

1 Coupled Global and PIC Modelling of the REGULUS
2 Cathode-less Plasma Thrusters Operating on Xenon,
3 Iodine and Krypton

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6 **Abstract**

In recent years, the increasing demand for simple and low-cost propulsion for small satellites has given rise to a growing interest in low-power cathode-less plasma thrusters. Plasma is produced within a source tube using radiofrequency (RF) ionisation, enhanced by a magnetic field which also accelerates the discharge via the magnetic nozzle effect. A key advantage of cathode-less thrusters is that they can operate on a wider range of propellants, more easily stored, and often inexpensive (e.g., iodine) compared to traditional xenon. Despite simple hardware, plasma dynamics in this kind of device are highly complex. This work presents a numerical suite developed for cathode-less plasma thruster design and analysis. First, a 0D Global Source Model provides the plasma production in the source. A fully kinetic Particle-in-Cell model (2D and 3D) then handles plasma expansion in the magnetic nozzle. The capabilities of the suite are presented by-way-of investigation into the behaviour of alternative propellants iodine and krypton within the 50 and 150 W class REGULUS thrusters. The performance of each propellant is assessed in terms of plasma source and magnetic nozzle efficiencies. The results are then benchmarked against experimental measurements, obtaining agreement of <30%. At absorbed powers < 20 W, iodine exhibits comparable performance to xenon but produces about 50% less thrust as the power is increased above 40 W. This occurs because of the molecular reaction processes seen by iodine, and associated inelastic energy thresholds which result in higher collisional energy losses. The high ionisation energy of krypton results in a low source efficiency. Instead, in the magnetic nozzle, krypton was found to perform best, facilitating the most thermal-to-kinetic conversion. But, the final thrust is <20% of xenon; instead iodine performs within 43% of the thrust provided by xenon. Finally, iodine contamination of spacecraft surfaces are found to be comparable to estimates found in other electric propulsion devices.

7 *Keywords:* Helicon plasma thruster, Particle-in-Cell, Magnetic nozzle, Iodine,
8 Krypton, Xenon, Global model

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9 **1. Introduction**

10 Xenon has long been the almost-exclusive propellant choice for electric propul-
11 sion (EP); it has a low ionisation threshold (12.1 eV), high ionisation cross-
12 section, is a heavy substance (131.3 AMU), and is chemically inert. However,
13 Xe is a trace gas in the atmosphere (<0.1 ppm) and its limited production, as
14 a by-product of air separation, is an expensive process (1800-5000 US\$/kg). As
15 the EP market continues to grow, the current supply of Xe will be incapable of
16 satisfying forecasted demand within 10 years [1, 2].

17 Potential alternative propellants are therefore a topic of current interest
18 [3, 4]. Other noble gases, notably krypton [5], have been considered. Kr is more
19 abundant (about 1 ppm) than Xe in the atmosphere and can be more than
20 10-times cheaper (290 US\$/kg). But Kr has an undesirably high ionisation
21 threshold (14 eV) and low atomic mass (83.8 AMU), resulting in a lower thrust-
22 to-power ratio, potentially outweighing the benefit of reduced cost. The storage
23 density of Kr is also approximately 3-times less than that of Xe, which increases
24 propellant tank volume and mass requirements.

25 Of particular interest is iodine [6–8], which is much more abundant (0.46
26 ppm of Earth’s crustal rocks [9]) and less expensive (30 US\$/kg) than Xe. It
27 can be stored unpressurised in its solid state at ambient conditions, presenting
28 no transportation challenges due to the absence of pressurised cryogenic tanks.
29 Both atomic and diatomic iodine also have a lower ionisation threshold (10.5
30 and 9.3 eV respectively) than Xe, and diatomic iodine has a relative mass (253.8
31 AMU) that is almost twice that of Xe [10].

32 But, although appealing, iodine is reactive, and its use in conventional Hall
33 Effect Thrusters (HET) limited owing to cathode erosion [6]. Using iodine
34 creates unique design and operational challenges. Iodine has a high electroneg-
35 ativity that can lead to corrosion with most common materials. In this respect,
36 cathode-less thrusters under development, such as the Electron Cyclotron Res-
37 onance Thruster (ECRT) [11] and the radiofrequency (RF) plasma thruster—
38 which includes the Helicon Plasma Thruster (HPT)— [12, 13] are superior and
39 have been tested with a variety of propellants [14]. In the latter, plasma is pro-
40 duced by electron impact ionisation using an inductive RF antenna, enhanced
41 by a magnetic field which also accelerates the discharge via the magnetic noz-
42 zle (MN) effect. Solid iodine has already been successfully tested in-orbit in
43 systems such as the NPT30 RF ion thruster of ThrustMe [15] and T4i S.p.A.’s
44 REGULUS-50 RF thruster in Q1 2021 [16]. It is complete also to mention
45 water as a promising candidate [2, 17], which is highly abundant, as well as
46 atmosphere-breathing concepts (O , N_2) [18–20].

47 The simulation of atomic propellants, mainly xenon, is very well established.
48 This has included global [21–23], fluid [24–26], kinetic [27], Particle-in-Cell with
49 Monte-Carlo Collisions (PIC-MCC) [28, 29] and hybrid-PIC [30] approaches. In-
50 creasing development is being made for the detailed chemistry of excited species
51 [31], including the sensitivity of different data-sets of cross-sections (up to 30%
52 on source electron density). This has been applied to both global models and
53 multidimensional fluid and hybrid codes, yielding improved experimental agree-

54 ment for both Xe and Kr [31].

55 However, because of the more complex reaction processes and energy-loss
56 mechanisms in molecular plasmas— as well as the historical lack of reliable col-
57 lision cross-section data— modelling the complex chemistry of alternative prop-
58 pellants in cathode-less thrusters is in its early stages. Models must be capable of
59 handling mixtures of several substances in addition to molecular collisions such
60 as dissociation, vibrational and rotational excitations. So far, this has been lim-
61 ited to global models, which have predicted similar performance for both iodine
62 and xenon under similar operating conditions [21–23]. More recently— and with
63 newly calculated theoretical cross-sections— a global model of iodine by Lafleur
64 et al. has also shown reasonable agreement with experimental measurements
65 of an RF ion thruster [32]. In the same work, both the model and experiment
66 showed also that the use of iodine can lead to a performance enhancement when
67 compared with xenon for very-low RF powers < 20 W.

68 Coupling a PIC simulation of the MN in a HPT to a similar global model,
69 the results of Souhair et al. [8, 20] also fell within the uncertainty of experi-
70 mental thrust. Beyond global models, limited iodine chemistry has also been
71 considered in hybrid-PIC plume models of other EP systems [33, 34]; while
72 including heavy-species collisions, molecular chemistry and inelastic processes
73 were absent. Sheppard and Little [17] developed a semi-empirical 1D model to
74 characterise the complex chemistry of water and Zhou et al. [35] have used a
75 hybrid-PIC model to evaluate air mixtures in a HPT. It was found that elec-
76 tron heating was less effective for N_2 and O given the same amount of deposited
77 power, yielding thrust efficiencies of 1.3-4.5%, which were noticeably worse than
78 the 10.4% found for Xe.

79 This work presents the modelling of iodine and krypton as alternative propel-
80 lant choices with a recently developed numerical suite for cathode-less plasma
81 thrusters [36, 37]. A 0D Global Source Model (GSM) [20, 38] evaluates the
82 properties of the discharge (a fluid model is also available but not applied in
83 this specific work [24, 39, 40]), then a fully kinetic 2D PIC model considers the
84 plasma expansion in the MN [28]. Interaction of the species with different types
85 of surfaces (dielectric, metallic, etc.) and complex magnetic topologies can be
86 modelled. Finally, a 3D PIC model is used to assess the plume interactions with
87 non-axisymmetric spacecraft surfaces [29]. The suite can also handle multiple
88 species; the core innovation in this work is that both the GSM and PIC model
89 have been extended to handle collisions/chemistry typical of diatomic molecules
90 (exemplified by iodine). Further, specific innovations to the PIC model—including
91 dielectric boundary conditions and secondary electron emission—are introduced
92 also.

93 Simulations are performed for two different laboratory prototypes of cathode-
94 less RF-based plasma thrusters under development at Technology for Propulsion
95 and Innovation (T4i) S.p.A., derived from the commercial REGULUS-50 [7, 16]
96 and REGULUS-150 [41], using krypton and iodine as propellant. The plasma
97 profiles and propulsive performance are then studied and compared with xenon.
98 The rest of the work is organized as follows: Section 2 describes the configura-
99 tion of the REGULUS thruster and the structure of the numerical suite; section

100 3 compares the numerical propulsive estimates with experimental measures; section
 101 4 discusses the plasma source performance; section 5 presents the results
 102 of the PIC simulations with 2D plasma profiles and MN performance; section 6
 103 assesses the surface interaction of iodine with the 3D PIC code; section 7 gives
 104 the conclusions.

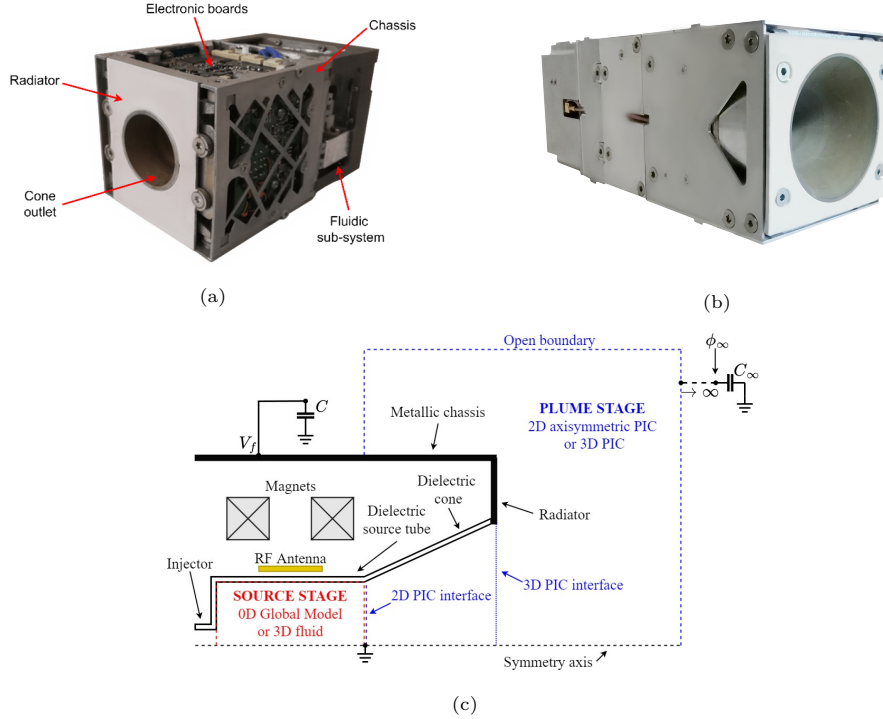


Figure 1: a) REGULUS-50-I₂; b) REGULUS-150-Xe; c) General REGULUS-type thruster schematic (not to scale)

105 2. Physical and numerical model

106 The aforementioned laboratory prototypes, derived from REGULUS-50 and
 107 REGULUS-150 (and hereby referred to as-such), are 50 W and 150 W class RF-
 108 based cathode-less plasma thrusters developed since 2015 at T4i S.p.A.; Figs.
 109 1(a) and (b) show REGULUS-50-I₂ and REGULUS-150-Xe respectively. Fig. 1
 110 (c) then sketches an arbitrary configuration of the REGULUS-type laboratory
 111 prototype, with an overview of the numerical approach. The source tube and
 112 expansion cone are hexagonal Boron Nitride (h-BN); the radiator and chassis
 113 structure are aluminium. An injector at the source tube base delivers a mass
 114 flow rate \dot{m} of propellant, while the antenna emits power P_{RF} . Annular perma-
 115 nent magnets, concentric with the source tube, generate a convergent-divergent

116 magnetostatic field B . Due to the vast range in length and timescales over
 117 which plasma processes occur, the numerical suite simulates the thruster with
 118 a coupled multiscale structure [37].

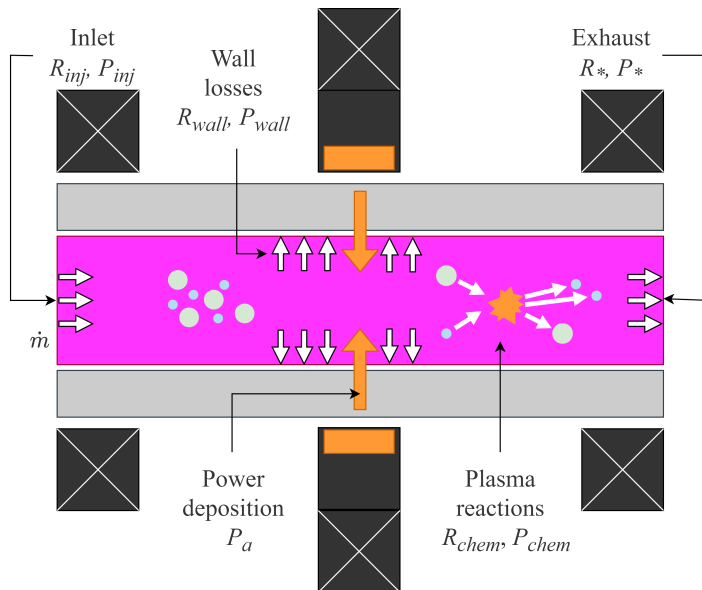


Figure 2: Schematic of the Global Source Model, with associated species flux and power terms.

119 The GSM considers the RF power deposition into the plasma and provides
 120 the discharge properties at the source tube exit. These properties then serve
 121 as inputs to the 2D PIC code [28], which models the plasma transport in the
 122 expansion cone and MN. This provides the propulsive performance estimates
 123 (i.e., thrust and efficiency). Finally, the 3D PIC code [29] is used to analyse the
 124 plume-surface interactions with non-axisymmetric spacecraft.

125 2.1. Global Source Model

126 A volume-averaged 0D Global Source Model (GSM) is used to obtain the
 127 properties of the plasma discharge within the source tube [20, 31, 32, 38, 42].
 128 The GSM allows efficient assessment of chemical models and has trivial com-
 129 putational cost (compared to multi-dimensional fluid or hybrid solvers), while
 130 providing reasonable precision in the estimate of discharge and propulsive prop-
 131 erties [20, 31, 32, 38, 42].

The plasma production is assumed to occur only within the cylindrical re-
 gion of the source tube, with an open end for the outlet, defined in Fig. 2; it
 has radius \mathcal{R} , length \mathcal{L} and volume $\mathcal{V} = \pi\mathcal{R}^2\mathcal{L}$. The magnetic field is consid-
 ered uniform and perfectly aligned with the thruster axis; the effect of cusps is
 accounted for through empirical relations [31, 38]. The GSM then effectively
 considers a singular node to compute the bulk properties for each species. The

spatial non-uniformity in the plasma properties, induced by the magnetic confinement, is then accounted through semi-heuristic sheath-to-bulk edge ratios for low-pressure plasma [20, 32, 42]. The particle flux balance—for a general species k —and electron power balance respectively are solved as [32]:

$$\frac{dn_k}{dt} = R_{chem}^k - R_{wall}^k + R_{inj}^k - R_*^k \quad (1)$$

$$\frac{d}{dt} \left(\frac{3}{2} n_e \langle T_e \rangle \right) = P_a''' - P_{chem}''' - P_{wall}''' + P_{inj}''' - P_*''', \quad (2)$$

where n_k is the bulk number density of the k^{th} species, and $\langle T_e \rangle$ is the volume-averaged electron temperature. Heavy species are assumed cold and isothermal [31]. In Eq. 1, the terms correspond to the production/loss of the k^{th} species due to chemical reactions R_{chem}^k ; the loss/production of the species due to wall interactions R_{wall}^k ; the injection of the propellant gas into the source tube R_{inj}^k ; and the losses exiting the outlet into the MN R_*^k :

$$R_{chem}^k = \sum_j K_{jk} n_j n_e - \sum_j K_{kj} n_k n_e, \quad (3)$$

$$R_{wall}^k = \frac{S_k}{\mathcal{V}} \Gamma_{wall}^k, \quad (4)$$

$$R_{inj}^k = \frac{\dot{m}_k}{m_k \mathcal{V}}, \quad (5)$$

$$R_*^k = \frac{\pi \mathcal{R}^2}{\mathcal{V}} h_L^k \beta^k \Gamma_*^k, \quad (6)$$

132 where K_{kj} is the reaction rate coefficient, given later in section 2.3, with kj
 133 referring to the reactant k and the product j ; m_k and \dot{m}_k are the mass and
 134 mass flow rate of the species k . The total effective surface loss areas at the
 135 lateral and back walls S_k is computed according to empirical relations for either
 136 electropositive atomic or electronegative molecular plasma [20, 38, 42]. For a
 137 closed cylinder with a non-uniform magnetic field (i.e., with cusps), it can be
 138 expressed as

$$S^k = 2\pi \mathcal{R}^2 h_L^k \beta^k + h_{R\perp}^k (2\pi \mathcal{R} \mathcal{L} - S_{cusp}) + h_{R\parallel}^k S_{cusp}, \quad (7)$$

where $S_{cusp} = 4N_{cusp} \sqrt{r_{ci} r_{ce}} 2\pi \mathcal{R}$ is the equivalent area influenced by magnetic cusps [38]; N_{cusp} is the number of cusps present in the magnetic topology, and r_{ci} and r_{ce} are the ion and electron cyclotron radii. The terms $h_{R\parallel, \perp}$, h_L and β are semi-heuristic coefficients that account for the non-uniformity of the plasma profiles inside the source tube, and for the effect of electronegativity on the diffusion coefficients [20, 32, 38, 42]. They are the radial sheath-to-bulk density ratio, axial sheath-to-bulk density ratio, and radially-averaged-to-bulk density ratio respectively; these are expressed as [20, 42]:

$$h_{R\parallel}^k = 0.8 \left(4 + \frac{\mathcal{R}}{\lambda_k} + (1 + \alpha_-)^{1/2} \gamma_+ \left(\frac{\mathcal{R}}{\lambda_k} \right)^2 \right)^{-1/2} \left(\frac{\gamma_- - 1}{\gamma_- (1 + \alpha_-)^2} + \frac{1}{\gamma_-} \right)^{1/2}, \quad (8)$$

$$h_{R\perp}^k = (1 + (\omega_{ck}\tau_k)^2)^{-1} h_{R\parallel}^k, \quad (9)$$

$$h_L^k = 0.86 \left(3 + \frac{\mathcal{L}}{2\lambda_k} + (1 + \alpha_-)^{1/2} \frac{\gamma_+}{5} \left(\frac{\mathcal{L}}{\lambda_k} \right)^2 \right)^{-1/2} \left(\frac{\gamma_- - 1}{\gamma_-(1 + \alpha_-)^2} + \frac{1}{\gamma_-} \right)^{1/2}, \quad (10)$$

139

$$\beta^k = \frac{1}{(1 - h_{R\perp}^{1/6})} \left(\left[(1 - h_{R\perp}^{1/6}) - 1 \right]^7 + 1 \right), \quad (11)$$

140 where $\alpha_- = n_-/n_e$ is the bulk electronegativity ratio; $\gamma_+ = T_+/T_e$ and $\gamma_- =$
 141 T_e/T_- are the positive ion-to-electron and electron-to-negative ion temperature
 142 ratios respectively [20, 42]; $\omega_{ck} = eB/m_k$ is the species cyclotron frequency,
 143 where e is the elementary charge; λ_k and τ_k are then the species mean free path
 144 and mean free time respectively, from the reaction rates K .

Regarding flux terms, Γ_{wall} is the species flux toward the lateral and back
 walls and Γ_* the equivalent term at the open outlet. For neutral species $k0$,
 electrons e and positive species $k+$, they are both expressed as:

$$\Gamma^{k0} = \frac{1}{4} n_{k0} \bar{v}_{k0}, \quad (12)$$

$$\Gamma^e = \frac{n_e \bar{v}_e}{4} \exp\left(-\frac{\Delta\phi}{T_e}\right), \quad (13)$$

$$\Gamma^{k+} = n_{k+} u_B, \quad (14)$$

145 where $\bar{v}_k = \sqrt{8eT_k/\pi m_k}$ and $\Delta\phi$ is the sheath potential drop, which is defined
 146 later in this section. u_B is the Bohm speed, which, to account for electronega-
 147 tivity [42], is expressed as

$$u_{Bk} = \sqrt{\frac{eT_e}{m_k}} \left(\frac{1 + \alpha_-}{1 + \gamma_- \alpha_-} \right)^{1/2}. \quad (15)$$

148 Note that when the plasma is electropositive (i.e. xenon or krypton), Eq. 10
 149 reduces to the standard Bohm speed [31]. Then, a current-free condition is
 150 enforced at the walls according to the Bohm sheath criterion,

$$\Gamma_{wall}^e = \sum_{k+} (\Gamma_{wall}^{k+}) = \sum_{k+} (n_{k+} u_{Bk+}). \quad (16)$$

151 Furthermore, each outward flux of positive ions, recombining at the wall, cor-
 152 responds to an inward flux of neutral particles $\Gamma^{k0} = -\Gamma^{k+}$. Note here that,
 153 for iodine, a molecule of I_2 can be formed at half the rate at which an I atom
 154 sticks to a wall in a recombination reaction $2I \rightarrow I_2$; this is considered as
 155 $\Gamma_{I_2} = -\gamma_{rec}\Gamma_I$, where $\gamma_{rec} = 0.02$ is the recombination coefficient [42]. Regarding
 156 any negative ions, $\Gamma_{wall}^{k-} = 0$ [8].

Considering now the power balance of Eq. 2, the superscript ''' indicates the volume density of the generic power term $P''' = P/\mathcal{V}$. The terms correspond to the antenna RF power density absorbed into the plasma (a model input), the source/sink term related to the chemical reactions, the energy loss to the walls, the energy flux associated to the propellant flow injected into the source (taken as negligible hereafter), and the power exiting the source tube into the MN. These terms are evaluated as [32]:

$$P'''_{chem} = \sum_j K_{ij} n_j n_e \Delta U_{ij} + \sum_i K_{ij} n_i n_e \frac{3m_e}{m_i} \langle T_e \rangle, \quad (17)$$

$$P'''_{wall} = R_{wall}^e (2\langle T_e \rangle + \Delta\phi), \quad (18)$$

$$P'''_* = R_*^e (2\langle T_e \rangle + \Delta\phi), \quad (19)$$

where ΔU is the energy gap of the reaction process, the values for which are given in section 2.3. Regarding Eqs. 14 and 15, the $2\langle T_e \rangle$ term is the electron kinetic energy lost at the surface, found by computing the average energy flux along one axis for a Maxwellian [42]. The (positive) ion kinetic energy lost corresponds to the energy acquired by the ions to enter the sheath, and the energy acquired during the acceleration in the sheath; the potential drop can then be decomposed into the absolute value of the sheath voltage V_s and V_p the plasma potential, adapted to electronegative plasmas as [42],

$$\Delta\phi = V_s + V_p, \quad (20)$$

$$V_s = \langle T_e \rangle \ln \left(\frac{4}{\bar{v}_e} \frac{\sum_{k+} n_{k+} u_{B_{k+}}}{\sum_{k+} n_{k+}} \frac{1 + \alpha_-}{1 + \alpha_- (\bar{v}_- / \bar{v}_e)^2} \right), \quad (21)$$

$$V_p = \frac{\langle T_e \rangle}{2} \frac{1 + \alpha_-}{1 + \gamma_- \alpha_-}. \quad (22)$$

157 With an electropositive plasma, the total energy loss reduces to the classical
158 expression,

$$2\langle T_e \rangle + \Delta\phi = \langle T_e \rangle \left(\frac{5}{2} + \frac{1}{2} \ln \left(\frac{2\pi m_e}{m_{k+}} \right) \right). \quad (23)$$

159 Finally, the electronegativity at the sheath edge as a function of electronegativity
160 in the plasma bulk is solved numerically as [42],

$$\alpha_- = \frac{n_{k-}}{n_e} \exp \left(\frac{V_p}{\langle T_e \rangle} (1 - \gamma_-) \right). \quad (24)$$

161 Once the GSM establishes a solution, the discharge properties at the source
162 tube outlet, required as input to the PIC model, are then obtained as

$$n_{k*} = n_k \beta_k h_L^k, \quad (25)$$

$$163 \quad \dot{m}_{k*} = m_k n_k \beta_k h_L^k u_{B_k} \pi \mathcal{R}^2, \quad (26)$$

$$164 \quad T_{e*} \equiv \langle T_e \rangle, \quad (27)$$

165 where n_{k*} , \dot{m}_{k*} and T_{e*} become the PIC-injected number density, mass flow
166 rate and electron temperature respectively.

167 *2.2. Particle-in-Cell*

168 A two-dimensional axisymmetric Particle-in-Cell (PIC) code with Direct
 169 Simulation Monte-Carlo (DSMC) collisions is used to consider the plasma trans-
 170 port in the expansion cone and MN [28, 43]. Macro-particle trajectories are in-
 171 tegrated with the typical leap-frog Boris scheme [44]. The null collision method
 172 [45] performs collision interactions between macro-particles and a surface inter-
 173 action module handles ion recombination, neutral accommodation, secondary
 174 electron emission, and dielectric surface charging.

175 The plasma potential ϕ is obtained from the general dielectric form of the
 176 Poisson's equation,

$$\nabla \cdot (\gamma^2 \varepsilon_r \varepsilon_0 \nabla \phi) = -(\rho + \varrho) \quad (28)$$

177 and is solved with a successive over-relaxation (SOR) Gauss-Seidel algorithm,
 178 where ε_0 is the vacuum permittivity, ε_r is a non-dimensional 'relative permit-
 179 tivity' that accounts for dielectric materials (3.2 for h-BN [46]), ρ and ϱ are the
 180 volumetric plasma and volumetric surface charge densities respectively, and γ
 181 is a global permittivity scaling for the purposes of numerical acceleration [6].

182 *2.2.1. Boundary conditions*

183 According to the boundaries shown in Fig. 2 (c), the source tube exit is
 184 given the reference potential $\phi_0 = 0$. The symmetry axis is a zero-Neumann,
 185 and remaining external boundaries are the non-stationary Robin condition in-
 186 troduced by Andrews et al. [28]. In practice, the thruster chassis is coated in
 187 a thin layer of insulating material; thus, it is considered to remain grounded
 188 to the free-space potential ϕ_∞ ($V_f = \phi_\infty$ and $C = 0$ in Fig. 1(c)). The di-
 189 electric cone is included in the mesh, and so is accounted for by the effect of
 190 its relative permittivity and surface charge according to Eq. 21. This allows a
 191 self-consistent local current-free condition on the dielectric surface. Particles are
 192 injected at the source tube exit with a one-sided Maxwellian distribution. Ions
 193 and neutrals reaching the external boundaries are removed, whereas electrons
 194 are selectively reflected according to whether they possess sufficient energy to
 195 escape the potential drop $e\phi_\infty$ [28]. All particles returning to the plasma source
 196 are removed and undergo full reflection on the symmetry axis. The free space
 197 potential ϕ_∞ is self-consistently updated to maintain a globally current-free
 198 plasma ($I_{k-} = -\sum_{k+} I_{k+}$) to infinity, via a virtual capacitor C_∞ connecting
 199 ϕ_∞ to the total net current of all species leaving the open boundaries [28].

200 *2.2.2. Surface interactions*

On both metallic and dielectric surfaces, ions undergo recombination with a
 thermal accommodation coefficient [47] of $a_t = 0.6$, and neutrals diffusely reflect
 with $a_t = 0.9$. When electrons strike the dielectric surface, one of the following
 four events may occur [48]: (i) the incident electron is absorbed; (ii) it knocks
 out a secondary electron; (iii) it knocks out two secondary electrons; (iv) it is

elastically reflected. For electron energy E_e [eV]:

$$W_0(E_e) = C_0 \exp\left(-\frac{E_e^2}{E_0^2}\right) \quad (29)$$

$$W_r(E_e) = C_r \exp\left(-\frac{E_e^2}{E_r^2}\right) \quad (30)$$

$$W_2(E_e) = 1 - \exp\left(-\frac{E_e^2}{E_2^2}\right) \quad (31)$$

$$W_1(E_e) = 1 - W_0(E_e) - W_r(E_e) - W_2(E_e) \quad (32)$$

201 where $W_0(E_e)$, $W_r(E_e)$, $W_2(E_e)$, and $W_1(E_e)$ are the probabilities for the in-
 202 cident electron to be absorbed, to be elastically reflected, to yield two true
 203 secondary electrons, and to yield one true secondary electron, respectively. For
 204 h-BN, the coefficients are $C_0 = 0.5$, $E_0 = 43.5$ eV, $C_r = 0.5$, $E_r = 30$ eV
 205 and $E_2 = 127.9$ eV [49]. The initial velocity distribution of the true secondary
 206 electrons is a diffuse cosine, with $a_t = 0.6$, and the most probable speed is
 207 calculated with a secondary electron temperature $T_{SEE} = T_e/3$, where T_e is
 208 the local electron temperature. Finally, the charge of recombined ions and ab-
 209 sorbed electrons is added to the accumulating surface charge ϱ by scattering the
 210 macro-particle charge to the nearest mesh node (the wall is resolved to a Debye
 211 length), using a Ruyten shape factor [43]. In this way, surface charge is treated
 212 as an accumulation of static frozen macro-particles.

213 2.2.3. 3D PIC

214 The 3D code follows the same formulation as described for the 2D code,
 215 although it is Cartesian, uses an unstructured tetrahedral mesh and its domain
 216 extended (there is also no symmetric boundary condition in the 3D code). A
 217 capacitive charging circuit is also established to the spacecraft surfaces. Full
 218 details of the 3D model can be found in references [29, 37].

219 2.3. Collisions and chemistry

220 The modelling of atomic substances (Xe and Kr) is common and well-known
 221 [21–23, 25, 26, 31], so the discussion here is focused on molecular iodine, which
 222 is the novel part implemented in the model. The lumping procedure for Xe and
 223 Kr, required to reduce the number of considered excitation states, is however
 224 discussed in section 2.3.3.

225 2.3.1. Iodine chemistry

226 The species present in the iodine discharge are molecular iodine I_2 , atomic
 227 iodine I, singly-charged positive and negative atomic ions, namely I^+ and I^- ,
 228 and positive molecular iodine ions I_2^+ . Double-charged ions and excited species
 229 other than I^* are not considered. Although the negative ions are not expected
 230 to be significant in population compared to positive ions, their influence may
 231 affect the diffusion process within the source tube [8]. Electron impact reac-
 232 tions and heavy species collisions considered in this work are listed in Table 1,

Table 1: Iodine chemistry considered in the model

Reaction	Reaction type	ΔU [eV]	Ref.
Electron impact I_2			
$I_2 + e \rightarrow I_2 + e$	Elastic scattering	$3T_e m_e / m_{I_2}$	[42]
$I_2 + e \rightarrow I_2^+ + 2e$	Ionisation	9.31	[42]
$I_2 + e \rightarrow 2I + e$	Dissociative attachment	$3T_e / 2$	[42]
$I_2 + e \rightarrow I_2 + e$	Dissociation	1.567	[42]
$I_2 + e \rightarrow I^+ + I + 2e$	Dissociative ionisation	10.9	[42]
Electron impact I			
$I + e \rightarrow I + e$	Elastic scattering	$3T_e m_e / m_I$	[42]
$I + e \rightarrow I^* + e$	Excitation	0.95	[42]
$I + e \rightarrow I^+ + 2e$	Ionisation	11.6	[42]
Electron impact I_2^+			
$I_2^+ + e \rightarrow I^+ + I + e$	Dissociation	2.1768	[42]
Detachment I_2^+			
$I_2^+ + e \rightarrow I + 2e$	Detachment	4	[42]
Recombination			
$I^- + I_2^+ \rightarrow I + I_2$	Molecular recombination	-	[42]
$I^- + I^+ \rightarrow 2I$	Atomic recombination	-	[42]
Charge exchange			
$I_2 + I^+ \rightarrow I_2^+ + I$	Molecular-atomic	-	[33]
$I + I^+ \rightarrow I^+ + I$	Atomic	-	[33]
$I_2 + I_2^+ \rightarrow I_2^+ + I_2$	Molecular	-	[33]
Surface recombination			
$2I \rightarrow I_2$	Surface recombination	-	[42]

233 inclusive of the corresponding energy thresholds. An iodine molecule can disso-
 234 ciate by electron impact through direct dissociation, dissociative ionisation or
 235 attachment, with the latter being the principal source of negative ions. Neg-
 236 ative ions can undergo detachment of the surplus electron by means of electron
 237 impact. Atomic iodine, resulting from dissociation, can either elastically scatter
 238 or ionise by electron impact producing atomic positive ions. Molecular iodine
 239 can either scatter elastically against the electrons or ionise by electron impact
 240 producing molecular ions, which can dissociate. Concerning heavy species col-

241 lisions, gas-phase recombination of positive and negative ions is considered, as
 242 well as charge-exchange. The surface recombination at the walls of atomic io-
 243 dine into molecular iodine is considered also. Moreover, all species are subject to
 244 standard Coulomb collisions. Sources of the relevant cross-sections for iodine-
 245 electron chemistry, charge-exchange and Coulomb scattering can be found in
 246 references [42], [33] and [6] respectively. Considering the high number of species
 247 and reactions associated to iodine, the 14 levels of the fine structure have in-
 248 stead been grouped into one lumped level, given in Table 1. Finally, the PIC
 249 model includes an anomalous Bohm collisionality [50] via an equivalent fre-
 250 quency $\nu_B = \alpha_B \omega_{ce}$, where $\omega_{ce} = e|\mathbf{B}|/m_e$ is the electron cyclotron frequency
 251 and α_B is the Bohm coefficient.

252 2.3.2. Implementation

253 In the GSM, the reaction rates K involving electrons are calculated assuming
 254 a Maxwellian distribution of electron impact energy E_e

$$K(T_e) = \sqrt{\frac{8}{\pi m_e T_e^3}} \int_{\Delta U}^{\infty} E_e \sigma(E_e) e^{-E_e/T_e} dE_e \quad (33)$$

255 where σ is the collision cross-section [25, 51]. Regarding the heavy species
 256 collisions, i.e., recombination and charge exchange, the reaction rate is instead
 257 given by

$$K(T_1, T_2) = \int d^3v_1 d^3v_2 f_{v_1}(v_1) f_{v_2}(v_2) \sigma(|v_1 - v_2|) |v_1 - v_2| \quad (34)$$

258 where T_1, T_2 refer to the heavy species temperatures and v_1, v_2 the collision
 259 speed of the particles with distribution functions f_{v_1}, f_{v_2} . The PIC model
 260 instead uses collision cross sections directly in the DSMC method [28], which
 261 accounts for non-Maxwellian distributions. For ionisation, newly created ion
 262 macro-particles are given a velocity sampled from a Maxwellian about the local
 263 neutral fluid velocity. For dissociation, the same sampling is performed for
 264 each pair of new macro-particles, but the velocity is distributed uniformly and
 265 randomly over the pair.

266 2.3.3. Noble gas excitation lumping

267 Considering all excitation reactions (the fine-structure) leads to an unman-
 268 ageable computational requirement, especially in the PIC model where many
 269 DSMC/MCC events per time-step would be necessary. Thus, a lumping pro-
 270 cedure based on the assumption of local thermodynamic equilibrium is used,
 271 introduced in the previous work of Souhair et al. [31]. While the GSM com-
 272 pletely accounts for each excited state in the flux and power balance, the PIC
 273 routine would further require too much computational power to track each ex-
 274 cited species as macro-particles. Thus, the post-impact excited species are not
 275 modeled. Instead, it is presumed that excited neutrals immediately decay to
 276 the ground state, emitting radiation. As a result, the PIC excitation collisions

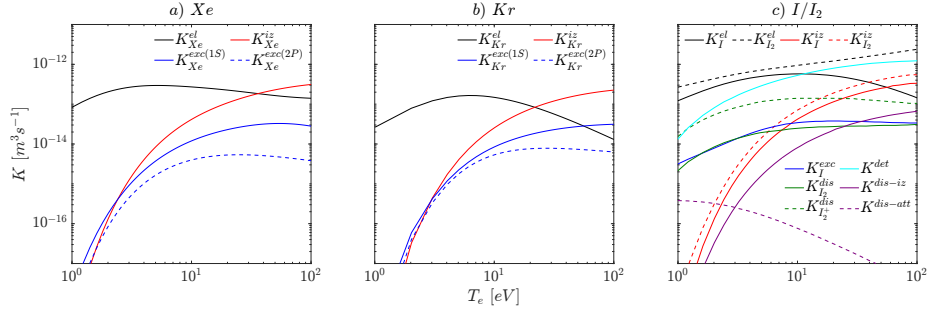


Figure 3: Maxwellian reaction rates of the alternative propellants: a) Xenon; b) Krypton; c) Iodine

277 behave as a pure electron energy sink. In Fig. 3, the reaction rates computed
 278 for the three gases analysed are reported assuming a Maxwellian distribution.
 279 In particular, for Xe and Kr, excited states have been lumped into 1S and 2P
 280 groups according to [31].

Table 2: Numerical setup parameters

Parameter			REGULUS-50	REGULUS-150
Source radius	\mathcal{R}	[mm]	6.5	8.5
Source length	\mathcal{L}	[mm]	90	100
Cone radius	\mathcal{R}_{cone}	[mm]	40	35
Cone length	\mathcal{L}_{cone}	[mm]	45	40
Mass flow rate	\dot{m}	[mg/s]	0.10	0.25
Input power	P_{in}	[W]	[15-60]	[50-185]
Cusps	N_{cusps}	[-]	2	2
Coupling efficiency	η_{RF}	[-]	0.85	0.70
System efficiency	η_{sys}	[-]	0.8	0.8
Background density	n_{back}	[m ⁻³]	2.42×10^{17}	
h-BN relative permittivity	ϵ_r	[-]	3.2	
Ion(neutral) accommodation	α_t	[-]	0.6(0.9)	
Recombination coefficient (I ₂)	γ_{rec}	[-]	0.02	
Bohm coefficient	α_B	[-]	1/100	[1/100-1/16]
PIC mass scaling	f_M	[-]	250	
PIC permittivity scaling	γ	[-]	$\mathcal{R}/20\lambda_D$	
Virtual capacitance	C_∞	[nF]	0.8	
Origin cell size	$\Delta z_0, \Delta r_0$	[mm]	0.375	0.600
Boundary cell size	$\Delta z_b, \Delta r_b$	[mm]	3.0	4.8
Time-step	Δt	[s]	$0.5\Delta z_0/3v_{e,th}$	
Total (charged) macro-particles	N_p	[-]	$\approx 1.6 \times 10^6$	

281 **3. Comparison to experiments**

282 Measurements performed, for Xenon and Iodine, at the High Vacuum Fa-
 283 cilities of the University of Padova are compared to the GSM+(2D)PIC model
 284 results. The experimental facility consists of a vacuum chamber of radius 0.3
 285 m and length 2 m, maintained at a working pressure of 10^{-5} mbar. The pro-
 286 pellant has been introduced in the source tube with \dot{m} of 0.1 and 0.25 mg/s
 287 for REGULUS-50-Xe/I₂ [7, 13, 16] and REGULUS-150-Xe [41] respectively,
 288 through tailored fluidic subsystems. For iodine, this consists of a tank main-
 289 tained at sublimation temperature by means of heaters to produce gas flow [16].
 290 The thrusters are connected through a coaxial line to a power unit, consisting of
 291 a Spin HFPA-300 linear amplifier (1.8-30 MHz, power up to 300 W) driven by
 292 a HP 8648B signal generator. For REGULUS-50-Xe/I₂, the RF frequency was
 293 kept to 2 MHz, with the input power P_{in} in the range 15-60 W. For REGULUS-
 294 150-Xe, P_{in} was varied from 50-185 W. In the experiments the total power from
 295 the RF antenna P_{RF} was measured, the latter related to the absorbed power
 296 by $P_a = \eta_{RF} P_{RF}$, where η_{RF} is the coupling efficiency. From these same ex-
 297 periments [37], it was found that $\eta_{RF} = 0.85$ for REGULUS-50 and $\eta_{RF} = 0.7$
 298 for REGULUS-150. The power consumed by the electronics is accounted for
 299 with the system efficiency $\eta_{sys} = P_{RF}/P_{in}$ and is about 0.8.

300 A thrust balance, tailored for small-to-medium size thrusters, was used to
 301 measure the performance [52]. The uncertainty associated to the thrust F is
 302 15-20%, while the uncertainty associated to the power and mass flow rate are
 303 10% and 10-15% respectively.

304 Regarding the numerical setup, the primary inputs to both the GSM and
 305 PIC model are listed in Table 2. For the PIC, the artificial permittivity scaling
 306 is set such that the source tube radius is resolved with 20 Debye lengths: $\gamma =$
 307 $\mathcal{R}/20\lambda_D$, where $\lambda_D = \sqrt{\epsilon_0 T_e/n_e e}$. This is because the ratio of the scaled Debye
 308 length to the system length scale (\mathcal{R}) must remain constant between simulations
 309 to preserve valid physical comparability. In the interest of repeatability, an
 310 example of input parameters for REGULUS-150-Xe have been provided in Table
 311 3, including γ . The mass of heavy species is then reduced by a factor of 250
 312 [28]. The resultant piece-wise-uniform mesh contains approximately 4000 cells,
 313 which increase in size towards the domain boundaries (while still resolving the
 314 local Debye length). The complete domain has dimensions of $20\mathcal{R} \times 10\mathcal{R}$. The
 315 time-step is such that it resolves the electron Courant-Friedrichs-Lewy (CFL)
 316 condition— $0.5\Delta z_0/3v_{e,th}$ with $v_{e,th} = \sqrt{2eT_e/m_e}$ the electron thermal speed—
 317 which was the limiting constraint in all cases, considering that the use of artificial
 318 permittivity also relaxes the plasma frequency constraint by a factor γ [28]. The
 319 virtual capacitance is the same as in previous studies [28, 37], that is $C_\infty =$
 320 $0.8 nF$. A uniform neutral background density is then assumed from the ideal
 321 gas law at 10^{-5} mbar and 300 K.

322 Fig. 4 compares the measured thrust with the results of the GSM + (2D)
 323 PIC model (calculated per Appendix A). The numerical error bands arise from
 324 the uncertainty in collision cross sections, assumptions in the GSM, statistical
 325 variance in the PIC method, as well as uncertainty in η_{RF} and power consumed

Table 3: PIC inputs: REGULUS-150-Xe

P_{in} [W]	n_* [m^{-3}]	T_{e*} [eV]	γ
50	7.50×10^{17}	3.89	30
75	1.22×10^{18}	4.20	36
100	1.53×10^{18}	6.67	32
125	1.32×10^{18}	9.76	25
150	1.18×10^{18}	12.45	21
175	1.08×10^{18}	15.05	18

326 by electronics (η_{sys}); they are approximately 25%. It is prudent to state that
 327 the REGULUS-50 simulations used a constant Bohm parameter of $\alpha_B = 1/100$,
 328 whereas the results given for REGULUS-150 use a value that scales with the ex-
 329 haust plasma wave energy ($\propto P_*$) [53]: P_* increases approximately from 1/100 to
 330 1/16 with increasing P_* . There is excellent agreement in the case of REGULUS-
 331 150-Xe where, between 50-150 W, the experimental measures fall within the
 332 numerical uncertainty. However, the model begins to overestimate at higher
 333 power, with a maximum deviation of 33% at 160 W. Instead, the GSM+PIC
 334 describes the measured trend at higher powers for REGULUS-50-Xe well, but at
 335 10-20 W underestimates by up to 28%. For REGULUS- 50-I2 the global trend
 336 is captured but underestimates the experiment by approximately 20% over the
 337 entire power range. Nonetheless, the GSM+PIC model reproduces the measures
 338 with sufficient accuracy. Potential sources of disagreement might be found in
 339 the precise estimate of chamber background density or vacuum chamber wall
 340 effects. Sensitivity of the PIC results to uncertain input parameters is discussed
 341 in Appendix B.

342 4. Source performance (GSM)

343 The effects on plasma production in the source tube are now analysed for
 344 xenon, krypton and iodine using the GSM. The REGULUS-150 thruster is con-
 345 sidered at $\dot{m} = 0.25$ mg/s. To eliminate the influence of η_{RF} , results are given
 346 parametrically as a function of the absorbed power P_a between 10 and 150 W.

347 Fig. 5 (a) gives resultant peak ion density in the source tube. Kr^+ density
 348 closely trends Xe^+ , both yielding about $5 \times 10^{17} m^{-3}$ at 10 W. At 80 W however,
 349 Xe^+ density plateaus and steadily decreases approaching 150 W; Kr^+ continues
 350 increasing at the same rate. Regarding iodine, atomic ion production dominates
 351 the discharge; as the electron density increases with RF power, the dissociation
 352 rate becomes higher which enables the formation of I^+ and neutral I atoms,
 353 which can then undergo further electron impact ionisation. The ion production
 354 is much more efficient at lower power compared to the monoatomic propellants,
 355 but plateaus to similar densities as Kr^+ approaching 150 W. I_2^+ and I^- densities
 356 are 2 and 3 orders of magnitude less than I^+ respectively. The I_2^+ density
 357 remains relatively constant due to a combination of the higher electron density
 358 and higher dissociation rate coefficients caused by higher electron temperature

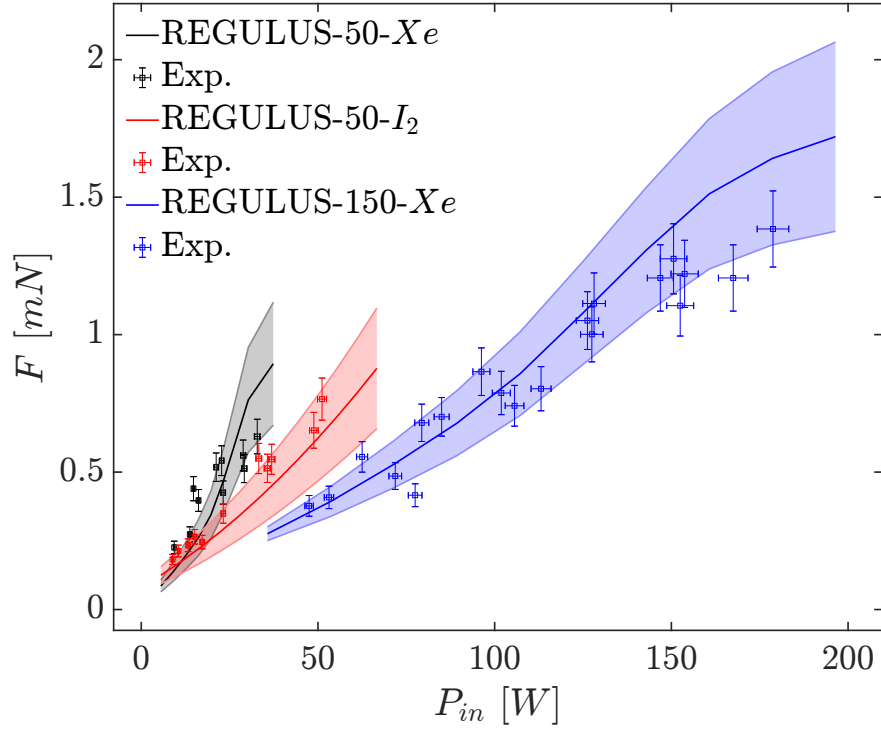


Figure 4: Comparison of thrust estimated from the GSM+(2D)PIC against experimental measures of REGULUS-50-Xe/I₂ and REGULUS-150-Xe as a function of the input power

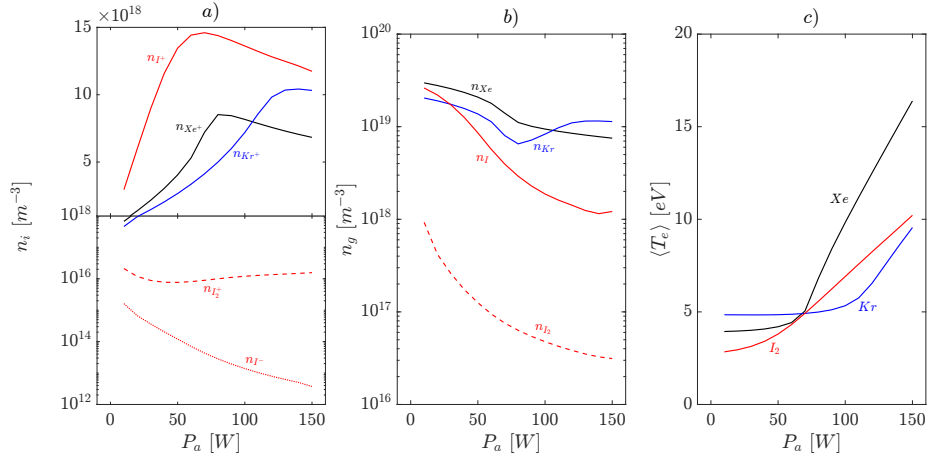


Figure 5: Plasma source properties as a function of absorbed power (REGULUS-150 at 0.25 mg/s): a) peak ion densities (note the transition from a logarithmic to linear scale at 1×10^{18}) b) neutral gas density; c) global-averaged electron temperature.

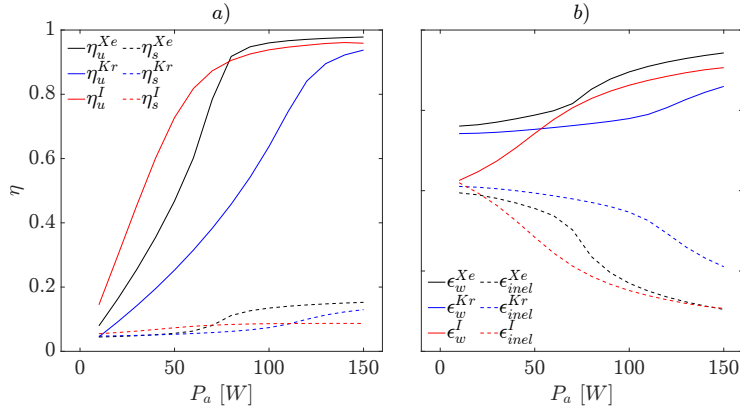


Figure 6: Plasma source performance (REGULUS-150 at 0.25 mg/s): a) Mass utilisation and source energy efficiencies; b) Wall loss and inelastic collision inefficiencies as a function of the absorbed power.

359 with increasing power, as well as the higher I_2 dissociation rate (which includes
 360 direct dissociation as well as dissociative ionization and dissociative attachment)
 361 and molecular iodine ionisation rate coefficient. The I^- density, and hence
 362 electronegativity, becomes increasingly negligible at high power. The decreasing
 363 I_2 density decreases the formation of negative ions from dissociative attachment,
 364 which are then also efficiently destroyed by electron impact recombination.

365 The corresponding neutral gas densities are given in Fig. 5 (b). Both Xe
 366 and I follow a general decrease as a result of depletion from ionisation. It
 367 is again noted that diatomic iodine gas I_2 is over 2 orders of magnitude less
 368 than the atomic. This depletion causes the electron temperature to rise which
 369 also increases the ionisation rate coefficient, further facilitating ion production.
 370 Instead, Kr density begins to increase at around 80 W.

371 The global electron temperature is given in Fig. 5 (c) and represents the
 372 amount of electron heating. All three curves follow a similar profile: a region
 373 of relatively constant temperature, followed by a transition to comparatively
 374 rapid increasing temperature at higher power. Once ion production becomes
 375 saturated, along with the power delivered for ionisation, the absorbed power
 376 instead heats electrons. Xe has a constant region of 4 eV until 50 W; Kr 4.8 eV
 377 until 90 W; iodine 2.8 eV until 20 W. This is explained by the relative ionisation
 378 energies of each species; 12.1, 14 and 10.5 eV respectively. The earlier increase
 379 for iodine is also partially a result of stronger neutral gas depletion due to more
 380 efficient ionisation. Since higher temperature yields more energy available for
 381 conversion in the MN, the electron heating is critical for thruster performance.

382 The source production performance is summarised by Figs. 6 (a) and (b),
 383 which show the relevant efficiencies and inefficiencies defined in Appendix A. The
 384 source efficiency η_s curves in Fig. 6 (a) correlate to the electron temperature
 385 trends. Iodine is seen to be the more robust propellant, with $\eta_s = 0.055 - 0.086$
 386 consistent over the absorbed power range. Instead, both Xe and Kr perform

387 poorly at low power (< 0.047) but improve significantly once electron temper-
 388 ature increases. At 150 W, Xe and Kr have η_s of 0.15 and 0.13 respectively.
 389 Iodine outperforming xenon at low power agrees with several previous numerical
 390 and experimental studies [32, 42], and largely relates to the decreased excita-
 391 tion rate coefficient compared with the ionisation rate coefficient (see Fig. 3)
 392 as the electron temperature increases with increasing power. Fig. 6 (a) also
 393 shows mass utilisation efficiency η_u which, as expected, is overall greater for
 394 species with lower ionisation energies. As the power rises, η_u also rises because
 395 the ion beam current rises as a result of the increasing electron temperature
 396 (which raises the Bohm velocity) and positive ion densities. At 150 W, $\eta_u \approx 1$
 397 for all propellants, but at lower power (< 60 W), Kr and Xe exhibit much lower
 398 ionisation than iodine.

399 As per the power balance in the GSM, power not exhausted in the discharge
 400 is distributed via inelastic collisions and wall losses, the inefficiencies for which
 401 are given in Fig. 6 (b). Losses at the walls of the plasma source increase with
 402 power and are similar at high power. Iodine features significantly fewer (0.16
 403 reduction compared to xenon) wall losses at low power, explaining partly its
 404 high source efficiency at these levels; this is because of iodine's low temperature
 405 at low power, reducing the energy loss to the wall per Eqs. 14-18. At low elec-
 406 tron temperatures, both I and I_2 plasma loses more energy per electron-ion pair
 407 than xenon does (see Figs. 3 (a) and (c)), but this reverses at about 3-4 eV.
 408 This is caused by the various inelastic energy thresholds, reaction processes, and
 409 collision cross-sections. This explains why iodine sees up to an additional 0.05
 410 collisional inefficiency at < 20 W compared to xenon, given the 2.8 and 4 eV
 411 electron temperatures respectively aforementioned in Fig. 5 (c). Once iodine's
 412 electron temperature rises above 3-4 eV at > 20 W, it's inefficiency yields up
 413 to a 0.15 improvement on xenon at 60-70 W. However, as Fig. 5 (c) shows, as
 414 the power is increased, the electron temperature with xenon increases at about
 415 twice the rate of iodine, and thus both inefficiencies approach 0.1 at 150 W. Fig.
 416 6 (c) shows therefore that, if the electron temperature is high enough, operat-
 417 ing iodine can result in fewer collisional energy losses. Kr experiences higher
 418 collisional losses than both Xe and iodine (up to about 0.1 further inefficiency
 419 at 150 W). This is because its temperature is generally higher, and η_u lower,
 420 which leads to a greater degree of collisionality.

421 5. Magnetic nozzle performance (2D PIC)

422 In this section, a laboratory version of REGULUS-50 is simulated for $\dot{m} = 0.1$
 423 mg/s for P_a between 10 and 50 W.

424 5.1. 2D plasma profiles

425 The 2D spatial profiles are given for the case of $P_a = 50$ W; since the iodine
 426 discharge is dominated by atomic species, the profiles of molecular species and
 427 negative ions are not reported. Figs. 7 (a), (b) and (c) show the normalised
 428 neutral gas density for Xe, Kr and I respectively. The monoatomic gases show

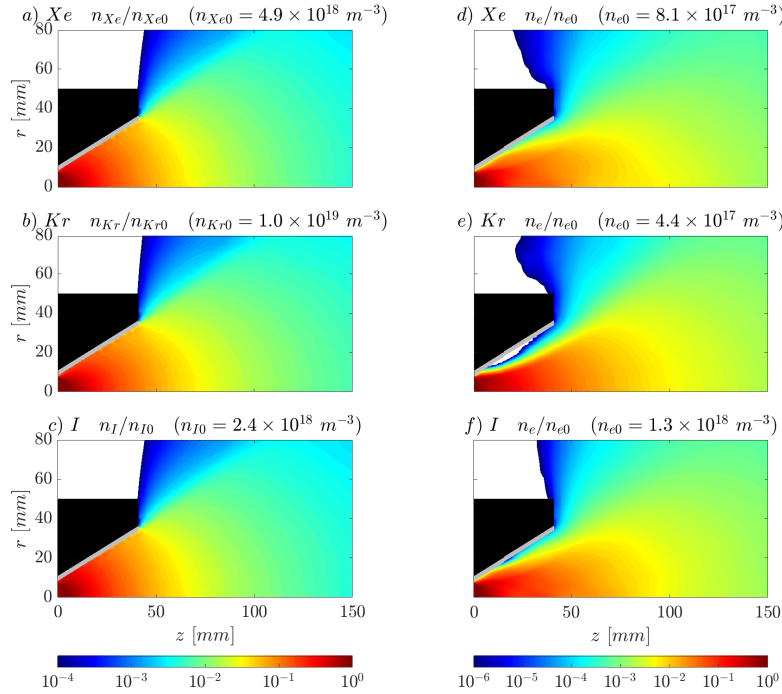


Figure 7: Normalised neutral gas density for a) Xenon n_{Xe} ; Krypton n_{Kr} ; c) Iodine n_I and electron density n_e for a) Xenon; b) Krypton; c) Iodine (REGULUS-50 at 0.1 mg/s).

429 nearly identical profiles, whereas there is a faster decay of I atoms downstream;
 430 the n_I density at the downstream boundary is 52% of n_{Xe} . This is because ap-
 431 proximately 2% of atoms that strike the cone wall recombine instead to I_2 . The
 432 in-plume ionisation for iodine is also about twice that of the atomic propellants,
 433 since its ionisation energy is lower at 10.5 eV. The expansion cone confines the
 434 neutral plume to improve the divergence efficiency and increase cold gas thrust.
 435 Figs. 7 (d), (e) and (f) show the normalised electron density for Xe, Kr and I.
 436 There is worse confinement for Xe and I due to the larger level of collisionality
 437 and their greater mass (they have more energy to escape the ambipolar confin-
 438 ing electric field). The normalised plasma potential is thus given in Figs. 8 (a),
 439 (b) and (c). A sheath forms on the upstream section of the cone surface, which
 440 evolves into a reverse sheath (that is, the potential rises towards the wall) where
 441 the secondary ion expansion impacts the wall and electrons are shielded. This
 442 creates a potential peak on the wall that aids in ion confinement. For Kr and
 443 I, the peak has approximate strength of T_e compared to a much weaker peak
 444 with Xe. The peak for Kr forms earlier on the wall since its lighter mass means
 445 the secondary ion expansion is at a higher angle to the magnetic expansion.

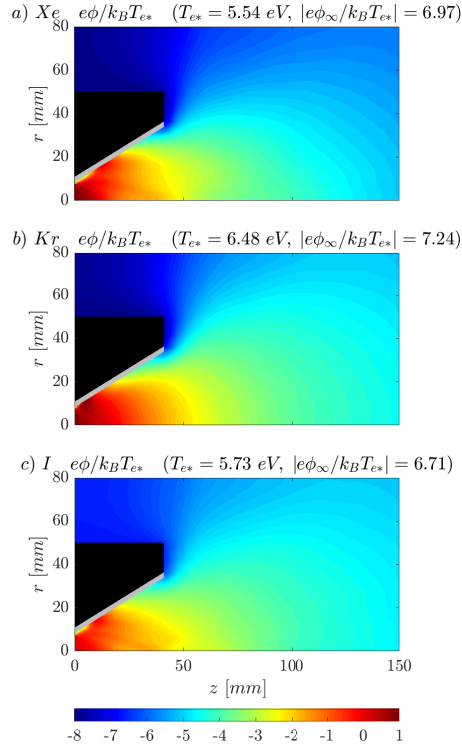


Figure 8: Normalised plasma potential $e\phi/k_B T_{e*}$ for a) Xenon; Krypton; c) Iodine (REGULUS-50 at 0.1 mg/s)

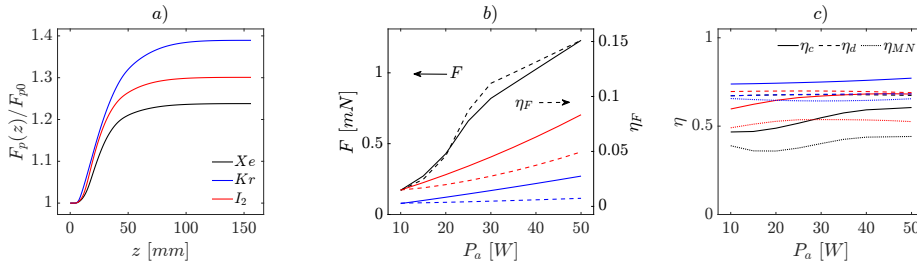


Figure 9: a) Plasma thrust gain in the magnetic nozzle as a function of the axial position; b) Thrust and thrust efficiency, as well as c) Magnetic nozzle efficiencies as a function of the absorbed power for REGULUS-50 at 0.1 mg/s.

446 5.2. Thrust and efficiencies

447 The plasma thrust gain of the 50 W cases presented above is given in Fig. 9.
 448 This excludes the neutral thrust to isolate the gain from the magnetic $j_{e\theta} B_r$ force
 449 (Eq. A1). Kr performs the best, with a gain of 1.39. I₂ and Xe yield gains of 1.3
 450 and 1.24 respectively. This result is mainly driven by the discharge properties in the
 451 source. Kr has a greater discharge temperature at 6.48 eV, thus there is more

452 thermal energy conversion. The increased gain of iodine over xenon can instead
 453 be explained by the difference in collisionality; the neutral-to-plasma ratio is
 454 1.85 and 6.05 for I and Xe respectively. The Xe plume has a greater degree of
 455 cross-field diffusion, demagnetising the plasma and inhibiting the formation of
 456 diamagnetic current. The MN gain however does not translate into absolute
 457 performance. Fig. 9 gives both the final thrust and thrust efficiency. Xenon is
 458 clearly the superior propellant, achieving $\eta_F = 0.15$ at 50 W compared to 0.05
 459 and < 0.01 for iodine and krypton respectively. The plasma source though, is
 460 the main driving factor in propulsive performance.

461 To analyse the performance of each propellant in the MN in more detail,
 462 Fig. 9 (c) presents the conversion η_c , divergence η_d and MN efficiencies η_{MN}
 463 (defined in Appendix A). The conversion efficiency η_c of krypton is greatest, and
 464 is nearly constant, at approximately 0.75; for iodine, it increases from about 0.6
 465 to a plateau beyond 30 W of 0.65; Xe yields the lowest average η_c increasing from
 466 0.48 to 0.6. These trends can be explained by the previously-discussed result of
 467 discharge temperature, which limits the energy conversion in the MN according
 468 to the initial electron internal energy available from the production stage. The
 469 MN performance is clearly driven by this thermal-to-kinetic energy conversion,
 470 as η_d is near-constant for all three species, at around 0.65. At 50 W, η_{MN} is
 471 0.64, 0.53 and 0.42 for krypton, iodine and xenon respectively. The larger power
 472 losses for iodine and xenon are due to losses at the cone wall (heavier species
 473 need stronger electric fields to turn their trajectories into the MN) and inelastic
 474 collisions in the plume.

475 6. Spacecraft interactions (3D PIC)

476 The 3D PIC code is utilised to assess the far-field plume interactions with
 477 spacecraft surfaces and determine spacecraft charging effects. Critically it can
 478 also capture the effects of non-axisymmetric spacecraft (from the potentials on
 479 those surfaces) on the plume dynamics. Fig. 10 shows the plume for REGULUS-
 480 50-Xe operating at 50 W within a 6U CubeSat ($200 \times 100 \times 300$ mm), a realistic
 481 mission configuration [54]. The spacecraft surface potential is assumed to begin
 482 equal to ϕ_∞ , thus lower than the bulk plasma potential. This causes ions which
 483 have expanded beyond the confinement of the MN to reverse their flow direction
 484 and impinge on the front surface. The domain is a cylinder of radius 300 mm
 485 and length 600 mm, with origin 100 mm behind the thruster outlet.

486 The main concern is regarding iodine propellant and its potential corrosive
 487 action on spacecraft surfaces. Fig. 11 plots the particle flux on the front face of
 488 the 6U CubeSat for the three propellants. Based on these results, the highest
 489 neutral and ion particle fluxes on the spacecraft are approximately 4.1×10^{18}
 490 and $4.9 \times 10^{18} \text{ m}^{-2}\text{s}^{-1}$ respectively for iodine. Assuming all of the total incident
 491 particles stick to the surface and a constant rate of deposition per the profiles
 492 in Fig. 11, which is an extremely high conservative estimate, gives deposition
 493 per unit area of approximately 0.32 mg/cm^2 over the standard REGULUS-50
 494 3000 Ns operation duration (~ 1500 hrs at 50 W). This is in-line with values
 495 reported in [33] for iodine HETs. However, not all iodine particles hitting the

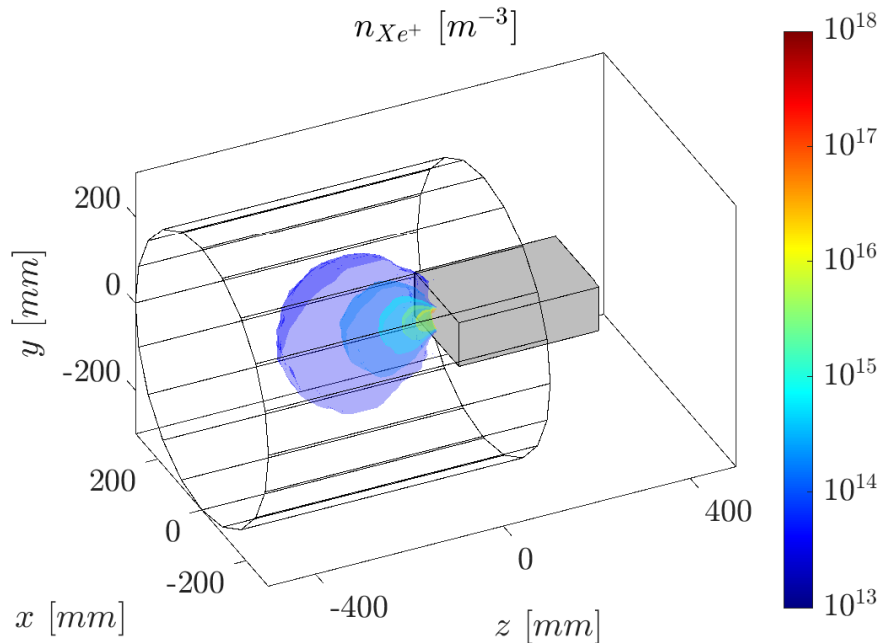


Figure 10: 3D contours of Xe ion density for REGULUS-50-Xe operating at $P_a = 50$ W in a 6U CubeSat. Plume has been cut in the y -plane for clarity. The computational domain is also shown.

496 surfaces will deposit or chemically react to the surface, given high vapor pressure
 497 of iodine at the temperature of a spacecraft in orbit ($\gtrsim 500$ K). The portion of
 498 particles that react to the surface will depend on the surface properties.

499 7. Conclusions

500 A numerical suite capable of simulating the propulsive performance and the
 501 plasma dynamics in a cathode-less plasma thruster has been presented. It con-
 502 sists of a 0D Global Source Model (GSM) for plasma production in the source
 503 tube, a 2D PIC code for the plasma expansion in the MN, and a 3D PIC code to
 504 assess spacecraft interactions and contamination. The results of the GSM cou-
 505 pled to the 2D PIC have been benchmarked against thrust measurements of the
 506 REGULUS-50 and REGULUS-150 laboratory prototypes. Overall, the model
 507 is in good agreement with experiment measurements, showing that the addi-
 508 tional molecular iodine chemistry considered is quite reasonable and provides
 509 an acceptable level of accuracy $< 30\%$. The established xenon model largely falls
 510 within the experimental error for both thrusters. The model is therefore shown
 511 to be able to quantitatively and qualitatively reproduce system behaviour for
 512 variation in input power.

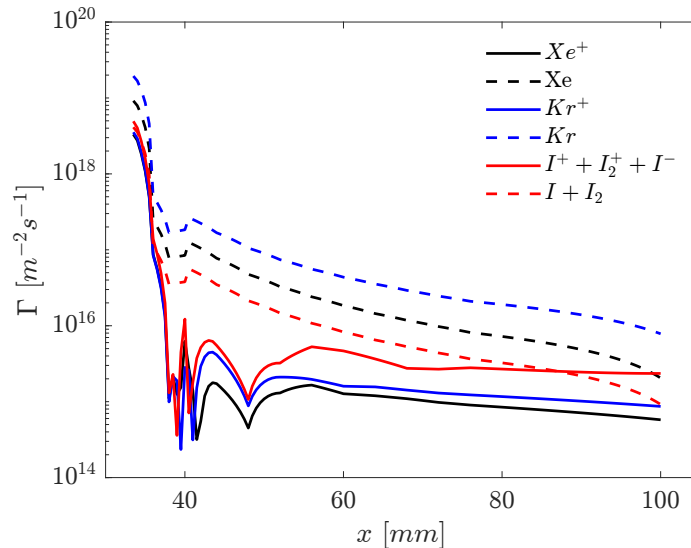


Figure 11: Total neutral and ion particle fluxes on the surface of the CubeSat for REGULUS-50 at $P_a = 50$ W as a function of

513 Analysis of the plasma source reveals that, at $P_a < 50$ W, iodine is most effi-
 514 cient in terms of production. Lower overall collisional and wall energy losses are
 515 a result of the different collisional rate coefficients and inelastic energy thresh-
 516 olds for atomic and molecular iodine. Iodine can therefore have a lower average
 517 energy cost per ion produced and discharged than xenon. At higher powers
 518 however, xenon and krypton are superior. This is attributed to the mass utili-
 519 sation and subsequent inelastic collision losses in the source tube. In the MN,
 520 krypton is found to be the most efficient in terms of thermal-to-kinetic energy
 521 conversion. However, krypton's low mass means, despite this, its thrust effi-
 522 ciency is < 0.01 , instead of 0.15 for xenon. Importantly, iodine was found to
 523 have greater MN efficiency than xenon, producing thrust within 43\$, showing
 524 it to be a viable low-cost alternative propellant.

525 Conservative estimates of iodine contamination on spacecraft surfaces yielded
 526 deposition rates of 0.32 mg/cm^2 over the standard REGULUS-50 operational
 527 life. How many particles react to or reflect off the surface will depend on the
 528 surface properties of the spacecraft, which is the next step in future development
 529 alongside plume-ambient plasma interactions [55]. Future work will also include
 530 coupling the 3D fluid model [39] to the PIC, instead of the GSM, which includes
 531 adding the capability for iodine chemistry in this solver. Finally, adding an RF
 532 power deposition model (instead of the assumption of constant η_{RF} used here)
 533 for the GSM will be explored. Lafleur et al. [32] have shown that the higher
 534 elastic scattering cross-sections in iodine lead to a higher collision frequency
 535 that favours the transfer of power between the electromagnetic fields of the RF
 536 antenna and the plasma. This may explain why the iodine model here generally

537 underestimated the experimental measures.

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543 **Appendix A. Performance metrics**

The thrust F produced by the thruster discharge is given by [27]

$$F = F_0 + \iiint_V -j_{e\theta} B_r dV. \quad (\text{A.1})$$

544 This is the volumetric integral of the product of azimuthal electron current
 545 density $j_{e\theta} = -en_e u_{e\theta}$ (calculated from integrating the moments of the PIC
 546 distribution) and the radial magnetic field B_r added to the source exit thrust
 547 $F_0 \approx 2n_{e0} k_B T_{e0} \pi \mathcal{R}^2$.

548 The thrust efficiency is then defined as

$$\eta_F = \frac{F^2}{2\dot{m}P_a} \quad (\text{A.2})$$

549 The quality of the plasma production inside the source tube is measured with
 550 the mass utilisation efficiency and source production efficiency, which are, re-
 551 spectively,

$$\eta_u = \frac{\dot{m}_i}{\dot{m}}, \quad \eta_s = \frac{P_*}{P_a} \quad (\text{A.3})$$

552 The former is the percentage of propellant mass flow that is ionised; the latter
 553 is the ratio of the discharge exhaust power to the power absorbed from the RF
 554 antenna. The absorbed power is distributed via the exhaust, inelastic collisions
 555 and wall losses. Therefore, the source inefficiencies are

$$\varepsilon_{inel} = \frac{P_{inel}}{P_a}, \quad \varepsilon_w = \frac{P_{wall}}{P_a} \quad (\text{A.4})$$

556 which correspond to inelastic collision and wall losses. The performance of
 557 the magnetic nozzle is represented by the energy conversion and divergence
 558 efficiencies,

$$\eta_c = \frac{P_{iS}}{P_*}, \quad \eta_d = \frac{P_{iS}^{(z)}}{P_{iS}} \quad (\text{A.5})$$

559 which are the ratio of plume ion kinetic power to source tube exhaust power,
 560 and the percentage of that kinetic power in the axial direction respectively. The
 561 total MN efficiency is then $\eta_{MN} \approx \eta_c \eta_d$ and thrust efficiency is then given by
 562 $\eta_F \approx \eta_u \eta_s \eta_c \eta_d$ [35].

Table B.4: Thrust sensitivity analysis (REGULUS-150-Xe at $P_a = 150$ W)

Parameter/value	Thrust deviation [%]*
Bohm coefficient α_B	
<u>1/100</u>	0
<u>1/64</u>	-4.5
<u>1/32</u>	-20.0
<u>1/16</u>	-21.3
Background pressure [Pa]	
<u>10^{-5}</u>	0
<u>10^{-4}</u>	-0.1
<u>10^{-3}</u>	-1.32
<u>10^{-2}</u>	-13.63
SEE model	
100% absorption	-4.8
<u>h-BN</u>	0
90% secondary emission	+4.0

*From reference value (underlined) $(F - F_{ref}) / F_{ref}$

563 Appendix B. Sensitivity

564 There are several significant inputs to the PIC model that can greatly affect
565 the plasma transport and resultant thrust. These are mainly: (i) the anomalous
566 Bohm coefficient α_B ; (ii) the background neutral density; and (iii) the secondary
567 electron emission (SEE) coefficients/probabilities. While an informed choice can
568 be made on these parameters (from empirical models, experimental background
569 density measures or h-BN SEE models respectively), it is important to under-
570 stand the sensitivity of their value on the experimental agreement.

571 Table B1 provides the thrust deviation from defined reference values for a
572 single case of REGULUS-150-Xe at $P_a = 150$ W. Regarding α_B , there is a clear
573 transition point between 1/64 and 1/32 where the thrust loss becomes $> 20\%$.
574 This supports the presence of a critical hall parameter $\omega_{ce}/(\nu_B + \nu_e)$ required for
575 adequate MN confinement [28]. Background pressure begins to have significant
576 effect at $> 10^{-3}$ Pa, where $> 13\%$ deficit can be attributed to inelastic collision
577 losses and reduced divergence efficiency from ion scattering. Finally, the SEE
578 coefficients of Eqs. 8-11 were overridden to force 100% absorption and 90% sec-
579 ondary emission; this resulted in -4.8 and +4.0% thrust respectively compared
580 to the empirical model of h-BN. Reduced electron absorption represents reduced
581 wall power losses, while increased SEE will also cool the bulk plasma.

582 Provided that the choice of α_B is critical for the thrust estimate, it is worth
583 further justifying the assumptions done in Section 3 regarding the value of this
584 parameter. Under the theory of ion trapping saturation, the fluctuations in the
585 azimuthal electric field and charged particle densities—that cause anomalous

586 transport—propagate in the $\mathbf{E} \times \mathbf{B}$ direction with a velocity close to the ion
 587 sound speed [56]. It may then be postulated that the equivalent Bohm-like
 588 collisionality scales with the plasma wave energy and associated instability fre-
 589 quency; that is $\alpha_B \propto \omega_{pi}/2\pi\sqrt{3}\omega_{ce}$ [56], where $\omega_{pi} = \sqrt{n_i e^2 / \epsilon_0 m_i}$ is the ion
 590 plasma frequency. With pre-known PIC injection parameters at the inlet, the
 591 scaling was performed with ω_{pi*} and ω_{ce*} .

592 Fig. B.1 illustrates this scaling for REGULUS-150-Xe, where the propor-
 593 tionality coefficient was selected to not exceed the fully-turbulent limit of 1/16
 594 at high power. The sensitivity to α_B is further shown via the thrust curves for
 595 values of 1/100 and 1/16. For $P_{in} < 100$ W, $\alpha_B = 1/100$ clearly has the better
 596 agreement, remaining within the 25% error of measurements. However, up to
 597 185 W, the thrust is overestimated by 48% and a value of 1/16 finds suitable
 598 agreement up to 150 W. Further work is necessary to establish a self-consistent
 599 model for anomalous collisionality.

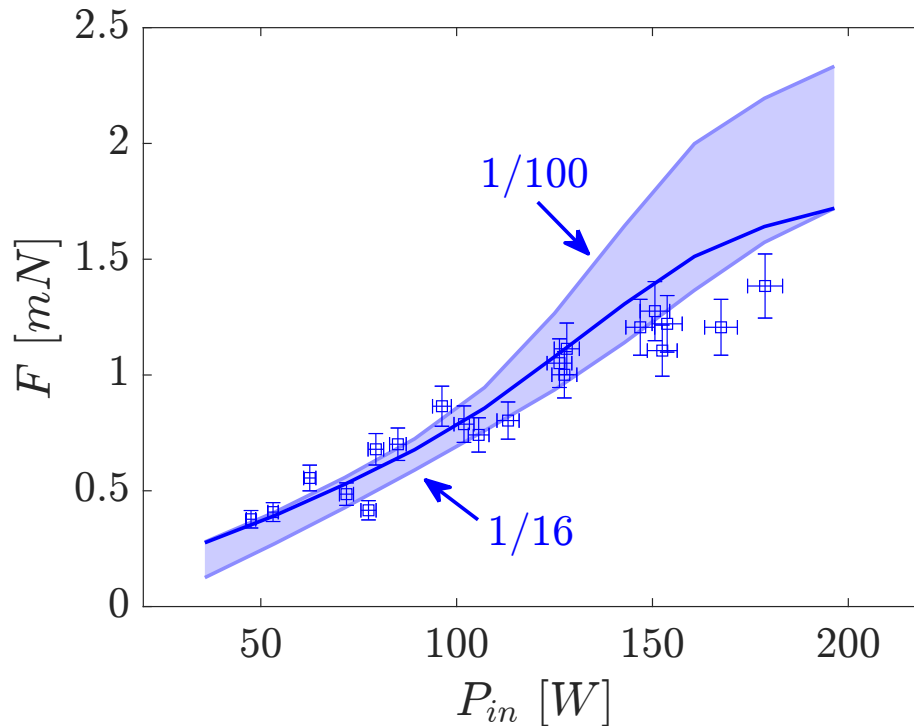


Figure B.1: Sensitivity of thrust to the Bohm coefficient for REGULUS-150-Xe.

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