# **Animal Cognition**

# Dogs' ability to follow temporarily invisible moving objects: the ability to track and expect are shaped by experience. --Manuscript Draft--

Manuscript Number:	ANCO-D-22-00111R3				
Full Title:	Dogs' ability to follow temporarily invisible moving objects: the ability to track and expect are shaped by experience.				
Article Type:	Original Article				
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Funding Information:	Università degli Studi di Padova	Dr Orsolya Kanizsar Miss Cécile Guérineau			
	Fondazione Cassa di Risparmio di Padova e Rovigo	Dr Miina Loõke			
Abstract:	Visually tracking a moving object, even if it becomes temporarily invisible, is an important skill for animals living in complex environments. However, this ability has not been widely explored in dogs. To address this gap of knowledge and understand how experience contributes to such ability, we conducted two experiments using a violation of expectation paradigm. Dogs were shown an animation of a ball moving horizontally across a screen, passing behind an occluder, and reappearing with a timing that was faster, slower or congruent with its initial speed. In the first experiment, dogs (N=15) were exposed to the incongruent conditions without prior experience, while in the second experiment dogs (N=37) were preliminarily exposed to the congruent stimulus. Dogs of the first experiment did not exhibit a surprise effect in response to the incongruent condition, suggesting they had not formed an expectation about the timing of reappearance. However, their latency to orient towards the reappearing ball depended on the condition, suggesting they were able, to some extent, to visually keep track of the stimulus' trajectory. Dogs of the second experiment were surprised when the ball stayed behind the occluder longer than expected, but showed no difference in latency to orient across conditions. This suggests they had overcome the visual-tracking mechanism and had formed an expectation about the timing of reappearance. In conclusion, dogs seem to use a low-level mechanism to keep visual track of a temporarily disappearing moving object, but experience is required to make expectation about its trajectory.				

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77 eye movement was **Done** 

114 has also been **Done** 

Figure 3,4,6,7. The new version of these figures require some additional work. First, the old figures are still in the main text which will by confusing for copy editors. Second, the figure captions need to be updated to reflect that that data are no longer presented as bars, and describe what ball reappearance means in figures 3 and 6. Three, please add full labels to the x axis (Fast, Congruent, Slow). Fourth, I encourage you to represent the orders by different symbols, as the color shades may not print out well.

We've edited the figures, by changing the symbols, switching to black and white and updating the axis labels. We've also edited the captions, and removed figures from the ms, as suggested.

- 1 **TITLE:** Dogs' ability to follow temporarily invisible moving objects: the ability to track and expect are
- 2 shaped by experience.
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### 15 Abstract

Visually tracking a moving object, even if it becomes temporarily invisible, is an important skill for animals living in complex environments. However, this ability has not been widely explored in dogs. To address this gap of knowledge and understand how experience contributes to such ability, we conducted two experiments using a violation of expectation paradigm. Dogs were shown an animation of a ball moving horizontally across a screen, passing behind an occluder, and reappearing with a timing that was faster, slower or congruent with its initial speed. In the first experiment, dogs (N=15) were exposed to the incongruent conditions without prior experience, while in the second experiment dogs (N=37) were 23 preliminarily exposed to the congruent stimulus. Dogs of the first experiment did not exhibit a surprise 24 effect, as measured by latency to look away from the expected stimulus presentation area, in response to 25 the incongruent conditions, suggesting they had not formed an expectation about the timing of 26 reappearance. However, their latency to orient towards the reappearing ball depended on the condition, 27 suggesting they were able, to some extent, to visually keep track of the stimulus' trajectory. Dogs of the 28 second experiment were surprised when the ball stayed behind the occluder longer than expected, but 29 showed no difference in latency to orient across conditions. This suggests they had overcome the visual-30 tracking mechanism and had formed expectations about the timing of reappearance. In conclusion, dogs 31 seem to use a low-level mechanism to keep visual track of a temporarily disappearing moving object, but 32 experience is required to make expectation about its trajectory.

33 Keywords: Dog, Expectancy violation, Motion perception, Occlusion, Prediction, Visual tracking

34

#### 35 Statements and Declarations

36 Funding: The present study was supported through funds of Fondazione CARIPARO (PhD grant awarded

to M.L.), of the Department of Comparative Biomedicine and Food Science, University of Padua

38 (scholarship awarded to C.G.) and of the University of Padua (PhD scholarship to OK)

#### **39** Conflicts of interest

40 The authors declare no conflict of interest.

#### 41 Ethics approval

42 The current experiment was carried out in accordance with the national and European legislation

43 regarding the involvement of animals in scientific research. Approval of the procedures was granted by

44 the Organism in Charge for Animal Welfare (Organismo Preposto al Benessere Animale, OPBA) of the

45 University of Padua.

# 46 Acknowledgments

- 47 We are very grateful to all the dog owners for volunteering their time and to Carlo Poltronieri and Nadia
- 48 Guzzo for their technical assistance and to Taavi Lõoke for his graphical assistance.

49

50 Introduction

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52

53 moving objects is essential for several aspects of life, such as escaping from predators, catching prey, or 54 mating. However, objects in motion might not always stay in the full view of the observer, as they 55 become temporarily invisible, when passing behind other obstacles in the surrounding. For instance, a 56 prey running in a forest might get hidden by vegetation, remaining invisible for some time before 57 reappearing at a different place. The predator needs to correctly predict the prey's reappearance, 58 otherwise tracking of it will be ineffective. 59 Several studies have looked into animal's ability to maintain the representation of objects disappearing 60 from the observer's view, and in particular, the topic has been thoroughly researched in birds (Chiandetti 61 and Vallortigara 2011; Fontanari et al. 2011; Regolin et al. 1995; Vallortigara et al. 1998). However, the 62 ability to use information about the object's movement, for instance to track its position even when it 63 disappears from view, or to predict where/when it should reappear, received less attention. Human 64 infants, at around six months of age, are not able to predict the reappearance of a moving object at the 65 first presentation, as they orient towards the location of disappearance or the central area of the occluder, 66 instead of orienting towards the location of reappearance (Nelson 1971; Von Hofsten et al. 2000). 67 Nevertheless, their performance improves quickly within the first three trials and thereafter remains 68 constant over the following presentations (Von Hofsten et al. 2000). If the stimulus stays behind an 69 occluder for longer than expected, the infants show distress until the reappearance attracts their attention 70 again (Meicler and Gratch 1980). The ability to predict an object reappearance has also been described in 71 non-human animals: for instance, keas have been shown to simultaneously remember the identity of two 72 objects moving behind an occluder and predict the reappearance of the preferred object (Bastos and 73 Taylor 2019). Furthermore, Churchland and colleagues (2003) recorded eye movements of rhesus 74 monkeys watching a moving stimulus and found that eyes kept moving even when the object temporarily 75 disappeared, although with decreased speed. This owes to a mechanic, low-level mechanism, which has a

Many animals live in complex environments, where visually scanning the surroundings and tracking

76 clear functional significance in allowing to keep orientation towards a moving object even across short gaps in visibility. At the same time, the slowing down of eye movement was remarkable when the 77 78 disappearance was unexpected (e.g. a sudden, short blink), but less so when it was predictable (e.g. the 79 object passing behind an occluder). This result highlights how the limited accuracy of the low-level 80 mechanism can be improved through the cognitive appraisal of the physical context. The study also shows 81 that repeated exposures to the stimulus, even if just to the blinking one, allow monkeys to overcome the 82 slowing down, and actually shift their gaze to where the stimulus would eventually reappear, with 83 anticipate timing. Thus, although the ability to maintain a representation of an occluded object is present 84 from early age and without experience (Bastos and Taylor 2019; Freire and Nicol 1999; Regolin et al. 85 2005; Vallortigara et al. 1998), direct experience represents an important contribution to the ability to 86 track and predict the trajectory of a temporarily occluded moving object. 87 In recent decades, dogs have gained popularity as a model in comparative cognition research, with most 88 of the studies using visual stimuli (Bensky et al. 2013). However, the knowledge about dogs' visual 89 perception is far from being comprehensive. Particularly little attention has been paid to dogs' perception and elaboration of motion information, in spite of suggestions that motion perception is a critical aspect of 90 91 dogs' vison (Miller and Murphy 1995). Only recently some studies have started to look into this topic, 92 exploring some basic sensory features of dogs' motion perception, such as the detection of coherent 93 motion (Kanizsár et al. 2017, 2018) and the minimum detectable velocity (Lõoke et al. 2020). Other 94 researchers have focused on dogs' perception of biological motion, suggesting that dogs are sensitive to it 95 (Delanoeije et al. 2020; Eatherington et al. 2019; Kovács et al. 2016), although with peculiarities with regards to which features are relevant in determining dogs' attention to biological motion (Eatherington et 96 97 al. 2021). Two recent studies have also looked into dogs' ability to track moving objects (Völter et al. 98 2020; Völter and Huber 2021a). In particular, Völter and Huber (2021a) found that dogs followed closely 99 a rolling object and made predictions based on contact causality. Similarly, Völter and colleagues (2020) 100 showed that, when presented with a video of two players throwing a frisbee back and forth, dogs can 101 visually track the frisbee with a high accuracy. Moreover, with increasing experience, their motion

tracking turned into an anticipatory looking behaviour, as dogs turned their gaze to the catcher before the frisbee arrived. Much as these studies provided an important insight in dogs' motion tracking abilities, it does not shed light on dogs' ability to predict the spatiotemporal trajectory of an object that disappears from the visual scene for a limited time.

106 Several studies have used the violation of expectation paradigm in dogs to explore sensitivity to certain 107 phenomena. The paradigm builds on the idea that exposure to an inconsistent sequence of two events 108 involving the phenomenon under study should lead to a surprised reaction (Winters et al. 2015). In dogs, 109 surprise is often operationalized as a longer time spent looking at the inconsistent pairing, compared to 110 the consistent one (Adachi et al. 2007; Mongillo et al. 2021; Pattison et al. 2010, 2013; West and Young 111 2002; Zentall and Pattison 2016). The methodology has been applied to several aspects of dogs' cognition 112 and perception, including numerical competence (West and Young 2002), recognition of conspecifics 113 (Mongillo et al. 2021) and humans (Adachi et al. 2007), size and colour consistency (Pattison et al. 2013) 114 and object permanence (Pattison et al. 2010; Zentall and Pattison 2016). The same paradigm has also been applied in studies using moving and disappearing objects, although not aimed at investigating dogs' 115 116 ability to process motion information per se. For instance, Müller and colleagues (2011) found that 117 female dogs show the surprise effect after being exposed to a ball disappearing behind a barrier and 118 having a different size at reappearance. Furthermore, Völter and Huber (2021b) found a surprise effect in 119 response to a ball disappearing behind a barrier that was too thin to occlude the ball. Therefore, the 120 violation of expectation paradigm seems a proper methodology to assess dogs' ability to predict the 121 reappearance of a moving object possibly eliciting a surprise effect when the reappearance of the moving 122 stimulus is incongruent with dog's expectation.

The aim of the current study was to assess dogs' ability to predict the time of reappearance of a moving object that had disappeared behind an occluder. To reach this aim, dogs were shown animations of a ball moving horizontally at a constant speed passing under an occluder, whereas the time spent behind it was varied, being shorter, longer or coherent with the ball's initial speed. We hypothesized that, if dogs are able to keep track of the spatiotemporal trajectory of the ball even when occluded, they would orient to

128	the location of reappearance at the correct timing – hence we would observe a delayed orienting response
129	in the fast condition and an anticipated orienting response in the slow condition, compared to the
130	congruent one. Moreover, if dogs are able to form expectations about the correct timing of reappearance
131	we would observe a surprised reaction if the time spent by the ball behind the occluder did not correspond
132	to the one expected. Finally, to clarify the role of experience in shaping the ability to track and predict
133	spatiotemporal trajectories, the present study included two experiments: in the first, dogs were presented
134	with the stimuli without having any prior experience of them, while in the second experiment dogs were
135	preliminary exposed to the coherent stimulus prior to being presented with the incongruent ones. If indeed
136	experience is crucial in shaping the ability, we should expect a different pattern of results between the two
137	experiments; conversely, if dogs' are spontaneously able to use characteristics of motion, no difference
138	should be found between the two experiments.
139	
140	Methods
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142	Experiment 1
143	
144	Subjects
145	
146	The sample consisted of 15 companion dogs, out of which 8 were males and 7 were females, dogs'
147	average age was $3.5 \pm 1.0$ y. Five dogs were mixed breeds and the remaining were from various breeds
148	(detailed demographic information is presented in Table S1). Dogs were recruited through the database of
149	volunteers at the Laboratory of Applied Ethology of the University of Padua. The criteria for recruitment
150	were that dogs were in good health and comfortable in a laboratory environment.
151	
152	Experimental Setting

154 The experiment was conducted in a dimly lit quiet room, measuring  $4.7 \times 5.8$  m. The stimuli were 155 presented on a white wall using a video projector (Epson MG850 HD, Epson Corporation, Suwa, Japan). 156 The projection area was 300 cm wide. A white plastic panel (width 76 cm and height 150 cm) was placed 157 at the centre of the projection area, leaving 112 cm free on both sides (Figure 1). During the trials, dogs 158 faced the projection area at a distance of 220 cm. Trial presentation was controlled by an experimenter 159 who sat at the back of the room, using a Dell laptop (Dell, TX, USA). Two loudspeakers (Hercules XPS 160 2.0 60, Hercules Computer Technology, CA, USA) connected to the laptop, were placed on the floor on 161 both sides of the screen. To record the trials, a Canon XA20 (Canon, Tokyo, Japan) camcorder, set on infrared mode, was placed at floor level between the dog and the screen, facing the dog's head from a 162 163 distance of 150 cm. A second camera was mounted on the ceiling above the dog and facing down towards 164 the dog. 165 << FIG 1 ABOUT HERE >> 166 Fig. 1 A schematic view of the experimental setting, illustrating the position and size of the projection 167 area (A) and the plastic panel (B), the distance of the dog from the presentation area and one of the 168 possible locations of the moving stimulus (C). 169 170 Stimuli 171

The stimuli consisted of computer-generated animation representing a circle of 40 cm of diameter (hereafter referred to as ball), filled in orange on a black background. The ball entered the projection area from either side, with its centre at 60 cm from ground level, and crossed horizontally the entire area with a constant speed of 0.5 m/s, before disappearing on the opposite side. In the middle of the projection area, the ball disappeared temporarily behind a white rectangle, projected onto the plastic panel. The plastic panel had the function of making the disappearance of the ball more realistic. Three animations (hereafter:

178	conditions) were used in the experiments, differing in how long the ball remained invisible, measured
179	from the first to the last frame in which the ball was fully hidden by the panel:
180	• the congruent condition (Video S1), where the ball remained invisible for 0.72 s, corresponding
181	to the time needed by the ball to cross the barrier, had it maintained the constant speed of 0.5 m/s;
182	• the slow condition (Video S2), where the ball remained invisible for 1.7 s, corresponding to the
183	time needed by the ball to cross the barrier, if it slowed down to 0.2 m/s constant speed when
184	behind the panel;
185	• the fast condition (Video S3), where the ball remained invisible for 0.2 s, corresponding to the
186	time needed by the ball to cross the barrier, if its constant speed was 1.8 m/s when behind the
187	panel.
188	Each animation started with an attention grabber, which was presented on the same side of the screen
189	from where the ball eventually appeared. The attention grabber was a white figure shaped as a pin, similar
190	to the size of the ball, oscillating around its centre and accompanied by a frequency modulated harmonic
191	sound if needed (see details of the procedure below). All animations were created with Adobe Flash
192	(Adobe Systems, Mountain View, California, USA) and presented using Flash Player (Adobe Systems,
193	Mountain View, California, USA).
194	
195	General experimental procedure
196	
197	The presentation of animations occurred in sessions composed of three trials. The dogs were held gently
198	by the owners sitting behind them without interfering with dogs' behavior. The owners were unaware of
199	the aim of the experiment and were instructed to look at their own lap during the presentations, so not to
200	influence the dogs' behavior. Once the dog was positioned correctly, the experimenter started the
201	presentation, showing the attention grabber. If the dog did not orient towards the attention grabber
202	spontaneously, its attention was captured by quickly moving a laser pointer over the presentation area; if
203	this did not capture the dogs' attention, the accompanying sound was turned on. As soon as the dog

204	oriented towards the attention grabber, the experimenter started the actual ball presentation. After the
205	presentation had finished (i.e. the ball had disappeared on the opposite side of that of entrance), the
206	experimenter waited until the dog shifted the attention away from the screen spontaneously, which
207	marked the end of the trial. The owner was instructed to remain silent and motionless during and after the
208	presentation, until being told otherwise by the experimenter. All three trials of a session were presented
209	consecutively, without the dog leaving the testing room. The average time between the trials was between
210	one to two minutes.
211	
212	Experimental design
213	
214	Dogs underwent two sessions of three trials each representing one of three different conditions (Figure 2).
215	The order by which the three conditions were presented within each session was randomized and
216	counterbalanced within the group of dogs. The entry side was the same across all trials for any given dog
217	and counterbalanced within the group. The second session was carried out in the same day as the first,
218	with a 25 minutes break between the two sessions.
219	
220	<< FIG 2 ABOUT HERE >>
221	Fig. 2 The experimental design of Experiment 1. Green circles with T represent test trials where one of
222	the three experimental conditions was presented.
223	
224	Data collection and analyses
224	Data concerton and analyses
225	Data regarding the dogs' visual orientation was collected from videos with the Observer XT software
226	(version 12.5, Noldus, Groeningen, The Netherlands). The data was collected with a continuous sampling
227	method, from the moment the stimulus became visible until the dog spontaneously looked away from the

228 screen after the stimulus had disappeared. Dogs' visual orientation was coded as *left* or *right*, when the 229 dog was oriented towards the part of the presentation area to the left or to the right of the plastic panel, as 230 *middle*, when the dog was oriented centrally towards the plastic panel and *elsewhere*, if the dog was 231 looking anywhere else in the room. Inter-observer reliability was assessed using data collected by a 232 second observer, on a random subset of 30% of videos. Both observers were blind to the experimental 233 condition since the projection area on the video were masked during their coding. The data collected by 234 the two observers was highly correlated (Pearson's correlation; looking left: r = 0.93, looking right: r =235 0.98, looking middle: r = 0.98, looking elsewhere: r = 0.95). Only the trials in which the dogs were 236 oriented towards the projection area for the entire time until the ball reached the panel and at least 40% of 237 time after the balls' reappearance from behind the panel were considered for further analyses. The 40% 238 criterion was based on visual inspection of the data, which indicated such value as a relevant threshold -239 for dogs either looked for  $\geq 40\%$ , or for much less than that.

240 Data obtained were used to compute two variables. The variable "latency to reorient" indicated the time 241 from the reappearance of the ball until the dogs oriented to the reappearance side. The value was negative 242 if the dog was already oriented to such area before the ball reappeared. The variable was computed to 243 assess whether dogs were looking at the area of reappearance consistently with the ball's initial speed. 244 The second variable, "latency to look away", indicated the time from the final disappearance of the stimulus until the dog shifted its' orientation away from the presentation area. The variable was computed 245 246 to be indicative of a possible surprise effect, hence of a violated expectation, induced by the incongruent 247 timing of reappearance of the ball.

The actual order by which each dog was exposed to the presentations was determined after eliminating the presentations where the dogs did not pay sufficient attention, according to the criterion reported above for the exclusion of trials from the analysis. For example, if the dog only paid the required attention in the last two trials, then those trials were reclassified as the first and the second presentation and the previous trials were not considered for analysis. Since the overall number of usable presentations decreased across order number (i.e. overall fewer 6th trials were usable, than 5th trials and so on), to the aims of statistical 254 analysis, the presentation order was reclassified as a three-level categorical variable (presentation order 255 level). Level 1 included trials presented as 1st, level 2 included trials presented as 2nd or 3rd, and level 3 256 included trials presented as 4th, 5th or 6th.

257 To assess if the condition or the presentation order level affected the dogs' timing to orient towards the 258 area of reappearance of the ball, we fitted a generalized estimating equations model (GEE), where the 259 dependant variable was the latency to reorient. The subject ID was included as random effect and the 260 presentation order level and condition as random slopes within subject ID. The fixed factors were the 261 presentation order level, the condition and their interaction. The dog's age was included in the model as a 262 covariate. A backward elimination procedure was used to obtain the final model. If a significant effect 263 was found for any of the factors or the interaction, post-hoc pairwise comparisons were run, with 264 Sequential Bonferroni corrections for multiple comparisons. Moreover, analysis of the confidence 265 intervals of the estimated mean in any condition and presentation order level was performed, to assess 266 whether the latency to reorient was lower, higher or not significantly different from 0. The rationale for 267 such analysis was to determine whether dogs were orienting to the area of reappearance with a timing that 268 was coherent, anticipated or delayed compared to the actual reappearance. 269 A second GEE model was fitted to assess if dogs were surprised by the incongruent timing of 270 reappearance. The dependent variable was the latency to look away from the presentation area after the

end of the animation. The subject ID was included as random effect and the presentation order level and

272 condition as random slopes within subject ID. The fixed factors were the presentation order level, the

273 condition and their interaction. The dog's age was included in the model as a covariate. To reach the final

274 model we performed backward elimination procedure. Post-hoc analysis were conducted to assess

275 differences between conditions and Sequential Bonferroni corrections were applied to post-hoc pairwise

276 comparisons.

277 All statistical analysis was performed with SPSS (SPSS ver. 26, IBM Inc., Armonk, NY, USA).

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279 Results

Thirty-eight trials were used for analysis, out of a theoretical potential maximum of 90. Out of the 38 presentations, 13 were of the congruent condition, 8 of the slow condition and 17 of the fast condition. Ten were the first presentations, 17 were either the second or the third presentations and 11 were one of the last three presentations. A median of 3 trials per subject were used (min = 1, max = 5, mean±SD =  $2.9\pm1.5$ ).

285 The latency to reorient to the side of reappearance was significantly affected by the interaction between 286 condition and presentation order level (Table 1). Estimated marginal means±SE of the variable, for the 287 three conditions and across trial order, are presented in Figure 3. Confidence intervals for the estimates 288 indicate that latency was not different from 0 when the congruent condition was presented as 1<sup>st</sup> trial, but was higher than 0 if the condition was presented as 2<sup>nd</sup> and 3<sup>rd</sup> trial (Level 2 of presentation order level) or 289 in the following three trials (Level 3 of presentation order level). In the fast condition, latency was always 290 291 significantly higher than 0. In the slow condition, it was significantly lower than 0 when the condition was presented as 1<sup>st</sup> or 2<sup>nd</sup> and 3<sup>rd</sup> trial. Post-hoc comparisons showed that the latency to reorient was 292 293 lower in the slow condition than in either the congruent or fast condition, when the presentation order level was 1<sup>st</sup> (Slow-Congruent =  $-1.14 \pm 0.21$ , 95% CI = -1.79 - 0.50, p < 0.001; Slow-Fast: =  $-1.31 \pm 0.05$ , 294 CI = -1.48 - 1.15, p < 0.001) or 2<sup>nd</sup> and 3<sup>rd</sup> (Slow-Congruent: = -1.54 \pm 0.19, 95% CI = -2.16 - 0.92, p < 0.001295 296 0.001; Slow-Fast: =  $-1.77\pm0.17$ , 95% CI = -2.33 -1.21, p < 0.001). 297

Table 1. Results of generalized estimating equations model assessing the effect of presentation order leveland condition on the latency of the unexperienced dogs to reorient to the ball's reappearance side.

	Wald $\chi^2$	df	<i>p</i> -value
Condition	73.074	2	<0.001
Presentation order level	15.064	2	<0.001

	Age	0.512	1	0.474
	Condition*Presentation order level	29.324	4	<0.001
300				
301				
302	<< FIG 3	3 ABOUT HEI	RE >>	
303	Figure 3. Estimated marginal means of the late	ncy to reorient	to the area after the pa	nel, relative to the
304	moment of reappearance of the ball (dashed line	e), in the Fast,	Congruent and Slow co	onditions, when
305	presented in different order levels (circle = leve	1 1, triangle =	level 2, square = level 3	3). Error bars
306	represent standard error of the estimate and rect	angular areas i	represent 95% confiden	ce intervals.
307	Generalized Estimating Equation Model.			
308				
309	The results of the GEE model assessing the dog	s' latency to lo	ook away from presenta	ation area after the
310	end of the animation are reported in Table 2, wh	hich shows tha	t the variable was not a	ffected by neither
311	condition nor presentation order level. Estimate	d marginal me	ans±SE of the variable	for the three
312	conditions and across trial order are presented in	n Figure 4.		
313				
314	Table 2 Results of the generalized estimating end	quations mode	l assessing the effect of	presentation order
315	level and condition on dogs' latency to look aw	ay from the pro	esentation area after the	e final disappearance
316	of the stimulus.			

	Wald $\chi^2$	df	<i>p</i> -value
Condition	2.061	2	0.357
Presentation order level	2.027	2	0.363
Age	0.764	1	0.382
Condition*Presentation order level	8.062	4	0.089

317

318

#### << FIG 4 ABOUT HERE >>

- 319 **Figure 4.** Estimated marginal mean of the latency to look away, in the Fast, Congruent and Slow
- 320 conditions, when presented in different order levels (circle = level 1, triangle = level 2, square = level 3).
- 321 Error bars represent standard error of the estimate and rectangular areas represent 95% confidence
- 322 intervals. Generalized Estimating Equation Model.
- 323
- 324 Discussion

This first experiment assessed whether naïve dogs are able to predict the timing by which an object,

moving with a constant speed and direction, would reappear after transiting behind an occluder.

327 The procedure involved exposing dogs to presentations in which the timing of object's reappearance was 328 faster, slower or congruent with its initial speed. Only in the slow condition, dogs were already oriented at 329 the location of the ball's reappearance, before the event happened. Conversely, in the congruent and fast 330 conditions they oriented at such location at the time of reappearance or, more often, later. The latter result 331 could be explained by the fact that dogs' attention was captured by the reappearing stimulus, while they 332 were still oriented towards the barrier. This, however, would not explain why dogs were already oriented 333 to the area or reappearance in the slow condition. A possibility for explaining this result is that dogs had 334 formed an expectation about the spatiotemporal trajectory of the ball, based on its motion before 335 disappearance. However, the lack of surprise in response to the incongruent timing of reappearance (more 336 about this is discussed below) stands against such explanation. An alternative possibility is that dogs were 337 resorting to visual tracking, a low-level perceptual/behavioural mechanism which allows an animal to 338 maintain visual orientation towards a moving object (Land 1992, 2019; Scholl and Pylyshyn 1999). 339 During tracking, gaze moves in accordance with the targets' direction and speed and such motion can be 340 maintained for a short period, even if the target is temporarily invisible (Churchland et al. 2003), 341 providing the ability to keep track of objects through small spatiotemporal gaps (Scholl and Pylyshyn

342 1999). This mechanism nicely fits with dogs being already oriented to the area of reappearance in the slow condition and not in the fast condition. One may argue that a latency of zero should have been 343 344 observed in the congruent condition, if dogs kept moving their gaze with the same speed it had when the 345 ball disappeared. However, in visual tracking mode, gaze speed decreases as soon as the object 346 disappears, as shown in both rhesus monkeys and humans (Churchland et al. 2003; Mrotek and Soechting 347 2007). Therefore, resorting to such a mechanism would not have allowed dogs to be already oriented to 348 the area of reappearance in both the congruent and the fast condition, explaining the relatively long 349 latency observed in these conditions.

Finally, no difference was found between the conditions in time spent looking at the presentation area after final disappearance of the stimuli, which suggests that dogs were unsurprised by the inconsistency in the timing of reappearance. In accordance with the violation of expectancy paradigm, it indicates dogs had not formed an expectation about the timing of reappearance. Since one possibility to explain the inability to form such expectation, is that dogs lacked specific experience with the stimuli, we conducted a second experiment, where dogs were given preliminary exposure to the congruent stimuli before viewing those with the incongruent timing.

357

358 Experiment 2

359 Methods

360 Subjects

361 The sample consisted of 37 naïve companion dogs, 18 dogs were male, and the remaining were female.

362 The average age was  $5.1 \pm 2.9$  y, 17 dogs were mixed breeds and the remaining from various breeds

363 (Table S2). As in the previous experiment, dogs were recruited through the database of volunteers at the

Laboratory of Applied Ethology of the University of Padua. The criteria for recruitment were that dogs

were in good health and comfortable in a laboratory environment.

366 Experimental design

367 The experimental setting, stimuli and general trial procedure were identical to the ones of Experiment 1. 368 However, the dogs in Experiment 2 underwent three testing days, each composed of two sessions of three 369 trials (Figure 5). Each session started with two experience trials, in which the congruent condition was 370 presented; these were intended to provide the dogs with experience of the ball movement at a constant speed across the projection area. The third trial of the session was a test trial, in which one of the three 371 372 conditions was presented. The same condition was presented in the test trials of the two sessions of any 373 given day, and different conditions were presented in different days, so that each dog was eventually 374 exposed to all three conditions twice. The order by which the conditions were presented across the three 375 testing days was randomized and counterbalanced across the sample. The entry side was the same across all trials for any given dog and counterbalanced within the sample. The two sessions of the same day had 376 377 a break of 25 min in between and the time interval between two testing days ranged from one to two 378 weeks. 379 380

## << FIG 5 ABOUT HERE >>

- Fig. 5 The experimental design of Experiment 2. Green circles represent trials, T represents test trials 381
- where one of the three experimental conditions was presented, and E represents experience trials where 382
- 383 the congruent condition was projected.
- 384
- 385 Data collection and analyses
- 386 Data regarding dogs' orientation were collected from videos obtained during the test trials. Data
- 387 collection and trials selection were identical to the previous experiment. The data collected by the second
- 388 observer was highly correlated (Pearson's correlation; looking left: r = 0.89, looking right: r = 0.94,

looking middle: r = 0.90, looking elsewhere: r = 0.93). Data analyses were identical to the previous experiment. In addition, a further model was run including data from both experienced and unexperienced dogs (i.e. those who took part to Experiment 1), and using as dependent variable the duration of the orientation towards the ball after its reappearance in the congruent condition, the dog's name as random factor, and the group (unexperienced or experienced) as a fixed factor. The rationale for this analysis was to assess whether dogs of the experienced group had habituated to the congruent condition after the preliminary exposures.

396

397 Results

Fifty-five test trials were used for analysis, out of a theoretical potential maximum of 222. Out of these,

19 were of the congruent condition, 23 were of the fast condition and 13 were of the slow condition.

400 Twenty were presented as first, 22 were either the second (N=13) or the third presentations (N=9) and 13

401 were among the last three presentations (N=4, 3 and 6 for the 4<sup>th</sup>, 5<sup>th</sup> and 6<sup>th</sup> presentation, respectively). A

402 median of 2 test trials per subject were used (min = 1, max = 6, mean $\pm$ SD = 2.3 $\pm$ 1.1).

403 The relative duration of dogs' orientation towards the ball after its reappearance in the congruent

404 condition was  $1.27\pm0.27$  s for the unexperienced dogs, and  $1.37\pm0.29$  s for the experienced dogs. The

405 GEE model revealed no significant effect of the group (Wald  $\chi^2 = 0.008$ , p = 0.928), nor of the order of

406 trials in the experienced group (Wald  $\chi^2 = 2.04$ , p = 0.359) indicating that no habituation to the congruent

407 condition occurred in the latter.

408 The results of the GEE model assessing the dogs' latency to reorient to the side of reappearance are

409 reported in Table 3. The variable was not affected by any of the model terms.

410

411 **Table 3** The generalized estimating equations model assessing the effect of presentation order level and

412 condition on latency of the dog to reorient to the ball's reappearance side.

-		Wald $\chi^2$	df	<i>p</i> -value		
-	Condition	2.863	2	0.239		
	Presentation order level	0.326	2	0.850		
	Age	0.568	1	0.451		
	Condition*Presentation order level	6.512	4	0.164		
-						
	<< FIG	URE 6 ABOUT H	ERE >>			
J	Figure 6. Estimated marginal means of the	latency to reorient	to the area a	fter the panel, relative to the		
1	moment of reappearance of the ball (dashed	line), in the Fast,	Congruent ar	nd Slow conditions, when		
I	presented in different order levels (circle = level 1, triangle = level 2, square = level 3). Error bars					
1	represent standard error of the estimate and rectangular areas represent 95% confidence intervals.					
	Generalized Estimating Equation Model.					
,	The results of the GEE model assessing the dogs' looking at the presentation area after final					
(	disappearance of the ball are reported in Table 4, which shows a main effect of condition. Estimated					
1	marginal means±SE of the variable for the three conditions and across trial order are presented in Figure					
,	7. Dogs looked longer in the slow than in the congruent (mean difference $\pm$ SE = 3.13 $\pm$ 1.38 s, 95% CI =					
(	0.03-6.23, $p=0.047$ ) and in the fast condition (4.38±1.76 s, 95% CI = 0.15-8.60, $p=0.039$ ), while no					
	significant difference was found between the latter two ( $p=0.239$ ).					
	Table 4. The generalized estimating equation	ons model assessin	g the effect o	f presentation order level and		

	Wald $\chi^2$	df	<i>p</i> -value
Condition	6.698	2	0.035

Presentation order level	1.656	2	0.437		
Age	0.550	1	0.458		
Condition*Presentation order level	7.796	4	0.099		
<< ]	FIGURE 7	ABOUT HE	RE >>		
Figure 7. Estimated marginal mean of t	he latency t	<mark>o look away</mark>	in the Fast, Congruent and Slow		
conditions, when presented in different	order levels	(circle = lev	rel 1, triangle = level 2, square = level 3)		
Error bars represent standard error of th	<mark>e estimate a</mark>	nd rectangul	ar areas represent 95% confidence		
intervals. Generalized Estimating Equat	ion Model.				
Discussion					
Contrary to the previous experiment, the	e time dogs	remained or	iented toward the presentation area after		
the final disappearance of the stimuli wa	as different	across condi	tions. Specifically, dogs remained		
oriented towards the presentation area fe	or longer af	ter being exp	oosed to the slow condition, compared to		
the congruent or fast ones. Thus, experie	enced dogs	were surpris	ed by the delay, suggesting they had		
formed an expectation about the timing	of reappear	ance. Howev	ver, the same was not evident in the fast		
condition. One possibility to explain the	ese results, i	s that time d	ifference between the fast and the		
congruent condition (0.5 s) was not larg	e enough to	be detected	by dogs, while the same was not true for		
the time difference between the congrue	ent and the s	slow condition	ons (1 s). This seems unlikely, as		
durational discrimination in dogs, as we	ll as in othe	er species, is	based on proportional differences, rather		
than absolute differences (Cliff et al. 2019; Heinrich et al. 2022; Vanmarle and Wynn 2006). Based on					
that, one would expect the opposite result, since the ratio between the timing of the congruent and fast					
condition (3.6) was larger than that between the slow and the congruent condition (2.4). An alternative					
explanation involves the possibility that	, after the p	reliminary e	posures, the dogs expected the ball to		

reappear and did not pay attention to the area of reappearance in advance, as also evident by their relatively high latency to orient to such area, compared to the actual timing of reappearance. In turn, this did not allow dogs to notice the premature reappearance. In other words, dogs were not surprised because the event they were expecting actually occurred, and not being already focussed on the area of reappearance, they could not detect the premature reappearance. Conversely, the slow condition resulted in dogs being surprised because the event they were expecting did not occur within the time frame they had learned through repeated exposures.

459 One further aspect that warrants discussion is about the nature of the information on which dogs 460 generated their expectations. One possibility would be that dogs, by the preliminary exposures to the 461 congruent condition, had habituated to the timing of the ball's reappearance, and were hence surprised by 462 the delayed timing of the slow condition, without implying the processing of information about the ball's 463 motion sensu strictu. This explanation is however unlikely: had experienced dogs habituated to the 464 congruent condition through the two preliminary exposures, we should have observed a lower attention in 465 the ball in the congruent trials, compared to unexperienced dogs, or a decrement in attention to the ball 466 across trials, neither of which was the case. It therefore seems sensible to assume that dogs' expectations 467 about the ball's reappearance were based on their ability to encode some aspects of its motion, rather than 468 on a simple habituation to the timing of reappearance. How exactly different features of motion contribute 469 to dogs' ability to form these expectations remains to be clarified in future experiments.

470

471 General discussion

472

In this study we assessed whether dogs are able to expect the time and place of reappearance of a moving object with a partially occluded trajectory and the role of experience in such ability. Dogs that had not been previously exposed to the stimuli did not form an expectation about the time and place of the ball's reappearance. To some extent they were apparently able to track the movement of the ball when it disappeared, suggesting the involvement of a low-level (perceptual/behavioural) tracking mechanism.

478 Conversely, dogs that were preliminary exposed to the congruent condition, were surprised when the ball stayed behind the occluder longer than expected, but showed no difference in latency to orient across 479 480 conditions. Overall, the results suggest that experience allowed dogs to form an expectation about the 481 ball's movement, and to overcome the perceptual/behavioural automatism inherent in visual tracking. 482 Despite the apparent ability to predict the timing of the ball's reappearance, experienced dogs did not 483 show any anticipatory orientation towards the area after the barrier. Indeed, the latency by which these 484 dogs oriented to the area of reappearance was not lower than 0 - contrary to what would be expected if dogs were anticipating their orienting response - and similar to the one shown by naïve dogs in the 485 486 congruent and the fast conditions. In contrast to these results, a study by Völter and colleagues (2020) 487 found that through repeated exposures dogs' gaze anticipated the movement of a frisbee thrown back and 488 forth between two people, eventually fixing at the final location before the arrival of the frisbee itself. It is 489 possible that the presence of a clear and visible stopping point (the person receiving the frisbee) facilitated 490 the fixation of dogs' anticipatory gaze on that point, whereas in the current experiment the ball did not 491 stop at one location. The lack of specific end points might have led dogs to look elsewhere at its 492 disappearance.

493 What remains unclear from the experiment by Völter and colleagues (2020) is on what basis experience 494 led to dogs' anticipatory looking. One parsimonious explanation would be that dogs learned a sequence of 495 events, i.e. after one of the two individuals throws the frisbee, the other one will receive it, rather than 496 learning and elaborating on some characteristic of the frisbee's motion. A rapid acquisition about the 497 frisbees' behaviour – reaching the receiver after leaving the sender – could be facilitated by the fact that dogs are likely exposed to similar situations (objects being thrown between one person and another) in 498 499 real-life. Conversely, our stimuli entailed an abstract shape and motion (e.g. constant speed, lack of 500 gravity) which could not resemble any real-life context. In this sense, the effect observed in our 501 experienced dogs could only be the result of the two preliminary exposures to the stimuli and it suggests 502 such brief experience was sufficient for dogs to learn some characteristics of the object's motion.

A similar role of experience has been previously described in the ontogeny of motion prediction abilities in humans. Indeed, around six months of age, human infants are able to predict the reappearance of the object based on previous exposures and overcoming low-level tracking (Kochukhova and Gredebäck 2007). Interestingly, two presentations seem to be sufficient for human infants to form expectations and overcome the visual tracking mechanism (Kochukhova and Grebäck 2007), similarly to what we observed in the current experiment with dogs. Thus, it is possible that similar mechanisms guide the refinement linked to experience of motion prediction abilities in the two species.

510

#### 511 Conclusions

512 This study provides indications that dogs may resort to a perceptual/behavioural mechanisms that would allow them to maintain orientation towards a moving object, even when the latter temporarily disappears. 513 514 As already observed in other species, the mechanism does not seem to convey an accurate ability, as the 515 dog's orientation is lagged compared to the actual spatiotemporal trajectory of the hidden object. In this 516 sense, the study prompts a further exploration of the functional extents of the tracking mechanism, for 517 instance to understand how much and how quickly the dog's orientation slows down in relation to the characteristics of the object motion. Furthermore, an exploration of how different degrees or types of 518 experience modulate the mechanism would also be a relevant extension of this research. 519 520 The study also indicates that in the lack of experience, dogs cannot form expectations about the 521 spatiotemporal trajectory of objects. However, even a limited exposure seems to provide them with such 522 ability. Nonetheless, we obtained supporting evidence of expectation only when the object reappeared 523 with a sufficiently large delay compared to its correct timing, and our data cannot tell whether this was 524 due to an insufficient sensitivity to differences in the timing of events, or to an inaccuracy in the 525 expectation itself. These aspects should be clarified by further experiments. Moreover, considering the

526 crucial role of experience highlighted by this study, further explorations on the role of experience are

527 needed. For instance, it would be important to understand how different levels of exposure shape the

528	ability to predict motion, as well as if and how experience with one specific type of motion would be
529	generalized to motion with different features, such as changes in speed or direction. Moreover, an
530	investigation of the ontogenesis of the ability to track and predict motion in dogs, possibly in comparative
531	terms with well-known developmental trajectories of humans, is warranted. The ability to encode and use
532	motion information in humans also changes with ageing, in post-developmental age. The exploration of
533	age-related changes in this ability in adult dogs is another potentially important area of extension of this
534	research.
535	
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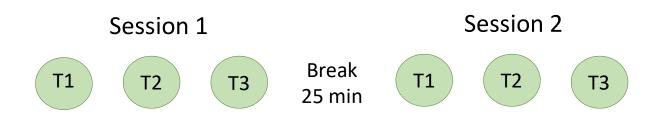
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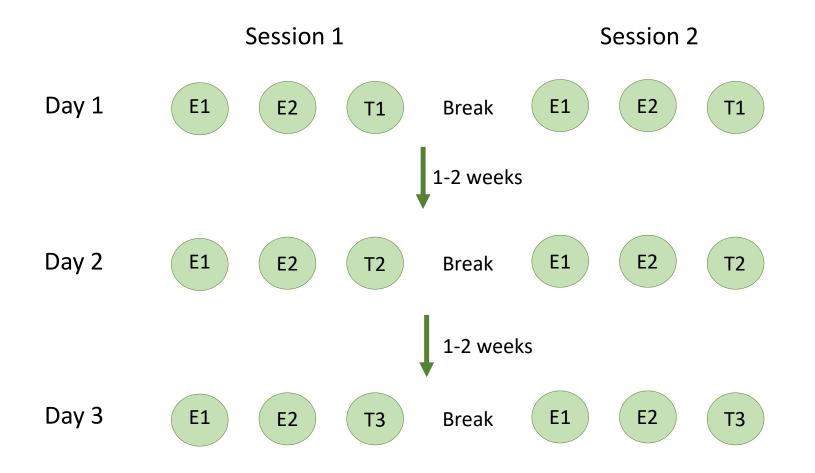
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Figure 2





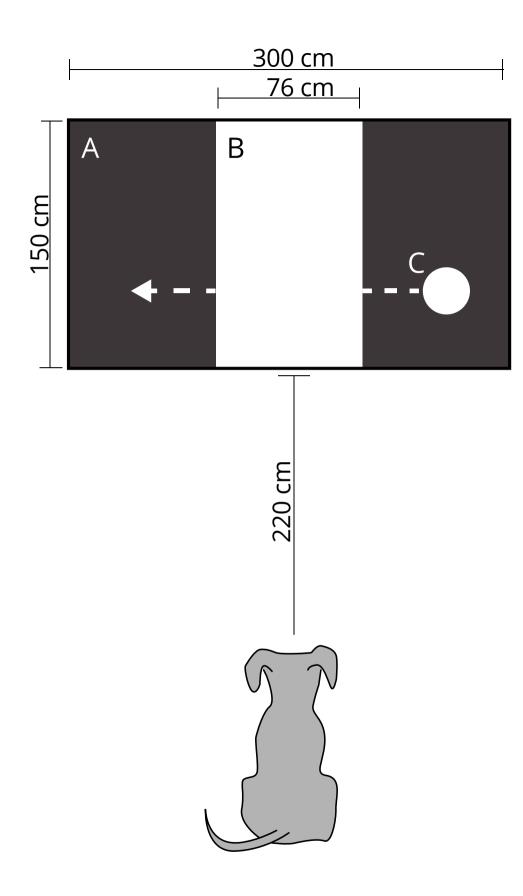
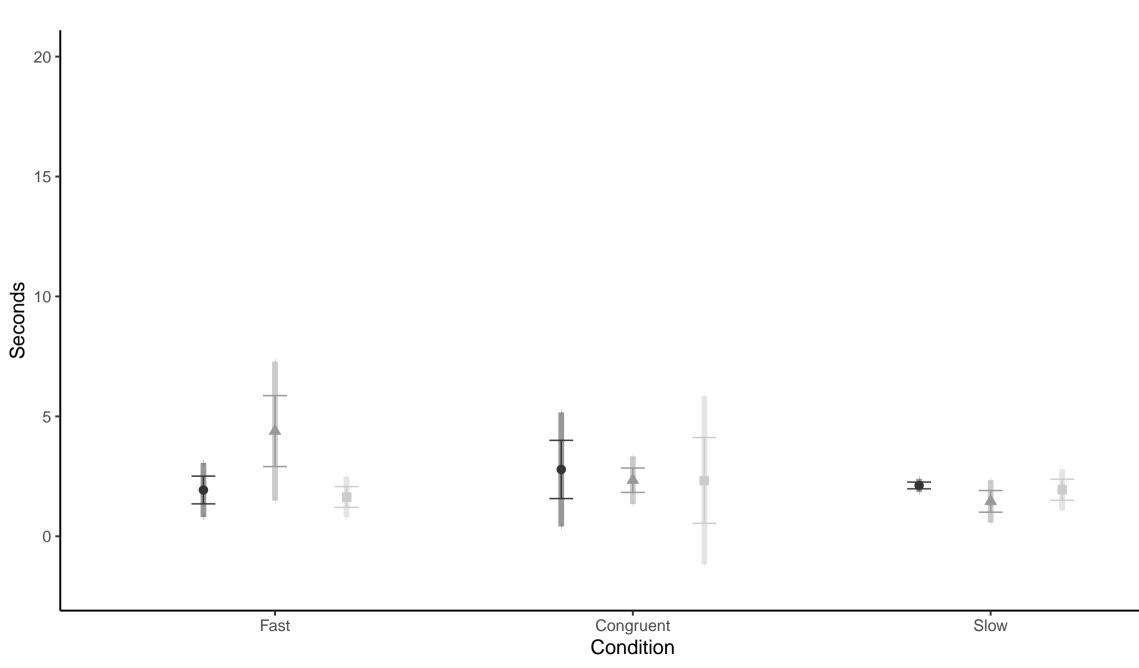


Fig 4

Presentation Order Level 🔸 1 📥 2 🛶 3



Presentation Order Level 🔹 1 📥 2 📼 3

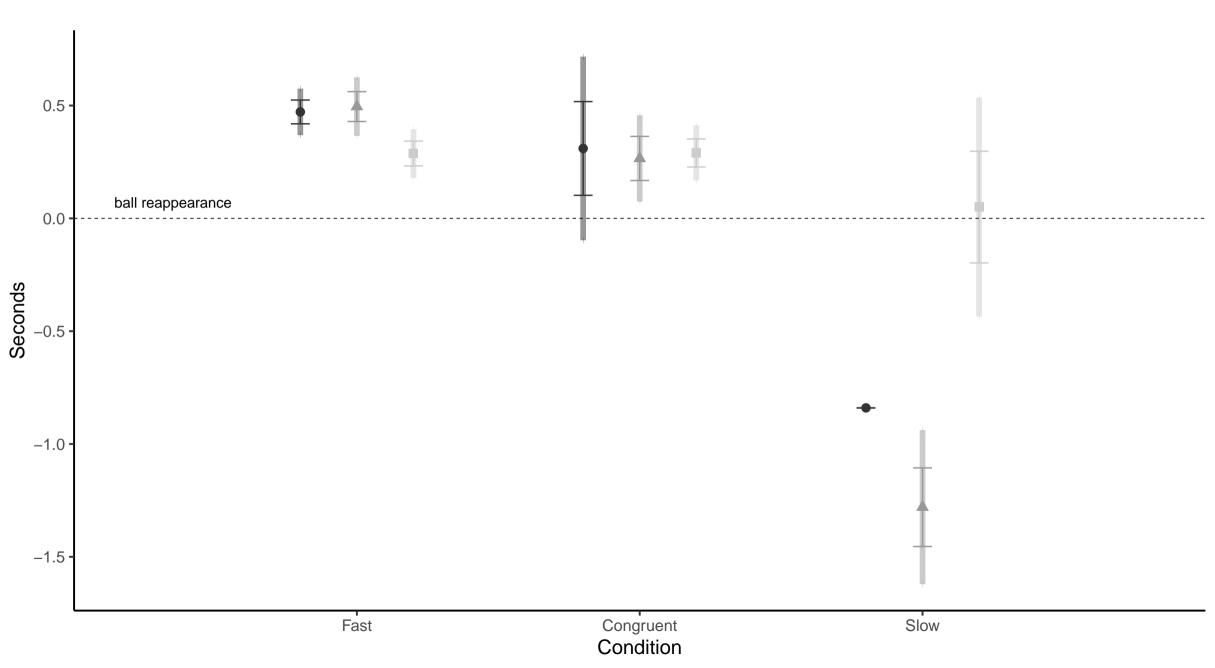
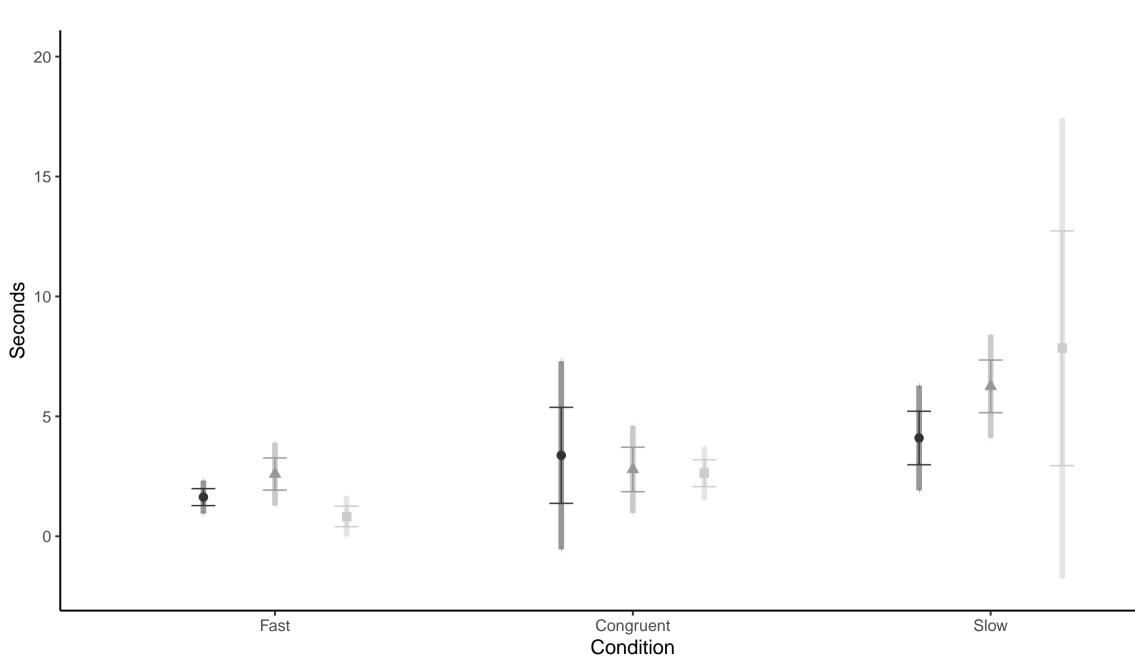
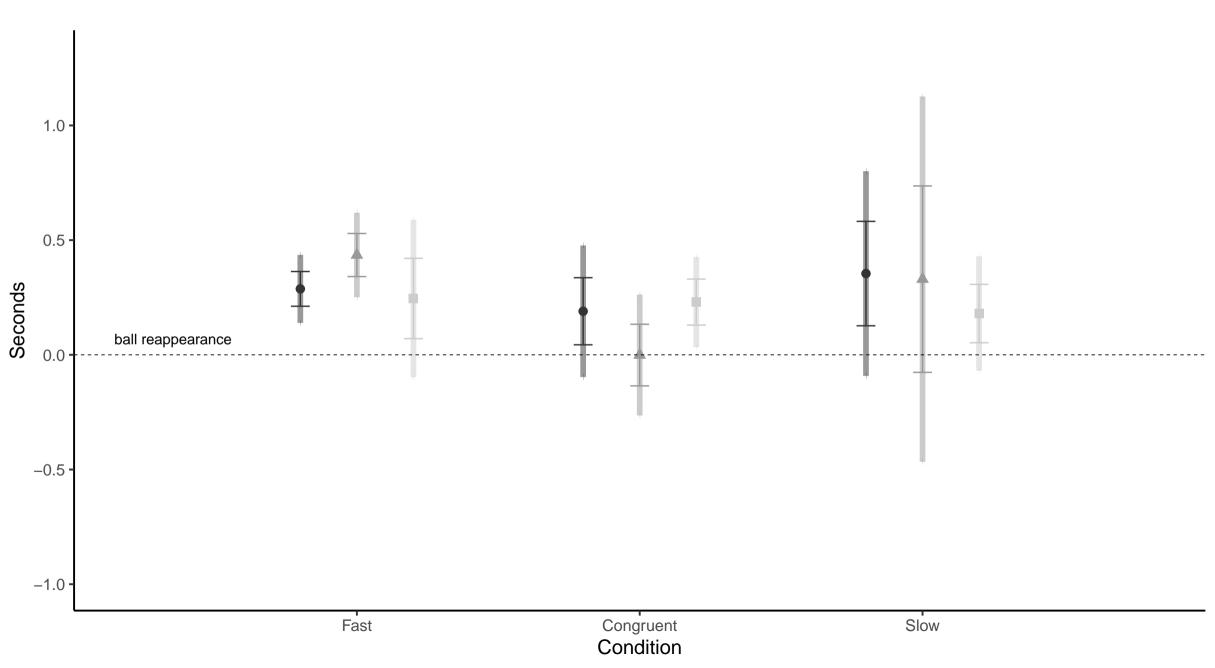


Fig 7

Presentation Order Level 🔸 1 📥 2 📼 3



Presentation Order Level 🔸 1 📥 2 🛶 3



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DIPARTIMENTO DI BIOMEDICINA COMPARATA E Alimentazione



Università degli Studi di Padova

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Padova, September 19, 2022

Dear Prof. Katz,

Please find enclosed a revision of our manuscript entitled "Dogs' ability to follow temporarily invisible moving objects: the ability to track and expect are shaped by experience". We have address the minor comments and hope that the manuscript is now sufficiently improved to warrant publication.

On behalf of all authors, thank you for your consideration.

The corresponding author,

--

Paolo Mongillo, DVM PhD Associate Professor Department of Comparative Biomedicine and Food Science University of Padua, Italy