this fact has not been taken into account in an exhaustive way. In the following we just mention how the applications of the E1 trends on the computation of M_{BH} can improve the accuracy of its estimates.

7.1.1. The Virial Broadening Estimator (VBE)

There is a growing consensus that the line width of the strongest LILs ($H\beta$ and $\text{Mg}\lambda 2800$) provide a reliable VBE, up to the highest luminosities (e.g., Trakhtenbrot and Netzer, 2012; Mejía-Restrepo et al., 2016; Sulentic et al., 2017). UV intermediate emission lines – have been found suitable as well, at least for low- z quasars (Negrete et al., 2013). The HIL CIV has been considered as a sort of taboo, as it offers a highly biased VBE (Baskin and Laor, 2005; Netzer et al., 2007; Sulentic et al., 2007). The broadening of $\text{CIV}\lambda 1549$ is affected by shear velocity in an outflow, and its FWHM is not immediately offering a reliable VBE (as recently discussed in the review of Marziani et al., 2017a). Figure 1 of Marziani et al. (2017a) shows the bias introduced into CIV M_{BH} computations along the MS by using uncorrected FWHM CIV values. Actually, in Pop. A errors on M_{BH} as large as factor of 100 are possible if the CIV FWHM is measured on the full CIV profile. Even if corrections can be derived, whether the CIV width may be a reliable VBE remains controversial (Denney et al., 2013; Coatman et al., 2017; Marziani et al., 2017a, and references therein). It is possible to identify corrections that would reduce the scatter between $H\beta$ and CIV-based M_{BH} estimates to ≈ 0.33 (Coatman et al., 2017; Marziani et al., 2017a). In other words, applying corrections to the CIV FWHM, the $H\beta$ and CIV FWHM lines would become equivalent VBEs. While important conceptually, these corrections may be cumbersome to apply in practice: since they are based on measures of the CIV line shift [the nonparametric measure of Coatman et al. 2017 is equivalent to $c(\frac{1}{2})$], they still require the knowledge of the quasar rest frame which is not always straightforward to estimate (as pointed out in section 1; see also Hewett and Wild 2010 for a discussion of z -dependent biases). In addition, corrections are different for Pop. A and B, and considering the Eddington ratio bias implicit in flux limited samples (Sulentic et al., 2015), they may remain sample-dependent.

We are now able to analyze systematic trends for the virial broadening of low-ionization lines along the E1 MS leaving aside random orientation effects that are expected to influence δv estimates. We can define a parameter ξ yielding a correction to the observed profile: $\xi = \text{FWHM}_{\text{VBE}}/\text{FWHM}_{\text{obs}} \approx \text{FWHM}_{\text{BC}}/\text{FWHM}_{\text{obs}}$, where the VBE FWHM can be considered best estimated by the FWHM of the broad component of any line, FWHM_{BC} . The non-virial broadening affecting the integrated profiles of LILs along the E1 sequence is due to different mechanisms (as mentioned in section 5): the A3/A4 spectral types are affected by outflow, while the $H\beta$ redward asymmetry may suggest an infall of the VBC emitting gas. However, the correction factor is modest ($0.75 \leq \xi \leq 1.0$) for both $H\beta$ and $\text{Mg}\Pi\lambda 2800$, with $\xi \approx 1.0$ in spectral types A1 and A2. In

other words, a simple correction is sufficient to extract a VBE from the observed FWHM. The correction can be evaluated for each spectral type or by applying individual source corrections as described in Marziani et al. (2017a).

7.1.2. Estimates of r_{BLR}

We can distinguish primary and secondary estimates of the radius of the BLR r_{BLR} . Primary determinations come from reverberation mapping monitoring (Horne et al., 2004; Peterson, 2014, and references therein) and are measured from the time lag τ yielded by the peak or centroid of the cross-correlation function between continuum and line light curves. Primary estimates can be also obtained from rest-frame, single-epoch UV spectra using the so-called photoionization method, as summarized below. Secondary determinations are computed using the correlation between r_{BLR} and luminosity that has been derived from reverberation-mapped sources (e.g., Kaspi et al., 2000, 2007; Bentz et al., 2009; Du et al., 2016): $r_{\text{BLR}} \propto L^a$, $a \approx 0.5 - 0.65$ (Kaspi et al., 2000; Bentz et al., 2006).

There are several caveats underlying the RM measure of r_{BLR} and its scaling law with luminosity (Marziani and Sulentic, 2012). This correlation has a considerable scatter (Marziani and Sulentic, 2012) that is propagating itself on the mass scaling laws written in the form $M_{\text{BH}} = M_{\text{BH}}(L, \text{FWHM}) = kL^a \text{FWHM}^b$ (e.g., Vestergaard and Peterson, 2006; Shen and Liu, 2012; Trakhtenbrot and Netzer, 2012). In addition, the $r_{\text{BLR}} - L$ scaling relation depends on dimensionless accretion rate (Du et al., 2016). Therefore the scaling laws should be redefined for at least Pop. A, extreme Pop. A (as actually done by Du et al. 2016) and Pop. B along the E1 sequence.

The ionization parameter U can be written as $U = Q(H)/4\pi r_{\text{BLR}}^2 n_{\text{H}} c \propto L/r^2 n$ (Netzer, 2013), where $Q(H)$ is the number of hydrogen ionizing photons. The radius of the BLR then can be recovered as $r_{\text{BLR}} = \frac{1}{h^{1/2} c} (n_{\text{H}} U)^{-1/2} \left(\int_0^{\lambda_{\text{Ly}}} f_{\lambda} \lambda d\lambda \right)^{1/2} d_{\text{C}}$, where d_{C} is the comoving distance to the source (Hogg and Fruchter, 1999), and the integration is carried out to the Lyman limit $\lambda_{\text{Ly}} = 912 \text{ \AA}$. Clearly, the ionizing photon flux Un_{H} is a measurement of the exposure of the BLR to ionizing photons and hence has an intrinsic, strong dependence on r_{BLR} . The first explorative estimates of M_{BH} using the photoionization method were based on the rough similarity of AGN spectra, and on the consequent assumption of constant U or of constant product Un_{H} (Padovani and Rafanelli, 1988; Wandel et al., 1999). The consideration of UV line ratios which can be used as diagnostics constraining ionizing photon flux, and, in some cases, n_{H} (Verner et al., 2004; Negrete et al., 2013, 2014) yielded a major improvement. It is remarkable that the r_{BLR} estimates from the Un_{H} product and the $\text{H}\beta$ $r_{\text{BLR}} = c\tau$ from reverberation mapping are in very good agreement, at least for 12 low- L AGNs. In both cases (photoionization and reverberation) we are trying to give *one* number that should be representative of a very complex region, probably stratified, perhaps chaotic. Evidently, the 1,900 blend lines of $\text{AlIII}\lambda 1860$ and $\text{SiIII}\lambda 1892$ (but not $\text{CIII}\lambda 1909$!) trace the physical conditions of the $\text{H}\beta$ emitting gas with maximum responsivity, which is not surprising since

$\text{AlIII}\lambda 1860$ and $\text{SiIII}\lambda 1816$ are lines emitted by ionic species with low-to-intermediate ionization potential, $\approx 15 - 20 \text{ eV}$ (Negrete et al., 2012).

A second remarkable aspect emerges from the analysis of the 1,900 blend. Negrete et al. (2013) showed that the solutions yielding the Un_{H} product differ significantly if the $\text{CIII}\lambda 1909$ is involved with other high ionization lines, or if $\text{CIV}\lambda 1549$, $\text{AlIII}\lambda 1860$, $\text{SiIII}\lambda 1892$, $\text{SiIV}\lambda 1397, 1402$, $\text{SiII}\lambda 1816$ are considered. Their Figure 2 clearly shows that $\text{CIII}\lambda 1909$ involves a solution of lower density and higher ionization. This can be interpreted as evidence of stratification within the BLR. If extreme Population A sources are considered, $\text{CIII}\lambda 1909$ is weak in their spectra and only the low-ionization solution remains. The $\text{CIII}\lambda 1909/\text{SiIII}\lambda 1892$ ratio may be further lowered because of the relatively soft spectral energy distribution of xA sources (Casebeer et al., 2006). Apparently, the xA sources have their LIL/IIL emission dominated by dense, low-ionization gas (as lower density and column density gas is being pushed away from the BLR in a high ionization outflow with $\text{CIV}\lambda 1549/\text{CIII}\lambda 1909 \gg 1$).

The application of the photoionization method has been extended to high- L quasars where it remains basically untested: apart from the absence of systematic effects with scaling laws prediction, the precision and accuracy of individual photoionization estimates have nothing they can be compared with. Negrete et al. (2013) suggested a tentative correction on the basis of the equivalent width ratio between AlIII and CIII (an E1 correlate) but the correction is highly uncertain. More objects are needed to better understand the behavior of the photoionization-derived r_{BLR} along the E1 sequence. In principle, however, the photoionization method has the potential to reduce the intrinsic scatter in M_{BH} determination, if it is really able to produce r_{BLR} estimates in close agreement with the RM $c\tau$.

7.2. Orientation Effects

There is no doubt that orientation effects influence the FWHM of $\text{H}\beta$ in radio loud type-1 quasars (as mentioned in section 3): the line is systematically broader in FR II than in CD sources (e.g., Wills and Browne, 1986; Rokaki et al., 2003; Sulentic et al., 2003). It is reasonable to assume that similar amplitude effects are present also in RQ sources (Marziani et al., 2001) although defining a reliable orientation indicator has proved elusive. Recent results suggest that orientation is affecting the shifts of $[\text{OIII}]$ (as well as the EW, Risaliti et al. 2011) and of FeII : face-on sources should show no FeII shifts and high amplitude blueshifts; more inclined sources should show FeII redshifts (associated with an equatorial inflow) and no net $[\text{OIII}]$ blueshifts (Hu et al., 2008; Boroson, 2011). While $[\text{OIII}]$ shifts and EWs are most likely affected by orientation, orientation does not appear to be the main parameter, if $[\text{OIII}]$ emission is considered in different parts of the E1 sequence. Highly blueshifted sources (such as the BOs) are apparently associated exclusively with high Eddington ratio, more than those with a face-on orientation (Marziani et al., 2003b; Xu et al., 2012). The distribution of $[\text{OIII}]$ blueshift amplitudes along the E1 sequence is qualitatively not consistent with orientation-only

effects. As already mentioned, the reality of FeII high-amplitude redshifts that would serve as indicators has been questioned recently (Sulentic et al., 2012). Even if we have not yet identified an orientation indicator, orientation effects most likely account for a large part of the scatter in M_{BH} determinations. The observed velocity can be parameterized as $v_{\text{obs}}^2 = v_{\text{iso}}^2/3 + v_{\text{Kepl}}^2 \sin^2 \theta$, and if $v_{\text{iso}}/v_{\text{Kepl}} \approx 0.1$, where v_{iso} is an isotropic velocity component, and v_{Kepl} the Keplerian velocity. For a geometrically thin disk, it implies $v_{\text{obs}} \approx v_{\text{Kepl}}/\sin \theta$ (if the FWHM is taken as the v_{obs} , and $v_{\text{Kepl}} = 0$ i.e., in the case of isotropic velocity dispersion, $f = \frac{3}{4}$). If the VBE estimates are not corrected beforehand for orientation, the structure (or form) factor is $f \propto 1/\sin^2 \theta$ (Jarvis and McLure, 2006). The structure factor is also expected to depend on physical parameters (Eddington ratio, metallicity, disk temperature etc.) apart from aspect effects.

7.3. The Structure Factor

The velocity resolved RM yields an amazing variety of velocity fields for different objects (e.g., Grier et al., 2013; Peterson, 2017). The evidence favoring a rotational component has been steadily growing, in part due to deep, single-epoch spectropolarimetric observations (e.g., Smith et al., 2005; Afanasiev et al., 2015) that revealed the polarization vector changes expected from the Keplerian velocity field as seen from an equatorial scatter. Systematic outflows have been made evident by the ubiquitous blueshifts of the CIV λ 1549 emission line. A hint to the BLR structure is provided by the FWHM/ σ ratio, where σ is the velocity dispersion of the profile. For Pop. A, the ratio is low, while it is close to the value expected for a Gaussian in Pop. B (Collin et al., 2006; Kollatschny and Zetzl, 2011). The implication drawn from this result by Kollatschny and Zetzl (2011) is that broader lines imply faster rotation, which is consistent with the inferences based on the 4DE1 context (section 8.1). The structures underlying the typical Pop. A and B broad profiles (section 8.1) are however still unclear.

In Pop. A the Lorentzian profiles are consistent with an extended accretion disk seen at moderate inclinations (Dumont and Collin-Souffrin, 1990), but in the context of AGN, several velocity fields can produce Lorentzian-like profiles (e.g., Mathews, 1993; Netzer and Marziani, 2010; Czerny et al., 2017). Recent works suggest a disk-core with wings produced in a region of enhanced vertical velocity dispersion with respect to the disk (Goad et al., 2012).

On the other hand the quasi-symmetric profiles of the Pop. B LILs imply virial/Keplerian motion with stratified physical properties (e.g., Fausnaugh et al., 2017). An intriguing possibility is that the VBC may be emitted by the inner accretion disk (Bon et al., 2009; Storchi-Bergmann et al., 2017), with the BC masking the double-peaked accretion disk profile expected if the disk external radius is not extremely large, $\gtrsim 10^3 R_g$.

Collin et al. (2006) derived different values for f_s for Pop. A and B, 2.1 and 0.5 respectively, with a substantial scatter. The distinction between Pop. A and B therefore appears to be the minimal criterion to reduce scatter in M_{BH} estimates, also because comparison of the same line width measure is not easy

to understand if profile shapes are different. A more refined approach may consider individual spectral types along the quasar MS.

7.4. L/L_{Edd}

The M_{BH} scaling laws provide a simple recipe usable with single-epoch spectra of large samples of quasars. Estimates of the Eddington ratio are derived by applying a constant bolometric correction to the observed luminosity, typically a factor 10–13 from the flux at 5100 Å and 3.5–5 from the measured at 1450 Å (Elvis et al., 1994; Richards et al., 2006; Krawczyk et al., 2013). Bolometric corrections most likely depend on the source location along the MS: the anti correlation between UV luminosity and optical-to-X spectral index (between 2500 Å and 2 KeV) may go in the sense of softer continua in Pop. A (Laor et al., 1997; Steffen et al., 2006). In addition, bolometric corrections are most likely luminosity as well as orientation dependent (Runnoe et al., 2013). However, our group did not carry out a systematic study as yet, and applied almost always constant corrections.

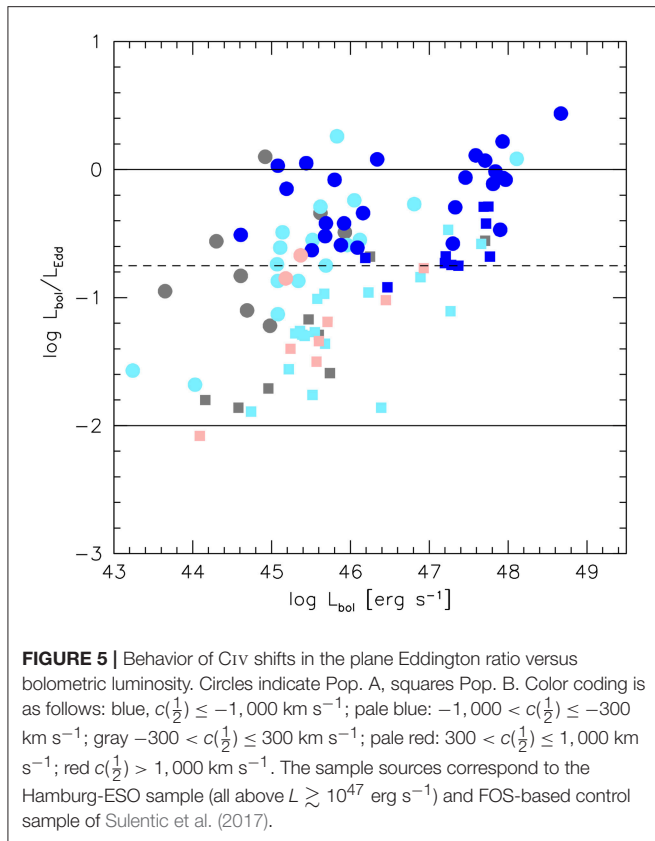
7.5. Why Does Ionization Level Decrease With Increasing L/L_{Edd} ?

As early as in the 2000s, a puzzling but remarkable aspect appeared to be the decrease in ionization degree at high Eddington ratio: Pop. A spectra show strong FeII, low [OIII] and CIV λ 1549, while Pop. B sources with $L/L_{\text{Edd}} \lesssim 0.2$ show prominent (high EW) HILs.

The ionization parameter U can be rewritten in terms of L/M_{BH} and M_{BH} , under several assumptions. The number of ionizing photons is $Q(H) \approx \kappa L / < h\nu >$. A typical AGN continuum as parameterized by Mathews and Ferland (1987) yields $< \nu > \approx 1 \times 10^{16}$ Hz and $\kappa \approx 0.5$. The velocity field for the LIL-emitting gas is mainly virial, so that we can write: $\text{FWHM} \propto M^{1/2} r^{-1/2}$. We can consider that the ratio $I(\text{AlIII}\lambda 1860)/I(\text{SiII}\lambda 1892)$ is a density-dependent diagnostics (almost independent of the ionization parameter)⁵, and that $I(\text{Al III})/I(\text{Si III}\lambda 1892)$ and $I(\text{Si III}\lambda 1892)/I(\text{C III})$ are inversely correlated with FWHM. Using the trend found by Wills et al. (1999), $n \propto \text{FWHM}^{-4/3}$. At this point it is possible to write R_{FeII} as a function of L/L_{Edd} and M_{BH} using two approaches (Marziani et al., 2001).

- Considering that $L/M_{\text{BH}} \propto \text{FWHM}^{-2}$, with M_{BH} estimated from X-ray variability, not from the virial relation, to avoid circularity. The expression for $U \propto \frac{L}{r^2 n} \propto (L/M_{\text{BH}})^{-5/3} M^{-1}$ follows from $n \propto \text{FWHM}^{-4/3}$ and $r \propto M/\text{FWHM}^2$.
- Adopting the $r \propto L^a$ scaling law, and considering again that $n \propto \text{FWHM}^{-4/3}$ and $\text{FWHM} \propto M_{\text{BH}}^{1/2} r^{-1/2}$, $U \propto \frac{L}{r^2 n} \propto \frac{L^{1-2a}}{n} \propto (L/M_{\text{BH}})^{1-\frac{8}{3}a} M_{\text{BH}}^{\frac{5-8a}{3}}$. With $a = 1$, the first expression

⁵ While the ratio $I(\text{SiIII}\lambda 1892)/I(\text{CIII}\lambda 1909)$ may depend on the spectral energy distribution (Casebeer et al., 2006), the ratio $I(\text{AlIII}\lambda 1860)/I(\text{SiIII}\lambda 1892)$ is unlikely to show a strong dependence because the involved ionic species have similar ionization potential, and the transition upper levels are close in energy above ground level.



is recovered. For $a = 0.5$ there is a weaker dependence on (L/M_{BH}) to the power of $-\frac{1}{3}^6$.

The grid shown in Marziani et al. (2001) obtained using the first approach does not make it possible to derive orientation angle θ and L/L_{Edd} for individual quasars, as it was computed for a fixed M_{BH} . These considerations provide a first account of why sources at the high- L/M_{BH} tip are associated with a lower ionization degree. Other explanations are possible as well: the line emitting gas is shielded from the most intense UV radiation, for example by an optically and geometrically thick slim disk (Wang et al., 2014b) or by an inner, over-ionized failed wind (Leighly, 2004) but the intensity ratios of $I(\text{Al III})/I(\text{Si III})\lambda 1892$ and $I(\text{Si III})\lambda 1892/I(\text{C III})$ point toward an increase of the emissivity-weighted density values of the line emitting gas. This alone yields a decrease in U at high L/L_{Edd} , all other parameters left unchanged.

8. SELF-SIMILARITY OF THE ACCRETION PROCESS

The accretion process is apparently largely self-similar over several order of magnitudes in black hole mass and luminosity.

⁶Note that, in this case, it is not necessary that $a = 0.5$ to recover spectral similarity. Different spectral types are likely to be associated with different scaling with luminosity.

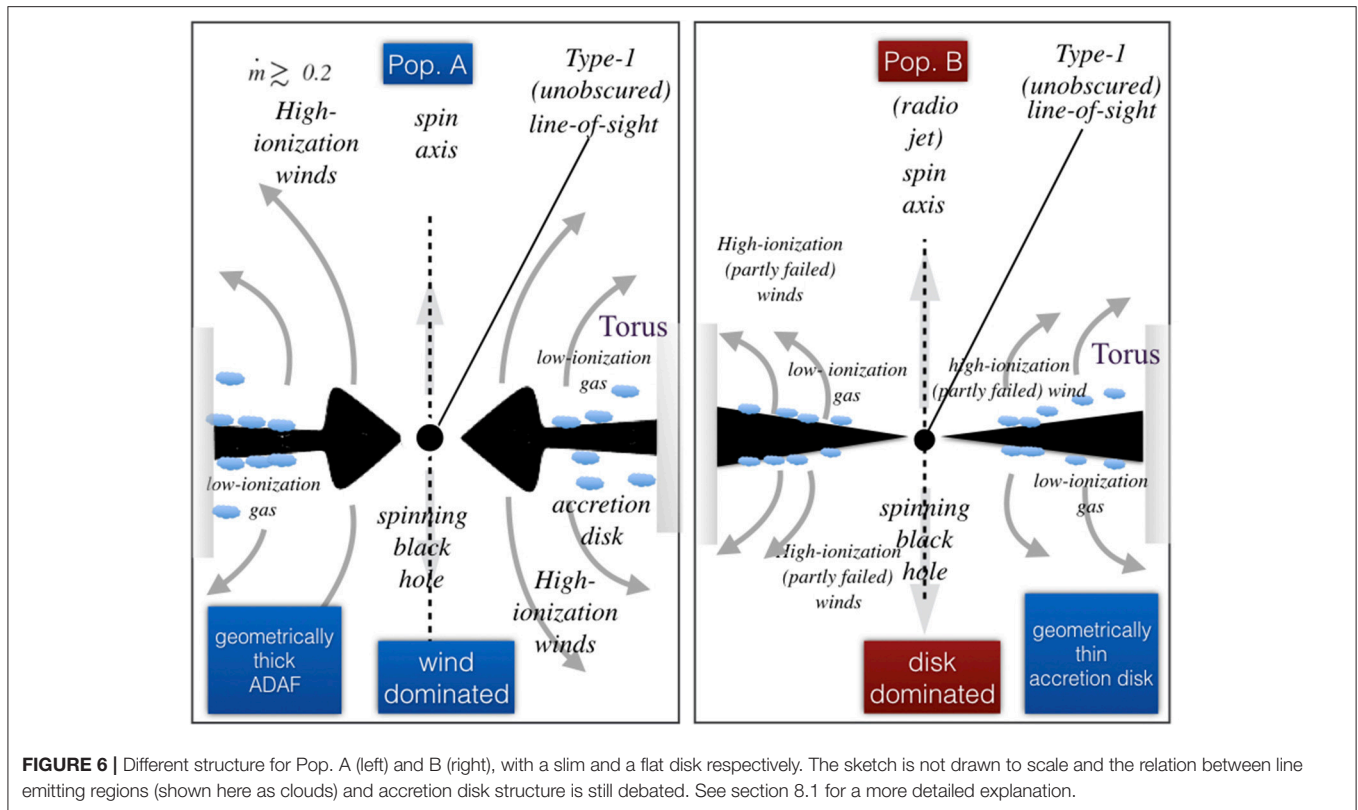
The general fundamental plane of accreting black holes emphasizes the invariance of the accretion disk-jet scaling phenomena (Merloni et al., 2003). The Eigenvector 1 scheme is also emphasizing a scale invariance, albeit more oriented toward the radiatively-efficient domain and, in the quasar context, limited to unobscured type-1 quasars. As far as the radiatively efficient accretion mode is concerned, the invariance may hold over a factor of almost $\sim 10^{10}$ in solar mass (Zamanov and Marziani, 2002). Restricting the attention to RQ quasars, very large blueshifts are observed over at least four orders of magnitude in luminosity. The distribution of data points in **Figure 5** is clearly affected by selection effects; however, it shows that large CIV $c(\frac{1}{2}) \lesssim -1,000 \text{ km s}^{-1}$ do occur also at relatively low luminosity. Outflow velocities are apparently more related to Eddington ratio than to L or M_{BH} : large $c(\frac{1}{2})$ blueshifts may occur only above a threshold at about $L/L_{\text{Edd}} \approx 0.2 - 0.3$ which may in turn correspond to a change in accretion disk structure (Abramowicz and Straub, 2014, and references therein). Intriguingly, the threshold matches the $\text{FWHM}(H\beta) \approx 4,000 \text{ km s}^{-1}$ limit separating Population A from Population B (if $L \lesssim 10^{47} \text{ erg s}^{-1}$). At this limiting FWHM we observe also a change in $H\beta$ profile shape, from Lorentzian-like to double Gaussian (Sulentic et al., 2002; Zamfir et al., 2010).

8.1. Pop. A and B: A Different Accretion Structure

We propose the two panels with the sketches shown in **Figure 6** as a pictorial view of quasars accreting at high (left) and low rate respectively (c.f. Marinucci et al., 2012; Marziani et al., 2014; Luo et al., 2015). The main theoretical prediction is that we expect an inner accretion disk region assimilable to a slim disk (Abramowicz et al., 1988; Szuszkiewicz et al., 1996; Frank et al., 2002). Apart from this, the structure of the BLR and its relation to the accretion disk structure remains unclear.

In Pop. A sources, as mentioned before (section 7.5), it is tempting to speculate that LILs may be favored with respect to HILs by the shielding of the hottest continuum due to the slim disk geometry. However, the question remains whether the ionized outflow we see in $\text{CIV}\lambda 1549$ is associated with the central cone defined by the walls of the slim disk (which may be much steeper than the ones deduced in the cartoon, Sądowski et al. 2014). In Pop. A, the CIV shifts are largest but the CIV EW is lowest (Pop. A includes weak lined quasars, Diamond-Stanic et al. 2009; Shemmer et al. 2010), which may imply that the gas is over-ionized or, alternatively, that the FUV continuum is absorbed/weakened, as in the case of emission from the shielded part of the disk between the slim structure and the torus (Luo et al., 2015). Against the latter interpretation goes the higher ratio of radiation-to-gravitation forces of Pop. A which yields a higher terminal velocity and hence systematically large blueshifts in the HILs: continuum seen by the gas should at least roughly correspond to the continuum seen by us.

In the case of a flat-disk (Pop. B right-panel), the problem of disk wind over-ionization may be solved by the failed wind scenario (Murray et al., 1995): inner gas may offer an effective screen and only shielded gas is efficiently accelerated (Leighly,



2004). Models of disk-wind systems are successful in reproducing the profiles of Balmer lines (Flohic et al., 2012). To explain the redward asymmetries often observed in Pop. B, either Balmer lines are emitted in an infall scenario (which require large column density to withstand radiation forces) or the accretion disk itself could be emitter. In the latter case the redward asymmetry could be ascribed in full to transverse and gravitational redshift (Bon et al., 2009, 2015).

Apart from these considerations, the sketch of **Figure 6** raises more questions than it provides answers. For example, can the inner part of the torus contribute to the velocity dispersion and yield a Lorentzian profile (Goat et al., 2012)? This question raises a conflict with the virial assumption for Pop. A sources, whereas the line wings are expected to be emitted closer to the black hole. Electron scattering may also produce extended line wings in the Balmer lines (Laor, 2006). Roles of magnetic fields and of black hole spin are not considered although presumably important, black hole spin because of its effect on the inner accretion disk temperature, and magnetic fields because they may provide an acceleration mechanism for disk wind (Emmering et al., 1992).

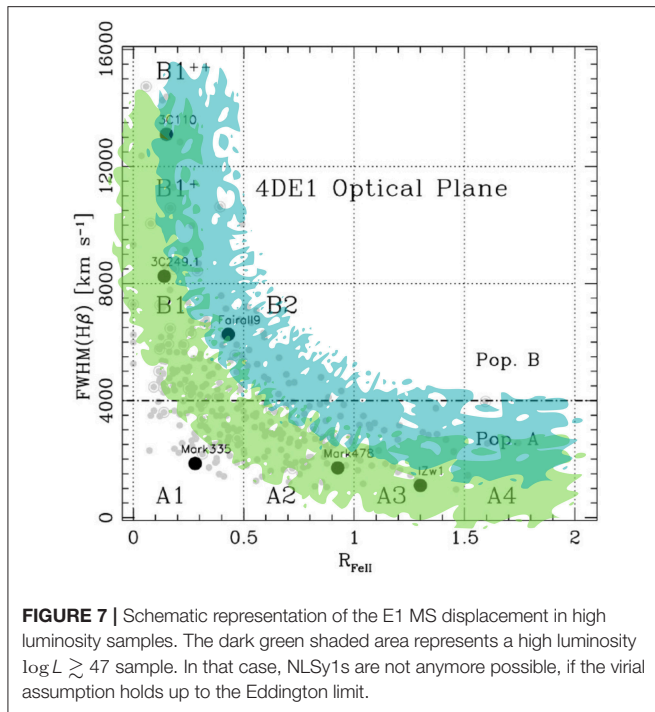
8.2. The Quasar Main Sequence at High Luminosity

We still lack a comprehensive view of the MS at high L (we consider high-luminosity sources the quasars with bolometric $\log L \gtrsim 47$ [erg/s]), not last because the $H\beta$ spectral range is accessible only with IR spectrometers, and high-luminosity quasars are exceedingly rare at $z \lesssim 1$. The main effect that

we expect in the optical plane of the 4DE1 space is related to their systematic increase in mass: if the motion in the LIL-BLR is predominantly virial ($M_{\text{BH}} \propto r\delta FWHM^2$) and the BLR radius follows a scaling power-law with luminosity ($r \propto L^a$), then $FWHM \propto (L/M_{\text{BH}})^{-1} L^{\frac{1-a}{2}}$. Assuming that L/L_{Edd} saturates toward values $\mathcal{O}(1)$ (Mineshige et al., 2000), the *minimum* FWHM should show a clear trend with luminosity. This prediction has been confirmed by joining samples covering more than 4dex in $\log L$, from 44 to 48 (Marziani et al., 2009). At each L there is a large spread of value that reflect the dependence of FWHM by Eddington ratio and mass.

At high L , the MS becomes displaced toward higher FWHM values in the OP of the E1, as schematically represented in the diagram of **Figure 7**. At present, we cannot say whether there is also a luminosity effect on FeII prominence: relatively few sources are available to map the broad distribution of R_{FeII} . Reports of an FeII Baldwin effect appear unconvincing and contradicted by the best available observations of the $H\beta$ range at high luminosity. In addition, observations of high- L quasars from flux-limited surveys are subject to a strong Eddington ratio bias (Sulentic et al., 2014) which may instead suggest an anti-Baldwin effect in FeII (Kovačević et al., 2010). The Eddington ratio bias involves the preferential selection of higher L/L_{Edd} sources at higher z . Since R_{FeII} strongly depends on L/L_{Edd} (section 7.5), low R_{FeII} might be preferentially lost at high- z .

The comparison between low- and high-ionization lines has provided insightful constraints on the BLR at low- z (Marziani et al., 1996). The comparison of $H\beta$ and $\text{CIV}\lambda 1549$ is yielding



interesting clues also at high L (Shen, 2016; Bisogni et al., 2017; Sulentic et al., 2017). Perhaps the most remarkable fact is that a LIL-BLR appears to remain basically virialized (Marziani et al., 2009; Sulentic et al., 2017). The $\text{CIV}\lambda 1549$ blueshift depends on luminosity (the median $c(\frac{1}{2})$ is $\approx 2,600 \text{ km s}^{-1}$ and $1,800 \text{ km s}^{-1}$ for Pop. A and B RQ at high- L against $< 1,000 \text{ km s}^{-1}$ at low- L), but the dependence is not strong, and can be accounted for in the framework of a radiation driven winds. Assuming $L/L_{\text{Edd}} \approx \text{const.}$ (as is the case if a strong Eddington bias yields to a narrow range of L/L_{Edd} as in high- z , present-day flux-limited samples), a luminosity dependence for $c(\frac{1}{2})$ arises in the form $\propto L^{1/4}$. If L is restricted to a narrow range, the L/L_{Edd} dependence dominates. If L/L_{Edd} and L both span significant ranges, a multivariate analysis confirms the concomitant dependence on both parameters (Sulentic et al., 2017).

9. EXTREME POPULATION A SOURCES: EXTREME RADIATORS AND POTENTIAL DISTANCE INDICATORS

Sources belonging to spectral types A3 and A4 (i.e., satisfying the criterion $R_{\text{FeII}} > 1$) are found to be radiating at the highest Eddington ratio. They show a relatively small dispersion along a well-defined, extreme value of order $(1)^7$ (Marziani and Sulentic, 2014). The xA selection criterion is consistent with the parameter $\propto \text{FWHM}/\sigma + R_{\text{FeII}}$ used to identify super-massive extremely accreting BHs (SEAMBHs Wang et al., 2013; Du et al., 2016). Since xA sources show Lorentzian profiles, the criterion based

⁷The exact values depend on the normalization assumed for M_{BH} estimates and for the bolometric correction.

on R_{FeII} should be sufficient unless relatively broad profiles with $\text{FWHM} \gtrsim 4,000 \text{ km s}^{-1}$ are considered, a case still under scrutiny.

If $L/M_{\text{BH}} \approx \text{const.}$, then the luminosity can be retrieved once the mass is known. xA sources show very similar spectra over a broad range of luminosity. The self-similarity in terms of diagnostic line ratios justifies the use of the scaling law $r_{\text{BLR}} \propto L^{0.5}$ that implies spectral invariance. The luminosity can then be written as $L \propto (\delta v)^4$, where δv is a suitable VBE. It is interesting to note that this relation is in the same form of the Faber-Jackson law as originally defined (Faber and Jackson, 1976). Assuming that spheroidal galaxies are virialized systems, radiating at a constant L/M_{BH} ratio implies that their luminosity is $L \propto \sigma^4/\mu_B$, where μ_B is the surface brightness within the effective radius. Later developments yielded a different exponent for the dependence on σ (e.g., D'Onofrio et al., 2017, and references therein), as the assumption of a constant surface brightness proved untenable. There should be no similar difficulties for quasars, although statistical and systematic sources of error should be carefully assessed (Marziani and Sulentic, 2014). The distance modulus computed from the virial equation, $\mu = 2.5[\log L(\delta v) - BC] - 2.5 \log(f_{\lambda}) - \text{const.} + 5 \cdot \log(1+z)$, where f_{λ} is the flux at 5100 \AA , and BC the bolometric correction, is in agreement with the expectation of the concordance Λ CDM cosmology, providing a proof of the conceptual validity of the virial luminosity $L \propto (\delta v)^4$ estimates (a plot of μ for several quasar samples is provided by Marziani et al., 2017b, and by Negrete et al. 2017).

10. CONCLUSION

The quasar MS provides a tool to systematically organize quasar multifrequency properties. It allows the identification of spectral types with fairly well-defined spectral properties. In this paper, we have described a simple parameterization that is able to describe the quasar emission line profiles, as well as a heuristic technique motivated by the internal line shifts yielding the separation of components in different physical conditions.

We then considered the MS defined in the so-called optical plane of the 4D eigenvector 1 parameter space, and analyzed several correlates involving the profile of $\text{H}\beta$ and $\text{MgII}\lambda 2800$ (the representative LILs), of the $\text{CIV}\lambda 1549$ (the representative HIL), broad-line UV diagnostic ratios that provide trends in density and ionization level, $[\text{OIII}]\lambda\lambda 4959, 5007$ shifts, the prevalence of radio-loudness, and the soft X-ray spectral slope.

The trends defined in this paper offer a consistent, empirical systematization of quasar properties for $z \lesssim 1$, low-to moderate luminosity quasars. The difference between Pop. A and B is evident at the extremes: spectral type A4 sources typically show very strong FeII ($R_{\text{FeII}} \gtrsim 1.5$), blueward asymmetry in $\text{H}\beta$, large $\text{CIV}\lambda 1549$ blueshift, weak and blue shifted $[\text{OIII}]\lambda\lambda 4959, 5007$ (Negrete et al., 2017). Extreme Pop. B show undetectable FeII, very broad red-ward asymmetric $\text{H}\beta$ profiles, small-amplitude $\text{CIV}\lambda 1549$ shifts, prominent $[\text{OIII}]\lambda\lambda 4959, 5007$. There is evidence that the two populations represent structurally different objects. The blue shifted excess (BLUE) is interpreted as due to outflowing gas dominating HIL emission only in Pop. A (unless sources at very high L are considered). A redshifted VBC

is present only in Pop. B, for values of FWHM H β above 4,000 km s⁻¹, and has been interpreted as due to gas close to the central continuum source. The relative balance between gravitational and radiation forces (i.e., L/L_{Edd}) appears as a major factor influencing *both* the dynamics and the physical conditions of the line emitting gas (Sect. 8.2), and an accretion mode change may be associated with a critical $L/L_{\text{Edd}} \sim 0.2 \pm 0.1$, leading to the two quasar populations: A (wind-dominated, following Richards et al. 2011), and B (virial or disk-dominated).

Luminosity trends are weak as they become significant only over a wide luminosity range $\sim 10^{43} - 10^{48}$ erg/s. They involve an overall increase in virial line broadening (LILs) and an increase of blueshift frequency and amplitude consistent with the dominance of radiation forces (HILs).

The presence of a virialized subregion identified along the MS at low- L as well as at high- L has important consequences. xA quasars at the high R_{FeII} end (spectral type A3 and A4) may be suitable as Eddington standard candles since their Eddington ratio scatters around a well-defined value (e.g., Negrete et al., 2017).

The contextualization offered by the MS is instrumental to the development of better-focused physical models along the quasar main sequence. As examples of the advantages offered by the E1 MS, we just mention the possibility of contextualizing orientation effects in RQ quasars, and of performing a meaningful comparison between RL and RQ

samples with similar optical properties. An aspect still to clarify is the connection between disk structure and the dynamics of the line emitting gas.

AUTHOR CONTRIBUTIONS

PM wrote the paper; DD, MD, GS, EB, and NB contributed with a critical reading and suggestions; AD, CN, MM-A, and JS contributed with a critical reading.

FUNDING

AD and MM-A acknowledge financial support from the Spanish Ministry for Economy and Competitiveness through grants AYA2013-42227-P and AYA2016-76682-C3-1-P. DD and CN acknowledge support from grants PAPIIT108716, UNAM, and CONACyT221398. EB and NB acknowledge grants 176003 Gravitation and the large scale structure of the Universe and 176001 Astrophysical spectroscopy of extragalactic objects supported by the Ministry of Education and Science of the Republic of Serbia.

ACKNOWLEDGMENTS

It is a pleasure to thank the SOC of the meeting Quasar at all cosmic epoch for inviting a talk on the quasar main sequence.

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