

# Existence and stability of weak solutions of the Vlasov–Poisson system in localised Yudovich spaces

Gianluca Crippa<sup>1</sup> , Marco Inversi<sup>1</sup> , Chiara Saffirio<sup>1</sup>   
and Giorgio Stefani<sup>2,\*</sup> 

<sup>1</sup> Departement Mathematik und Informatik, Universität Basel, Spiegelgasse 1, 4051 Basel, Switzerland

<sup>2</sup> Scuola Internazionale Superiore di Studi Avanzati (SISSA), via Bonomea 265, 34136 Trieste (TS), Italy

E-mail: [gstefani@sissa.it](mailto:gstefani@sissa.it), [giorgio.stefani.math@gmail.com](mailto:giorgio.stefani.math@gmail.com),  
[gianluca.crippa@unibas.ch](mailto:gianluca.crippa@unibas.ch), [marco.inversi@unibas.ch](mailto:marco.inversi@unibas.ch) and [chiara.saffirio@unibas.ch](mailto:chiara.saffirio@unibas.ch)

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## Abstract

We consider the Vlasov–Poisson system both in the repulsive (electrostatic potential) and in the attractive (gravitational potential) cases. Our first main theorem yields the analog for the Vlasov–Poisson system of Yudovich’s celebrated well-posedness theorem for the Euler equations: we prove the uniqueness and the quantitative stability of Lagrangian solutions  $f = f(t, x, v)$  whose associated spatial density  $\rho_f = \rho_f(t, x)$  is potentially unbounded but belongs to suitable uniformly-localised Yudovich spaces. This requirement imposes a condition of slow growth on the function  $p \mapsto \|\rho_f(t, \cdot)\|_{L^p}$  uniformly in time. Previous works by Loeper, Miot and Holding–Miot have addressed the cases of bounded spatial density, i.e.  $\|\rho_f(t, \cdot)\|_{L^p} \lesssim 1$ , and spatial density such that  $\|\rho_f(t, \cdot)\|_{L^p} \sim p^{1/\alpha}$  for  $\alpha \in [1, +\infty)$ . Our approach is Lagrangian and relies on an explicit estimate of the modulus of continuity of the electric field and on a second-order Osgood lemma. It also allows for iterated-logarithmic perturbations of the linear growth condition. In our second main theorem, we complement the aforementioned result by constructing solutions whose spatial

\* Author to whom any correspondence should be addressed.



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density sharply satisfies such iterated-logarithmic growth. Our approach relies on real-variable techniques and extends the strategy developed for the Euler equations by the first and fourth-named authors. It also allows for the treatment of more general equations that share the same structure as the Vlasov–Poisson system. Notably, the uniqueness result and the stability estimates hold for both the classical and the relativistic Vlasov–Poisson systems.

Keywords: Vlasov–Poisson equations, Yudovich spaces, Osgood condition, Lagrangian stability, Cauchy problem

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## 1. Introduction

### 1.1. Framework

For some fixed  $T \in (0, +\infty)$ , we consider the *Vlasov–Poisson system*

$$\begin{cases} \partial_t f + v \cdot \nabla_x f + E_f \cdot \nabla_v f = 0 & \text{in } (0, T) \times \mathbb{R}^{2d}, \\ E_f(t, x) = \kappa \int_{\mathbb{R}^d} K(x - y) \rho_f(t, y) \, dy & \text{in } (0, T) \times \mathbb{R}^d, \\ \rho_f(t, x) = \int_{\mathbb{R}^d} f(t, x, v) \, dv & \text{in } (0, T) \times \mathbb{R}^d, \\ f(0, x, v) = f_0(x, v) & \text{in } \mathbb{R}^{2d}, \end{cases} \tag{1.1}$$

where  $f_0 \in L^1(\mathbb{R}^{2d})$  is the initial datum,  $f \in L^\infty([0, T]; L^1(\mathbb{R}^{2d}))$  is the unknown,  $\rho_f \in L^\infty([0, T]; L^1(\mathbb{R}^d))$  is the spatial density associated with  $f$ ,  $\kappa \in \{-1, +1\}$  and  $K: \mathbb{R}^d \rightarrow \mathbb{R}^d$  is the *Riesz kernel*, given by

$$K(x) = \frac{x}{|x|^d}, \quad x \in \mathbb{R}^d \setminus \{0\}. \tag{1.2}$$

In particular, the vector field  $E_f \in L^\infty([0, T]; L^1_{\text{loc}}(\mathbb{R}^d; \mathbb{R}^d))$  is well defined. For  $d = 3$ , the Vlasov–Poisson system (1.1) describes the time evolution of the density  $f$  of plasma consisting of charged particles with long-range interaction; e.g. a repulsive Coulomb potential for  $\kappa = 1$  or an attracting gravitational potential for  $\kappa = -1$ .

The Vlasov–Poisson system (1.1) has been extensively investigated. Existence and uniqueness of classical solutions of the system (1.1) under some regularity assumptions on the initial data go back to Iordanski [16] for  $d = 1$  and to Okabe–Ukai [30] for  $d = 2$ . In any dimension, global existence of weak solutions with finite energy

$$\sup_{t \in [0, T]} \int_{\mathbb{R}^{2d}} |v|^2 f(t, x, v) \, dx \, dv + \frac{\kappa}{2} \int_{\mathbb{R}^d} |E_f(t, x)|^2 \, dx < +\infty$$

is due to Arsen'ev [2]. For  $d = 3$ , global existence and uniqueness have been addressed by Bardos–Degond [3] for classical solutions with small initial data, and then by Pfaffelmoser [25] and Lions–Perthame [19] using different methods. The main idea of [25] is to exploit *Lagrangian* techniques to prove global existence and uniqueness of classical solutions with

compactly supported initial data. The approach of [19], instead, relies on an *Eulerian* point of view, yielding existence of global weak solutions with finite velocity moments. More precisely, for  $d = 3$ , if  $f_0 \in L^1(\mathbb{R}^d) \cap L^\infty(\mathbb{R}^d)$  is such that

$$\int_{\mathbb{R}^{2d}} |v|^m f_0(x, v) \, dx \, dv < +\infty \quad \text{for some } m > 3, \tag{1.3}$$

then there exists a corresponding weak solution  $f \in L^\infty([0, +\infty); L^1(\mathbb{R}^{2d}))$  such that

$$\sup_{t \in [0, T]} \int_{\mathbb{R}^{2d}} |v|^m f(t, x, v) \, dx \, dv < +\infty \quad \text{for any } T > 0.$$

For further developments concerning the propagation of moments and global existence of weak solutions of the Vlasov–Poisson system (1.1), we refer the reader to [5, 7, 9, 23, 24, 27].

Sufficient conditions for uniqueness of weak solutions of the Vlasov–Poisson system (1.1) have been first obtained in [19], provided that (1.3) holds with  $m > 6$  and a technical assumption on the support of the initial data is satisfied. A simpler criterion has been then proposed by Robert [26] for compactly supported weak solutions, and later extended by Loeper [20] to measure-valued solutions  $f$  with spatial density such that

$$\rho_f \in L^\infty([0, T]; L^\infty(\mathbb{R}^d)). \tag{1.4}$$

Recently, Miot [22] generalised the uniqueness criterion of [19] to measure-valued solutions  $f$  with spatial density such that, for some  $T > 0$ ,

$$\sup_{t \in [0, T]} \sup_{p \geq 1} \frac{\|\rho_f(t, \cdot)\|_{L^p}}{p} < +\infty. \tag{1.5}$$

The uniqueness condition (1.5) is satisfied by some non-trivial weak solutions with initial data having unbounded macroscopic density, see theorems 1.2 and 1.3 in [22]. Later, Holding–Miot [13] provided a uniqueness criterion interpolating between the conditions (1.4) and (1.5) by considering measure-valued solutions  $f$  with spatial density such that, for some  $T > 0$  and  $\alpha \in [1, +\infty)$ ,

$$\sup_{t \in [0, T]} \sup_{p \geq \alpha} \frac{\|\rho_f(t, \cdot)\|_{L^p}}{p^{1/\alpha}} < +\infty. \tag{1.6}$$

The case  $\alpha = 1$  corresponds to (1.5), while the limiting case  $\alpha = +\infty$  corresponds to (1.4). Condition (1.6) implies that  $\rho_f$  belongs to an *exponential Orlicz space*, see section 1.1.1 [13]. Conditions (1.5) and (1.6) allow to consider initial data with compact support in velocity as well as *Maxwell–Boltzmann distributions* with exponential decay as  $|v| \rightarrow +\infty$ , see the comments theorem 1.2 in [22] and proposition 1.14 in [13].

### 1.2. Yudovich spaces and modulus of continuity

The main aim of the present paper is to establish existence and stability properties of weak solutions of the Vlasov–Poisson system (1.1), extending the results obtained in [13, 20, 22] to measure-valued solutions with spatial density belonging to *uniformly-localised Yudovich spaces*. Our main result yields the analog for the Vlasov–Poisson system (1.1) of Yudovich’s celebrated well-posedness theorem [32] for Euler’s equations.

We consider solutions  $f$  of the system (1.1) whose spatial density  $\rho_f$  satisfies

$$\sup_{t \in [0, T]} \sup_{p \geq 1} \frac{\|\rho_f(t, \cdot)\|_{L^p}}{\Theta(p)} < +\infty \tag{1.7}$$

for some fixed increasing function  $\Theta: [0, +\infty) \rightarrow (0, +\infty)$ , called *growth function*. Note that (1.4) corresponds to  $\Theta$  constant, (1.5) to  $\Theta(p) = p$  and (1.6) to  $\Theta(p) = p^{\frac{1}{\alpha}}$ . Also notice that the behavior of  $\Theta(p)$  as  $p \rightarrow +\infty$  only matters. We call such densities *admissible* for the system (1.1), and we let

$$\mathcal{A}^\Theta([0, T]) = \{f \in L^\infty([0, T]; L^1(\mathbb{R}^{2d})) : \rho_f \in L^\infty([0, T]; Y_{ul}^\Theta(\mathbb{R}^d))\}. \tag{1.8}$$

Here and in the following, we let

$$Y_{ul}^\Theta(\mathbb{R}^d) = \left\{ f \in \bigcap_{p \in [1, +\infty)} L_{ul}^p(\mathbb{R}^d) : \|f\|_{Y_{ul}^\Theta} = \sup_{p \in [1, +\infty)} \frac{\|f\|_{L_{ul}^p}}{\Theta(p)} < +\infty \right\} \tag{1.9}$$

be the *uniformly-localised Yudovich space*, where, for  $p \in [1, +\infty)$ ,

$$L_{ul}^p(\mathbb{R}^d) = \left\{ f \in L_{loc}^p(\mathbb{R}^d) : \|f\|_{L_{ul}^p} = \sup_{x \in \mathbb{R}^d} \|f\|_{L^p(B_1(x))} < +\infty \right\},$$

is the *uniformly-localised  $L^p$  space* on  $\mathbb{R}^d$ . We also define the *Yudovich space*  $Y^\Theta(\mathbb{R}^d)$  as in (1.9) by dropping the subscript ‘ul’ everywhere. These spaces were first introduced by Yudovich [32] to provide uniqueness of unbounded weak solutions of incompressible inviscid 2-dimensional Euler’s equations. We also refer to the recent works [4, 6, 28, 29].

Following [13, 20, 22], our starting point is the relation between the  $L^p$  growth condition (1.7) and the continuity of the vector field  $E_f$ , see Lemma 1.1 below. Our result encodes the log-Lipschitz regularity obtained in Lemma 3.1 in [20] following from (1.4), as well as its more general version proved in Lemma 2.1 in [13] concerning (1.5) and (1.6). As for Euler’s equations [6], the main novelty here is that, once the spatial density  $\rho_f$  satisfies (1.7), then we can explicitly express the (*generalised*) *modulus of continuity* of  $E_f$  depending on the chosen growth function  $\Theta$ , namely,  $\varphi_\Theta: [0, +\infty) \rightarrow [0, +\infty)$  defined as

$$\varphi_\Theta(r) = \begin{cases} 0 & \text{for } r = 0, \\ r |\log r| \Theta(|\log r|) & \text{for } r \in (0, e^{-d-1}), \\ e^{-d-1} (d+1) \Theta(d+1) & \text{for } r \in [e^{-d-1}, +\infty) \end{cases} \tag{1.10}$$

(the choice of the constant  $e^{-d-1}$  is irrelevant and is made for convenience only, see below). With a slight abuse of notation, we set

$$C_b^{0, \varphi_\Theta}(\mathbb{R}^d; \mathbb{R}^d) = \left\{ E \in L^\infty(\mathbb{R}^d; \mathbb{R}^d) : \sup_{x \neq y} \frac{|E(x) - E(y)|}{\varphi_\Theta(|x - y|)} < +\infty \right\}.$$

**Lemma 1.1 (Modulus of continuity).** *If  $f \in \mathcal{A}^\Theta([0, T])$ , then*

$$E_f \in L^\infty([0, T]; C_b^{0, \varphi_\Theta}(\mathbb{R}^d; \mathbb{R}^d)).$$

The proof of Lemma 1.1 revisits a classical strategy for proving Morrey’s estimates for Riesz-type potential operators, see Chapter 8 of [21] and Lemma 2.2 in [22] (for strictly related results see theorems A and B in [8]). Here we adopt the elementary approach proposed in section 2 of [6], generalizing the computations done in the 2-dimensional case to any dimension.

1.3. Weak solutions and transport equation

A simple but quite crucial byproduct of Lemma 1.1 is that  $fE_f \in L^\infty([0, T]; L^1(\mathbb{R}^{2d}; \mathbb{R}^d))$  whenever  $f \in \mathcal{A}^\Theta([0, T])$ . This allows us to define weak solutions of the system (1.1) among admissible densities, as follows.

**Definition 1.2 (Admissible weak solution).** We say that  $f \in \mathcal{A}^\Theta([0, T])$  is an *admissible weak solution* of the system (1.1) starting from the initial datum  $f_0 \in L^1(\mathbb{R}^{2d})$  if

$$\int_0^T \int_{\mathbb{R}^{2d}} (\partial_t \psi + v \cdot \nabla_x \psi + E_f \cdot \nabla_v \psi) f dx dv dt = - \int_{\mathbb{R}^{2d}} \psi(0, \cdot) f_0 dx dv$$

for any  $\psi \in C_c^\infty([0, T] \times \mathbb{R}^{2d})$ .

Due to the structure of the system (1.1), one is tempted to look for weak solutions  $f \in \mathcal{A}^\Theta([0, T])$  transported along the flow of the vector field  $b_f: [0, T] \times \mathbb{R}^{2d} \rightarrow \mathbb{R}^{2d}$ ,

$$b_f(t, x, v) = (v, E_f(t, x)) \quad \text{for } t \in [0, T], x, v \in \mathbb{R}^d. \tag{1.11}$$

The Cauchy problem corresponding to the vector field  $b_f$  in (1.11) is in fact a second-order ODE that can be rewritten in the form

$$\begin{cases} \dot{X} = V, & \text{for } t \in (0, T), \\ \dot{V} = E_f(t, X), & \text{for } t \in (0, T), \\ X(0) = x, V(0) = v, \end{cases} \tag{1.12}$$

where  $t \mapsto (X(t), V(t))$  is any flow line starting from the initial datum  $(x, v) \in \mathbb{R}^{2d}$ . Since the modulus of continuity of  $b_f$  in (1.11) uniquely depends on  $\varphi_\Theta$  in (1.10), which, in turn, only depends on the choice of  $\Theta$ , here and in the rest of the paper we make the following

**Assumption 1.3** *The growth function  $\Theta$  is such that  $\varphi_\Theta$  is continuous on  $[0, +\infty)$ .*

Consequently, given a weak solution  $f \in \mathcal{A}^\Theta([0, T])$ , in virtue of Lemma 1.1 and Peano’s Theorem, the Cauchy problem (1.12) is well posed and admits a (classical) globally-defined, possibly non-unique, flow  $\Gamma_f: [0, T] \times \mathbb{R}^{2d} \rightarrow \mathbb{R}^{2d}$ .

**Definition 1.4 (Admissible Lagrangian weak solution).** We say that  $f \in \mathcal{A}^\Theta([0, T])$  is an *admissible Lagrangian weak solution* of the system (1.1) starting from the initial datum  $f_0 \in L^1(\mathbb{R}^{2d})$  if  $f$  is as in definition 1.2 and, moreover,

$$f(t, \cdot) = (\Gamma_f(t, \cdot))_{\#} f_0 \quad \text{for all } t \geq 0, \tag{1.13}$$

where  $\Gamma_f$  is any flow solving the Cauchy problem (1.12).

A natural way to ensure the well-posedness of the ODE in (1.12) is to impose the *Osgood condition* on the modulus of (spatial) continuity of  $b_f$  in (1.11). However, due to the special second-order structure of (1.12), such condition can be considerably relaxed.

**Theorem 1.5 (ODE well-posedness).** *Under assumption 1.3, problem (1.12) admits a globally-defined classical solution. Moreover, if  $\Phi_\Theta: [0, +\infty) \rightarrow [0, +\infty)$ , given by*

$$\Phi_\Theta(r) = \int_0^r \varphi_\Theta(s) ds \quad \text{for all } r \geq 0, \tag{1.14}$$

satisfies

$$\int_{0^+} \frac{dr}{\sqrt{\Phi_\Theta(r)}} = +\infty, \tag{1.15}$$

then the solution of problem (2.8) is unique and the induced flow is a measure-preserving homeomorphism on  $\mathbb{R}^{2d}$  at each time.

Assumption (1.15) imposes the Osgood condition on  $\sqrt{\Phi_\Theta}$  and can be seen as a second-order-type Osgood condition on  $\varphi_\Theta$ . Indeed, taking  $d = 1$ ,  $X(0) = V(0) = 0$  and  $E_f(t, x) = \varphi_\Theta(x)$  in (1.12) for simplicity, we observe that

$$\frac{d}{dt} \frac{\dot{X}^2}{2} = \varphi_\Theta(X) \dot{X} \quad \text{for } t \in (0, T),$$

so that, by integrating and changing variables, we get

$$\dot{X}^2(t) = 2 \int_0^t \varphi_\Theta(X(s)) \dot{X}(s) ds = 2\Phi_\Theta(X(t)) \quad \text{for all } t \in (0, T). \quad (1.16)$$

Hence uniqueness of solutions of the ODE (1.12) should follow as soon as

$$\int_{0+} \frac{\dot{X}(t) dt}{\sqrt{\Phi_\Theta(X(t))}} = \int_{0+} \frac{dr}{\sqrt{\Phi_\Theta(r)}} = +\infty,$$

leading to (1.15). Note that (1.16) involves the (square of the) velocity  $V = \dot{X}$  of the trajectory, besides its position  $X$ , since in fact  $X$  solves a second-order ODE, namely,  $\ddot{X} = E_f(t, X)$ . This explains why (1.15) should be seen as a second-order Osgood condition on the modulus of continuity of the vector field  $E_f$ .

#### 1.4. Lagrangian stability

Our first main result exploits the ODE well-posedness in theorem 1.5 to provide stability of admissible Lagrangian weak solutions of the Vlasov–Poisson system (1.1), see theorem 1.6 below, generalizing theorem 1.1 in [22] and theorem 1.9 in [13].

Due to the physical meaning of the problem (1.1) when  $d = 3$ , we restrict our attention to non-negative densities  $f \geq 0$  and, up to (non-linearly) rescaling all estimates, we shall work with probability densities. More precisely, we operate within the space of *probability measures with finite 1-moment* on  $\mathbb{R}^{2d}$ ,

$$\mathcal{P}_1(\mathbb{R}^{2d}) = \left\{ \mu \in \mathcal{P}(\mathbb{R}^{2d}) : \int_{\mathbb{R}^{2d}} |p| d\mu(p) < +\infty \right\}.$$

Such space can be naturally endowed with the *1-Wasserstein distance*, given by

$$W_1(\mu_1, \mu_2) = \inf \left\{ \int_{\mathbb{R}^{2d} \times \mathbb{R}^{2d}} |p - q| d\pi(p, q) : \pi \in \mathbf{Plan}(\mu_1, \mu_2) \right\} \quad (1.17)$$

for  $\mu_1, \mu_2 \in \mathcal{P}_1(\mathbb{R}^{2d})$ . Here

$$\mathbf{Plan}(\mu_1, \mu_2) = \left\{ \pi \in \mathcal{P}(\mathbb{R}^{2d} \times \mathbb{R}^{2d}) : (\mathbf{p}_i)_\# \pi = \mu_i, i = 1, 2 \right\}$$

denotes the set of *plans* (or *couplings*) between  $\mu_1$  and  $\mu_2$ , where  $\mathbf{p}_i : \mathbb{R}^{2d} \times \mathbb{R}^{2d} \rightarrow \mathbb{R}^{2d}$  is the projection on the  $i$ th component. As well-known [1], there exist *optimal plans*  $\pi \in \mathbf{Plan}(\mu_1, \mu_2)$ ; i.e., plans attaining the infimum in (1.17). Moreover, the resulting *1-Wasserstein space*  $(\mathcal{P}_1(\mathbb{R}^{2d}), W_1)$  is a complete and separable metric space.

**Theorem 1.6 (Lagrangian stability).** *Assume that  $\varphi_\Theta$  is concave on  $[0, +\infty)$  and  $\Phi_\Theta$  satisfies (1.15). There is  $\Omega_{\Theta, T} : [0, +\infty) \rightarrow [0, +\infty)$  continuous, with  $\Omega_{\Theta, T}(0) = 0$ , satisfying the following property. Let  $i = 1, 2$  and let  $f_i \in \mathcal{A}^\Theta([0, T])$  be a Lagrangian weak solution of the*

Vlasov–Poisson system (1.1) starting from the initial datum  $f_0^i \in L^1(\mathbb{R}^{2d})$ . If  $\mu_0^i = f_0^i \mathcal{L}^{2d} \in \mathcal{P}_1(\mathbb{R}^{2d})$ , then also  $\mu_i(t, \cdot) = f_i(t, \cdot) \mathcal{L}^{2d} \in \mathcal{P}_1(\mathbb{R}^{2d})$  for all  $t \in [0, T]$  and

$$\sup_{t \in [0, T]} W_1(\mu_1(t, \cdot), \mu_2(t, \cdot)) \leq \Omega_{\Theta, T}(W_1(\mu_0^1, \mu_0^2)).$$

In particular, if  $f_0^1 = f_0^2$ , then also  $f_1(t, \cdot) = f_2(t, \cdot)$  for all  $t \in [0, T]$ .

The function  $\Omega_{\Theta, T}$  appearing in theorem 1.6 can be actually made more explicit and, basically, it depends on the inverse of the function  $\Psi_{\Theta, \delta, c}: [0, +\infty) \rightarrow [0, +\infty)$ ,

$$\Psi_{\Theta, \delta, c}(t) = \int_0^t \frac{ds}{\delta + \sqrt{2c\Phi_{\Theta}(s)}} \quad \text{for all } t \geq 0,$$

for suitably chosen parameters  $\delta, c > 0$ .

The proof of theorem 1.6 follows the elementary strategy introduced in [6] for the well-posedness of two-dimensional Euler’s equations (we also refer to recent applications of this method to *transport–Stokes equations* [14] and to systems of *non-local* continuity equations [15]). Basically, to control the distance between two Lagrangian weak solutions of the system (1.1) in  $\mathcal{A}^{\Theta}([0, T])$ , in view of (1.13), we just need to control the time evolution of the distance between the initial data along the flows of the corresponding Cauchy problem (1.12) via a Grönwall-type argument, exploiting both the stability of trajectories solving the associated ODE (1.12) given by theorem 1.5 and the modulus of continuity of the vector field provided by Lemma 1.1.

Actually, our approach is more general and in fact provides stability of admissible Lagrangian weak solutions for a large family of system like (1.1). More precisely, we can deal with *generalised Vlasov–Poisson equations* of the form

$$\begin{cases} \partial_t f + F \cdot \nabla_x f + E_f \cdot \nabla_v f = 0 & \text{in } (0, T) \times \mathbb{R}^{2d}, \\ E_f(t, x) = \int_{\mathbb{R}^d} K(x, y) \rho_f(t, y) dy & \text{for } t \in [0, T], x \in \mathbb{R}^d, \\ \rho_f(t, x) = \int_{\mathbb{R}^d} f(t, x, v) dv & \text{for } t \in [0, T], x \in \mathbb{R}^d, \\ f(0, \cdot) = f_0 & \text{on } \mathbb{R}^{2d}, \end{cases} \tag{1.18}$$

where  $F \in L^{\infty}([0, T]; C(\mathbb{R}^{2d}; \mathbb{R}^d))$  satisfies

$$\text{ess sup}_{t \in [0, T]} |F(t, x, v) - F(t, y, w)| \leq L[|x - y| + |v - w|] \quad \text{for all } x, y, v, w \in \mathbb{R}^d$$

for some  $L \geq 0$ , and  $K: \mathbb{R}^{2d} \rightarrow \mathbb{R}^d$  is any sufficiently well-behaved antisymmetric kernel.

The choice  $F(t, x, v) = \frac{v}{\sqrt{1+|v|^2}}$  for  $t \in [0, T]$  and  $x, v \in \mathbb{R}^d$  in (1.18) corresponds to the *relativistic* Vlasov–Poisson equations. The well-posedness theory in the relativistic framework is less understood. For  $d = 3$  and only in the attractive case, global existence of solutions has been established in [10–12, 17, 31] for radially symmetric initial data. For both the attractive and the repulsive case, well-posedness—global for  $d = 2$  and only local for  $d = 3$ —and propagation of regularity for general initial data have been recently obtained in [18] via propagation of velocity moments.

### 1.5. Existence of Lagrangian solutions

Our second main result provides existence of admissible Lagrangian weak solutions of the Vlasov–Poisson system (1.1), generalizing the constructions in theorems 1.2 and 1.3 in [22] and proposition 1.14 in [13].

**Theorem 1.7 (Existence).** *Let  $d = 2, 3$ . Let  $\theta \in Y^\Theta(\mathbb{R}^d)$  be such that*

$$\theta \not\equiv 0, \quad \theta \geq 0 \quad \text{and} \quad \int_{\mathbb{R}^d} (1 \vee |x|) \theta(x) \, dx < +\infty. \tag{1.19}$$

*There exists a Lagrangian weak solution  $f \in \mathcal{A}^\Theta([0, T])$  of the Vlasov–Poisson system (1.1), starting from the initial datum*

$$f_0(x, v) = \frac{\mathbf{1}_{(-\infty, 0]} \left( |v|^2 - \theta(x)^{\frac{2}{d}} \right)}{|B_1| \|\theta\|_{L^1}}, \quad \text{for } x, v \in \mathbb{R}^d,$$

*such that  $f(t, \cdot) \mathcal{L}^{2d} \in \mathcal{P}_1(\mathbb{R}^{2d})$  for all  $t \in [0, T]$  and*

$$C \|\theta\|_{L^p} \leq \|\rho_f\|_{L^\infty([0, T]; L^p)} \leq C_T \|\theta\|_{L^p} \quad \text{for all } p \in [1, +\infty),$$

*for some constants  $C, C_T > 0$ , where  $C_T$  depends on  $T$ .*

The construction behind theorem 1.7 builds upon the proofs of theorems 1.2 and 1.3 in [22] and essentially applies the existence result proved in theorem 1 in [19] to a suitable initial datum depending on the chosen function  $\theta \in Y^\Theta(\mathbb{R}^d)$ .

Note that any (non-zero) non-negative bounded and compactly supported function satisfies (1.19). Hence theorem 1.7 becomes truly interesting if  $\theta$  also satisfies

$$\inf_{p \geq 1} \frac{\|\theta\|_{L^p}}{\Theta(p)} > 0, \tag{1.20}$$

that is, the  $L^p$  norm of  $\theta$  grows as fast as  $\Theta$ . In view of theorem 1.6, we may restrict our attention only to growth functions  $\Theta$  for which  $\varphi_\Theta$  is concave and condition (1.15) is met. This is in fact the case for a countable family of growth functions of iterated-logarithmic type defined as follows. For each  $m \in \mathbb{N}$ , we let  $\Theta_m: [0, +\infty) \rightarrow [0, +\infty)$  be given by

$$\Theta_m(p) = \begin{cases} p |\log_1(p)|^2 |\log_2(p)|^2 \cdots |\log_m(p)|^2 & \text{for } p \geq \exp_m(1), \\ \Theta_m(\exp_m(1)) & \text{for } p \in [0, \exp_m(1)], \end{cases}$$

where  $\exp_0(1) = 1$  and  $\exp_{m+1}(1) = e^{\exp_m(1)}$  recursively, and

$$\log_m = \begin{cases} \text{id} & \text{for } m = 0 \\ \underbrace{\log \log \cdots \log}_{(m-1) \text{ times}} |\log| & \text{for } m \geq 1. \end{cases} \tag{1.21}$$

**Proposition 1.8 (Saturation of  $\Theta_m$ ).** *For each  $m \in \mathbb{N}_0$ ,  $\varphi_{\Theta_m}$  is concave,  $\Phi_{\Theta_m}$  satisfies (1.15) and there is  $\theta_m \in Y^{\Theta_m}(\mathbb{R}^d)$  with compact support satisfying (1.19) and (1.20).*

Theorem 1.7 and proposition 1.8 yield that the class of admissible Lagrangian weak solutions considered in theorem 1.6 is non-empty for  $d \in \{2, 3\}$  and  $\Theta = \Theta_m$  for some  $m \in \mathbb{N}_0$ . When  $m = 0$ , our results embed the example given in the proof of theorem 1.3 in [22]. Actually, the functions  $\theta_m$  in proposition 1.8 are modelled on a well-known example due to Yudovich (see equation (3.7) in [32], Remark 1(i) in [28] and the discussion around equation (1.12) in [6]) concerning 2-dimensional Euler equations in vorticity form.

### 1.6. Organisation of the paper

In section 2 we provide an abstract approach to achieve the well-posedness of the Cauchy problem (1.12) and the stability of admissible Lagrangian weak solutions of the system (1.1),



considering the generalised Vlasov–Poisson equation (1.18). We refer the reader to theorems 2.2 and 2.8, respectively. In section 3, we detail the proofs of the results presented above.

## 2. Lagrangian stability for a generalised Vlasov–Poisson system

In this section, we provide an abstract approach to obtain stability properties for Lagrangian solutions of (a generalised version of) the Vlasov–Poisson system (1.1). Our stability result is stated in theorem 2.8 and exploits the well-posedness of the corresponding second-order Cauchy problem provided by theorem 2.2.

### 2.1. Notation

Throughout this section, we consider

$$\varphi \in C([0, +\infty); [0, +\infty)), \quad \text{with } \varphi(t) > 0 \text{ for } t > 0. \tag{2.1}$$

We also let  $\Phi: [0, +\infty) \rightarrow [0, +\infty)$  be given by

$$\Phi(t) = \int_0^t \varphi(s) \, ds \quad \text{for all } t \geq 0. \tag{2.2}$$

Note that  $\Phi$  is a non-negative and non-decreasing  $C^1$  function. For certain results we will also assume that  $\Phi$  satisfies the additional condition

$$\int_{0^+} \frac{dt}{\sqrt{\Phi(t)}} = +\infty; \tag{2.3}$$

i.e. the function  $\sqrt{\Phi}$  satisfies the Osgood condition. Clearly, condition (2.3) implies that  $\varphi(0) = 0$ . Given  $\delta, c > 0$ , we also define the function  $\Psi_{\delta,c}: [0, +\infty) \rightarrow [0, +\infty)$  by letting

$$\Psi_{\delta,c}(t) = \int_0^t \frac{ds}{\delta + \sqrt{2c\Phi(s)}} \quad \text{for all } t \geq 0.$$

To keep the notation short, we set  $\Psi_\delta = \Psi_{\delta,1}$ . Note that  $\Psi_{\delta,c}$  is a non-negative and strictly increasing  $C^1$  function with bounded derivative. In particular,  $\Psi_{\delta,c}$  is invertible, with continuous and strictly-increasing inverse. Note that, if (2.3) is assumed, then

$$\lim_{\delta \rightarrow 0^+} \Psi_{\delta,c}(t) = +\infty \quad \text{and} \quad \lim_{\delta \rightarrow 0^+} \Psi_{\delta,c}^{-1}(t) = 0 \quad \text{for all } t, c > 0.$$

### 2.2. Second-order Grönwall's inequality

We begin with the following result, which may be considered as a Grönwall-type lemma for a second-order differential inequality.

**Lemma 2.1 (Grönwall).** *Let  $u \in W^{2,\infty}([0, T])$  be such that  $u, u' \geq 0$ . If*

$$u'' \leq cu' + \varphi(u) \quad \text{a.e. in } [0, T] \tag{2.4}$$

*for some  $c > 0$  and  $u'(0) \leq \delta$  for some  $\delta > 0$ , then*

$$u'(t) \leq e^{ct} \left( \delta + \sqrt{2\Phi(u(t))} \right) \quad \text{and} \quad u(t) \leq \Psi_\delta^{-1}(\Psi_\delta(u(0)) + e^{ct} - 1)$$

*for all  $t \in [0, T]$ .*

**Proof.** Multiplying (2.4) by  $u' \geq 0$ , we get

$$\frac{d}{dt} [(u')^2] \leq 2c(u')^2 + 2\varphi(u)u' \quad \text{a.e. in } [0, T].$$

Integrating and changing variables, we can estimate

$$\begin{aligned} (u'(t))^2 &\leq (u'(0))^2 + 2\Phi(u(t)) - 2\Phi(u(0)) + 2c \int_0^t (u'(s))^2 ds \\ &\leq \delta^2 + 2\Phi(u(t)) + 2c \int_0^t (u'(s))^2 ds \end{aligned}$$

for all  $t \in [0, T]$ . Since  $t \mapsto \Phi(u(t))$  is non-decreasing, by Grönwall's inequality we get

$$(u'(t))^2 \leq e^{2ct} (\delta^2 + 2\Phi(u(t))) \quad \text{for all } t \in [0, T],$$

so that

$$\frac{u'(t)}{\delta + \sqrt{2\Phi(u(t))}} \leq e^{ct} \quad \text{for all } t \in [0, T].$$

Integrating the above inequality, we conclude that

$$\Psi_\delta(u(t)) - \Psi_\delta(u(0)) \leq e^{ct} - 1 \quad \text{for all } t \in [0, T],$$

from which the conclusion follows immediately. □

### 2.3. Second-order Cauchy problem

We let  $b: [0, T] \times \mathbb{R}^{2d} \rightarrow \mathbb{R}^{2d}$  be given by

$$b(t, x, v) = (F(t, x, v), E(t, x)) \quad \text{for } t \in [0, T], x, v \in \mathbb{R}^d, \tag{2.5}$$

where  $E \in L^\infty([0, T]; C_b(\mathbb{R}^d; \mathbb{R}^d))$  satisfies

$$\text{ess sup}_{t \in [0, T]} |E(t, x) - E(t, y)| \leq \varphi(|x - y|) \quad \text{for all } x, y \in \mathbb{R}^d, \tag{2.6}$$

with  $\varphi$  as in (2.1), and  $F \in L^\infty([0, T]; C(\mathbb{R}^{2d}; \mathbb{R}^d))$  satisfies

$$\text{ess sup}_{t \in [0, T]} |F(t, x, v) - F(t, y, w)| \leq L[|x - y| + |v - w|] \quad \text{for all } x, y, v, w \in \mathbb{R}^d, \tag{2.7}$$

for some fixed  $L \in [0, +\infty)$ . For any given  $x, v \in \mathbb{R}^d$ , we consider the Cauchy problem

$$\begin{cases} \dot{\gamma}_{x,v} = b(t, \gamma_{x,v}), & \text{for } t \in (0, T), \\ \gamma(0) = (x, v). \end{cases} \tag{2.8}$$

Note that (2.8) is in fact a second-order Cauchy problem and can be rewritten as

$$\begin{cases} \dot{X} = F(t, X, V), & \text{for } t \in (0, T), \\ \dot{V} = E(t, X), & \text{for } t \in (0, T), \\ X(0) = x, V(0) = v, \end{cases} \quad (2.9)$$

denoting  $\gamma_{x,v}(t) = (X(t, x, v), V(t, x, v))$  for  $t \in [0, T], x, v \in \mathbb{R}^d$ .

**Theorem 2.2 (ODE well-posedness).** *Problem (2.8) admits a globally-defined classical solution  $\gamma_{x,v} \in W^{1,\infty}([0, T]; \mathbb{R}^{2d})$  for all  $x, v \in \mathbb{R}^d$ . Moreover, if  $\Phi$  in (2.2) satisfies condition (2.3), then the solution of (2.8) is unique for all  $x, v \in \mathbb{R}^d$ . Finally, letting*

$$\Gamma: [0, T] \times \mathbb{R}^{2d} \rightarrow \mathbb{R}^{2d}, \quad \Gamma(t, x, v) = \gamma_{x,v}(t), \quad \text{for } t \in [0, T] \text{ and } x, v \in \mathbb{R}^d,$$

be the associated flow map, if  $\operatorname{div}_x F = 0$ , then  $\Gamma(t, \cdot)$  is a measure-preserving homeomorphism on  $\mathbb{R}^{2d}$  for all  $t \in [0, T]$ .

Since  $b \in L^\infty([0, T]; C(\mathbb{R}^{2d}; \mathbb{R}^{2d}))$  has at most linear growth, the first part of theorem 2.2 concerning the global existence of at least one solution of (2.8) follows by standard ODE theory (namely, by Peano's Theorem and Grönwall's inequality). The validity of the second part of theorem 2.2 concerning the uniqueness of the solution of (2.8) and the measure-preserving property of the associated flow map follows from the following result.

**Proposition 2.3 (ODE stability).** *Let  $i = 1, 2$ , let  $b_i = (F_i, E_i)$  be as in (2.5), with  $E_i \in L^\infty([0, T]; C_b(\mathbb{R}^d; \mathbb{R}^d))$  satisfying (2.6) and  $F_i \in L^\infty([0, T]; C(\mathbb{R}^{2d}; \mathbb{R}^d))$  satisfying (2.7), and let  $\gamma_i = (X_i, V_i) \in W^{1,\infty}([0, T]; \mathbb{R}^{2d})$  be a solution of (2.8) with initial condition  $(x_i, v_i) \in \mathbb{R}^{2d}$ . If*

$$L|x_1 - x_2| + L|v_1 - v_2| + L\|E_1 - E_2\|_{L^\infty(C)} + \|F_1 - F_2\|_{L^\infty(C)} \leq \delta$$

for some  $\delta > 0$ , then

$$\begin{aligned} \|\gamma_1 - \gamma_2\|_{L^\infty} &\leq |v_1 - v_2| + \|E_1 - E_2\|_{L^\infty} + \Psi_{\delta,L}^{-1}(\Psi_{\delta,L}(|x_1 - x_2|) + e^{LT} - 1) \\ &\quad + T\varphi\left(\Psi_{\delta,L}^{-1}(\Psi_{\delta,L}(|x_1 - x_2|) + e^{LT} - 1)\right). \end{aligned}$$

**Proof.** In the following, we drop the spatial variables to keep the notation short. In virtue of (2.7) and (2.9), we can estimate

$$\begin{aligned} |X_1(t) - X_2(t)| &\leq |x_1 - x_2| + \int_0^t |F_1(s, X_1(s), V_1(s)) - F_2(s, X_2(s), V_2(s))| \, ds \\ &\leq |x_1 - x_2| + \int_0^t |F_1(s, X_1(s), V_1(s)) - F_1(s, X_2(s), V_2(s))| \, ds \\ &\quad + \int_0^t |F_1(s, X_2(s), V_2(s)) - F_2(s, X_2(s), V_2(s))| \, ds \\ &\leq |x_1 - x_2| + L \int_0^t |X_1(s) - X_2(s)| \, ds + L \int_0^t |V_1(s) - V_2(s)| \, ds + t\|F_1 - F_2\|_{L^\infty} \end{aligned} \quad (2.10)$$

for all  $t \in [0, T]$ . Because of (2.6) and again of (2.9), we can also estimate

$$\begin{aligned} |V_1(s) - V_2(s)| &\leq |v_1 - v_2| + \int_0^s |E_1(r, X_1(r)) - E_2(r, X_2(r))| \, dr \\ &\leq |v_1 - v_2| + \int_0^s |E_1(r, X_1(r)) - E_1(r, X_2(r))| \, dr \\ &\quad + \int_0^s |E_1(r, X_2(r)) - E_2(r, X_2(r))| \, dr \\ &\leq |v_1 - v_2| + \|E_1 - E_2\|_{L^\infty} + \int_0^s \varphi(|X_1(r) - X_2(r)|) \, dr \end{aligned} \tag{2.11}$$

for all  $s \in [0, T]$ . Therefore, we obtain that

$$\begin{aligned} |X_1(t) - X_2(t)| &\leq |x_1 - x_2| + t[L|v_1 - v_2| + L\|E_1 - E_2\|_{L^\infty} + \|F_1 - F_2\|_{L^\infty}] \\ &\quad + L \int_0^t |X_1(s) - X_2(s)| \, ds + L \int_0^t \int_0^s \varphi(|X_1(r) - X_2(r)|) \, dr \, ds \end{aligned} \tag{2.12}$$

for all  $t \in [0, T]$ . Letting  $u \in W^{2,\infty}([0, T])$  be the function in the right-hand side of (2.12), we observe that  $u \geq 0$ ,  $u(0) = |x_1 - x_2|$ ,

$$\begin{aligned} u'(t) &= L|v_1 - v_2| + L\|E_1 - E_2\|_{L^\infty} + \|F_1 - F_2\|_{L^\infty} + L|X_1(t) - X_2(t)| \\ &\quad + L \int_0^t \varphi(|X_1(s) - X_2(s)|) \, ds, \end{aligned} \tag{2.13}$$

for all  $t \in [0, T]$  and so, in particular,

$$u'(0) = L|x_1 - x_2| + L|v_1 - v_2| + L\|E_1 - E_2\|_{L^\infty} + \|F_1 - F_2\|_{L^\infty} \leq \delta.$$

We also observe that

$$u''(t) \leq L|\dot{X}_1(t) - \dot{X}_2(t)| + L\varphi(|X_1(t) - X_2(t)|) \quad \text{for a.e. } t \in [0, T]. \tag{2.14}$$

We now estimate the right-hand side of (2.14) in terms of  $u$ . Exploiting (2.7), (2.9) and the estimate in (2.11), we have

$$\begin{aligned} |\dot{X}_1(t) - \dot{X}_2(t)| &= |F_1(t, X_1(t), V_1(t)) - F_2(t, X_2(t), V_2(t))| \\ &\leq \|F_1(t) - F_2(t)\|_{L^\infty} + L|X_1(t) - X_2(t)| + L|V_1(t) - V_2(t)| \\ &\leq \|F_1 - F_2\|_{L^\infty} + L|X_1(t) - X_2(t)| + L|v_1 - v_2| \\ &\quad + L\|E_1 - E_2\|_{L^\infty} + L \int_0^t \varphi(|X_1(s) - X_2(s)|) \, ds \\ &= u'(t) \end{aligned}$$

for all  $t \in [0, T]$  in virtue of (2.13). We thus get that  $u$  satisfies

$$u'' \leq Lu' + L\varphi(u) \quad \text{a.e. in } [0, T],$$

as in (2.4) in Lemma 2.1, from which we immediately get that

$$|X_1(t) - X_2(t)| \leq \Psi_{\delta,L}^{-1}(\Psi_{\delta,L}(|x_1 - x_2|) + e^{Lt} - 1)$$

for all  $t \in [0, T]$ . Consequently, by (2.11), we also find that

$$|V_1(t) - V_2(t)| \leq |v_1 - v_2| + \|E_1 - E_2\|_{L^\infty} + t\varphi\left(\Psi_{\delta,L}^{-1}(\Psi_{\delta,L}(|x_1 - x_2|) + e^{LT} - 1)\right)$$

for all  $t \in [0, T]$ , from which the conclusion immediately follows. □

From proposition 2.3, we plainly deduce the following approximation result.

**Corollary 2.4 (ODE convergence).** *Let  $n \in \mathbb{N}$ , let  $b = (F, E), b_n = (F_n, E_n)$  be as in (2.5), with  $E, E_n \in L^\infty([0, T]; C_b(\mathbb{R}^d; \mathbb{R}^d))$  satisfying (2.6) and  $F, F_n \in L^\infty([0, T]; C(\mathbb{R}^{2d}; \mathbb{R}^d))$  satisfying (2.7), and let  $\gamma_n = (X_n, V_n) \in W^{1,\infty}([0, T]; \mathbb{R}^{2d})$  be a solution of (2.8) with initial condition  $(x, v) \in \mathbb{R}^{2d}$ . If  $\Phi$  in (2.2) satisfies (2.3) and*

$$\lim_{n \rightarrow +\infty} \|b_n - b\|_{L^\infty} = 0, \tag{2.15}$$

*then  $(\gamma_n)_{n \in \mathbb{N}}$  is a Cauchy sequence in  $C([0, T] \times \mathbb{R}^{2d})$ , and each of its limit points  $\gamma = (X, V)$  is a solution of (2.8) relative to  $b = (F, E)$  with initial condition  $(x, v)$ .*

**Proof.** By proposition 2.3, we immediately infer that

$$\|\gamma_m - \gamma_n\|_{L^\infty} \leq \delta_{m,n} + \Psi_{\delta_{m,n},L}^{-1}(e^{LT} - 1) + T\varphi\left(\Psi_{\delta_{m,n},L}^{-1}(e^{LT} - 1)\right).$$

for all  $m, n \in \mathbb{N}$ , where

$$\delta_{m,n} = \|E_m - E_n\|_{L^\infty} + \|F_m - F_n\|_{L^\infty} + \frac{1}{m} + \frac{1}{n}.$$

Since  $\delta_{m,n} \rightarrow 0^+$  as  $m, n \rightarrow +\infty$ , by (2.3) we infer that  $\Psi_{\delta_{m,n},L}^{-1}(e^{LT} - 1) \rightarrow 0^+$  as  $m, n \rightarrow +\infty$ , easily yielding the conclusion. □

We are now ready to prove theorem 2.2.

**Proof of theorem 2.2.** We just need to deal with the second part of the statement concerning the uniqueness of the solution of (2.8) and the measure-preserving property of the associated flow map. The uniqueness part is an immediate consequence of proposition 2.3. Indeed, if  $\gamma_1$  and  $\gamma_2$  are two solutions of (2.8) relative to  $b$  starting from the same initial datum  $(x, v)$ , with  $x, v \in \mathbb{R}^n$ , then proposition 2.3 implies that

$$\|\gamma_1 - \gamma_2\|_{L^\infty} \leq \Psi_{\delta,L}^{-1}(e^{LT} - 1) + T\varphi\left(\Psi_{\delta,L}^{-1}(e^{LT} - 1)\right)$$

for all  $\delta > 0$ . Since  $\Psi_{\delta,L}^{-1}(e^{LT} - 1) \rightarrow 0^+$  as  $\delta \rightarrow 0^+$ , we get  $\gamma_1 = \gamma_2$ . The measure-preserving property of the associated flow map, instead, follows from an approximation argument and corollary 2.4. We leave the simple details to the reader. □

2.4. Generalised Vlasov–Poisson system

From now on, we fix a measurable function  $K: \mathbb{R}^{2d} \rightarrow \mathbb{R}^d$ , that we call *kernel*, which is assumed to be antisymmetric, i.e.  $K(y, x) = -K(x, y)$  for a.e.  $x, y \in \mathbb{R}^d$ . We thus consider the associated Vlasov–Poisson-type system

$$\begin{cases} \partial_t f + F \cdot \nabla_x f + E_f \cdot \nabla_v f = 0 & \text{in } (0, T) \times \mathbb{R}^{2d}, \\ E_f(t, x) = \int_{\mathbb{R}^d} K(x, y) \rho_f(t, y) \, dy & \text{for } t \in [0, T], x \in \mathbb{R}^d, \\ \rho_f(t, x) = \int_{\mathbb{R}^d} f(t, x, v) \, dv & \text{for } t \in [0, T], x \in \mathbb{R}^d, \\ f(0, \cdot) = f_0 & \text{on } \mathbb{R}^{2d}, \end{cases} \tag{2.16}$$

where the unknown density is  $f \in L^\infty([0, T]; L^1(\mathbb{R}^{2d}))$  and the initial datum is  $f_0 \in L^1(\mathbb{R}^{2d})$ . The function  $F \in L^\infty([0, T]; C(\mathbb{R}^{2d}; \mathbb{R}^d))$  in the first line of (2.16) always satisfies (2.7), and may be additionally assumed to satisfy  $\operatorname{div}_x F = 0$ . If  $F(t, x, v) = v$ , then (2.16) reduces to the classical Vlasov–Poisson system, while, if  $F(t, x, v) = \frac{v}{\sqrt{1+|v|^2}}$ , then (2.16) becomes the relativistic Vlasov–Poisson system.

**Definition 2.5 (Weak  $\varphi$ -solution).** We say that  $f \in L^\infty([0, T]; L^1(\mathbb{R}^{2d}))$  is a *weak  $\varphi$ -solution* of (2.16) with initial datum  $f_0 \in L^1(\mathbb{R}^{2d})$  if

$$(t, x) \mapsto \int_{\mathbb{R}^d} |K(x, z)| |\rho_f(t, z)| \, dz \in L^\infty([0, T] \times \mathbb{R}^d), \tag{2.17}$$

$$\operatorname{ess\,sup}_{t \in [0, T]} \int_{\mathbb{R}^d} |K(x, z) - K(y, z)| |\rho_f(t, z)| \, dz \leq \varphi(|x - y|) \quad \text{for all } x, y \in \mathbb{R}^d \tag{2.18}$$

and

$$\int_0^T \int_{\mathbb{R}^{2d}} (\partial_t \psi + F \cdot \nabla_x \psi + E_f \cdot \nabla_v \psi) f \, dx \, dv \, dt = - \int_{\mathbb{R}^{2d}} \psi(0, \cdot) f_0 \, dx \, dv \tag{2.19}$$

for all  $\psi \in C_c^\infty([0, T] \times \mathbb{R}^{2d})$ , where  $E_f, \rho_f$  are as in (2.16).

Note that, if  $f$  is a weak  $\varphi$ -solution of (2.16) as in definition 2.5, then (2.17) and (2.18) lead to  $E_f \in L^\infty([0, T]; C_b(\mathbb{R}^d; \mathbb{R}^d))$  satisfying (2.6). In particular, the equation (2.19) is well defined, since  $f E_f \in L^\infty([0, T]; L^1(\mathbb{R}^{2d}; \mathbb{R}^d))$  thanks to (2.17).

**Definition 2.6 (Lagrangian weak  $\varphi$ -solution).** We say that  $f \in L^\infty([0, T]; L^1(\mathbb{R}^{2d}))$  is a *Lagrangian weak  $\varphi$ -solution* of (2.16) with initial datum  $f_0 \in L^1(\mathbb{R}^{2d})$  if  $f$  is a weak  $\varphi$ -solution of (2.16) as in definition 2.5 and, moreover,

$$f(t, \cdot) = \Gamma(t, \cdot) \# f_0 \quad \text{for all } t \in [0, T], \tag{2.20}$$

where  $\Gamma$  is any flow map associated to the Cauchy problem (2.8) with  $b = (F, E)$ .

The following result collects two basic features of Lagrangian weak  $\varphi$ -solutions of (2.16) that will be useful in the sequel.

**Lemma 2.7 (Sign and moment preservation).** Assume  $\operatorname{div}_x F = 0$  and  $\Phi$  in (2.2) satisfies (2.3). Let  $f \in L^\infty([0, T]; L^1(\mathbb{R}^{2d}))$  be a Lagrangian weak  $\varphi$ -solution of (2.16) with initial datum  $f_0 \in L^1(\mathbb{R}^{2d})$ . If  $f_0 \geq 0$ , then also  $f(t, \cdot) \geq 0$  for all  $t \in [0, T]$ . Moreover, if  $\mu_0 = f_0 \mathcal{L}^{2d} \in \mathcal{P}_1(\mathbb{R}^{2d})$ , then also  $\mu(t, \cdot) = f(t, \cdot) \mathcal{L}^{2d} \in \mathcal{P}_1(\mathbb{R}^{2d})$  for all  $t \in [0, T]$ .

**Proof.** Fix  $t \in [0, T]$ . Since  $\Gamma(t, \cdot)$  is a measure-preserving homeomorphism by proposition 2.3, then from (2.20) we easily deduce that

$$\begin{aligned} \mathcal{L}^{2d}(\{z \in \mathbb{R}^{2d} : f(t, z) < 0\}) &= \mathcal{L}^{2d}(\{z \in \mathbb{R}^{2d} : f(t, \Gamma(t, z)) < 0\}) \\ &= \mathcal{L}^{2d}(\{z \in \mathbb{R}^{2d} : f_0(z) < 0\}) = 0, \end{aligned}$$

so that  $f(t, \cdot) \geq 0$ . In addition, if

$$\int_{\mathbb{R}^{2d}} |z| d\mu_0(z) = \int_{\mathbb{R}^{2d}} |z| f_0(z) dz < +\infty,$$

then again by (2.20) we get

$$\int_{\mathbb{R}^{2d}} |z| d\mu(t, z) = \int_{\mathbb{R}^{2d}} |z| f(t, z) dz = \int_{\mathbb{R}^{2d}} |\Gamma(t, z)| f_0(z) dz < +\infty,$$

since  $|\Gamma(t, z)| \leq C|z|e^{CT}$  for all  $t \in [0, T]$  and  $z \in \mathbb{R}^{2d}$ , for some  $C > 0$  depending on  $\|E_f\|_{L^\infty}$  and  $\|F\|_{L^\infty(\text{Lip})}$  only, by standard ODE Theory, in virtue of (2.7) and (2.17).  $\square$

We can now state and prove the main result of this section, providing a stability property for Lagrangian weak  $\varphi$ -solutions of the Vlasov–Poisson-type system (2.16). The proof of theorem 2.8 adopts the elementary point of view of [6] and extends the approaches exploited in the proofs of theorem 1.1 in [22] and theorem 1.9 in [13].

**Theorem 2.8 (Lagrangian stability).** *Let  $i = 1, 2$ , let  $\mu_i \in L^\infty([0, T]; \mathcal{P}_1(\mathbb{R}^{2d}))$  be such that  $\mu_i = f_i \mathcal{L}^{2d}$ , where  $f_i \in L^\infty([0, T]; L^1(\mathbb{R}^{2d}))$  is a Lagrangian weak  $\varphi$ -solution of (2.16), relative to  $(F_i, E_i)$ ,  $E_i = E_{f_i}$ , with  $F_i \in L^\infty([0, T]; C(\mathbb{R}^d; \mathbb{R}^d))$  satisfying (2.7) for some  $L \in [1, +\infty)$  and  $\text{div}_x F_i = 0$ , with initial datum  $f_0^i \in L^1(\mathbb{R}^{2d})$ . Assume that  $\varphi$  in (2.1) is concave and  $\Phi$  in (2.2) satisfies (2.3). If*

$$2LW_1(\mu_0^1, \mu_0^2) + \|F_1 - F_2\|_{L^\infty} < \delta$$

for some  $\delta > 0$ , then

$$\begin{aligned} W_1(\mu_1(t, \cdot), \mu_2(t, \cdot)) &\leq \Psi_{\delta, 2L}^{-1}(\Psi_{\delta, 2L}(W_1(\mu_0^1, \mu_0^2)) + e^{Lt} - 1) \\ &\quad + e^{Lt} \left( \delta + \sqrt{4L\Phi(\Psi_{\delta, 2L}^{-1}(\Psi_{\delta, 2L}(W_1(\mu_0^1, \mu_0^2)) + e^{Lt} - 1))} \right) \end{aligned}$$

for all  $t \in [0, T]$ . In particular, if  $f_0^1 = f_0^2$  and  $F_1 = F_2$ , then  $f_1 = f_2$ .

**Proof.** Let  $\pi_0 \in \text{Plan}(\mu_0^1, \mu_0^2)$  be an optimal plan. By definition 2.6, we can write  $\mu_i(t, \cdot) = \Gamma_i(t, \cdot) \# \mu_0^i$  for  $t \in [0, T]$  and  $i = 1, 2$ , so that

$$\pi(t, \cdot) = (\Gamma_1(t, \mathbf{p}_1), \Gamma_2(t, \mathbf{p}_2)) \# \pi_0 \in \text{Plan}(\mu_1(t, \cdot), \mu_2(t, \cdot)) \tag{2.21}$$

for all  $t \in [0, T]$ . Since  $\Gamma_i = (X_i, V_i)$ ,  $i = 1, 2$ , we define

$$\begin{aligned} \mathcal{X}(t) &= \int_{\mathbb{R}^{2d} \times \mathbb{R}^{2d}} |X_1(t, p) - X_2(t, q)| d\pi_0(p, q) \\ \mathcal{V}(t) &= \int_{\mathbb{R}^{2d} \times \mathbb{R}^{2d}} |V_1(t, p) - V_2(t, q)| d\pi_0(p, q) \end{aligned} \tag{2.22}$$

for all  $t \in [0, T]$ , where  $p = (x, v)$  and  $q = (y, w)$ . Arguing as in (2.10), we can estimate

$$|X_1(t, p) - X_2(t, q)| \leq |x - y| + L \int_0^t |X_1(s, p) - X_2(s, q)| ds + L \int_0^t |V_1(s, p) - V_2(s, q)| ds + t \|F_1 - F_2\|_{L^\infty}$$

for all  $t \in [0, T]$ , so that

$$\begin{aligned} \mathcal{X}(t) &\leq \int_{\mathbb{R}^{2d} \times \mathbb{R}^{2d}} |x - y| d\pi_0(p, q) + t \|F_1 - F_2\|_{L^\infty} + L \int_0^t \mathcal{X}(s) ds + L \int_0^t \mathcal{V}(s) ds \\ &\leq W_1(\mu_0^1, \mu_0^2) + t \|F_1 - F_2\|_{L^\infty} + L \int_0^t \mathcal{X}(s) ds + L \int_0^t \mathcal{V}(s) ds \end{aligned}$$

Similarly arguing as in (2.11), we also get that

$$|V_1(t, p) - V_2(t, q)| \leq |v - w| + \int_0^t |E_1(s, X_1(s, p)) - E_2(s, X_2(s, q))| ds$$

for all  $t \in [0, T]$ , so that

$$\begin{aligned} \mathcal{V}(t) &\leq \int_{\mathbb{R}^{2d} \times \mathbb{R}^{2d}} |v - w| d\pi_0(p, q) \\ &\quad + \int_0^t \int_{\mathbb{R}^{2d} \times \mathbb{R}^{2d}} |E_1(s, X_1(s, p)) - E_2(s, X_2(s, q))| d\pi_0(p, q) ds \\ &\leq W_1(\mu_0^1, \mu_0^2) + \int_0^t \int_{\mathbb{R}^{2d} \times \mathbb{R}^{2d}} |E_1(s, X_1(s, p)) - E_2(s, X_2(s, q))| d\pi_0(p, q) ds \end{aligned} \tag{2.23}$$

for all  $t \in [0, T]$  and so, in particular,

$$\begin{aligned} \mathcal{X}(t) &\leq (1 + Lt) W_1(\mu_0^1, \mu_0^2) + t \|F_1 - F_2\|_{L^\infty} + L \int_0^t \mathcal{X}(s) ds \\ &\quad + L \int_0^t \int_0^s \int_{\mathbb{R}^{2d} \times \mathbb{R}^{2d}} |E_1(r, X_1(r, p)) - E_2(r, X_2(r, q))| d\pi_0(p, q) dr ds \end{aligned}$$

for all  $t \in [0, T]$ . Now we have

$$\begin{aligned} |E_1(r, X_1(r, p)) - E_2(r, X_2(r, q))| &\leq |E_1(r, X_1(r, p)) - E_1(r, X_2(r, q))| \\ &\quad + |E_1(r, X_2(r, q)) - E_2(r, X_2(r, q))|. \end{aligned}$$

On the one side, since  $f_1$  is a weak  $\varphi$ -solution of (2.16) with respect to  $(F_1, E_1)$ , by (2.18)  $E_1$  satisfies (2.6), and thus we can estimate

$$|E_1(r, X_1(r, p)) - E_1(r, X_2(r, q))| \leq \varphi(|X_1(r, p) - X_2(r, q)|).$$

On the other side, again since  $f_1$  and  $f_2$  are weak  $\varphi$ -solutions of (2.16), we can write

$$\begin{aligned} &|E_1(r, X_2(r, q)) - E_2(r, X_2(r, q))| \\ &= \left| \int_{\mathbb{R}^d} K(X_2(r, q), z) \rho_1(r, z) dz - \int_{\mathbb{R}^d} K(X_2(r, q), z') \rho_2(r, z') dz' \right| \\ &= \left| \int_{\mathbb{R}^{2d}} K(X_2(r, q), z) f_1(r, z, u) dz du - \int_{\mathbb{R}^d} K(X_2(r, q), z') f_2(r, z', u') dz' du' \right| \\ &= \left| \int_{\mathbb{R}^{2d}} K(X_2(r, q), X_1(r, o)) f_0^1(o) do - \int_{\mathbb{R}^d} K(X_2(r, q), X_2(r, o')) f_0^2(o') do' \right| \end{aligned}$$



where in the last equality we changed variables, in virtue of (2.20), letting  $o = (z, u)$  and  $o' = (z', u')$  for brevity. Since  $\pi_0 \in \text{Plan}(\mu_0^1, \mu_0^2)$ , we can thus write

$$\begin{aligned} & \left| \int_{\mathbb{R}^{2d}} K(X_2(r, q), X_1(r, o)) f_0^1(o) \, do - \int_{\mathbb{R}^d} K(X_2(r, q), X_2(r, o')) f_0^2(o') \, do' \right| \\ &= \left| \int_{\mathbb{R}^{2d}} K(X_2(r, q), X_1(r, o)) \, d\mu_0^1(o) - \int_{\mathbb{R}^d} K(X_2(r, q), X_2(r, o')) \, d\mu_0^2(o') \right| \\ &= \left| \int_{\mathbb{R}^{2d} \times \mathbb{R}^{2d}} (K(X_2(r, q), X_1(r, o)) - K(X_2(r, q), X_2(r, o'))) \, d\pi_0(o, o') \right| \\ &\leq \int_{\mathbb{R}^{2d} \times \mathbb{R}^{2d}} |K(X_2(r, q), X_1(r, o)) - K(X_2(r, q), X_2(r, o'))| \, d\pi_0(o, o'). \end{aligned}$$

Therefore, again changing variables in virtue of (2.20), we get

$$\begin{aligned} & \int_{\mathbb{R}^{2d} \times \mathbb{R}^{2d}} |E_1(r, X_2(r, q)) - E_2(r, X_2(r, q))| \, d\pi_0(p, q) \\ &\leq \int_{\mathbb{R}^{2d} \times \mathbb{R}^{2d}} \int_{\mathbb{R}^{2d} \times \mathbb{R}^{2d}} |K(X_2(r, q), X_1(r, o)) - K(X_2(r, q), X_2(r, o'))| \, d\pi_0(p, q) \, d\pi_0(o, o') \\ &= \int_{\mathbb{R}^{2d} \times \mathbb{R}^{2d}} \int_{\mathbb{R}^{2d}} |K(h, X_1(r, o)) - K(h, X_2(r, o'))| \, \rho_2(t, h) \, dh \, d\pi_0(o, o') \\ &\leq \int_{\mathbb{R}^{2d} \times \mathbb{R}^{2d}} \varphi(|X_1(r, o) - X_2(r, o')|) \, d\pi_0(o, o'). \end{aligned}$$

Recalling that  $\varphi$  is concave, by Jensen's inequality we conclude that

$$\begin{aligned} & \int_{\mathbb{R}^{2d} \times \mathbb{R}^{2d}} |E_1(r, X_1(r, p)) - E_2(r, X_2(r, q))| \, d\pi_0(p, q) \\ &\leq 2 \int_{\mathbb{R}^{2d} \times \mathbb{R}^{2d}} \varphi(|X_1(r, p) - X_2(r, q)|) \, d\pi_0(p, q) \leq 2\varphi(\mathcal{X}(r)), \end{aligned}$$

so that

$$\begin{aligned} \mathcal{X}(t) &\leq (1 + Lt) \mathbf{W}_1(\mu_0^1, \mu_0^2) + t \|F_1 - F_2\|_{L^\infty} + L \int_0^t \mathcal{X}(s) \, ds \\ &\quad + 2L \int_0^t \int_0^s \varphi(\mathcal{X}(r)) \, dr \, ds \end{aligned} \tag{2.24}$$

for all  $t \in [0, T]$ . In addition, recalling (2.23), we also get that

$$\mathcal{V}(t) \leq \mathbf{W}_1(\mu_0^1, \mu_0^2) + 2 \int_0^t \varphi(\mathcal{X}(s)) \, ds \tag{2.25}$$

for all  $t \in [0, T]$ . Now, letting  $u \in W^{2, \infty}([0, T])$  be the function on the right-hand side of (2.24), we immediately get that  $u, u' \geq 0$  with  $u(0) = \mathbf{W}_1(\mu_0^1, \mu_0^2)$  and

$$u'(t) = L \mathbf{W}_1(\mu_0^1, \mu_0^2) + \|F_1 - F_2\|_{L^\infty} + L \mathcal{X}(t) + 2L \int_0^t \varphi(\mathcal{X}(s)) \, ds \tag{2.26}$$

for all  $t \in [0, T]$ , so that  $u'(0) \leq 2L \mathbf{W}_1(\mu_0^1, \mu_0^2) + \|F_1 - F_2\|_{L^\infty}$ . Furthermore, we have

$$u''(t) = L \dot{\mathcal{X}}(t) + 2L \varphi(\mathcal{X}(t))$$

for a.e.  $t \in (0, T)$ . Note that, in virtue of the definition in (2.22) and of problem (2.9),

$$\begin{aligned} \dot{\mathcal{X}}(t) &\leq \int_{\mathbb{R}^{2d} \times \mathbb{R}^{2d}} |\dot{X}_1(t, p) - \dot{X}_2(t, q)| d\pi_0(p, q) \\ &= \int_{\mathbb{R}^{2d} \times \mathbb{R}^{2d}} |F_1(t, X_1(t, p), V_1(t, p)) - F_2(t, X_2(t, q), V_2(t, q))| d\pi_0(p, q) \\ &\leq \|F_1 - F_2\|_{L^\infty}, \end{aligned}$$

so that, recalling (2.24) and (2.26), and since  $\varphi$  is non-decreasing,

$$u''(t) \leq L\|F_1 - F_2\|_{L^\infty} + 2L\varphi(\mathcal{X}(t)) \leq Lu'(t) + 2L\varphi(u(t))$$

for a.e.  $t \in (0, T)$ . Thanks to Lemma 2.1, we thus conclude that, if

$$2LW_1(\mu_0^1, \mu_0^2) + \|F_1 - F_2\|_{L^\infty} < \delta$$

for some  $\delta > 0$ , then

$$\mathcal{X}(t) \leq \Psi_{\delta, 2L}^{-1}(\Psi_{\delta, 2L}(W_1(\mu_0^1, \mu_0^2)) + e^{Lt} - 1)$$

for all  $t \in [0, T]$ . Moreover, from (2.25) and (2.26), we also get that  $\mathcal{V}(t) \leq u'(t)$ , so that

$$\mathcal{V}(t) \leq e^{Lt} \left( \delta + \sqrt{4L\Phi(\mathcal{X}(t))} \right) \leq e^{Lt} \left( \delta + \sqrt{4L\Phi\left(\Psi_{\delta, 2L}^{-1}(\Psi_{\delta, 2L}(W_1(\mu_0^1, \mu_0^2)) + e^{Lt} - 1)\right)} \right)$$

for all  $t \in [0, T]$ , in virtue of Lemma 2.1. To conclude, we simply note that, by (2.21),

$$\begin{aligned} W_1(\mu_1(t, \cdot), \mu_2(t, \cdot)) &\leq \int_{\mathbb{R}^{2d} \times \mathbb{R}^{2d}} |p - q| d\pi(t, p, q) \\ &= \int_{\mathbb{R}^{2d} \times \mathbb{R}^{2d}} |\Gamma_1(t, p) - \Gamma_2(t, q)| d\pi_0(p, q) \leq \mathcal{X}(t) + \mathcal{V}(t) \end{aligned}$$

for all  $t \in [0, T]$ , readily ending the proof. □

### 3. Proofs of the main results

#### 3.1. Proof of Lemma 1.1

We begin with the proof of Lemma 1.1. Actually, we achieve the following slightly stronger result. Here and in the following, the kernel  $K$  is as in (1.2).

**Proposition 3.1 (Mapping properties of  $K$ ).** *There is a dimensional constant  $C_d > 0$  with the following property. If  $\rho \in L^1(\mathbb{R}^d) \cap Y_{\text{ul}}^\Theta(\mathbb{R}^d)$ , then  $K * \rho \in C_b^{0, \varphi_\Theta}(\mathbb{R}^d)$ , with*

$$\|K * \rho\|_{L^\infty} \leq C_d \left( \|\rho\|_{L^1} + \|\rho\|_{Y_{\text{ul}}^\Theta} \right), \tag{3.1}$$

$$\int_{\mathbb{R}^d} |K(x - z) - K(y - z)| \rho(z) dz \leq C_d \left( \|\rho\|_{L^1} + \|\rho\|_{Y_{\text{ul}}^\Theta} \right) \varphi_\Theta(|x - y|) \quad \forall x, y \in \mathbb{R}^d. \tag{3.2}$$

To prove proposition 3.1, we need the following simple estimate, which generalises equation (2.2) in [6] to any dimension  $d \geq 2$ .

**Lemma 3.2 (Oscillation).** *There exists a dimensional constant  $C_d > 0$  such that*

$$|K(x-z) - K(y-z)| \leq C_d \left( \frac{1}{|x-z||y-z|^{d-1}} + \frac{1}{|y-z||x-z|^{d-1}} \right) |x-y| \tag{3.3}$$

for all  $x, y, z \in \mathbb{R}^d$  with  $x, y \neq z$ .

**Proof.** We can assume  $z = 0$  without loss of generality. For  $x, y \in \mathbb{R}^d \setminus \{0\}$ , we have

$$\left| \frac{x}{|x|^d} - \frac{y}{|y|^d} \right|^2 = \frac{1}{|x|^{2(d-1)}} + \frac{1}{|y|^{2(d-1)}} - \frac{2(x \cdot y)}{|x|^d |y|^d} = \left[ \frac{|x|x|^{d-2} - y|y|^{d-2}|}{|x|^{d-1}|y|^{d-1}} \right]^2,$$

so that

$$\left| \frac{x}{|x|^d} - \frac{y}{|y|^d} \right| = \frac{|x|x|^{d-2} - y|y|^{d-2}|}{|x|^{d-1}|y|^{d-1}}$$

for all  $x, y \in \mathbb{R}^d \setminus \{0\}$ . Letting  $F_d(\xi) = \xi|\xi|^{d-2}$  for all  $\xi \in \mathbb{R}^d$ , we have  $|\nabla F_d(\xi)| \leq C_d|\xi|^{d-2}$  for all  $\xi \in \mathbb{R}^d$ , where  $C_d > 0$  is a dimensional constant. Hence

$$|x|x|^{d-2} - y|y|^{d-2}| \leq |x-y| \sup_{t \in [0,1]} |\nabla F_d(x+t(x-y))| \leq C_d|x-y| \sup_{t \in [0,1]} |x+t(x-y)|^{d-2}$$

for all  $x, y \in \mathbb{R}^d$ . Since  $d \geq 2$ , the function  $\xi \mapsto |\xi|^{d-2}$  is convex, and thus we can estimate

$$|x+t(x-y)|^{d-2} \leq (1-t)|x|^{d-2} + t|y|^{d-2} \leq |x|^{d-2} + |y|^{d-2}$$

for all  $x, y \in \mathbb{R}^d$ . Therefore, we get that

$$\left| \frac{x}{|x|^d} - \frac{y}{|y|^d} \right| = \frac{|x|x|^{d-2} - y|y|^{d-2}|}{|x|^{d-1}|y|^{d-1}} \leq C_d|x-y| \left[ \frac{|x|^{d-2} + |y|^{d-2}}{|x|^{d-1}|y|^{d-1}} \right]$$

for all  $x, y \in \mathbb{R}^d \setminus \{0\}$ , yielding (3.3) for  $z = 0$ . □

We can now prove proposition 3.1. We follow the strategy of the proofs of theorem 2.2 and corollary 2.4 in [6]. We also refer to the proofs of Lemma 2.1 in [13] and theorems A and B in [8].

**Proof of proposition 3.1.** We write  $K = K^1 + K^\infty$ , with  $K^1 = K\mathbf{1}_{B_1} \in L^{\frac{d+1}{d}}(\mathbb{R}^d)$  and  $K^\infty = K\mathbf{1}_{B_1^c} \in L^\infty(\mathbb{R}^d)$ . Since  $\rho \in L^1 \cap L^{\frac{d+1}{d}}(\mathbb{R}^d)$ , we can estimate

$$\begin{aligned} |K * \rho(x)| &\leq |K^1| * \rho(x) + |K^\infty| * \rho(x) \leq \|K^1\|_{L^{\frac{d+1}{d}}} \|\rho\|_{L^{d+1}(B_1(x))} + \|K^\infty\|_{L^\infty} \|\rho\|_{L^1} \\ &\leq \max \left\{ \|K^1\|_{L^{\frac{d+1}{d}}}, \|K^\infty\|_{L^\infty} \right\} \left( \|\rho\|_{L^{\frac{d+1}{d}}} + \|\rho\|_{L^1} \right) \leq C_d \left( \|\rho\|_{L^{\frac{d+1}{d}}} + \|\rho\|_{L^1} \right) \\ &\leq C_d \left( \Theta(d+1) \|\rho\|_{Y_{\text{ul}}^\Theta} + \|\rho\|_{L^1} \right) \leq C_d \left( \|\rho\|_{Y_{\text{ul}}^\Theta} + \|\rho\|_{L^1} \right) \end{aligned}$$

for all  $x \in \mathbb{R}^d$ , yielding (3.1). To prove (3.2), fix  $x, y \in \mathbb{R}^d$  and set  $\varepsilon = |x-y|$ . Due to (3.1), we can assume  $\varepsilon < e^{-d-1}$  without loss of generality. We write

$$\begin{aligned} &\int_{\mathbb{R}^d} |K(x-z) - K(y-z)| \rho(z) dz \\ &= \left( \int_{B_2(x)^c} + \int_{B_2(x) \setminus B_{2\varepsilon}(x)} + \int_{B_{2\varepsilon}(x)} \right) |K(x-z) - K(y-z)| \rho(z) dz. \end{aligned}$$

By Lemma 3.2, we can estimate the first integral as

$$\begin{aligned} & \int_{B_2(x)^c} |K(x-z) - K(y-z)| \rho(z) \, dz \\ & \leq C_d |x-y| \int_{B_2(x)^c} \left( \frac{1}{|x-z||y-z|^{d-1}} + \frac{1}{|y-z||x-z|^{d-1}} \right) \rho(z) \, dz \\ & \leq C_d |x-y| \|\rho\|_{L^1}. \end{aligned}$$

Concerning the second integral, since

$$|y-z| \geq \frac{1}{2}|x-z| \quad \text{for all } z \in B_2(x) \setminus B_{2\varepsilon}(x),$$

again by Lemma 3.2 we can estimate

$$\begin{aligned} & \int_{B_2(x) \setminus B_{2\varepsilon}(x)} |K(x-z) - K(y-z)| \rho(z) \, dz \\ & \leq C_d |x-y| \int_{B_2(x) \setminus B_{2\varepsilon}(x)} \left( \frac{1}{|x-z||y-z|^{d-1}} + \frac{1}{|y-z||x-z|^{d-1}} \right) \rho(z) \, dz \\ & \leq C_d |x-y| \int_{B_2(x) \setminus B_{2\varepsilon}(x)} \frac{\rho(z)}{|x-z|^d} \, dz \leq C_d |x-y| \|\rho\|_{L^p(B_2(x))} \left( \int_{2\varepsilon}^2 r^{-dp'+d-1} \, dr \right)^{\frac{1}{p'}} \\ & \leq C_d |x-y| \|\rho\|_{L^p_{\text{ul}}} \left( \frac{2^{-dp'+d}(1-\varepsilon^{-dp'+d})}{-dp'+d} \right)^{\frac{1}{p'}} \\ & \leq C_d |x-y| \|\rho\|_{L^p_{\text{ul}}} 2^{-\frac{d}{p}} \left( \varepsilon^{-\frac{d}{p-1}} - 1 \right)^{\frac{p-1}{p}} \left( \frac{p-1}{d} \right)^{\frac{p-1}{p}} \\ & \leq C_d |x-y| \|\rho\|_{L^p_{\text{ul}}} p \varepsilon^{-\frac{d}{p}} \leq C_d p \Theta(p) \|\rho\|_{Y_{\text{ul}}^\Theta} |x-y|^{1-\frac{d}{p}} \end{aligned}$$

for any  $p > d + 1$ , with  $p'$  the conjugate of  $p$ . Finally, regarding the third and last integral, since  $B_{2\varepsilon}(x) \subset B_{3\varepsilon}(y)$ , we can estimate

$$\begin{aligned} & \int_{B_{2\varepsilon}(x)} |K(x-z) - K(y-z)| \rho(z) \, dz \leq \int_{B_{2\varepsilon}(x)} \frac{\rho(z)}{|x-z|^{d-1}} \, dz + \int_{B_{3\varepsilon}(z)} \frac{\rho(z)}{|y-z|^{d-1}} \, dz \\ & \leq C_d \|\rho\|_{L^p_{\text{ul}}} \left( \int_0^{3\varepsilon} r^{-(d+1)p'+d-1} \, dr \right)^{\frac{1}{p'}} \leq C_d \|\rho\|_{L^p_{\text{ul}}} \left( \frac{(3\varepsilon)^{-(d+1)p'+d}}{(-d+1)p'+d} \right)^{\frac{1}{p'}} \\ & \leq C_d \|\rho\|_{L^p_{\text{ul}}} (3\varepsilon)^{1-\frac{d}{p}} \left( \frac{p-1}{p-d} \right)^{\frac{p-1}{p}} \leq C_d p \Theta(p) \|\rho\|_{Y_{\text{ul}}^\Theta} |x-y|^{1-\frac{d}{p}} \end{aligned}$$

again for  $p > d + 1$ . Combining the above estimates, we conclude that

$$\int_{\mathbb{R}^d} |K(x-z) - K(y-z)| \rho(z) \, dz \leq C_d \left( \|\rho\|_{L^1(\mathbb{R}^d)} + \|\rho\|_{Y_{\text{ul}}^\Theta} \right) p \Theta(p) |x-y|^{1-\frac{d}{p}}$$

for  $x, y \in \mathbb{R}^d$  with  $|x-y| < e^{-d-1}$  and  $p > d + 1$ . In particular, choosing  $p = -\log|x-y|$ , since  $r^{\frac{d}{\log(r)}} = e^d$  for  $r \in (0, 1)$ , we obtain that

$$\begin{aligned} & \int_{\mathbb{R}^d} |K(x-z) - K(y-z)| \rho(z) \, dz \\ & \leq C_d \left( \|\rho\|_{L^1} + \|\rho\|_{Y_{\text{aff}}^\Theta} \right) |x-y| |\log|x-y|| \Theta(|\log|x-y||) |x-y|^{\frac{d}{\log|x-y|}} \\ & \leq C_d \left( \|\rho\|_{L^1} + \|\rho\|_{Y_{\text{aff}}^\Theta} \right) \varphi_\Theta(|x-y|) \end{aligned}$$

for  $x, y \in \mathbb{R}^d$  with  $|x-y| < e^{-d-1}$ , completing the proof of (3.2). □

3.2. Proof of theorem 1.6

In view of theorem 2.8, we just have to check that, if  $f \in \mathcal{A}^\Theta([0, T])$  is a Lagrangian weak solution of (1.1) in the sense of definition 1.4, then  $f$  is a Lagrangian weak  $\varphi_\Theta$ -solution of (2.16) with  $F(t, x, v) = v$ , for  $t \in [0, T]$  and  $x, v \in \mathbb{R}^d$ , and  $E_f = K * \rho_f$ , where  $K$  is as in (1.2). Indeed, we just need to check the validity of (2.17) and (2.18), but these respectively follow from (3.1) and (3.2) in proposition 3.1.

**Remark 3.3 (Relativistic case).** Note that the above argument *verbatim* applies to the relativistic setting, that is, choosing  $F(t, x, v) = \frac{v}{\sqrt{1+|v|^2}}$  for  $t \in [0, T]$  and  $x, v \in \mathbb{R}^d$ .

3.3. Proof of theorem 1.7

From now on, we assume  $d \in \{2, 3\}$ . We begin with the following result, providing a suitable initial datum for the construction of the weak solution in theorem 1.7.

**Lemma 3.4 (Datum).** *If  $\theta : \mathbb{R}^d \rightarrow \mathbb{R}$  satisfies (1.19), then  $f_0 : \mathbb{R}^{2d} \rightarrow [0, +\infty)$  given by*

$$f_0(x, v) = \frac{\mathbf{1}_{(-\infty, 0]}(|v|^2 - \theta(x)^{\frac{2}{d}})}{|B_1| \|\theta\|_{L^1}}, \quad \text{for } x, v \in \mathbb{R}^d, \tag{3.4}$$

*satisfies  $f_0 \in L^1(\mathbb{R}^{2d}) \cap L^\infty(\mathbb{R}^{2d})$ ,  $f_0 \mathcal{L}^{2d} \in \mathcal{D}_1(\mathbb{R}^{2d})$  and, for some constant  $C > 0$ ,*

$$\int_{\mathbb{R}^{2d}} |v|^p f_0(x, v) \, dx \, dv \leq \frac{\|\theta\|_{L^{\frac{d}{d+1}}}^{\frac{d}{d+1}}}{\|\theta\|_{L^1}} \quad \text{for all } p \in [1, +\infty). \tag{3.5}$$

**Proof.** Note that  $|v| \leq \theta(x)^{\frac{1}{d}}$  for all  $(x, v) \in \text{supp } f_0$ . We thus have

$$\rho_0(x) = \int_{\mathbb{R}^d} f_0(x, v) \, dv = \frac{\mathcal{L}^d\left(\left\{v \in \mathbb{R}^d : |v| \leq \theta(x)^{\frac{1}{d}}\right\}\right)}{|B_1| \|\theta\|_{L^1}} = \frac{\theta(x)}{\|\theta\|_{L^1}} \tag{3.6}$$

for all  $x \in \mathbb{R}^d$ . Consequently, we can estimate

$$\int_{\mathbb{R}^{2d}} |v|^p f_0(x, v) \, dx \, dv \leq \int_{\mathbb{R}^{2d}} |\theta(x)|^{\frac{p}{d}} f_0(x, v) \, dx \, dv = \int_{\mathbb{R}^{2d}} |\theta(x)|^{\frac{p}{d}} \rho_0(x) \, dx = \frac{\|\theta\|_{L^{\frac{d}{d+1}}}^{\frac{d}{d+1}}}{\|\theta\|_{L^1}},$$

readily yielding the conclusion. □

We can now prove theorem 1.7. Actually, we prove the following more precise result.

**Proposition 3.5 (Existence).** *Assume that  $\theta \in Y^\Theta(\mathbb{R}^d)$  satisfies (1.19). There exists a Lagrangian weak solution*

$$f \in C([0, T]; L^p(\mathbb{R}^{2d})) \cap L^\infty([0, T] \times \mathbb{R}^{2d}) \cap \mathcal{A}^\Theta([0, T]), \quad \text{for all } p \in [1, +\infty),$$

of the system (1.1) starting from  $f_0$  in (3.4) of Lemma 3.4 such that  $f(t, \cdot) \mathcal{L}^{2d} \in \mathcal{P}_1(\mathbb{R}^{2d})$ ,

$$\rho_f \in C([0, T]; L^p(\mathbb{R}^d)), \quad \text{for all } p \in [1, +\infty), \tag{3.7}$$

and, for some constant  $C_T > 0$  depending on  $T$ ,

$$\frac{\|\theta\|_{L^q}}{\|\theta\|_{L^1}} \leq \|\rho_f\|_{L^\infty([0, T]; L^q)} \leq C_T \|\theta\|_{L^q}, \quad \text{for all } q \in [1, +\infty). \tag{3.8}$$

**Proof.** By theorem 1 in [19] (for  $d = 3$ , the case  $d = 2$  being similar, see [13, 22]), there exists

$$f \in C([0, +\infty); L^p(\mathbb{R}^{2d})) \cap L^\infty([0, +\infty) \times \mathbb{R}^{2d}), \quad \text{for all } p \in [1, +\infty),$$

a weak solution of the system (1.1) starting from  $f_0$  in (3.4) of Lemma 3.4 and such that

$$\sup_{t \in [0, T]} \int_{\mathbb{R}^{2d}} |v|^p f(t, x, v) \, dx \, dv < +\infty, \quad \text{for all } p \in [1, +\infty). \tag{3.9}$$

Note that the notion of weak solution here is well-posed in the sense of definition 1.2, since  $E_f \in L^\infty([0, T] \times \mathbb{R}^d)$  in virtue of (3.9) and equation (16) in [19]. Moreover,  $f$  is constant along characteristic curves of (1.12) which are defined almost everywhere. Finally, by equation (8) in [19] and (3.5), we get (3.7). Thus, we just need to show (3.8), so that  $f \in \mathcal{A}^\Theta([0, T])$  in particular. For the first inequality in (3.8), we observe that

$$\|\rho_f\|_{L^\infty(L^q)} \geq \|\rho_f(0, \cdot)\|_{L^q} = \|\rho_0\|_{L^q} = \frac{\|\theta\|_{L^q}}{\|\theta\|_{L^1}}$$

because of (3.6) and (3.7). For the second inequality in (3.8), we argue as in section 3 of [22]. By equation (14) in [19], we can estimate

$$\|\rho_f(t, \cdot)\|_{L^{\frac{p}{d}+1}} \leq C M_p(t)^{\frac{d}{p+d}} \quad \text{for } t \in [0, T],$$

for some constant  $C_T > 0$  independent of  $p$  and  $t \in [0, T]$ , but dependent on  $T > 0$ , which may vary from line to line in what follows, where

$$M_p(t) = \int_{\mathbb{R}^{2d}} |v|^p f(t, x, v) \, dx \, dv.$$

Exploiting (1.12) and the fact that  $f$  is constant along characteristics, we can estimate

$$M_p(t) \leq M_p(0) + C_T p \int_0^t M_p(s)^{1-\frac{1}{p}} \, ds.$$

By a simple Grönwall-type argument, we infer that

$$\sup_{t \in [0, T]} M_p(t) \leq M_p(0) + C_T^p \quad \text{for all } t \in [0, T].$$

Since  $f(0, \cdot) = f_0$ , by (3.5) we get

$$M_p(t)^{\frac{d}{p+d}} \leq \left( \frac{\|\theta\|_{L^{\frac{p}{d}+1}}^{\frac{p}{d}+1}}{\|\theta\|_{L^1}} + C_T^p \right)^{\frac{d}{p+d}} \leq C_T \|\theta\|_{L^{\frac{p}{d}+1}},$$

proving the second inequality in (3.8) and ending the proof. □

3.4. Proof of proposition 1.8

We need some notation and the preliminary Lemma 3.6 below. For each  $m \in \mathbb{N}$ , we define  $\ell_m: [0, +\infty) \rightarrow [0, +\infty)$  by letting

$$\ell_m(r) = \mathbf{1}_{(0, \varepsilon_m)}(r) \log_m(r) \quad \text{for all } r \geq 0, \tag{3.10}$$

where  $\varepsilon_m \in (0, 1)$  is such that  $\log_m(\varepsilon_m) = -1$  (recall the notation in (1.21)).

**Lemma 3.6.** For  $m \in \mathbb{N}$ , there are  $p_m \in [1, +\infty)$  and  $0 < a_m < b_m < +\infty$  such that

$$a_m \log_{m-1}(p) \leq \|\ell_m(|\cdot|)\|_{L^p} \leq b_m \log_{m-1}(p) \quad \text{for all } p \geq p_m. \tag{3.11}$$

**Proof.** Given  $p \geq \log(1/\varepsilon_m)$ , we can easily estimate

$$\|\ell_m(|\cdot|)\|_{L^p}^p = \int_{B_{\varepsilon_m}} |\log_m(|x|)|^p dx \geq \int_{B_{e^{-p}}} |\log_m(|x|)|^p dx \geq C_d e^{-dp} |\log_{m-1}(p)|^p \tag{3.12}$$

for all  $m \in \mathbb{N}$ , proving the lower bound in (3.11). For the upper bound in (3.11), we argue by induction. If  $m = 1$ , then by direct computation we have

$$\|\ell_1(|\cdot|)\|_{L^p}^p = \int_{B_1} |\log(|x|)|^p dx = C_d \int_0^1 (-\log r)^p r^{d-1} dr = C_d d^{-(p+1)} \Gamma(p+1)$$

and the desired upper bound readily follows by Stirling’s formula. If  $m \geq 2$ , then

$$\begin{aligned} \|\ell_m(|\cdot|)\|_{L^p} &= \left( \int_{B_{\varepsilon_m}} |\log_m(|x|)|^p dx \right)^{1/p} \\ &= \frac{|B_{\varepsilon_m}|^{1/p}}{p} \left( \frac{1}{|B_{\varepsilon_m}|} \int_{B_{\varepsilon_m}} \left| \log(\log_{m-1}(|x|))^p \right|^p dx \right)^{1/p}. \end{aligned}$$

Now  $r \mapsto (\log r)^p$  is concave on  $[e^{p-1}, +\infty)$ . Since  $\log_{m-1}(\varepsilon_m) = -e$ , for  $p \geq 2$  we have

$$\begin{aligned} \frac{1}{|B_{\varepsilon_m}|} \int_{B_{\varepsilon_m}} \left| \log(\log_{m-1}(|x|))^p \right|^p dx &\leq \left( \log \left( \frac{1}{|B_{\varepsilon_m}|} \int_{B_{\varepsilon_m}} |\log_{m-1}(|x|)|^p dx \right) \right)^p \\ &\leq p^p \left( \log \left( |B_{\varepsilon_m}|^{-1/p} \|\ell_{m-1}(|\cdot|)\|_{L^p} \right) \right)^p \end{aligned}$$

by Jensen’s inequality, so that

$$\|\ell_m(|\cdot|)\|_{L^p} \leq |B_{\varepsilon_m}|^{1/p} \log \left( |B_{\varepsilon_m}|^{-1/p} \|\ell_{m-1}(|\cdot|)\|_{L^p} \right),$$

readily yielding the conclusion. □

**Proof of proposition 1.8.** For each  $m \in \mathbb{N}$ , there exists  $\delta_m > 0$  such that

$$\varphi_{\Theta_m}(r) = r |\log r| \Theta_m(|\log r|) = \Theta_{m+1}(r) \quad \text{for all } r \in [0, \delta_m].$$

Hence  $\varphi_{\Theta_m}$  is concave on  $[0, \delta_m]$  with  $\varphi_{\Theta_m}(0) = 0$ . Therefore, we can estimate

$$\Phi_{\Theta_m}(t) = \int_0^t \varphi_{\Theta_m}(s) ds \leq t \varphi_{\Theta_m}(t) = t \Theta_{m+1}(t) \quad \text{for all } t \in [0, \delta_m].$$

In particular, we readily infer that

$$\begin{aligned} \lim_{\varepsilon \rightarrow 0^+} \int_{\varepsilon}^{\delta_m} \frac{dt}{\sqrt{\Phi_{\Theta_m}(t)}} &\geq \lim_{\varepsilon \rightarrow 0^+} \int_{\varepsilon}^{\delta_m} \frac{dt}{\sqrt{t\Theta_{m+1}(t)}} \\ &= \lim_{\varepsilon \rightarrow 0^+} \int_{\varepsilon}^{\delta_m} \frac{dt}{t|\log t||\log_2(t)|\cdots|\log_{m+1}(t)|} = +\infty, \end{aligned}$$

so that  $\Phi_{\Theta_m}$  satisfies (1.15). To conclude, we define  $\theta_m: \mathbb{R}^d \rightarrow [0, +\infty)$  as

$$\theta_m(x) = \ell_1(|x|) \ell_2(|x|)^2 \dots \ell_{m+1}(|x|)^2 \quad \text{for } x \in \mathbb{R}^d.$$

On the one side, arguing as in (3.12), we easily see that

$$\begin{aligned} \|\theta_m\|_{L^p}^p &\geq \int_{B_{e^{-p}}} |\log_1(|x|)|^p |\log_2(|x|)|^{2p} \dots |\log_{m+1}(|x|)|^{2p} dx \\ &\geq C_d e^{-dp} p^p |\log_1(p)|^{2p} \dots |\log_m(p)|^{2p} = C_d e^{-dp} \Theta_m(p)^p \end{aligned}$$

for all  $p \in [1, +\infty)$ . On the other side, by Lemma 3.6 and Hölder’s inequality, we get

$$\begin{aligned} \|\theta_m\|_{L^p} &\leq \|\ell_1(|\cdot|)\|_{L^{(m+1)p}} \|\ell_2(|\cdot|)^2\|_{L^{(m+1)p}} \dots \|\ell_{m+1}(|\cdot|)^2\|_{L^{(m+1)p}} \\ &= \|\ell_1(|\cdot|)\|_{L^{(m+1)p}} \|\ell_2(|\cdot|)\|_{L^{2(m+1)p}}^2 \dots \|\ell_{m+1}(|\cdot|)\|_{L^{2(m+1)p}}^2 \\ &\leq C_m p \log_1(p)^2 \dots \log_m(p)^2 = C_m \Theta_m(p) \end{aligned}$$

for all  $p \geq p_m$  for some constant  $C_m > 0$  depending on  $m$  only, yielding the conclusion.  $\square$

**Remark 3.7 (Saturation of  $\Theta_\alpha(p) = p^{1/\alpha}$ ).** Fix  $\alpha \in [1, \infty)$ . Arguing as above, one can easily see that  $\theta_\alpha(x) = \ell_1(|x|)^{1/\alpha}$ , for  $x \in \mathbb{R}^d$ , saturates the growth function  $\Theta_\alpha(p) = p^{1/\alpha}$  in the sense of proposition 1.8, giving an alternative proof of proposition 1.14 in [13].

**Data availability statement**

No new data were created or analysed in this study.

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## ORCID iDs

Gianluca Crippa  <https://orcid.org/0000-0001-6825-4275>

Marco Inversi  <https://orcid.org/0009-0005-7884-9340>

Chiara Saffirio  <https://orcid.org/0000-0001-7939-6498>

Giorgio Stefani  <https://orcid.org/0000-0002-1592-8288>

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