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Effect on post-operative pulmonary complications frequency of high flow nasal oxygen versus standard oxygen therapy in patients undergoing esophagectomy for cancer: study protocol for a randomized controlled trial—OSSIGENA study

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Background: Postoperative pulmonary complications (PPCs) remain a challenge after esophagectomy. Despite improvement in surgical and anesthesiological management, PPCs are reported in as many as 40% of patients. The main aim of this study is to investigate whether early application of high-flow nasal cannula (HFNC) after extubation will provide benefit in terms of reduced PPC frequency compared to standard

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oxygen therapy.

Methods: Patients aged 18–85 years undergoing esophagectomy for cancer treatment with radical intent, excluding those with American Society of Anesthesiologists (ASA) score >3 and severe systemic comorbidity (cardiac, pulmonary, renal or hepatic disease) will be randomized at the end of surgery to receive HFNC or standard oxygen therapy (Venturi mask or nasal goggles) after early extubation (within 12 hours after the end of surgery) for 48 hours. The main postoperative goals are to obtain $\text{SpO}_2 \ge 94\%$ and adequate pain control. Oxygen therapy after 48 hours will be stopped unless the physician deems it necessary. In case of respiratory clinical worsening, patients will be supported with the most appropriate tool (noninvasive ventilation or invasive mechanical ventilation). Pulmonary (pneumonia, pleural effusion, pneumothorax, atelectasis, acute respiratory distress syndrome (ARDS), tracheo-bronchial injury, air leak, reintubation, and/or respiratory failure) complications will be recorded as main outcome. Secondary outcomes, including cardiovascular, surgical, renal and infective complications will also be recorded. The primary analysis will be carried out on 320 patients (160 per group) and performed on an intention-to-treat (ITT) basis, including all participants randomized into the treatment groups, regardless of protocol adherence. The primary outcome, the PPC rate, will be compared between the two treatment groups using a chi-square test for categorical data, or Fisher's exact test will be used if the assumptions for the chi-square test are not met.

Discussion: Recent evidence demonstrated that early application of HFNC improved the respiratory rate oxygenation index (ROX index) after esophagectomy but did not reduce PPCs. This randomized controlled multicenter trial aims to assess the potential effect of the application of HFNC versus standard oxygen over PPCs in patients undergoing esophagectomy.

Trial registration: This study is registered at clinicaltrial.gov NCT05718284, dated 30 January 2023.

Keywords: Esophagectomy; postoperative pulmonary complications (PPCs); high flow nasal cannula; outcome; perioperative medicine

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1 Introduction

² Esophageal cancer is the sixth leading cause of cancer³ related death worldwide, with an estimated 540,000
⁵ deaths (1).

According to the Global Cancer Observatory, by the
end of 2040, there will be nearly 1 million new diagnoses of
esophageal cancer worldwide (2).

9 Esophagectomy is still the only curative treatment.
10 Despite improvements in surgical and anesthesiological
11 perioperative management, esophagectomy still carries
12 a high risk of postoperative complications, which are
13 reportedly as great as 50% (3,4). Postoperative pulmonary
14 complications (PPCs) are particularly frequent, and
15 according to the literature, they affect 40% of patients (5).

PPCs comprehend different entities, with pneumonia being the most common with an overall incidence rate of 15% (6); however, pleural effusion, atelectasis and pneumothorax are reported in a non-negligible frequency

rate (7).

Some pre- and intra-operative factors have been21demonstrated to reduce PPCs' incidence rate (8).22However, postoperative options have not received adequate23consideration.24

Despite pathophysiology being extremely complex, it 25 seems that postoperative atelectasis might relate to PPCs' 26 onset, especially for pneumonia and acute respiratory failure 27 requiring oxygen supplementation (9). 28

Some noninvasive respiratory support (NIRS) options 29 are available to overcome these complications (10). 30 However, efficacy, tolerance and NIRS ease of use should 31 always be taken into consideration for the success of the 32 treatment (11). 33

Noninvasive preventive ventilation (NIV) has been 34 proposed to reduce PPC after extubation, but its role is still 35 being debated raising concerns about possible interference 36 with surgical anastomosis (8). 37

High-flow nasal cannula (HFNC) has been developed
within acute respiratory failure treatment in critical care
settings, but evidence also supports its use for prevention of
PPCs (12,13).

In the specific setting of esophagectomy, only small
observational studies have assessed this aspect, albeit with
promising results (14,15).

As a consequence, the primary aim of this multicenter
randomized controlled trial is to evaluate whether early
HFNC application after extubation in patients undergoing
esophagectomy will reduce PPCs compared to standard
oxygen therapy (Venturi mask or nasal googles).

Secondary aims explore whether any difference in 50 cardiovascular, surgical, renal or infective complications 51 will be recorded within the two treatment groups. Finally, 52 we will test if serum biomarkers, i.e., cardiac troponin 53 and NT-pro brain natriuretic peptide (NT-proBNP) have 54 sufficient sensitivity to predict the onset of postoperative 55 complications. We present this article in accordance with 56 the SPIRIT reporting checklist (available at https://jtd. 57 amegroups.com/article/view/10.21037/jtd-24-575/rc). 58

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Methods

This study was approved by the Ethics Committee 62 of Friuli Venezia Giulia Region (CEUR-FVG), the 63 coordinating center, with the identification number 16941 64 dated 28 February 2023. The study will be conducted in 65 accordance with the Declaration of Helsinki (as revised in 66 2013). The study was also registered at clinicaltrials.gov, 67 identifier NCT05718284 (https://clinicaltrials.gov/study/ 68 NCT05718284), dated 30 January 2023. Ad hoc insurance 69 has been activated for the study (Lloyd's Insurance 70 Company S.A., # A1202352299-LB). 71

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⁷³ Study design and patients

OSSIGENA is an Italian multicenter randomized controlled 75 trial. Patients will be recruited in high-volume centers for 76 esophageal cancer surgery. Centers have been previously 77 identified through inspection of the website (https://pne. 78 agenas.it/home) of the Italian National Agency for Regional 79 Healthcare Systems, which provides official data about 80 health-care volume and outcomes of Italian hospitals for 81 every single illness (16). 82

83 High-volume centers have been defined as surgery units
84 that perform ≥20 esophagectomies per year (17).

85 Patients will be recruited in the 12 participating centers

after each one has received approval from their institution's 86 ethics committee before the enrollment of the first patient. 87

Inclusion criteria

Inclusion criteria are age 18–85 years; esophagectomy to remove esophageal cancer with radical intent (R0); openmininvasive or robotic surgery; extubation in operating room or within 12 hours after the end of surgery; and self-reported metabolic equivalents (METS) \geq 4.

Exclusion criteria

98 99 Exclusion criteria are American Society of Anesthesiologists (ASA) score >3; COPD stage \geq III according to GOLD 100 classification; DLCO <50%; FEV1 <50% predicted 101 value for population reference; previous lung resection 102 surgery; severe cardiac disease (EF $\leq 30\%$, NYHA >2, 103 ICD, pulmonary hypertension); BMI ≤ 17 or ≥ 35 kg/m²; 104 CKD with eGFR <50 mL/min; combined with other 105 type of surgery (example: laryngectomy); recent deep vein 106 thrombosis (in the last month), and/or invasive mechanical 107 ventilation >24 hours after surgery for respiratory or 108 other problems (according to the clinical judgment of the 109 physician). 110

Patient consent and data protection

Patients will receive information about the study, and 114 written consent will be requested before surgery. If the 115 patient is unable to write their signature, verbal consent 116 will be requested in the presence of two witnesses. Patient 117 data will be processed according to the Declaration of 118 Helsinki and the European Privacy Regulation 2016/679 119 for General Data Protection Regulation (GDPR). Each 120 center is provided with an identical case report form 121 (CRF). A principal investigator (PI) will be responsible 122 for each participating center's data collection, ensuring 123 proper concealment of each patient's identity on the 124 linked CRF and for storing links between sensitive data 125 and patient univocal codes under password protection. 126 In case of any difficulties or problems, each PI will be 127 able to communicate with the study's other PIs. Two 128 independent investigators will perform data management 129 activities on the database and check for abnormalities and 130 inconsistencies. The study will be reported according to 131 the CONSORT checklist for reporting parallel group 132 randomized trials. 133

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134 Outcomes

The primary outcome of this trial is to assess the efficacy
of early HFNC oxygen therapy in reducing PPCs after
esophagectomy compared to standard oxygen therapy
delivered via a Venturi mask or nasal cannula. PPCs will be
defined according to standardized criteria, which may include,
but are not limited to, pneumonia, atelectasis, bronchospasm,
respiratory failure, and the need for re-intubation.

The secondary outcomes of the study are focused onevaluating differences in the complication rates across fourkey areas between the two groups:

- (I) Cardiovascular complications: arrhythmias, myocardial infarction, heart failure or any other cardiovascular event rate occurred postoperatively;
- (II) Surgical complications: anastomotic leakage, woundinfection or any other surgical site complication rate;
- (III) Renal complications: acute kidney injury or failure
 rate, as measured by changes in serum creatinine or
 urine output; and
- (IV) Infective complications: sepsis, urinary tract infectionsor any other hospital-acquired infections rate.

As exploratory outcomes, the study will evaluate the sensitivity of serum biomarkers, specifically sensitive cardiac troponin, and NT-proBNP in predicting the onset of postoperative complications. These biomarkers will be measured preoperatively and at defined intervals postoperatively (once a day for the first 3 postoperative days) to assess their association with the actual occurrence of complications.

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Endpoints

The primary endpoint is the PPC reduction defined by astatistically significant lower rate of PPCs in the HFNCgroup compared to the standard oxygen therapy group.

169 Secondary endpoints are:

the difference in complication rates, defined by
comparing the rate of cardiovascular, surgical,
renal and infective complications between the two
groups; and

the difference in biomarker predictive value defined
by the ability of pre-operative and postoperative
levels of sensitive cardiac troponin and NTproBNP to accurately predict the occurrence of
postoperative complications.

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180181*Randomization*

182 After informed written consent is obtained, at the end of

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surgery, each patient will be assigned to intervention or 183 standard oxygen treatment. 184

Block randomization derived in a central computerized 185 system through http://www.randomization.com will be 186 managed by the PI of the study (C.D.). The enrollment ratio 187 will be 1:1 and will be competitive among participating centers. 188

Peri-operative anesthesiological management

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All patients scheduled for esophagectomy will be evaluated 192 before surgery according to ESC guidelines (18) and 193 following the internal protocol in use at each participating 194 center. 195

Standard (EKG, SpO₂, neuromuscular transmission, 196 ETCO₂) plus invasive arterial monitoring, urinary catheter 197 and internal temperature probe will be adopted for every 198 patient. 199

Intraoperative protective mechanical ventilation with 200 tidal volume (V_T) of 6–8 mL/kg of predicted body weight 201 (PBW) [calculated according to acute respiratory distress 202 syndrome (ARDS) network formula (19)] and PEEP 203 5 cmH₂O during two-lung ventilation will be adopted. In case 204 of one-lung ventilation, V_T will be reduced to ≤ 5 mL/kg of 205 PBW. Lung recruiting maneuvers can be performed if the 206 anesthesiologist deems them necessary. In any case, the 207 anesthesiologist in charge will be free to modify ventilatory 208 parameters as needed. 209

Hemodynamic monitoring will not be protocolized. 210 However, fluid therapy should be targeted to reach 211 zero fluid balance at the end of surgery, or it will be 212 goal-directed if cardiac output monitoring is available. 213 The maximum amount of fluid infusion allowed will be 214 $\leq 10 \text{ mL/kg/h}.$ 215

Intraoperative transfusion will take place when Hb \leq 7 g/dL,216unless there is a history of coronary artery disease or signs217of inadequate organ perfusion (lactates >2 mmol/L, ScVO2218<70% or urinary output \leq 0.5 mL/kg/h), when higher Hb219targets should be considered.220

Depth of anesthesia will be monitored and tailored 221 according to the available monitoring tools at each 222 participating center. 223

Postoperative analgesia should provide numeric rating scale (NRS) <4.

Postoperative oxygen supplementation treatment protocol

According to randomization, patients will receive HFNC or conventional oxygen therapy (COT) as shown in *Figure 1*. 230

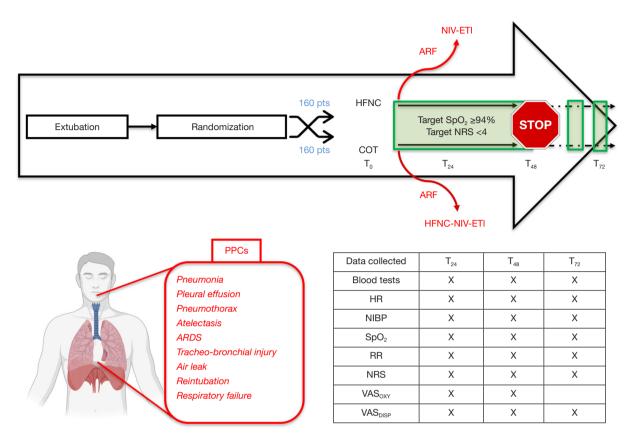


Figure 1 Study timeline. After extubation that will be performed within 12 hours after the end of surgery, patients will be randomized (T_{0}) to receive HFNC or COT for the following 48 hours. The primary oxygenation target will be to maintain SpO₂ \geq 94% with the lowest FiO₂ possible. After this period (T_{48}), treatment will be stopped unless the physician deems it necessary. In case of clinical signs of respiratory worsening (ARF) during the period T_{0} - T_{48} , irrespective of the treatment assigned, patients will be treated with noninvasive or invasive respiratory support per the physician's decision. Similarly, patients randomized to COT will be allowed to receive HFNC if increased NIRS is necessary. The main PPCs recorded within the first 30 days after surgery will be pneumonia, pleural effusion, pneumothorax, atelectasis, ARDS, tracheo-bronchial injury, air leak, reintubation and respiratory failure. After the initiation of oxygen treatment, for 72 hours, all parameters shown in the table in the lower right part of the figure will also be collected. Figure made with biorender.com. HFNC, high-flow nasal cannula; COT, conventional oxygen therapy; ARF, acute respiratory failure; NIV, noninvasive ventilation; ETI, endotracheal intubation; NRS, numerical rating scale for pain; HR, heart rate; NIBP, noninvasive blood pressure; RR, respiratory rate; VAS_{OXY}, visual analogue scale for the tolerance of the oxygen treatment delivered; PPC, postoperative pulmonary complication; NIRS, noninvasive respiratory support; VAS_{DISP} visual analogue numeric scale for dyspnea.

to reach SpO₂ \geq 94%.

231 Intervention group—HFNC group

In the intervention group, HFNC (OptiflowTM Nasal 232 High Flow, AIRVO2 Fisher & Paykel HealthCare Ltd., 233 Auckland, New Zealand) will be applied immediately 234 after extubation with the following setting: gas flow will 235 236 be initially set at 50 or 60 L/min if body weight is <80 or 237 \geq 80 kg, respectively. The initial temperature will be set at 37 °C, while the lowest FiO_2 to reach $SpO_2 \ge 94\%$ will 238 239 be used.

In case of intolerance, set parameters will be modified to240cope with the patient's comfort.241The nasal cannula will be of adequate size considering242the dimensions of the patient.243COT group245The control group will receive oxygen supplementation246with Venturi mask or nasal goggles with the minimum FiO2247

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Both treatments (HFNC and COT) will last 48 hours after extubation, and then they will be stopped unless they are deemed clinically necessary per the physician's evaluation.

Any interruption of oxygen supplementation required during the 48 hours after extubation should be reduced to the minimum time possible.

If clinically necessary according to the physician's 256 judgment in case of acute respiratory failure (as described 257 further on), patients in the control oxygen group will be 258 allowed to receive HFNC as long as necessary. Moreover, 259 in both groups, NIRS or endotracheal intubation will 260 be allowed in the same case or other life-threating 261 complications if the ongoing treatment will be insufficient 262 to treat the acute illness (see Figure 1). This event will be 263 recorded as appropriate in CRF. 264

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²⁶⁶ Data collected for the analysis

Preoperative data collected will be age, sex, weight,
height, BMI, ASA class status, any cardiovascularpulmonary-liver-renal or endocrinological comorbidity,
Charlson Comorbidity Index (CCI), ARISCAT score (20),
neoadjuvant chemo and/or radiotherapy, type and site of
tumor, lung function test (spirometry), reported METs, Hb
and creatinine.

Intraoperative data are type of anesthesia [totally intravenous (TIVA) or inhalatory (INA)], use of vasoactive drugs (norepinephrine-epinephrine-dopamine-dobutamine), complete ventilatory parameters [V_T , PEEP, driving pressure (DP), respiratory rate (RR)], fluid balance (cumulative), and type of postoperative analgesia (locoregional analgesia, intravenous, other).

Some surgical data will be also recorded, such as type of
surgery (open-mininvasive or robotic), duration of surgical
procedures and blood loss.

Postoperative data will include blood gas analysis (pH,
PaO₂, PaCO₂, HCO₃⁻, PaO₂/FiO₂), hemochrome, cardiac
troponin and NT-proBNP, C-reactive protein (CRP),
procalcitonin (PCT), serum creatinine and blood urea
nitrogen (BUN). These tests will be sampled once a day for
the first 3 postoperative days.

Moreover, 4 times a day for the first 3 postoperative days, heart rate (HR), noninvasive blood pressure (NIBP), SpO₂, RR, temperature, and pain (with NRS) and visual analogue numeric scale for dyspnea (VAS_{DYSP}) [from 0 (no dyspnea) to 10 (the worst dyspnea ever)] will be collected.

Each day at least once a day for the first 48 hours after

extubation, oxygen supplementation parameters will be297registered, including HFNC tolerance according to the298VAS scale (VAS_{OXY}) (from 0 to 10, 0 completely tolerated,29910 not tolerable).300

Finally, survival will be assessed at 30 days after surgery.

All data will be collected in dedicated Excel (Microsoft 302 Windows) sheets ad hoc prepared by PI (C.D.). Each single 303 center will receive the Excel file where anonymized data 304 will be recorded and shared with PI. 305

Postoperative complications

All the following postoperative complications partially 309 modified from "International Consensus on Standardization 310 of Data Collection for Complications Associated with 311 Esophagectomy" (21) and "Postoperative Pulmonary 312 Complications" (22) that appeared within 30 days after 313 surgery will be considered for the final analysis. 314

✤ Pulmonary:

- (I) Pneumonia (defined as lung opacity at chest 316 X-ray; plus at least 1 from fever >38 °C without 317 any other plausible cause, WBC <4,000 or 318 >12,000/mm³, mental alteration in patients 319 >70 years old without any other cause; plus 320 at least 2 from new onset purulent sputum, 321 increasing bronchial secretions, new onset 322 or increasing cough, dyspnea, tachypnoea, 323 decreasing SpO₂ or lung crackles); 324
- (II) Pleural effusion: chest X-ray with obliteration 325 of costophrenic angle blunting or ultrasound 326 findings suggesting free fluid within the pleural 327 space; 328
- (III) Pneumothorax: air in chest cavity diagnosed 329 with chest X-ray or CT scan; 330
- (IV) Atelectasis: lung opacity with/without 331
 mediastinal shift, with contralateral signs of 332
 hyperinflation diagnosed with chest X-ray or 333
 CT scan, with/without need for bronchoscopy; 334
- (V) ARDS: ARDS according to Berlin definition (23); 335
- (VI) Tracheobronchial injury: bronchoscopic 336
 evaluation or CT scan suggesting discontinuity 337
 within the bronchial tree; 338
- (VII) Air leak: continuous air leak within the chest 339 drainage lasting for >72 h; 340
- (VIII) Reintubation: need for reintubation; and/or 341
- (IX) Respiratory failure: oxygen supplementation 342required to maintain SpO₂ \geq 94% with dyspnea, 343tachypnoea, without signs of pneumonia. 344

345	✤ Cardie	ovascular:
346	(I)	Cardiac arrest;
347	(II)	Acute myocardial infarction;
348	(III)	New onset arrythmia requiring cardiological
349		consultation;
350	(IV)	Acute heart failure;
351	(V)	Pericarditis;
352	(VI)	Pulmonary embolism at computed tomography
353		(CT) scan;
354	(VII)	Deep vein thrombosis; and/or
355	(VIII)	Stroke, either ischemic or hemorrhagic.
356	 Surgion 	cal:
357	(I)	Anastomotic leak;
358	(II)	Chylothorax;
359	(III)	Conduit necrosis;
360	(IV)	Dysphagia;
361	(V)	Delayed emptying; and/or
362	(VI)	Reoperation needs.
363	✤ Renal	:
364	(I)	Acute kidney failure [KDIGO criteria (24)];
365		and/or
366	(II)	Need for hemodialysis or continuous renal
367		replacement therapy (CRRT).
368	 Infect 	ive:
369	(I)	Surgical site infection, bloodstream infection,
370		CRBLSI, UTI, septic shock.
371		n groups, treatment failure, i.e., the need to
372	increase the	e intensity of respiratory support as shown in

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figure 1, will be recorded.

Statistical analysis

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For continuous variables, the data will be described using 377 the median and the interquartile range (IQR). Categorical 378 variables, such as the gender of participants or the presence 379 of specific clinical conditions, will be described using 380 absolute frequencies and percentages. For the analysis of 381 continuous variables, the Wilcoxon test will be used. For 382 categorical variables, the chi-square test or Fisher's exact 383 test, whichever is appropriate, will be employed. 384

The primary outcome, the PPCs rate, will be compared 385 between the two treatment groups (early HFNC 386 application vs. standard oxygen therapy). This comparison 387 will be conducted using a chi-square test for categorical 388 data. If the assumptions for the chi-square test are not 389 met (e.g., small expected cell counts), Fisher's exact test 390 will be used as an alternative. The primary analysis will be 391 performed on an intention-to-treat (ITT) basis, including 392

all participants being randomized into the treatment groups, 393 regardless of protocol adherence. 394

Secondary outcomes include differences in cardiovascular, 395 surgical, renal or infective complications between the two 396 groups. Each of these outcomes will be analyzed separately: 397

similarly to the primary outcome, the rate of these 398 complications will be compared using chi-square or Fisher's 399 exact tests, as appropriate. 400

To evaluate the sensitivity of biomarkers (cardiac troponin 401 and BNP) in predicting postoperative complications, receiver 402 operating characteristic (ROC) curves will be used. The 403 area under the curve (AUC) will provide a measure of the 404 biomarkers' ability to discriminate between patients with 405 and without complications. Optimal cutoff values will be 406 determined based on the Youden index. 407

The statistical analyses will be conducted with R (R Core 408 Team 2015) (25). 409

Power analysis

From the available data, the PPCs' frequency rate after 413 esophagectomy is 20-40% (26). Considering PPCs' 414 frequency of 25% (3) and expecting their absolute 415 reduction of 12.5% [prudential reduction as show in Xia 416 et al.'s study (15)], the sample size required to compare 417 two independent proportions with the chi-square test 418 with α =0.05 and β =0.20, with an enrollment ratio of 1:1, is 419 152 patients per group. Expecting a drop-out rate of near 420 5%, 160 patients per group will be required to test the null 421 hypothesis. 422

Discussion

PPCs represent a major problem after esophagectomy (27). 426 Their onset is associated with adverse outcomes, including 427 longer hospital stay and increased risk of death (28,29). 428

Evidence supports early application of noninvasive429ventilation after extubation in some clinical settings such as
cardiac, lung resection or major abdominal surgery (12,13).431

Scarce evidence exists in the specific setting of 432 esophagectomy. A recent observational study demonstrated 433 that early HNFC application was associated with a better 434 ROX index in the first 24 hours than in the standard 435 oxygen group, especially by reduction of RR (14). This is 436 an important aspect to consider since it probably allows 437 the performance of respiratory physiotherapy early after 438 surgery, with all the potential consecutive benefits. 439

In fact, a recent meta-analysis demonstrated that 440

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441 postoperative rehabilitation resulted in a lower incidence 442 of pneumonia, a shorter LOS_{HOSP} and better health-related 443 quality of life scores for dyspnea and physical functioning (26).

Moreover, in our study, we noted that in the HFNC group, there was a decreased frequency of postoperative acute respiratory failure, although it was not statistically significant (P=0.07).

We should consider that the trial was not adequately powered and was not randomized, so some biases could have been present. However, we did not demonstrate any reduction in the frequency of either overall postoperative or pulmonary complications.

In this regard, our study contrasts with the findings of Xia *et al.*, who found that application of HFNC after extubation reduced hypoxemia, incidence of clinical PPC and anastomotic leakage and was associated with shorter stays in hospital (15).

In more detail, HFNC compared to COT reduced
lung volume loss caused by pneumothorax, atelectasis and
pulmonary consolidation as demonstrated with CT scan
imaging.

From a pathophysiological point of view, these findings 462 are expected since HFNC provides positive airway pressure 463 and increased anatomical dead-space washout, with clinical 464 improvement of oxygenation, reduction of breathing 465 effort and, finally, ameliorating respiratory mechanics with 466 optimization of the patient's comfort (30). Many effects 467 of HFNC are flow-dependent (31). However, high gas 468 flow is better tolerated by hypoxemic patients since they 469 feel the beneficial effect compared to the ones without 470 respiratory failure, in whom lower flows could be required 471 to accommodate the patient's tolerability. Probably for 472 this reason, we were not able to demonstrate that HFNC 473 reduced atelectasis investigated with radiological atelectasis 474 score (32). In fact, in our previous study, mean gas flow 475 was 47±6 L/min, but only 65% of patients tolerated the 476 prescribed gas flow, while 35% required flow reduction due 477 to discomfort (14). In addition, we should highlight that the 478 RAS score has its intrinsic limits such as low specificity for 479 atelectasis. 480

Early recognition of postoperative complication is offundamental importance to begin proper treatment withoutany delay.

There has been considerable debate about the predictive capacity of some serum biomarkers such as troponin and natriuretic peptides (33).

A recently published work containing a sub-analysis ofthe MET-repair study, however, demonstrated that pre-

operative evaluation of NPs did not add benefit to the 489 classical predictive scores for cardiac events such as ASA 490 score and MICA score (34). 491

On the other hand, increased postoperative NPs and 492 high-sensitive cardiac troponin are independently associated 493 with adverse cardiac events in major abdominal surgery (35). 494

For this reason, we will evaluate whether early 495 postoperative increase in NPs or cardiac troponin should 496 help identify patients at risk of worsening before it becomes 497 clinically relevant. 498

Our study protocol has some limitations: firstly, 499 esophagectomies will be performed by different surgical 500 teams with different level of expertise. However, we decided 501 to include only high-volume centers to reduce this bias. 502 Second, perioperative management is prone to considerable 503 variability from center to center, such as postoperative ward 504 admission type and level of intensity (ICU versus surgical 505 ward). But this is a practical study, and it is impossible to 506 protocolize every single action for this population simply 507 because human, technology and economic resources vary 508 from one center to another. Finally, we did not consider a 509 standardized prehabilitation program before surgery for 510 this group of patients. However, this was not the aim of the 511 study. 512

The study is currently in the enrollment phase. 513 Esophageal surgery for cancer is increasing, but it is still 514 subject to high rates of postoperative complications, with 515 PPCs being the most represented. We will try to explore 516 a possible PPCs reduction by early application of HFNC 517 after esophagectomy. 518

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Ethical Statement: The authors are accountable for all 585 aspects of the work in ensuring that questions related 586 to the accuracy or integrity of any part of the work are 587 appropriately investigated and resolved. This study was 588 approved by Ethics Committee of Friuli Venezia Giulia 589 Region (CEUR-FVG), the coordinating center, with the 590 identification number 16941 dated 28 February 2023. 591 Patients will receive information about the study, and 592 written consent will be requested. In the case that the 593 patient is unable to write his signature, verbal consent will 594 be asked in the presence of two testimonies. The study 595 will be conducted in accordance with the Declaration of 596 Helsinki (as revised in 2013). 597

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