



# *Article* **Coupling of Fluid and Particle-in-Cell Simulations of Ambipolar Plasma Thrusters**

**Willem van Lynden 1,[\\*](https://orcid.org/0009-0000-4350-0804) , Raoul Andriulli <sup>1</sup> [,](https://orcid.org/0000-0001-7880-3741 ) Nabil Souhair 1,2 [,](https://orcid.org/0000-0003-0272-4769 ) Fabrizio Ponti [1](https://orcid.org/0000-0003-2887-7413 ) and Mirko Magarotto [3](https://orcid.org/0000-0002-3194-0308 )**

- <sup>1</sup> Alma Propulsion Laboratory, Department of Industrial Engineering (DIN), University of Bologna, 40126 Bologna, Italy; raoul.andriulli@unibo.it (R.A.); nabil.souhair2@unibo.it or nabil.souhair@uir.ac.ma (N.S.); fabrizio.ponti@unibo.it (F.P.)
- <sup>2</sup> LERMA Laboratory, Aerospace and Automotive Engineering School, International University of Rabat, Rabat 11100, Morocco
- <sup>3</sup> Department of Information Engineering (DEI), University of Padova, 35131 Padua, Italy; mirko.magarotto@unipd.it
- **\*** Correspondence: wvlynden@gmail.com

**Abstract:** Ambipolar plasma thrusters are an appealing technology due to multiple system-related advantages, including propellant flexibility and the absence of electrodes or neutralizer. Understanding the plasma generation and acceleration mechanisms is key to improving the performance and capabilities of these thrusters. However, the source and plume regions inside are often simulated separately, and no self-consistent strategy exists which can couple these different simulations together. This paper introduces the MUlti-regime Plasma Equilibrium Transport Solver (MUPETS), a selfconsistent coupled model integrating a fluid solver for the plasma dynamics in the source, which are collision-driven, with a kinetic Particle-In-Cell (PIC) code for the plasma dynamics in the magnetic nozzle, which involve expansion across a diverging magnetic field. The methodology begins by solving the plasma source with the classical Bohm condition at the thruster's throat. The resulting plasma profiles (density, temperature, speed) are input into the PIC code for the magnetic nozzle. The PIC code calculates the plasma plume expansion and determines the electric field at the thruster's throat. This electric field is then used as a boundary condition in the fluid code, where it replaces the Bohm assumption, and the fluid simulation is repeated. This iterative process continues until convergence. In comparing the MUPETS results with those for an experimental thruster, the plasma densities at the thruster's throat differed by less than 2–5% between the fluid and PIC regions. The thrust predictions agreed with the experimental trend, and were kept well within the measurement's uncertainty band. These results validate the effectiveness of the coupling strategy for enhancing plasma thruster simulation accuracy.

**Keywords:** self-consistent simulation; ambipolar plasma thruster; fluid; particle-in-cell; magnetic nozzle

# **1. Introduction**

Ambipolar Plasma Thrusters (APTs) represent a class of electric propulsion systems that create and accelerate a plasma using electromagnetic fields rather than electrodes. Among the most promising variants of APTs are Helicon Plasma Thrusters [\[1](#page-15-0)[–6\]](#page-16-0) (HPTs) and Electron Cyclotron Resonance Thrusters [\[7,](#page-16-1)[8\]](#page-16-2) (ECRTs). These devices generate plasma within a source chamber, where an antenna operating in the Radio Frequency (RF) or microwave range sustains the discharge. A magnetostatic field produced by electric or permanent magnets drives the power coupling of the RF waves into the plasma [\[9\]](#page-16-3), enhances plasma confinement, and boosts propulsive performance via the magnetic nozzle effect [\[10](#page-16-4)[,11\]](#page-16-5). APTs offer multiple system-level advantages, including propellant flexibility, simpler design, and longer lifetimes, thanks to their omission of any anodes and cathodes.



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These advantages make them highly appealing for applications in the SmallSat market [\[12\]](#page-16-6), interplanetary missions [\[13\]](#page-16-7), and Air-Breathing Electric Propulsion (ABEP) missions [\[14](#page-16-8)[,15\]](#page-16-9).

Despite their potential, the performance of APTs has not yet fulfilled their promise, as current thrusters are marked by only moderate propulsive efficiencies. For instance, the efficiency of HPTs has not yet exceeded 30% [\[16\]](#page-16-10). To enhance the performance of APTs, a deeper understanding of the plasma generation and acceleration mechanisms is required. To this end, numerous modeling efforts [\[17–](#page-16-11)[19\]](#page-16-12) have sought to accurately predict the operational behaviors and theoretical limits of these thrusters. However, this is a challenging effort due to the existence of different flow regimes in different sections of the thruster. Within the plasma source, the flow behaves as a continuum governed by the propagation of electromagnetic waves, plasma transport, and their mutual coupling. Downstream in the expanding plume of the magnetic nozzle region, the plasma density drops sharply and the main phenomena at play become the acceleration and magnetic detachment of the plasma.

Codes that describe the plasma state in the source chamber are most often fluidic [\[20–](#page-16-13)[22\]](#page-16-14), assuming that the many collisions inside the high-density source [\[2\]](#page-15-1) lead to thermal equilibrium and Maxwellian velocity distribution functions [\[23\]](#page-16-15). Meanwhile, for description of the plasma plume in the magnetic nozzle, where far fewer or even no collisions take place, a kinetic approach is favored, often in the form of Particle-in-Cell (PIC) simulations [\[24](#page-16-16)[,25\]](#page-16-17). PIC codes, which group the plasma particles into macroparticles and then solve the motion of these particles individually, are marked by high computational cost compared to fluid codes. This computational cost increases with the plasma density; consequently, few models employ PIC for the high-density source chamber.

Thus, numerical APT studies can either treat each region with different solvers appropriate to each, or use a hybrid model [\[26](#page-16-18)[,27\]](#page-16-19) across the entire thruster. Simulating the source and plume separately has the advantage of codes that can be more specific in handling the peculiar phenomena of each region [\[28–](#page-16-20)[30\]](#page-16-21). Simulating the regions as one with a hybrid model that selectively uses fluid or kinetic methods for different plasma particles has the advantage of simulating the full thruster with one model and simulating the transition between sections; however, it provides a less specific approach in each region. On the other hand, when using a specialized solver for each section [\[17,](#page-16-11)[22,](#page-16-14)[28\]](#page-16-20), the transition and required interfacing between the two regions presents a challenge for simulating the full thruster. For example, problems in continuity between separated models can occur across the flow regime transition [\[28\]](#page-16-20). Instead, approaches often focus on a single region [\[31\]](#page-16-22) and handle the other region through a global model [\[19\]](#page-16-12), although this reduces the accuracy of the overall solution. As of yet, no self-consistent strategy exists for coupling different simulations of source and plume together.

This work proposes an innovative self-consistent methodology for coupling a fluid solver [\[20\]](#page-16-13) to handle the plasma source region and a Particle-In-Cell (PIC) code [\[25\]](#page-16-17) dedicated to the magnetic nozzle region. This methodology is compared against established numerical approaches and validated through experimental data [\[28\]](#page-16-20). By self-consistently coupling the plasma state across flow regimes, the proposed approach aims to improve the ability to predict propulsive performance by treating each regime using a specialized solver. Within the scope of the present paper, this strategy is exploited for modeling APTs; however, it could also be useful for improving the modeling of broader plasma applications, such as other electric propulsion systems or material etching tasks [\[32](#page-16-23)[,33\]](#page-16-24).

#### **2. Methodology**

The model coupling methodology is based on a 2D-3V axisymmetric representation of an APT design, shown in Figure [1.](#page-2-0) The coupled model simulates the plasma source section and the free space outside of the thruster, where a diverging magnetic field forms the magnetic nozzle, thereby still forming part of the propulsive system. A constant propellant mass flow of neutral gas is injected at the back-wall. Figure [1](#page-2-0) also shows the fluid and PIC domains; the fluid domain encompasses the entire source chamber, extending radially from the thruster's centerline to the chamber walls and axially from the back-wall to the outlet. The plasma plume expanding into free space is modeled as a kinetic flow, and as such is covered by the kinetic PIC domain. The transition between these domains occurs at the throat of the thruster (at  $z = z<sub>throat</sub>$ ), consistent with approaches where the regions are treated separately [\[17](#page-16-11)[,28\]](#page-16-20).

<span id="page-2-0"></span>

**Figure 1.** Model of the APT geometry, showing the locations of the source chamber and magnetic nozzle along with the assumed locations of the fluid and kinetic regimes.

## *2.1. Models*

The coupling methodology focuses on the steady-state conditions achieved by the fluid and kinetic models. Both of these models consider the following plasma species: neutrals, singly-charged positive ions, and electrons. The fluid model separates the neutrals further into neutrals in the ground state and neutrals in multiple excited states [\[21\]](#page-16-25), while in the kinetic model the excited species are assumed to immediately decay to the ground state

The fluid model governs plasma dynamics using three fundamental equations: conservation of mass for each species, conservation of energy, and the Poisson equation for plasma potential (*ϕ*). In this paper, the methodology is presented only for a Drift Diffusion [\[34\]](#page-17-0) (DD) implementation; however, generalization to fluid models based on the solution of the full momentum equations is straightforward. The use of the DD formulation for the transport equations of each plasma species is justified if its collision frequency is higher than the estimated velocity gradient [\[35\]](#page-17-1). For typical discharges applied on HPTs, this condition is respected if the neutral pressure is above 1 mTorr [\[36\]](#page-17-2).

The kinetic model tracks plasma species macroparticles, solves their motion under electromagnetic forces, and uses the Poisson equation for  $\phi$ . The fluid and kinetic models are further expanded upon in Appendix [A.](#page-11-0)

## <span id="page-2-1"></span>*2.2. Coupling*

The coupling scheme is shown in Figure [2.](#page-3-0) The scheme is iterative, running *h* parent iterations until convergence. For each iteration *h*, the fluid solver runs until achieving the steady state. The fluid plasma properties at the throat are then used as input to the kinetic solver, which also runs until achieving the steady state. The kinetic results then are used to correct the initial throat BCs of the fluid simulation, after which a new parent iteration  $h + 1$  starts. Initially  $(h = 0)$ , the plasma source is solved assuming the classical Bohm condition at the throat. For subsequent parent iterations  $(h + 1)$ , the fluid model uses the corrected BCs, replacing the Bohm condition with the kinetic potential gradient to ensure self-consistent coupling. Convergence is achieved when the axial electron density profiles between iterations differ by less than 10%, which is the uncertainty in measuring the electron current density [\[37,](#page-17-3)[38\]](#page-17-4).

<span id="page-3-0"></span>

**Figure 2.** Solver scheme.

Coupling Interface Boundary Conditions

Figure [3](#page-3-1) shows the applied BCs for the thruster domain. This section describes the BCs used at the interface between the two models, i.e., the throat. The rest of the BCs used in each model are further described in Appendix [A.](#page-11-0)

<span id="page-3-1"></span>

**Figure 3.** Boundary conditions applied on the axisymmetrical thruster domain.

At the interface, the fluid model assumes a developed flow for ions and neutrals, whie the BC, with  $k$  being the unit vector normal to the throat surface, is provided by

$$
\left. \frac{\mathrm{d} n_{i,0,ex}}{\mathrm{d}\hat{k}} \right|_{\text{Fluid}} = 0. \tag{1}
$$

For the initial fluid simulation at  $h = 0$ , Poisson's equation is solved by imposing a zero-current condition across the outlet:

<span id="page-3-2"></span>
$$
\Gamma_{e_{\hat{k}}}|_{Fluid} = \Gamma_{i_{\hat{k}}}|_{Fluid}.
$$
\n(2)

Rewriting Γ*<sup>e</sup>* in its drift-diffusion formulation [\[20\]](#page-16-13) and substituting the electric field *E* with  $-\nabla\phi$  provides the initial plasma potential BC for *h* = 0:

$$
\frac{\mathrm{d}\phi}{\mathrm{d}\hat{k}}\bigg|_{\text{Fluid},h=0} = \frac{\Gamma_{i_k} + D_e \frac{\mathrm{d}n_e}{\mathrm{d}\hat{k}}}{n_e \mu_e}.
$$
\n(3)

For  $h = 0$ , a BC is still required for the behavior of the electrons. To ensure the stability of the fluid solver, the electron flux is modeled as Equation [\(4\)](#page-4-0); the Bohm sheath criterion [\[39\]](#page-17-5) is used by enforcing the equality of fluxes ( $\Gamma_e=\Gamma_i$ ) and quasi-neutrality. This approach has

<span id="page-4-0"></span>
$$
\Gamma_{e_{\hat{k}}}\big|_{Fluid,h=0} = v_B n_e \tag{4}
$$

Finally, the electron energy density follows the electron density flux [\[20\]](#page-16-13):

$$
\Gamma_{\varepsilon_{\hat{k}}}\big|_{Fluid} = \frac{2}{3} \left( \frac{1}{2} \left( 1 + \ln \left( \frac{m_i}{2\pi m_e} \right) \right) + 2 \right) \cdot \Gamma_{\varepsilon_{\hat{k}}}\big|_{Fluid}.
$$
 (5)

For subsequent iterations  $h + 1$ , the plasma potential BC is corrected by the kinetic simulation results of *h*:

$$
\left. \frac{d\phi}{d\hat{k}} \right|_{h+1, Fluid} = \left. \frac{d\phi}{d\hat{k}} \right|_{h,PIC} . \tag{6}
$$

The earlier assumed zero-current condition provided by Equation [\(2\)](#page-3-2) are now directly applied to the electron density for  $h + 1$ . Thus, in all iterations where  $h > 0$ , the assumption of the Bohm condition at the throat is removed, allowing the electron outflow to evolve self-consistently.

In summary, the coupling of the fluid model's BCs to the kinetic model is achieved by obtaining the kinetic potential gradient and assuming steady-state operation. Only the interface BCs for the potential (*ϕ*) and electron density (*ne*) are updated. Instead of being predetermined by the Bohm speed, the particle speed at the interface is computed self-consistently, with the ion flux driven by the previous iteration's electric field and the electrons' response determined under the current-free assumption.

The kinetic model requires the number density, velocity, and temperature  $(n_I, v_I, T_I)$  of the plasma species at the throat in order to construct the sampling distribution function for each particle species (PDF) [\[29\]](#page-16-26) and velocity distribution function for the PIC solver (VDF). Macroparticles are sampled from the PDF and VDF, and are injected into the numerical kinetic domain from the interface. To maintain a current-free global plasma flow as determined by the steady-state operation of an ambipolar plasma thruster [\[25,](#page-16-17)[42\]](#page-17-8), the kinetic model balances the injected electron flux against the total outgoing charge of species leaving the kinetic domain through the free-space boundary. More details on this balance are provided in Appendix [A.](#page-11-0) Considering the boundary condition on the potential field, a Dirichlet (i.e.,  $\phi_{\rm b} = 0$  V) condition is imposed. This can be performed as in the kinetic routine calculation, with the potential included only as a derivative; hence, any constant offset will not affect the results. The potential field offset is then taken into account during postprocessing.

#### *2.3. MUPETS*

The coupling strategy is implemented in the MUlti-regime Plasma Equilibrium Transport Solver (MUPETS), a Python code developed at the Alma Propulsion Laboratory of the University of Bologna in cooperation with the University of Padova. MUPETS manages the fluid and kinetic solvers as well as the coupling methodology. The fluid model uses the plasmaFoam solver [\[40,](#page-17-6)[43\]](#page-17-9), based on the OpenFOAM framework [\[44\]](#page-17-10) and derived from the 3D-VIRTUS code [\[41\]](#page-17-7), while the kinetic model uses an adapted version [\[29\]](#page-16-26) of the Starfish PIC code [\[45\]](#page-17-11). Further details on the plasmaFoam and Starfish codes are provided in Appendix [B.](#page-14-0) The modularity of MUPETS allows for easy replacement or updating of solvers; thus, the proposed coupling methodology can be used together with a range of different solvers, allowing for the choice of a solver that best suits the thruster design and operating conditions. MUPETS responsibilities include:

- 1. Preprocessing simulation cases:
	- For fluid,  $h = 0$ : Setting up the initial case with starting values.
	- For fluid,  $h > 0$ : Updating case with BC values from kinetic simulation.
	- For kinetic, *h*: Constructing PDFs and VDFS for macroparticles.
- 2. Running simulations with relevant solvers and parameters.
- 3. Postprocessing the converged solutions into a common data structure.
- 4. Adjusting conditions for the next simulation based on the current solutions.
- 5. Loop control until the convergence criteria are met.
- 6. Handling numerical domain division.

## *2.4. Propulsive Performance*

The performance of a thruster can be measured by its thrust level, specific impulse, power consumption, and associated efficiencies. The thrust can be calculated during postprocessing of the combined fluid–kinetic data of the entire thruster domain. After this, the other metrics can be derived from the thrust and other postprocessed metrics or input variables, such as the injected propellant mass flow and input power. The thrust is taken to be the momentum flux of the plasma jet [\[46\]](#page-17-12):

$$
F = \sum_{I=i,e,0} \int_{A} (p_I + m_I n_I u_{Iz}^2) dA.
$$
 (7)

Several assumptions stemming from the coupled model simplify the thrust calculation. First, the heavy particles are considered 'cold', with  $T_e \gg T_i$ ,  $T_0$ , allowing the pressure terms for heavy species to be neglected. The electron momentum term is also neglected due to  $m_e \ll m_i$ ,  $m_0$ . The thrust contribution from neutrals is assumed to be negligible due to their lower velocity [\[47\]](#page-17-13) and temperature [\[48\]](#page-17-14). Additionally, momentum losses to the wall are considered negligible  $[46]$  due to magnetic shielding  $[10,19,49]$  $[10,19,49]$  $[10,19,49]$  of the source chamber walls. The momentum flux then simplifies to the sum of the pressure force from the source chamber and the momentum force enhanced by the magnetic nozzle [\[46\]](#page-17-12):

$$
F_{cham} \approx (1 + C^2) \pi R_*^2 n_{e*} q T_{e*}
$$
\n(8)

$$
F_{mag} = \int_{V} J_{\theta} B_{r} dV \tag{9}
$$

$$
F_{thrust} = F_{cham} + F_{mag} \tag{10}
$$

where *Fcham* represents the thrust from the source chamber due to static electron pressure against the back plate inside the source, shown in Figure [4.](#page-5-0) It is approximated using the average electron density *ne*∗ and temperature *Te*∗ at the outlet [\[19\]](#page-16-12), with *R*∗ being the outlet radius and *C* the ratio of the ion drift velocity to the Bohm velocity. *Fmag* represents the magnetic thrust, calculated as the volume integral of the Lorentz force due to the radial magnetic field  $B_r$  and the azimuthal current, shown as  $F_z$  in Figure [4.](#page-5-0)

<span id="page-5-0"></span>

**Figure 4.** The thrust imparted by the plasma exhaust [\[50\]](#page-17-16) (*Fthrust*) consists of *Fcham* and the volume integral of the axial Lorentz force component  $(F_z)$  in the magnetic nozzle; *B* is the magnetic field strength, and *J* is the azimuthal current of the charged particles.

#### **3. Experiment**

Through a numerical study, we aimed to determine whether the presented coupling methodology results in improved accuracy by comparing experimental thrust measurements with numerical thrust predictions from MUPETS and other numerical approaches [\[28\]](#page-16-20) for a laboratory thruster [\[3\]](#page-15-2). Thus, in this study we focus on predicting and comparing the thruster plasma state of the resulting thrust against experiments; convergence studies of the MUPETS code have been presented in an earlier work [\[51\]](#page-17-17).

#### <span id="page-6-0"></span>*3.1. Experimental Thruster*

The laboratory RF ambipolar thruster developed by the University of Padova [\[52](#page-17-18)[–54\]](#page-17-19) features a source chamber with radius and length of 7 mm and 60 mm, respectively. Neutral Xenon atoms are injected into the thruster at the inlet at a constant mass flow rate of 150  $\mu$ g s<sup>-1</sup>. The magnetic field is generated by two sets of permanent magnets arranged in opposing directions. Thrust measurements were taken at six power settings ranging from 23 W to 69 W.

## *3.2. Numerical Simulations*

The thruster geometry was maintained as described for the experimental thruster in Section [3.1.](#page-6-0) The minimum cell size in the fluid domain was set to 50  $\mu$ m, while that of the kinetic domain was set to 500  $\mu$ m. The mesh is shown in Figure [5.](#page-6-1) Further details on the mesh and run parameters of each code can be found in Appendix [B.](#page-14-0) The magnetic field created by the permanent magnets was modeled separately and provided to OpenFOAM and Starfish for the source chamber and expanding magnetic nozzle section, respectively. The orientation of the magnets and the resulting geometry are shown in Figure [6a](#page-7-0). Collisions in the rarefied plume were included for increased fidelity, with collision rates and chemistry coefficients for the Xenon propellant sourced from the literature [\[21,](#page-16-25)[43,](#page-17-9)[55](#page-17-20)[–61\]](#page-17-21). The RF coupled power  $P_{uv}$  was simulated at points spaced 15 W apart, ranging from 15 W to 57 W. The 57 W point was used instead of 60 W for better comparison with previous simulations of this thruster. The space surrounding the thruster was modeled as a vacuum.

<span id="page-6-1"></span>

**Figure 5.** MUPETS combined mesh.

The hardware used to run MUPETS consisted of four Intel(R) i7-7700 Cores(TM) @ 3.60 GHz and 64 Gb of RAM, which resulted in a convergence time of 2–3 weeks. The majority of this time was consumed by running the PIC code. A PIC code optimized to reduce this time is currently under development.

<span id="page-7-0"></span>

**Figure 6.** Magnetic field *B* and species density  $n_0$ ,  $n_e$ ,  $n_i$  on the full thruster *z*-*r* domain of MUPETS (57 W).

#### **4. Results and Discussion**

# *4.1. Plasma Profiles*

The full thruster 2D profiles of the magnetic field and plasma at 57 W solved by the coupled model are shown in Figure [6.](#page-7-0) Additionally, the species density profiles zoomed in at the source chamber are presented in Figure [7.](#page-8-0) The interaction of the expansion plumes with the magnetic field is evident in the plume region near and slightly outside of the outlet (Figure [6b](#page-7-0)–d). The magnetized electrons expand along the magnetic field lines, while the non-magnetized ions, driven by the resulting electric field, follow the electrons closely along the magnetic field lines [\[49\]](#page-17-15). Meanwhile, the neutral particles, lacking any charge, are unaffected by the magnetic field and expand into free space. Inside the source (Figure [7\)](#page-8-0), the electrons also follow the magnetic field topology closely. The magnetic cusp regions show enhanced plasma transport to the walls [\[4\]](#page-15-3), causing increased local plasma losses. The neutral density increases near the walls, showing that plasma recombination into neutrals at the walls dominates the neutral chemistry in this region, which is in agreement with other model and experiment findings [\[39\]](#page-17-5). In the bulk of the plasma near the axial center line, ionization dominates and the neutral density decreases. The peak neutral density is located at the magnetic cusp impingement sites on the wall, where the neutral density increases to a few percentage points above its original injected density through recombination, confirming the increased local plasma loss areas. The source results are qualitatively consistent with measurements performed on helicon plasma sources [\[62](#page-17-22)[,63\]](#page-17-23).

The area-averaged profiles of the charged species densities are shown in Figure [8,](#page-8-1) demonstrating near-continuity across the regime transition along the thruster's axial length. At the outlet, which separates the fluid and kinetic domains, discontinuities between the models in predicted ion and electron densities are kept below 2–5%.

<span id="page-8-0"></span>

(**a**) neutrals ( $n_0$ ) in the source (**b**) electrons ( $n_e$ ) in the source (c) ions  $(n<sub>i</sub>)$  in the source **Figure 7.** Species density  $n_0$ ,  $n_e$ ,  $n_i$  on the source *z*-*r* domain of MUPETS (57 W).

<span id="page-8-1"></span>

**Figure 8.** Initial and converged area-averaged plasma electron density *ne* along the thruster's axial length.

## *4.2. Thrust Predictions*

The predicted thrust from MUPETS at various power levels is reported in Table [1,](#page-8-2) together with the specific impulse and thrust efficiency obtained through  $I_{sp} = \frac{T}{g_0 m}$  and  $\eta = \frac{T^2}{2mF}$  $\frac{1}{2mP_{in}}$ , respectively. The table also includes the error of the prediction with respect to the experimental trend, calculated as follows:

$$
Error = \frac{|T_{sim} - T_{exp, trend}|}{T_{exp, trend}} \cdot 100\%.
$$
\n(11)

The thrust is seen to increase almost linearly with the power, consistent with ionization ratios below 10% [\[29\]](#page-16-26). The measured propulsive performance across the tested low-power (*Pw*  $\lesssim$  100 W) range is in line with that of commercial low-power RF APTs [\[13,](#page-16-7)[64\]](#page-17-24). The thrust predictions were compared to the experimental measurements [\[3\]](#page-15-2) in Figure [9.](#page-9-0) Initially, MUPETS underpredicts the thrust, similar to earlier separated approaches [\[65\]](#page-18-0). However, iterative corrections to the plasma flow through the thruster yield increased thrust, aligning more closely with the experimental trends. Overall, MUPETS demonstrates self-corrective behavior at all power levels. It occasionally overshoots the experimental trendline (57 W) at high power levels, while at lower power levels it exhibits a more steady but slow incremental increase towards the experimental fit (15 W). The coupling strategy appears to work best for the middle-power range, as for the simulations at 30 W and 45 W the initial large errors  $(\approx 50\%)$  in thrust prediction are reduced to just 0.3% and 6%, respectively.

<span id="page-8-2"></span>**Table 1.** Propulsive performance metrics.



<span id="page-9-0"></span>

**Figure 9.** MUPETS numerically-predicted thrust (*T*) compared against experiments [\[3\]](#page-15-2) and earlier simulations [\[65\]](#page-18-0) across coupled power (*Pw*); here, "init." refers to the initial prediction of MUPETS, while "conv." refers to the converged prediction.

#### *4.3. Convergence*

To showcase the convergence of the iterative loop, the differences between iterations in the cross-sectional averaged electron densities are plotted along the axial direction in Figure [10,](#page-9-1) together with the maximum difference after each iteration. Here, the difference is calculated as follows:

$$
diff = \frac{\int n_e(z, r)^h r dr}{\int r dr} - \frac{\int n_e(z, r)^{h-1} r dr}{\int r dr}.
$$
\n(12)

The process converges rapidly; after the second iteration, the electron density profile already differs less than 10% everywhere along the thruster's longitudinal axis, meeting the convergence criteria set out in Section [2.2.](#page-2-1) The largest differences exist in the kinetic plume.

<span id="page-9-1"></span>

**Figure 10.** Convergence plots for 15 W: (a) relative differences of the axial average  $n_e$  profiles between iterations and (**b**) convergence of maximum *ne* difference after each iteration.

#### **5. Conclusions**

A self-consistent coupling method between fluid and Particle-In-Cell (PIC) solvers has been developed and integrated into a multi-regime plasma model, namely, the MUltiregime Plasma Equilibrium Transport Solver (MUPETS), to simulate plasma transport and propulsion performance in an Ambipolar Plasma Thruster (APT). MUPETS controls two distinct plasma transport models: a fluid model based on the plasmaFoam code [\[40,](#page-17-6)[43\]](#page-17-9), and a kinetic model based on the Starfish code [\[29](#page-16-26)[,45\]](#page-17-11), running them iteratively to selfconsistently solve the full thruster domain that includes both the fluid and kinetic regions. Information exchange between the models at the regime boundary ensures continuity, with the fluid model providing plasma species densities, velocities, and temperature to the kinetic model and the kinetic model supplying the electric field to the fluid model.

The MUPETS coupling strategy shows significant accuracy when validated against experimental thrust data, with discontinuities in the predicted ion and electron densities at the outlet separating the fluid and kinetic domains minimized to 2–5%. The prediction error fell between 0.3% and 20% for the tested Xenon-fed laboratory thruster [\[3\]](#page-15-2) operating between 15 W and 57 W, which is well within the measurement's uncertainty band.

The MUPETS code and its coupling methodology present improved predictions of a thruster's propulsive performance without the need for the high computational cost incurred by simulating the source chamber region through PIC. The proposed methodology is applicable for further studies in electric propulsion and other plasma applications involving multiple regimes. It imposes no additional assumptions on plasma properties at the coupling interface beyond current-free flow; in addition, its relevance extends to various solvers, making it adaptable to numerous cases and codes beyond those considered in this study.

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#### **Nomenclature**

The following abbreviations are used in this manuscript:





## <span id="page-11-0"></span>**Appendix A. Physical Models**

*Appendix A.1. Fluid Model*

The fluid model separately considers the neutral atoms, excited atoms in the lumped 1Sr, 1Sm, and 2P states [\[21\]](#page-16-25), single positive ions, and negative electrons. These species are denoted with the subscripts 0, 1*Sr*, 1*Sm*, 2*P*, *i*, and *e*, respectively. The plasma dynamics in the fluid model are governed by a set of three fundamental equations: the conservation of mass, the conservation of energy, and the Poisson equation, shown respectively in Equations [\(A1\)](#page-12-0)–[\(A3\)](#page-12-1). Equation [\(A1\)](#page-12-0) is considered for each species number density *n<sup>I</sup>* , where the subscript *I* denotes the species, whereas Equation [\(A2\)](#page-12-2) only considers the electron energy density *n<sup>ε</sup>* , as the energy density of the other species is negligibly small [\[40,](#page-17-6)[43,](#page-17-9)[65\]](#page-18-0). Equation [\(A3\)](#page-12-1) complements the conservation equations by solving the plasma potential  $\phi$ , obtaining the internal electric field and completing the model's governing equations.

<span id="page-12-0"></span>
$$
\frac{\mathrm{d}n_I}{\mathrm{d}t} + \nabla \cdot \vec{\Gamma_I} = R_{chem}^I \tag{A1}
$$

$$
\frac{d n_{\varepsilon}}{dt} + \nabla \cdot \vec{\Gamma_{\varepsilon}} - \nabla \phi \cdot \vec{\Gamma_{e}} = P w - P_{chem}
$$
 (A2)

<span id="page-12-1"></span>
$$
\nabla^2 \phi = -q \left( \frac{n_i - n_e}{\varepsilon_0} \right) \tag{A3}
$$

<span id="page-12-2"></span>In Equations [\(A1\)](#page-12-0) and [\(A2\)](#page-12-2),  $R_{chem}^I$ ,  $P_{w}$ , and  $P_{chem}$  are respectively the source or sink term for the species plasma reactions, the power coupled to the plasma, and the power lost or gained through plasma reactions. The species density flux Γ*<sup>I</sup>* is modeled through the DD approximation [\[34\]](#page-17-0) shown in Equation  $(A4)$ :

<span id="page-12-3"></span>
$$
\vec{\Gamma_I} = -D_I \nabla n_I \pm \mu_I \vec{E} \cdot n_I \tag{A4}
$$

where  $D_I$  and  $\mu_I$  are the diffusion and mobility of the species, respectively, while *E* is the electric field. The magnetized electron diffusion and mobility shall be tensors [\[66\]](#page-18-1), while the diffusion and mobility of the heavy species shall be scalar coefficients [\[20\]](#page-16-13). The sign in  $\pm$  follows the charge of the species. Finally, in Equation [\(A3\)](#page-12-1), *q* is the electric charge and  $\varepsilon_0$ is the permittivity of the free space. The coefficients  $D_I$  and  $\mu_I$  and terms  $R_{chem}^I$ ,  $P_{w}$ , and *Pchem* are expanded upon in [\[20\]](#page-16-13).

Conventional boundary conditions (BCs) are set for the source inlet and walls of the fluid model, while the outlet is treated separately in order to couple it to the kinetic model. Considering Poisson's equation first, both the inlet and walls are assumed to be grounded, and consequently have zero potential [\[20\]](#page-16-13):

$$
\phi_{inlet,wall} = 0. \tag{A5}
$$

For conservation of mass, the BCs imposed on the impinging fluxes Γ*I*ˆ*<sup>k</sup>* at the inlet and wall (with  $\hat{k}$  being the normal direction to the inlet or wall) are treated differently for the different species. The following three groups are considered: light electrons, heavy neutrals in the ground state, and heavy ions and excited neutrals. The light electron transport at the inlet and walls is modeled through the Bohm sheath criterion [\[39\]](#page-17-5). This criterion imposes the Bohm velocity [\[67\]](#page-18-2),  $v_B = \sqrt{\frac{qTe}{m_a}}$  $\frac{41}{m_i}$  upon the electrons, as shown in Equation [\(A6\)](#page-12-4).

<span id="page-12-4"></span>
$$
\Gamma_{e_{\hat{k},\text{inlet,wall}}} = v_B n_e \tag{A6}
$$

The electron energy density is linked to the electron density and temperature following  $n_{\varepsilon} = \frac{3}{2} n_{e} T_{e}$  [\[68\]](#page-18-3). The BC for the plasma's energy flux is then determined from the Bohm sheath criterion [\[21](#page-16-25)[,69\]](#page-18-4), as shown in Equation [\(A7\)](#page-12-5):

<span id="page-12-5"></span>
$$
\Gamma_{\varepsilon_{\hat{k},\text{inlet,wall}}} = \frac{2}{3} \left( \frac{1}{2} \left( 1 + \ln \left( \frac{m_i}{2\pi m_e} \right) \right) + 2 \right) \cdot \Gamma_{\varepsilon_{\hat{k},\text{inlet,wall}}}.\tag{A7}
$$

The heavy ions and excited neutrals are modeled through the Hagelaar conditions [\[70\]](#page-18-5). The BC for single positively-charged ions [\[20\]](#page-16-13) is provided by Equation [\(A8\)](#page-12-6)

<span id="page-12-6"></span>
$$
\Gamma_{i_{\hat{k},\text{inlet,wall}}} = \mu_i E_{\hat{k}} + \frac{1}{2} n_i v_{th_i}.
$$
\n(A8)

For the excited chargeless neutrals  $(I = 15r, 15m, 2P = ex)$ , the electromagnetic diffusion component drops out and the BC becomes Equation  $(A9)$ 

<span id="page-12-7"></span>
$$
\Gamma_{ex_{\hat{k},\text{inlet,wall}}} = \frac{1}{2} n_{ex} v_{th_{ex}}.
$$
\n(A9)

At the wall and inlet, neutrals in the ground state are gained through recombination of impinging ions and electrons as well as from excited neutrals falling back to their ground state [\[20\]](#page-16-13). The ground state neutral flux at the wall Γ0*wall* equals the negative sum of the impinging ion flux and excited neutral flux, as shown in Equation  $(A10)$ . The BC at the source inlet includes an additional term representing the injected mass flow of neutral propellant gas [\[20\]](#page-16-13), as shown in Equation [\(A11\)](#page-13-1).

<span id="page-13-0"></span>
$$
\Gamma_{0_{\hat{k},wall}} = -\Sigma_{j=i,ex} \Gamma_{j_{\hat{k},wall}}
$$
\n(A10)

<span id="page-13-1"></span>
$$
\Gamma_{0_{\hat{k},\text{inlet}}} = -\Sigma_{j=i,ex} \Gamma_{j_{\hat{k},\text{inlet}}} - \frac{\dot{m}}{A_{\text{inlet}} M_0} \tag{A11}
$$

The injected propellant mass flow, the inlet boundary surface area, and the molecular mass of the neutral particles are denoted by *m*˙ , *Ainlet*, and *M*<sup>0</sup> respectively.

## *Appendix A.2. Kinetic Model*

The kinetic model tracks different macroparticles representing the different species (positive ions, negative electrons, and neutrals). For the neutral macroparticle group, the excited and ground state neutrals are grouped together. The model's governing equations consist of Equation [\(A12\)](#page-13-2) to solve the motion of each macroparticle via Boris' algorithm [\[71\]](#page-18-6) and again Poisson's equation Equation [\(A3\)](#page-12-1) to solve the plasma potential *ϕ*.

<span id="page-13-2"></span>
$$
\frac{\mathrm{d}\vec{v_p}}{\mathrm{d}t} = \frac{q_p}{m_p} \left( \vec{E} + \vec{v_p} \times \vec{B} \right)
$$
(A12)

The kinetic regime exists outside of the physical thruster structure and extends into the infinite free space outside of it. Taking *r* as the total distance from the center of the thruster, the model requires an external domain boundary limit [\[42\]](#page-17-8) with a set of BCs that model this free space, where  $r \to \infty$  to constrain the model's domain. In free space, the potential is assumed to behave as  $\phi \approx 1/r$  [\[25\]](#page-16-17), causing the gradient across the external boundary to be modeled as Equation [\(A13\)](#page-13-3), as shown in Figure [3,](#page-3-1) where *ϕ*∞ is the potential at an infinite distance from the thruster outlet and  $\hat{k}$  is the direction normal to the external boundary.

<span id="page-13-3"></span>
$$
\frac{\mathrm{d}\phi}{\mathrm{d}\hat{k}} = \frac{1}{r}(\phi_{\infty} - \phi) \tag{A13}
$$

Ions and electrons are removed from the simulation domain when reaching the casing and thruster outlet [\[42\]](#page-17-8), representing recombination or backflow into the source. When the charged species reach the external boundaries, the ions are also removed [\[42\]](#page-17-8), while the electrons are treated differently. At steady state, the plasma plume exhausted by an ambipolar plasma thruster must be globally current-free, characterized by

$$
J_{i-,ext} + J_{e,ext} = -\sum_{i+} J_{i+,ext},
$$
 (A14)

where *Ji*−,*ext* and *Je*,*ext* denote the current across the external free space boundary due to negative ions and electrons, respectively, while *Ji*+,*ext* denotes the current due to any ions with single, double, or higher positive charge.

To maintain this, an energy-based criterion [\[25\]](#page-16-17) is used to determine whether the electrons escape the potential drop over the plume or become trapped inside of it. First, the total energy of electrons is calculated in Equation [\(A15\)](#page-13-4).

<span id="page-13-4"></span>
$$
E_{tot} = \frac{1}{2}m_e v^2 - q_e \phi \tag{A15}
$$

If  $|E_{tot}| > |q\phi_{\infty}|$ , then the electrons will escape the plume and be removed from the simulation domain; if  $|E_{tot}| \leq |q\phi_{\infty}|$ , then they become trapped, and their velocity is mirrored ( $\vec{v} = -\vec{v}$ ). To reflect enough electrons to maintain a global current-free plasma [\[42\]](#page-17-8), the value of *ϕ*<sup>∞</sup> is controlled in the PIC iterations by a virtual free-space capacitance *C* through

$$
\phi_{\infty}^{n+1} = \phi_{\infty}^n + \frac{1}{C} \left( J_{i,ext}^n + J_{e,ext}^n \right) \Delta t. \tag{A16}
$$

Before a global current-free plasma outflow is achieved at steady state, any nonzero net current leaving the open boundaries during the transient must be reinjected into the domain via the thruster outlet in order to complete the circuit. The injected electron current *Je*∗ must also be controlled such that the quasi-neutrality condition holds at the source outlet. The ions are injected with a constant current  $J_{i*} = \pi R_*^2 q n_{i*} v_{i*}$ , where  $n_{i*}$  and  $v_{i*}$  are obtained from the fluid model. Instead, the injected electron current is updated each time step according to

$$
J_{e*}^{n+1} = (J_{i,ext}^n + J_{e,ext}^n) + \frac{n_{i0}^n}{n_{e0}^n} J_{e*}^n,
$$
 (A17)

where  $n_{i0}$  and  $n_{e0}$  are the ion and electron densities at the thruster outlet as solved by the PIC model instead of the fluid model. For further details on the formulation of this PIC model as well as derivation, validation, and sensitivity analyses, the reader is referred to the works of Andrews et al. [\[25\]](#page-16-17) and Andriulli et al. [\[30\]](#page-16-21).

#### <span id="page-14-0"></span>**Appendix B. Numerical Models**

# *Appendix B.1. Fluid Code: OpenFOAM*

The fluid model is run in the OpenFOAM [\[44\]](#page-17-10) open source code. The starting conditions depend on the type of propellant and the simulated setup. The BCs follow the physical methodology, and as such use Neuman, Dirichlet, and Robin BCs. Table [A1](#page-14-1) reports the chosen time step and mesh cell size of the fluid simulation. In addition, Table [A1](#page-14-1) reports the numerical schemes with which derivatives in the equations of the physical model are linearized into a single matrix of equations for each variable or property along with the linear solvers and convergence algorithm used to solve said matrix. Appropriate source and sink terms, originating from the plasma chemistry and RF power deposition ensure that the mass flux is conserved.

<span id="page-14-1"></span>**Table A1.** OpenFOAM simulation system settings.



## *Appendix B.2. Kinetic Code: Starfish*

The kinetic model uses the PIC Starfish open-source code [\[45\]](#page-17-11). Starfish injects plasma particles at a source surface into an initially empty domain and continues to track them until convergence. The amount of injected particles is determined by the density, temperature, and drift velocity of the corresponding species. A minimum total macroparticle count of 700,000 per species was found to be a good compromise between limited computational time and reasonably low numerical noise (a count of 10–20 particles per cell is usually granted with this setup). As a result, the macroparticle weights for the plasma species ranged from  $0.5\times10^7$  to  $1.5\times10^7$ . Throughout the simulation, the total amount of macroparticles in the domain did not vary more than 6%, and settled within 2% of the initial 700,000 per species at convergence. Thus, mass remained conserved throughout the kinetic simulation. The computational cost of the simulation was heavily dictated by the mesh size and time step. The spacing of the former should be smaller than the Debye length  $\lambda_D=\sqrt{\epsilon_0k_BT_e/n_eq^2}$ , while the latter should be small enough to resolve the plasma frequency  $\omega_{pe} = \sqrt{n_e q^2/\epsilon_0 m_e}$ . In order to reduce the computational load enforced by these stringent requirements, two numerical acceleration schemes were utilized [\[72\]](#page-18-7). First, the vacuum permittivity  $\epsilon_0$  was increased by a factor  $\gamma^2$ , which increases the Debye length  $\lambda_D$  by  $\gamma$  while reducing the plasma frequency by  $1/\gamma$ . This scaling allowed for a less fine mesh, making for fewer total macroparticles, as well as a larger time-step. Second, the mass of the heavy particles was reduced by a factor *f* , resulting in a velocity increase of  $\sqrt{f}$ . These schemes resulted in an approximate simulation time reduction of  $\gamma^2 \sqrt{f}$ . Based on an earlier sensitivity analysis [\[25\]](#page-16-17), the factors used in this work were  $\gamma = 26.7$ and  $f = 250$ , respectively. These factors ensured that the thruster outlet, where the mesh is finest, could be resolved with 20 cells  $(R_* = 20 \lambda_D)$ . After the simulation concluded, the affected parameters were scaled back to their physical values during postprocessing. After approximately 25,000 iterations to reach convergence, the solution was averaged over an additional 50,000 iterations to minimize noise. The output included field values of the macroscopic plasma properties interpolated from the macroparticles at every mesh node. The model set the injection source surface, which coincides with the domain interface, at zero potential. Macroparticle motion, described in Equation [\(A12\)](#page-13-2), was solved using the leapfrog Boris algorithm [\[73\]](#page-18-8). Collision processes were modeled through the Direct Simulation Monte Carlo (DSMC) and Monte Carlo Collision (MCC) methods, considering the following seven types of collision [\[25\]](#page-16-17):

- 1. Electron–electron Coulomb scattering [\[48\]](#page-17-14)
- 2. Electron–ion Coulomb scattering [\[48\]](#page-17-14)
- 3. Electron–neutral elastic scattering [\[74\]](#page-18-9)
- 4. Ionization
- 5. Ion–neutral elastic scattering [\[75\]](#page-18-10)
- 6. Ion–neutral charge exchange [\[76\]](#page-18-11)
- 7. Neutral–neutral elastic scattering [\[77\]](#page-18-12).

Neutral particle expansion was handled separately from charged particles in order to reduce runtime, with interactions between charged and neutral particles calculated by setting the neutral plume expansion solution as a background for modeling charged species.

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