On the Characterization of Regular Ring Lattices and their Relation with the Dirichlet Kernel

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Abstract

Regular ring lattices (RRLs) are defined as peculiar undirected circulant graphs constructed from a cycle graph, wherein each node is connected to pairs of neighbors that are spaced progressively in terms of vertex degree. This kind of network topology is extensively adopted in several graph-based distributed scalable protocols and their spectral properties often play a central role in the determination of convergence rates for such algorithms. In this work, basic properties of RRL graphs and the eigenvalues of the corresponding Laplacian and Randić matrices are investigated. A deep characterization for the spectra of these matrices is given and their relation with the Dirichlet kernel is illustrated. Consequently, the Fiedler value of such a network topology is found analytically. With regard to RRLs, properties on the bounds for the spectral radius of the Laplacian matrix and the essential spectral radius of the Randić matrix are also provided, proposing interesting conjectures on the latter quantities.

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1. Introduction

Regular Ring Lattices (RRLs) are often exploited in a wide range of research fields and they are also known in literature as k-cycles or "pristine worlds" [1, 2, 3, 4]. A RRL can be considered a peculiar undirected circulant network [5] constructed from a cycle graph, wherein each node is connected

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to pairs of neighbors spaced progressively in terms of vertex degree. Remarkably, RRLs are employed in many graph-based distributed scalable algorithms (see, e.g., [6, 7, 8, 9, 10, 11, 12]), as their symmetry can be exploited for design purposes. Possible applications for this class of networks may encompass intelligent surveillance of public spaces [13], tracking-by-detection [14], identification of sparse reciprocal graphical models [15], definition of shift in graph signal processing [16], modeling of quantum walks [17], video circulant sampling schemes [18], compressive three-dimensional sensing techniques [19] and sensor network monitoring algorithms [20]. The latter examples, in fact, represent only few state-of-the-art topics that motivate this study. Also, although being of straightforward derivation, a rigorous characterization for the basic and spectral properties of RRLs is lacking or, in some dissertations, incorrect information about their features is provided (see, e.g., the computation of the largest Laplacian eigenvalue λ_M associated to a RRL in the recently published [21]).

In light of this premise, RRLs are here examined in detail. In particular, the main contributions of this note consist in:

- 1. the investigation of some of their basic properties;
- 2. the spectral analysis of the associated Laplacian and Randić matrices.

Furthermore, an exact relationship for the spectra of these matrices is yielded through the Dirichlet kernel. A special effort is then directed towards the analytical computation of the Fiedler value [22, 23, 24], representing the algebraic connectivity of such graphs. With regard to RRLs, properties on the bounds for the spectral radius of the Laplacian matrix [25, 26] and the essential spectral radius of the Randić matrix [27, 28] are also provided. Lastly, conjectures on the latter quantities are also proposed.

The remainder of the note is organized as follows. The mathematical preliminaries in Sec. 2 offer an overview on RRLs. The main results of this work are then presented in Sec. 3, where basic and spectral properties of RRLs are widely explored. The study continues with the discussion in Sec. 4, in which two conjectures related to the spectral radius (for the Laplacian matrix) and the essential spectral radius (for the Randić matrix) of a RRL are given. Finally, conclusions in Sec. 5 summarize all the reported findings.

Notation. The sets of integer, natural, real, complex numbers are indicated by \mathbb{N} , \mathbb{Z} , \mathbb{R} , \mathbb{C} , respectively; whereas, the empty set and the imaginary unit are denoted by \emptyset and \boldsymbol{i} , respectively. The cosine and sine func-

tions of $\alpha \in \mathbb{R}$ are respectively denoted with $\cos(\alpha)$ and $\sin(\alpha)$, or abbreviated as c_{α} and s_{α} . The inverse sine and cosine function of $\alpha \in [-1,1]$ are denoted by $\arcsin(\alpha)$ and $\arccos(\alpha)$; while, the inverse tangent function of $\alpha \in \mathbb{R}$ is denoted by $\arctan(\alpha)$. The complex exponential, floor and ceiling functions are defined respectively as $e: z \in \mathbb{C} \mapsto e^z \in \mathbb{C} \setminus \{0\}$, $|\cdot|: x \in \mathbb{R} \mapsto |x| \in \mathbb{Z} \text{ and } [\cdot]: x \in \mathbb{R} \mapsto [x] \in \mathbb{Z}. \text{ Given } N \in \mathbb{N} \setminus \{0\},$ the quantity $\theta = \pi/N$ is assigned and used throughout the note to shortly address the N-th part of a straight angle π ; moreover, n = |N/2| is set. The modulo and transpose operations are denoted by mod and \top , respectively. Given an n-dimensional real-valued vector $\mathbf{w} = (w_k) \in \mathbb{R}^n$, the jth cyclic permutation over $\mathbf{w} = \begin{bmatrix} w_1 & w_2 & \cdots & w_N \end{bmatrix}^\top$, with $j \in \mathbb{Z}$, is defined as $\mathbf{w}^j = \begin{bmatrix} w_{1+(j \mod N)} & w_{1+(j-1 \mod N)} & \cdots & w_{1+(j-1+N \mod N)} \end{bmatrix}^\top$ and it holds $\mathbf{w}^j = \mathbf{w}$ for all $j \in \mathbb{Z}$ such that $j \mod N = 0$. Also, $\|\mathbf{w}\|_1$ denotes the 1-norm of w. Given an $N \times N$ -dimensional squared real-valued matrix $\mathbf{T} = (t_{h,k}) \in \mathbb{R}^{N \times N}$ its h-th row is denoted by $\text{row}_h(\mathbf{T})$; furthermore, its j-th eigenvalue of is denoted by $\lambda_i^{\mathbf{T}}$, with $j \in \{0, \dots, N-1\}$. The spectrum of **T** is defined as the set $\Lambda(\mathbf{T}) = \{\lambda_0^{\mathbf{T}}, \dots, \lambda_{N-1}^{\mathbf{T}}\}$. Notably, it is assumed that eigenvalues $\lambda_i^{\mathbf{T}}$ are not necessarily ordered according to their index j. To conclude, I_N denotes the identity matrix of dimension N and the matrix diag $(\delta_1, \ldots, \delta_N) \in \mathbb{R}^{N \times N}$ is equivalent to a squared diagonal matrix $\Delta = (\delta_{h,k}) \in \mathbb{R}^{N \times N}$ such that $\delta_{k,k} = \delta_k$, for $k \in \{1,\ldots,N\}$; $\delta_{h,k} = 0$, if $h \neq k$.

2. Preliminaries

This research begins by briefly illustrating some bases of graph theory and a few mathematical preliminaries about circulant matrices, showing well-known algebraic relations. Also, the definition and a few properties of the Dirichlet kernel are reported.

2.1. Basic notions of graph theory

An undirected graph $\mathcal{G} = (\mathcal{V}, \mathcal{E})$ is a networked structure formed by a vertex set $\mathcal{V} = \{v_1, \ldots, v_N\}$ and an edge set $\mathcal{E} \subseteq \mathcal{V} \times \mathcal{V}$, in which each edge $e_{h,k} = (v_h, v_k) = (v_k, v_h)$, with $h \neq k$, belongs to \mathcal{E} if and only if there exists a connection between vertices v_h and v_k . The cardinality of the edge set is denoted respectively by $M(\mathcal{G}) = |\mathcal{E}|$. Equivalently, the whole structure of \mathcal{G} can be described by the so-called adjacency matrix $\mathbf{A} = (a_{h,k}) \in \{0,1\}^{N \times N}$, where $a_{h,k} = 1$ if $e_{h,k} \in \mathcal{E}$; $a_{h,k} = 0$, otherwise. The k-th neighborhood of

vertex v_k is then defined as $\mathcal{N}_k = \{v_k \in \mathcal{V} \mid e_{h,k} \in \mathcal{E}\}$ and its cardinality $d_k = |\mathcal{N}_k|$ is called *vertex degree*. The latter quantity also contributes to the definition of the *degree matrix* $\mathbf{D} = \operatorname{diag}(d_1, \ldots, d_N)$. Graph \mathcal{G} is said to be regular if all the vertex degrees are equal to some common degree $d(\mathcal{G}) \in \mathbb{N}$. The volume of \mathcal{G} is defined as $\operatorname{vol}(\mathcal{G}) = \sum_{v_k \in \mathcal{V}} d_k$. Vertex v_k is said to be isolated if $d_k = 0$. From the above entities, three very relevant matrices associated to \mathcal{G} can be finally defined: the Laplacian matrix $\mathbf{L} = \mathbf{D} - \mathbf{A}$ and, assuming that none of the vertices in \mathcal{V} is isolated, the normalized Laplacian matrix $\mathcal{L} = \mathbf{D}^{-\frac{1}{2}}\mathbf{L}\mathbf{D}^{-\frac{1}{2}}$ and the Randić matrix $\mathbf{R} = \mathbf{D}^{-\frac{1}{2}}\mathbf{A}\mathbf{D}^{-\frac{1}{2}}$ [29, 30, 31, 32, 33, 34]. Assuming that regularity holds for \mathcal{G} , the adjacency, Randić, normalized Laplacian and Laplacian matrices associated to \mathcal{G} can be mutually computed through

$$\mathbf{L} = d(\mathcal{G})\mathbf{I}_N - \mathbf{A} = d(\mathcal{G})(\mathbf{I}_N - \mathbf{R}) = d(\mathcal{G})\mathcal{L}. \tag{1}$$

In addition, a sequence of edges without repetition $\pi_{h,k} \subseteq \mathcal{E}$ that links vertices v_h and v_k , in which all traversed vertices are distinct, is called path. A $cycle\ \pi_k$ passing through vertex v_k can be identified as a particular nondegenerate path for which $v_h = v_k$, i.e. $\pi_k = \pi_{k,k}$, with $\pi_{k,k} \neq \emptyset$. If it holds $\pi_{h,k} \neq \emptyset$ for all the couples of vertices v_h and v_k such that $v_h \neq v_k$ then \mathcal{G} is said to be connected. The length of a path $\pi_{h,k}$ is identified with its cardinality $|\pi_{h,k}|$, the distance between v_h and v_k is yielded by $\operatorname{dist}(v_h, v_k) = \min\{|\pi_{h,k}| \mid \pi_{h,k} \subseteq \mathcal{E}\}$ (note that $\operatorname{dist}(v_k, v_k) = 0$) and the eccentricity of vertex v_k is computed as $\epsilon(v_k) = \max\{\operatorname{dist}(v_h, v_k) \mid v_h \in \mathcal{V}\}$. The diameter $\phi(\mathcal{G})$ and radius $r(\mathcal{G})$ of \mathcal{G} are defined as $\phi(\mathcal{G}) = \max\{\epsilon(v_k) \mid v_k \in \mathcal{V}\}$ and $r(\mathcal{G}) = \min\{\epsilon(v_k) \mid v_k \in \mathcal{V}\}$. Also, the periphery $\mathcal{P}(\mathcal{G})$ and center $\mathcal{C}(\mathcal{G})$ of \mathcal{G} are defined as the sets $\mathcal{P}(\mathcal{G}) = \{v_k \in \mathcal{V} \mid \epsilon(v_k) = \phi(\mathcal{G})\}$ and $\mathcal{C}(\mathcal{G}) = \{v_k \in \mathcal{V} \mid \epsilon(v_k) = r(\mathcal{G})\}$. Quantities $g(\mathcal{G}) = \min\{|\pi_k| \mid v_k \in \mathcal{V}\}$ and $c(\mathcal{G}) = \max\{|\pi_k| \mid v_k \in \mathcal{V}\}$ are said respectively girth and circumference of \mathcal{G} .

Lastly, a cycle graph C_N is an undirected connected regular graph with N vertices such that $d(C_N) = 2$; a complete graph K_N is an undirected connected regular graph with N vertices such that $d(K_N) = N - 1$; an edgeless graph \overline{K}_N is a nonconnected regular graph with N isolated vertices $(d(\overline{K}_N) = 0)$. An undirected connected graph is Eulerian if and only if every vertex in it has even degree [35]. An undirected graph is said Hamiltonian if it has a cycle passing through each vertex in it. The smallest number of

colors needed to color² a graph \mathcal{G} is denoted by the *chromatic number* $\chi(\mathcal{G})$. A graph \mathcal{G} with $\chi(\mathcal{G}) = 2$ is said *bipartite*. The following lemma concludes this paragraph.

Lemma 1 (Handshaking lemma [35]). For an undirected graph \mathcal{G} , the sum of all its degrees equals twice the number of its edges, i.e. $vol(\mathcal{G}) = 2M(\mathcal{G})$.

2.2. Circulant matrices

In this paragraph, a few fundamental facts about circulant matrices are provided³. A circulant matrix is a matrix where each row in it is shifted one entry to the right relative to the previous row vector. The following lines provide its formal definition.

Definition 1 (Circulant matrix [5]). Given an arbitrary vector $\mathbf{w} = (w_k) \in \mathbb{R}^N$, the matrix $\mathbf{T} \in \mathbb{R}^{N \times N}$ is circulant if its h-th rows satisfies $\operatorname{row}_h(\mathbf{T}) = (\mathbf{w}^{h-1})^\top$, for all $h \in \{1, \dots, N\}$. The vector \mathbf{w} is called generator of \mathbf{T} .

A circulant topology is thus a structure such that each element in it shares the same "local panorama" w.r.t. the other elements. Remarkably, a general expression for the spectrum of circulant matrices can be found. The latter is given in the next theorem.

Theorem 1 (Spectrum of circulant matrices [5]). Let $\mathbf{T} \in \mathbb{R}^{N \times N}$ be a circulant matrix according to Def. 1. The spectrum $\Lambda(\mathbf{T})$ is composed by the eigenvalues $\lambda_i^{\mathbf{T}}$ such that

$$\lambda_j^{\mathbf{T}} = \sum_{k=0}^{N-1} w_{k+1} e^{-ijk\frac{2\pi}{N}}, \quad \forall j \in \{0, \dots, N-1\}.$$
 (2)

2.3. Definition and properties of the Dirichlet kernel

According to [36], the definition and few fundamental properties of the Dirichlet kernel are provided in the sequel.

Definition 2 (Dirichlet kernel [36]). The Dirichlet kernel of order $m \in \mathbb{N}$ is defined as the function $D_m : x \in \mathbb{R} \mapsto D_m(x) = \frac{1}{2} \sum_{k=-m}^m e^{ikx}$.

²Coloring is intended as labeling each vertex with a nonnegative integer such that no two vertices sharing the same edge have the same label.

³Only squared real-valued matrices are considered, as this investigation focuses on undirected (unweighted) RRLs.

Theorem 2 (Well-known properties of the Dirichlet kernel [36, 37, 38]). The following properties for the Dirichlet kernel in Def. 2 hold.

- 1. Each $D_m(x)$ is a real-valued, continuous, 2π -periodic, even function and (for m > 0) assumes both positive and negative values.
- 2. For each $m \in \mathbb{N}$, the Dirichlet kernel can be rewritten as

$$D_m(x) = \begin{cases} \frac{\sin\left(\left(m + \frac{1}{2}\right)x\right)}{2\sin\left(\frac{x}{2}\right)}, & \text{if } x \neq 2\pi\ell, \text{ with } \ell \in \mathbb{Z}; \\ m + \frac{1}{2}, & \text{otherwise;} \end{cases}$$
(3)

or as

$$D_m(x) = \frac{1}{2} + \sum_{k=1}^{m} \cos(kx). \tag{4}$$

- 3. For each $m \in \mathbb{N}$ it holds that $|D_m(x)| \leq m + 1/2, \forall x \in \mathbb{R}$.
- 4. For each $m \in \mathbb{N} \setminus \{0\}$ the Dirichlet kernel restricted to $[0, 2\pi)$ has 2m zeros at $x_k^* = 2k\pi/(2m+1)$, $\forall k \in \{1, \ldots, 2m\}$. In particular, between each pair of consecutive zeros (x_k^*, x_{k+1}^*) , $D_m(x)$ has one local extremum: a minimum, if k is odd, or a maximum, if k is even.
- 5. For each $m \in \mathbb{N} \setminus \{0\}$ the Dirichlet kernel restricted to $[0, 2\pi)$ has one global maximum at $\overline{x}_0 = 0$, for which $D_m(\overline{x}_0) = m+1/2$, and two global minima at $\underline{x}_1 \in (x_1^{\star}, x_2^{\star})$ and $\underline{x}_m = 2\pi \underline{x}_1 \in (x_{2m-1}^{\star}, x_{2m}^{\star})$. The value of \underline{x}_1 is approximately given by $\underline{x}_1 \approx v x_1^{\star}/\pi$, with v = 4.493409457909064.

3. Main results related to RRLs

In this section, the main results on the spectral properties of RRLs are given. In detail, the RRLs are firstly defined and some basic properties are presented. Then, a spectral analysis of the graph Laplacian matrix \mathbf{L} via the Dirichlet kernel is carried out. This discussion will yield a characterization of its spectrum $\Lambda(\mathbf{L})$, with particular attention directed towards the *Fiedler value* (i.e. the smallest nonzero eigenvalue of \mathbf{L}) and its *spectral radius* (i.e. the largest eigenvalue of \mathbf{L}). Then, the investigation continues with a study on the so-called *essential spectral radius* of the Randić matrix \mathbf{R} associated to a RRL.

3.1. Definition and basic properties

Hereafter, a particular kind of circulant graphs is addressed. The elements belonging to the class in question are referred to as RRLs and described in the following definition.

Definition 3 (RRL C_N^m). Let N and m be two natural numbers such that $N \geq 4$ and $1 \leq m < n = \lfloor N/2 \rfloor$. A RRL $C_N^m = C_N^m(\mathcal{V}, \mathcal{E})$ of order m is an undirected graph with N vertices having a circulant adjacency matrix \mathbf{A} generated by a vector $\mathbf{w} \in \{0,1\}^N$ whose components are such that

$$w_k = \begin{cases} 1, & \text{if } k \in \{2, \dots, m+1\} \cup \{N-m+1, \dots, N\}; \\ 0, & \text{otherwise.} \end{cases}$$
 (5)

Remark 1. The order m of a RRL C_N^m can be interpreted as the identical local field-of-view width of each vertex. In other words, a RRL C_N^m can be also said to be a k-cycle with N vertices, wherein k = 2m neighbors are adjacent to each vertex as depicted in Fig. 1.

It is worth to notice that a RRL C_N^m is uniquely determined by its number of vertices N and order m only. The following propositions yield all the remaining derived quantities and properties introduced in Sec. 2.1.

Proposition 1 (Regularity and common degree of RRLs). Any RRL $C_N^m(\mathcal{V}, \mathcal{E})$ is regular, with common degree



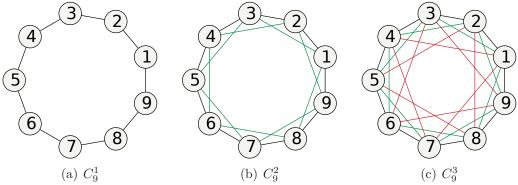


Figure 1: All the three RRLs with N=9 vertices. A layer of edges is added for each increasing value of $m \in \{1,2,3\}$: (a) first layer in black, (b) second layer in green, (c) third layer in red.

Consequently, any C_N^m is Eulerian.

Proof. The adjacency matrix \mathbf{A} of C_N^m is circulant and generated by vector \mathbf{w} , thus the regularity is shown by observing that for all $v_k \in \mathcal{V}$ it holds that $d_k = |\mathcal{N}_k| = \|\operatorname{row}_k(\mathbf{A})\|_1 = \|\mathbf{w}\|_1 = d(C_N^m)$. From (5), the common degree $d(C_N^m)$ is given by the cardinality of $\{2, \ldots, m+1\} \cup \{N-m+1, \ldots, N\}$. Therefore, one has $d(C_N^m) = (m+1-2+1) + (N-N+m-1+1) = 2m$. \square

Proposition 2 (Connectivity of RRLs). Any RRL $C_N^m(\mathcal{V}, \mathcal{E})$ is connected.

Proof. By definition, the adjacency matrix $\mathbf{A} = (a_{h,k})$ of C_N^m satisfies $a_{h,h+1} = 1$ for all $h \in \{1, \ldots, N-1\}$. Hence, the path $\pi_{1,N} = \{e_{1,2}, e_{2,3}, \ldots, e_{N-1,N}\}$ exists in C_N^m , implying its connectivity.

Remark 2. From Prop. 1 and Prop. 2 it follows that $C_N^1 = C_N$, since RRLs are connected and $d(C_N^m) = 2$ if m = 1. This implies that cycle graphs are a subclass of RRLs and represent a proper basic case in this setting. Moreover, one can also observe that $\lim_{m\to n} C_N^m = K_N$ follows directly from (5). Therefore, complete graphs represent a degenerate upper limit case for RRLs. One the other hand, one has that $\lim_{m\to 0} C_N^m = \overline{K}_N$ follows directly from (5). Hence, edgeless graphs represent a degenerate lower limit case for RRLs.

Corollary 1 (Volume and number of edges of a RRL). The volume $vol(C_N^m)$ and number of edges $M(C_N^m)$ of a RRL $C_N^m(\mathcal{V}, \mathcal{E})$ are yielded by

$$vol(C_N^m) = 2mN; (7)$$

$$M(C_N^m) = mN. (8)$$

Proof. By leveraging the definition of volume of a graph and the regularity of RRLs shown in (6), relation (7) is verified. Whereas, exploiting Lem. 1 on C_N^m , the result in (8) follows.

Proposition 3 (Chromatic number of RRLs). A RRL $C_N^m(\mathcal{V}, \mathcal{E})$ has chromatic number

$$\chi(C_N^m) = m + 1 + (N \mod (m+1)). \tag{9}$$

Proof. A RRL C_N^m can be minimally colored exploiting its circulant symmetry. Starting e.g. from vertex v_1 , one can use a group of m+1 distinct colors

to label subsequent subsets of m+1 vertices. In this way, vertices v_k share the same color $(k \mod (m+1)) \in \{0, \ldots, m\}$ for all k such that $1 \le k \le N - (N \mod (m+1))$. Finally, the remaining $(N \mod (m+1))$ vertices need to be labeled with $(N \mod (m+1))$ additional distinct colors.

Corollary 2 (Bipartiteness of RRLs). A RRL $C_N^m(\mathcal{V}, \mathcal{E})$ is bipartite if and only if m = 1 and N is even.

Proof. From Prop. 3, expression (9) yields $\chi(C_N^m) = 2$ if and only if m = 1 and $N \mod 2 = 0$.

Proposition 4 (Diameter and radius of a RRL). The diameter $\phi(C_N^m)$ and radius $r(C_N^m)$ of a RRL $C_N^m(\mathcal{V}, \mathcal{E})$ are yielded by

$$\phi(C_N^m) = r(C_N^m) = \lceil \lfloor N/2 \rfloor / m \rceil. \tag{10}$$

Proof. As each vertex in C_N^m shares the same local perspective and any C_N^m is connected (see Prop. 2), the eccentricity of each $v_k \in \mathcal{V}$ is given by $\epsilon(v_k) = \epsilon_0(C_N^m)$, with constant $\epsilon_0(C_N^m) = \lceil n/m \rceil$.

Corollary 3 (Periphery and center of a RRL). The periphery $\mathcal{P}(C_N^m)$ and center $\mathcal{C}(C_N^m)$ of a RRL $C_N^m(\mathcal{V}, \mathcal{E})$ are yielded by

$$\mathcal{P}(C_N^m) = \mathcal{C}(C_N^m) = \mathcal{V}. \tag{11}$$

Proof. Relation (11) derives from (10) in Prop. 4. \square

Proposition 5 (Circumference and girth of a RRL). The circumference $c(C_N^m)$ and the girth $g(C_N^m)$ of a RRL $C_N^m(\mathcal{V}, \mathcal{E})$ are yielded by

$$c(C_N^m) = N; (12)$$

$$g(C_N^m) = \lceil N/m \rceil. (13)$$

Consequently, any C_N^m is Hamiltonian.

Proof. Relation (12) holds trivially, since C_N^m always encompasses the cycle graph C_N (see Rmk. 2). This implies that any C_N^m is Hamiltonian. Whereas, (13) is retrieved similarly to what done with eccentricity in Prop. 4.

In Tab. 1, all the discussed properties of RRLs are summarized.

No. Vertices	No. Edges	Common degree
$N \colon N \geq 4$	$M(C_N^m) = mN$	$d(C_N^m) = 2m$
Order	Volume	Chromatic number
$m: 1 \le m < \lfloor N/2 \rfloor$	$\operatorname{vol}(C_N^m) = 2mN$	$\chi(C_N^m) = m+1+(N \mod (m+1))$
Diameter	D' l	C, C
Diameter	Periphery	Circumference
$\phi(C_N^m) = \lceil \lfloor N/2 \rfloor / m \rceil$	$\mathcal{P}(C_N^m) = \mathcal{V}$	$c(C_N^m) = N$
	2 0	

Table 1: Basic topological quantities of a RRL $C_N^m(\mathcal{V}, \mathcal{E})$.

3.2. Spectral analysis

The analysis starts by showing the key insight to examine the spectral properties of RRLs via the theoretical support provided by the properties of the Dirichlet kernel D_m . A characterization for the eigenvalues of the Laplacian matrix \mathbf{L} associated to the RRLs in terms of D_m is given by the following theorem, explaining the reason why m is considered the order for this class of graphs. To avoid heavy notation, $d = d(C_N^m) = 2m$ is adopted henceforward.

Theorem 3 (Spectral characterization of RRLs). Let **L** be the graph Laplacian matrix associated to a RRL C_N^m . Setting $\theta = \pi/N$, the spectrum $\Lambda(\mathbf{L})$ can be expressed in function of the Dirichlet kernel D_m as

$$\lambda_i^{\mathbf{L}} = 1 + 2(m - D_m(2\theta j)), \quad \forall N \ge 4, \forall m \in \{1, \dots, n - 1\},$$
 (14)

with $\lambda_{N-j}^{\mathbf{L}} = \lambda_j^{\mathbf{L}}$, $\forall j \in \{1, \dots, n\}$. Furthermore, the following properties hold for all $N \geq 4$ and $m \in \{1, \dots, n-1\}$.

- 1. Each eigenvalue $\lambda_j^{\mathbf{L}}$ belongs to [0, 4m] for all $j \in \{0, \dots, N-1\}$.
- 2. Eigenvalue $\lambda_0^{\mathbf{L}} = 0$ is simple, i.e. it has algebraic multiplicity 1.
- 3. If $\exists \lambda_{j^*}^{\mathbf{L}} = 4m$ for some $j^* \in \{1, \dots, n\}$ then eigenvalue $\lambda_{j^*}^{\mathbf{L}}$ is simple.

Proof. Let **A** be the adjacency matrix of C_N^m generated by the vector **w**, according to Def. 3. Recalling that given $\alpha \in \mathbb{R}$ and a matrix $\mathbf{T} \in \mathbb{R}^{N \times N}$ it holds that $\lambda_j^{\mathbf{I}_N + \alpha \mathbf{T}} = 1 + \alpha \lambda_j^{\mathbf{T}}$ for all $j \in \{0, \dots, N-1\}$ (see [39]), the relations between the j-th eigenvalue of matrices in (1) are the following:

$$\lambda_j^{\mathbf{L}} = d - \lambda_j^{\mathbf{A}} = d(1 - \lambda_j^{\mathbf{R}}) = d\lambda_j^{\mathbf{L}}.$$
 (15)

Now, the j-th eigenvalue of the adjacency matrix **A** can be computed resorting to (2) in Thm. 1 and Def. 2 as follows:

$$\lambda_{j}^{\mathbf{A}} = \sum_{k=0}^{N-1} w_{k+1} e^{-2ijk\theta} = \sum_{k=1}^{m} e^{-2ijk\theta} + \sum_{k=N-m}^{N-1} e^{-2ijk\theta}$$

$$= \sum_{k=1}^{m} e^{-2ijk\theta} + \sum_{k=1}^{m} e^{2ijk\theta} = \left(\sum_{k=-m}^{m} e^{ik(2\theta j)}\right) - 1$$

$$= 2(D_{m}(2\theta j) - 1/2), \quad \forall N \ge 4, \forall m \in \{1, \dots, n-1\}.$$
(16)

Therefore, substituting (16) in (15) and leveraging Prop. 1 and Thm. 2, relation (14) can be found. In particular, $\lambda_{N-j}^{\mathbf{L}} = \lambda_{j}^{\mathbf{L}}$ holds $\forall j \in \{1, \ldots, n\}$ since $D_{m}(x)$ is 2π -periodic and even (see Thm. 2).

Lastly, regarding the rest of the statement, authors in [40] have already shown that matrix \mathbf{R} has eigenvalues belonging to the interval [-1,1], where $\lambda_0^{\mathbf{R}} = 1$ and, possibly, $\lambda_{j^*}^{\mathbf{R}} = -1$ for some $j^* \in \{1, \ldots, n\}$ are both associated to a single eigenvector. Also, leveraging the connectivity of C_N^m shown in Prop. 2, it holds that $\lambda_0^{\mathcal{L}} = 0$ and $0 < \lambda_j^{\mathcal{L}} \le 2$ for all $j \in \{1, \ldots, N-1\}$ (see [29]). Resorting to (15), one has

$$\lambda_j^{\mathcal{L}} = 1 - m^{-1}(D_m(2\theta j) - 1/2), \quad \forall N \ge 4, \forall m \in \{1, \dots, n-1\}$$
 and the thesis easily follows.

The result provided by Theorem 3 contributes with equalities (14), yielding an interesting interconnection between the Dirichlet kernel and the eigenvalues of the graph Laplacian matrix \mathbf{L} corresponding to a RRL. The analysis proceeds by focusing on the extremal (maximum and minimum) eigenvalues belonging to the restricted spectrum $\Lambda_0(\mathbf{L}) = \Lambda(\mathbf{L}) \setminus \{\lambda_0^{\mathbf{L}}\} \subseteq (0, 4m]$. In the following lines, some properties related to the *Fiedler value* $\nu(\mathbf{L}) = \min_{\lambda \in \Lambda_0(\mathbf{L})} \{\lambda\}$ and the *spectral radius* $\rho(\mathbf{L}) = \max_{\lambda \in \Lambda(\mathbf{L})} \{\lambda\}$ of a RRL Laplacian matrix are provided.

Theorem 4 (Algebraic connectivity of the RRLs). Let C_N^m be a RRL and \mathbf{L} be the corresponding Laplacian matrix with eigenvalues $\lambda_j^{\mathbf{L}}$ given by (14). Then the algebraic connectivity of a RRL C_N^m is yielded by the Fiedler value $\nu(\mathbf{L})$ of \mathbf{L} , whose expression is

$$\nu(\mathbf{L}) = \lambda_1^{\mathbf{L}} = \lambda_{N-1}^{\mathbf{L}}, \quad \forall N \ge 4, \ \forall m \in \{1, \dots, n-1\}.$$
 (17)

Moreover, one has $\nu(\mathbf{L}) \in (0, 2m]$ and $\nu(\mathbf{L}) = 2m$ if and only if 2m = N - 2.

Proof. Exploiting the symmetry of $\Lambda(\mathbf{L})$ discussed in Thm. 3, let us restrict w.l.o.g. this analysis to eigenvalues in $\Lambda_0(\mathbf{L})$ indexed by $j \in \{1, \ldots, n\}$. It can be noticed that relations (3) and (15) lead to

$$\lambda_j^{\mathbf{R}} = m^{-1}(D_m(2\theta j) - 1/2), \quad \forall N \ge 4, \forall m \in \{1, \dots, n-1\},$$
 (18)

which can be leveraged to prove that $\lambda_1^{\mathbf{L}} < \lambda_j^{\mathbf{L}}$ holds for all $j \in \{2, \dots, n\}$ by verifying the following chain of inequalities:

$$\lambda_1^{\mathbf{R}} > \lambda_j^{\mathbf{R}} \iff D_m(2\theta) > D_m(2\theta j) \iff \frac{S_{(2m+1)\theta}}{S_{\theta}} > \frac{S_{(2m+1)\theta j}}{S_{\theta j}}.$$
 (19)

Considering that $s_z = z \prod_{k=1}^{+\infty} (1 - \frac{z^2}{k^2 \pi^2})$, $\forall z \in \mathbb{C}$ (see formula 4.3.89 in [41]), the following inequality can be derived from the rightmost expression in (19):

$$\prod_{k=1}^{+\infty} \frac{k^2 N^2 - (2m+1)^2}{k^2 N^2 - 1} > \prod_{k=1}^{+\infty} \frac{k^2 N^2 - (2m+1)^2 j^2}{k^2 N^2 - j^2}.$$
 (20)

For relation (20) to be satisfied, it is sufficient to prove that:

- (i) the k-th factor on the l.h.s. is strictly positive for all $k \in \mathbb{N} \setminus \{0\}$;
- (ii) the k-th factor on the l.h.s. is strictly greater than the k-th factor on the r.h.s. for all $k \in \mathbb{N} \setminus \{0\}$.

Property (i) is verified, since this requirement boils down to the identity $2m < N-1 \le kN-1$ for all $k \in \mathbb{N} \setminus \{0\}$; while, property (ii) is also satisfied, as this leads to the identities m > 0 and j > 1 for all $k \in \mathbb{N} \setminus \{0\}$. Hence, relation (17) is now proven.

To conclude, it is worth to show that $\lambda_1^{\mathbf{R}}$ is nonnegative for any given C_N^m . By (3) and (18) one has the relation

$$\lambda_1^{\mathbf{R}} = m^{-1}(D_m(2\theta) - 1/2) \ge 0 \iff s_{(2m+1)\theta} \ge s_{\theta}.$$
 (21)

Since $\theta \in (0, \pi/4]$ and $m \geq 1$, the last inequality in (21) holds true for any admissible (N, m). Also, strict equality in (21) is satisfied for m = n - 1 and even N. Therefore, $\lambda_1^{\mathbf{R}}$ belongs to the interval [0, 1) and, by (15), one has $\lambda_1^{\mathbf{L}} \in (0, 2m]$ and $\lambda_1^{\mathbf{L}} = 2m$ if and only if 2m = N - 2.

Theorem 5 (Spectral radius properties of RRLs). Let C_N^m be a RRL and \mathbf{L} be the corresponding Laplacian matrix with eigenvalues $\lambda_j^{\mathbf{L}}$ given by (14). Also, let j^* be an index for which the spectral radius of \mathbf{L} can be expressed as $\rho(\mathbf{L}) = \lambda_{j^*}^{\mathbf{L}} = \lambda_{N-j^*}^{\mathbf{L}}$. Then the following properties are satisfied for all $N \geq 4$.

1. For all $m \in \{1, ..., n-1\}$ index j^* is yielded by

$$j^* = \arg\min_{j \in \{2, \dots, n\}} \{D_m(2\theta j)\} \in \{2, \dots, n\}.$$
 (22)

In particular, the below partial characterization for j^* can be given.

- (a) If m = 1 then $j^* = n$.
- (b) Let $b_2 = \arccos(-1/4)/(2\theta)$. If m = 2 then $j^* \in \{ \lfloor b_2 \rfloor, \lceil b_2 \rceil \}$.
- (c) Let $b_3 = \arccos((\sqrt{7} 1)/6)/(2\theta)$. If m = 3 then $j^* \in \{|b_3|, |b_3|\}$.
- (d) Let $b_4^- = \arccos\left(\left(6\cos((4\arctan(1/\sqrt{5}) \pi)/3) 1\right)/8\right)/(2\theta)$ and $b_4^+ = \arccos\left(\left(-6\cos(4\arctan(1/\sqrt{5})/3) 1\right)/8\right)/(2\theta)$, where $b_4^- < b_4^+$. If m = 4 then $j^* \in \{\lfloor b_4^- \rfloor, \lceil b_4^- \rceil, \lfloor b_4^+ \rfloor, \lceil b_4^+ \rceil\}$.
- (e) Let us assign

$$b_{5,1} = \sqrt{\sqrt{11} - 5 \cos((\arctan(\sqrt{55}/11) + \pi)/3)},$$

$$b_{5,2} = \sqrt{\sqrt{11} - 5 \cos((\arctan(\sqrt{55}/11) - \pi)/3)},$$

$$b_{5,3} = \sqrt{\sqrt{11} + 5 \cos(\arctan(\sqrt{55}/11)/3)},$$

$$b_{5}^{-} = \arccos((\sqrt[4]{11}(b_{5,1} + b_{5,2} + b_{5,3}) - 1)/10)/(2\theta) \text{ and }$$

$$b_{5}^{+} = \arccos((\sqrt[4]{11}(b_{5,1} - b_{5,2} - b_{5,3}) - 1)/10)/(2\theta), \text{ where } b_{5}^{-} < b_{5}^{+}.$$

$$If m = 5 \text{ then } j^{*} \in \{\lfloor b_{5}^{-} \rfloor, \lceil b_{5}^{-} \rceil, \lfloor b_{5}^{+} \rfloor, \lceil b_{5}^{+} \rceil\}.$$

- (f) If m = n 1 then $j^* = 2$.
- 2. For all $m \in \{1, ..., n-1\}$ it holds that $\rho(\mathbf{L}) \in (2m+1, 4m]$, with $\rho(\mathbf{L}) = 4m$ if and only if N is even and m = 1.
- 3. For all $m \in \{1, \ldots, n-1\}$ there exists $\underline{j} \in \{2, \ldots, n\}$ such that $\underline{j} \leq j^* \leq n$ is satisfied. Moreover, the expression of j is given by

$$\underline{j} = 1 + \lfloor N/(2m+1) \rfloor. \tag{23}$$

Proof. Let us restrict w.l.o.g. the analysis to $j \in \{1, ..., n\}$ by exploiting the symmetry shown in Thm. 3. Each property of the statement is proven in the sequel.

1 Expression (22) holds as it is equivalent to

$$j^* = \underset{j \in \{2,\dots,n\}}{\arg\max} \{\lambda_j^{\mathbf{L}}\} = \underset{j \in \{2,\dots,n\}}{\arg\max} \{1 + 2\left(m - D_m(2\theta j)\right)\},\tag{24}$$

⁴If there exist multiple distinct values j_1, j_2, \ldots of j minimizing (22) then $j^* = \min\{j_1, j_2, \ldots\}$ is assumed to be the principal minimizer.

as it directly descends from (14). Remarkably, in (24), j = 0 and j = 1 are excluded, as $\lambda_0^{\mathbf{L}} = 0$ and $\lambda_1^{\mathbf{L}} = \nu(\mathbf{L})$ are proven to be the smallest eigenvalues of \mathbf{L} (see Thm. 3 and Thm. 4).

1a. Setting m=1, equality $\lambda_j^{\mathbf{L}}=4s_{\theta j}^2$ follows by resorting to the triple angle identity $s_{3z}=3s_z-4s_z^3, \forall z\in\mathbb{C}$. Hence, for m=1, the j-th eigenvalue $\lambda_j^{\mathbf{L}}$ is trivially maximized by selecting $j^*=\lfloor N/2\rfloor=n$. Also, note that if N is even then $\rho(\mathbf{L})=4s_{\theta n}^2=4$ holds in accordance to property 2.

1b. For m=2, the global minimum of the Dirichlet kernel $D_m(x)$ is obtained for $x=\underline{x}_1=\arccos(-1/4)$ by solving the trigonometric first-degree equation descending from $D'_m(x)=0$, where $D'_m(x)=-\sum_{k=1}^m k\sin(kx)$ is the derivative w.r.t. x of $D_m(x)$ (see (4)), and verifying that $2\pi/5=x_1^*<\underline{x}_1< x_2^*=4\pi/5$. Imposing $2\theta j\approx \underline{x}_1$ leads to the thesis.

1c. For m=3, the global minimum of the Dirichlet kernel $D_m(x)$ is obtained for $x=\underline{x}_1=\arccos\left((\sqrt{7}-1)/6\right)$ by solving the trigonometric second-degree equation descending from $D'_m(x)=0$ and verifying that $2\pi/7=x_1^\star<\underline{x}_1< x_2^\star=4\pi/7$. Imposing $2\theta j\approx\underline{x}_1$ leads to the thesis. However, differently from the previous point, an additional check is here needed. In particular, because of the presence of a second local minimum $\underline{x}_2=\pi$ with ordinate $D_m(\underline{x}_2)=-1/2$, it is sufficient to show that $j_3^\star\in\{\lfloor b_3\rfloor,\lceil b_3\rceil\}$ satisfies $D_m(2\theta j_3^\star)\leq -1/2$ in order. In this direction, one can find all the values of $x\in(0,\pi]$ such that $D_m(x)=-1/2$. These solutions are yielded by $\tilde{x}_1=\pi/3,\,\tilde{x}_2=\pi/2$ and, obviously, $\tilde{x}_3=\underline{x}_2=\pi$. To conclude the proof, it is sufficient to demonstrate that $\tilde{x}_2-\tilde{x}_1\geq 2\theta$. This inequality is however verified only if $N\geq 12$. Checking all the instances characterized by $4\leq N\leq 11$ and m=3, one has $j^\star\neq n$ for $N\neq 8$; and $j^\star=j_2^\star=2$ or $j^\star=4$, for N=8. Thus, the thesis follows.

1d. This statement is obtained by solving the trigonometric third-degree equation descending from $D'_m(x) = 0$, similarly to what shown in point 1b.

1e. This statement is obtained by solving the trigonometric fourth-degree equation descending from $D'_m(x) = 0$, similarly to what shown in point 1c.

1f. It can be easily shown that, for all $j \in \{1, ..., n\}$, one has $D_{n-1}(2\theta j) = (-1)^{j+1}/2$, if N is even; $D_{n-1}(2\theta j) = (-1)^{j+1}c_{\theta j}$, if N is odd. Therefore, j = 2 minimizes $D_{n-1}(2\theta j)$.

2. By (22), the maximum value for $\lambda_j^{\mathbf{L}}$ is attained when $D_m(2\theta j)$ is minimized in j. So, let us consider $D_m(2\theta y)$, with $y \in \mathbb{R}$. According to

⁵This is actually attained for j = n when N is even, as $2\theta j = \underline{x}_2$ holds for j = N/2.

Thm. 2, the zeros of $D_m(2\theta y)$ can be expressed as $y_k^* = kN/(2m+1)$ for all $k \in \{1, \ldots, 2m\}$. Remarkably, each consecutive interval (y_k^*, y_{k+1}^*) has uniform length N/(2m+1) > 1. Since the Dirichlet kernel is negative over intervals (y_k^*, y_{k+1}^*) with odd k and $y_{k+1}^* - y_k^* > 1$, there exists an integer j^* for which $D_m(2\theta j^*)$ is negative. As a consequence, it holds that $(1 + 2m - \lambda_{j^*}^{\mathbf{L}})/2 = D_m(2\theta j^*) < 0$, implying that $\lambda_j^{\mathbf{L}} > 2m + 1$. Moreover, $\rho(\mathbf{L}) = 2d = 4m$ holds if and only if C_N^m is bipartite [42], namely when N is even and m = 1, as shown in Cor. 2.

3. Since $\rho(\mathbf{L}) > 2m + 1$ follows from $D_m(2j^*\theta) < 0$, a lower bound \underline{j} for j^* can be computed by solving $D_m(2\theta j) < 0$ for $j \in \{2, ..., n\}$. Via (3), this leads to the following system of inequalities

$$\begin{cases}
j < 2\ell N/(2m+1); \\
j > (2\ell-1)N/(2m+1);
\end{cases}$$
(25)

where $\ell \in \mathbb{Z}$. Clearly, the first inequality in (25) requires that $\ell \geq 1$, as j is a positive index. Therefore, to find \overline{j} , it is imposed $\ell = 1$. Consequently, since 1 < N/(2m+1) < n holds true for any admissible values of (N, m), the second inequality in (25) evaluated at $\ell = 1$ provides the lower bound (23).

Remark 3. It is worth to note that index j^* can be easily computed in closed-form solutions through $D'_m(x) = -\sum_{k=1}^m k \sin(kx) = 0$ for $m \in \{1, 2, 3, 4, 5, n-1\}$. However, for m such that $6 \le m \le n-2$ this kind of expressions cannot be obtained in such a way, since $D'_m(x) = 0$ leads to trigonometric equations having degree five or higher.

3.2.1. Essential spectral radius analysis

According to [43], the essential spectral radius of a row-stochastic⁶ Randić matrix \mathbf{R} can be defined as

$$\sigma(\mathbf{R}) = \max_{\lambda \in \Lambda_0(\mathbf{R})} \{|\lambda|\},\tag{26}$$

where $\lambda_0^{\mathbf{R}} = 1$ holds and $\Lambda_0(\mathbf{R}) = \Lambda(\mathbf{R}) \setminus {\lambda_0^{\mathbf{R}}}$ is assigned. Remarkably, the essential spectral radius of a Randić matrix \mathbf{R} associated to a RRL C_N^m

The matrix $\mathbf{T} = (t_{h,k}) \in \mathbb{R}^{N \times N}$ is said row-stochastic if all its entries $t_{h,k}$ belong to interval [0,1] for all $h, k = 1, \dots, N$ and $\|\operatorname{row}_h(\mathbf{T})\|_1 = 1$ for all $h = 1, \dots, N$.

complies with definition in (26) for all admissible (N, m), since $\mathbf{R} = d^{-1}\mathbf{A}$ is a row-stochastic matrix with eigenvalues $|\lambda_j^{\mathbf{R}}| \leq 1$, $\forall j \in \{0, \dots, N-1\}$, and $\lambda_0^{\mathbf{R}} = 1$. A study on $\sigma(\mathbf{R})$ for each C_N^m is thus reported by starting from the next lemma.

Lemma 2. Let **R** be the Randić matrix of a RRL C_N^m and $\theta = \pi/N \in (0, \pi/4]$. There exists a real number $m^* \in (0, n)$ such that if $m \ge m^*$ then $\lambda_1^{\mathbf{R}} + \lambda_2^{\mathbf{R}} \le 0$, with the equality holding if and only if $m = m^*$. Moreover, the value of m^* is yielded by

$$m^* = \theta^{-1} \arcsin\left(\sqrt{x^*}\right),$$
 (27)

where x^* is the unique solution belonging to (0,1) of the cubic equation

$$p_{\theta}(x) = x^3 + a_2 x^2 + a_1 x + a_0 = 0, \tag{28}$$

in which
$$a_2 = -(c_{2\theta} + 5)/2$$
, $a_1 = (4c_{2\theta}^2 + 7c_{2\theta} + 13)/8$, $a_0 = -(3c_{2\theta} + 1)^2/16$.

Proof. From (18), the eigenvalues of the Randić matrix \mathbf{R} can be rewritten using the prosthaphaeresis formula for the difference of two sines as

$$\lambda_j^{\mathbf{R}} = \begin{cases} \frac{\sin(m\theta j)\cos((m+1)\theta j)}{m\sin(\theta j)}, & \text{if } j \in \{1,\dots,N-1\};\\ 1, & \text{if } j = 0. \end{cases}$$
 (29)

Thus, inequality $\lambda_1^{\mathbf{R}} + \lambda_2^{\mathbf{R}} \leq 0$ can be written as follows by means of the triple angle identities $c_{3z} = 4c_z^3 - 3c_z$, $s_{3z} = 3s_z - 4s_z^3$, $\forall z \in \mathbb{C}$, the Werner's formula for the product of two cosines and the basic trigonometric rules:

$$(1 - c_{2\theta}^2)(5 - 4s_{m\theta}^2)^2 s_{m\theta}^2 \ge (1 - s_{m\theta}^2)(4c_{2\theta}(1 - s_{m\theta}^2) + 1 - c_{2\theta})^2.$$
 (30)

Now, assigning $x = s_{m\theta}^2 \in (0,1)$, inequality (30) can be solved in m by resorting to equation (28) and determining the solutions of $p_{\theta}(x) \geq 0$. The application of the Routh-Hurwitz criterion to $p_{\theta}(x)$, as illustrated in Table 2, ensures that there exists a solution x^* of $p_{\theta}(x)$ having a strictly positive real part for any value of θ , since each pair of subsequent terms in the second column exhibits an alternating sign.

Analogously, in order to show that x^* has real part smaller than 1 for all θ , the Routh-Hurwitz criterion can be also applied to $-p_{\theta}(y)$, setting y = 1 - x. This leads to the analysis reported in Table 3: the fact that each

Table 2: Routh array for polynomial $p_{\theta}(x)$.

Table 3: Routh array for polynomial $-p_{\theta}(y)$.

pair of subsequent terms in the second column exhibits an alternating sign finally ensures that $x^* \in (0, 1)$, provided that $x^* \in \mathbb{R}$.

According to method 3.8.2 in [41], equation (28) can be solved by setting

$$q_{\theta} = a_1/3 - a_2^2/9, \quad r_{\theta} = (a_1 a_2 - 3a_0)/6 - a_2^3/27,$$
 (31)

through the computation and observation of the discriminant

$$\Delta_{\theta} = q_{\theta}^{3} + r_{\theta}^{2} = \frac{7(1 - c_{2\theta})(1 - c_{2\theta}^{2})(c_{2\theta} + 13/14)}{1728} \left(c_{2\theta} - \frac{1}{2}\right)^{2} \ge 0.$$
 (32)

Expression in (32) is strictly positive if and only if factor $(c_{2\theta} - 1/2)^2$ is grater than zero: this occurs for values of $c_{2\theta} \neq 1/2$, i.e. for $N \neq 6$. In this case, the presence of only one real solution is guaranteed and it is yielded via (31), (32) by

$$x^* = -\frac{a_2}{3} + \sqrt[3]{r_\theta + \sqrt{\Delta_\theta}} + \sqrt[3]{r_\theta - \sqrt{\Delta_\theta}}.$$
 (33)

Otherwise, for N=6, the discriminant Δ_{θ} vanishes and the solutions for (28) are given by $\{1/4, 5/4, 5/4\}$. In fact, for N=6, expression (33) boils down to $x^*=1/4 \in (0,1)$.

Finally, the thesis in (27) is proven by inverting relation $x^* = s_{m^*\theta}^2$.

In conclusion, some theoretical results on the essential spectral radius of **R** for RRLs are stated in the next theorem.

Theorem 6 (Essential spectral radius properties of RRLs). Let C_N^m be a RRL and \mathbf{R} the corresponding Randić matrix with eigenvalues $\lambda_j^{\mathbf{R}}$ given by (18). Also, according to Thm. 5, let $j^* \in \{2, \ldots, n\}$ be computed as in (22). Then, for the essential spectral radius $\sigma(\mathbf{R})$, the following properties are satisfied for all $N \geq 4$.

1. For all $m \in \{1, ..., n-1\}$, it holds that $\sigma(\mathbf{R}) = \max\{\lambda_1^{\mathbf{R}}, -\lambda_{j^*}^{\mathbf{R}}\}\$ or, equivalently, $\sigma(\mathbf{R}) = \max\{\lambda_{N-1}^{\mathbf{R}}, -\lambda_{N-j^*}^{\mathbf{R}}\}\$ with $\sigma(\mathbf{R}) \in ((2m)^{-1}, 1] \subseteq (1/2, 1]$. In particular, it holds $\sigma(\mathbf{R}) = |\lambda_{\gamma}^{\mathbf{R}}| = |\lambda_{N-\gamma}^{\mathbf{R}}|$, with γ such that

$$\gamma = \arg\min_{j \in \{1, \dots, n\}} \left\{ \left| D_m(2\theta j) - \frac{1}{2} \right| \right\} \in \{1, j^*\}.$$
 (34)

- 2. If m = 1 then $\sigma(\mathbf{R}) = -\lambda_n^{\mathbf{R}} = -\lambda_{N-n}^{\mathbf{R}}$.
- 3. It holds that $\sigma(\mathbf{R}) = 1$ if and only if N is even and m = 1.
- 4. If $m \geq m^*$, with m^* defined as in Lem. 2, then it holds that $\sigma(\mathbf{R}) = -\lambda_{j^*}^{\mathbf{R}} = -\lambda_{N-j^*}^{\mathbf{R}} \leq -\lambda_2^{\mathbf{R}} = -\lambda_{N-2}^{\mathbf{R}}$.
- 5. If m = n 1 then $\sigma(\mathbf{R}) = -\lambda_2^{\mathbf{R}} = -\lambda_{N-2}^{\mathbf{R}}$.

Proof. By the symmetry of the Dirichlet kernel, eigenvalues of **R** in (18) also exhibit the property $\lambda_j^{\mathbf{R}} = \lambda_{N-j}^{\mathbf{R}}$, for all $j \in \{1, \dots, n\}$. At the light of this observation, the following analysis is restricted to indexes $j \in \{1, \dots, n\}$.

- 1. Exploiting relation (15) and the fact that $\lambda_1^{\mathbf{L}} \leq 2m$ (see Thm. 4) and $\lambda_{j^*}^{\mathbf{L}} > 2m+1$ (see Thm. 5), it follows that $\lambda_1^{\mathbf{R}} \geq 0$ and $\lambda_{j^*}^{\mathbf{R}} < -(2m)^{-1} \leq -1/2$ are the largest eigenvalues of \mathbf{R} in absolute value. In particular, (34) is directly derived from (18) applied to (26).
- 2. Applying (29) with m=1, it holds that $\lambda_j^{\mathbf{R}} = c_{2\theta j}$. If N is even then j=n is trivially selected to provide the essential spectral radius $\sigma(\mathbf{R}) = -\lambda_n^{\mathbf{R}} = 1$. Otherwise, for odd N, j=1 or j=n can be both selected, since $\sigma(\mathbf{R}) = \lambda_1^{\mathbf{R}} = c_{2\theta}$ or, equivalently, $\sigma(\mathbf{R}) = -\lambda_n^{\mathbf{R}} = -c_{2\theta n} = c_{2\theta}$.
- 3. In the previous point it is already shown that $\sigma(\mathbf{R}) = 1$ if m = 1 and N is even. To prove that $\sigma(\mathbf{R}) = 1$ also implies that m = 1 and N is even, property 2 of Thm. 5 is invoked. Indeed, recall that $\rho(\mathbf{L}) = 4m$ holds if and only if C_N^m is bipartite, namely it has even N and m = 1. Relation (15) is then used to conclude.
- 4. Lem. 2 shows that if $m \geq m^{\star}$ then $\lambda_1^{\mathbf{R}} + \lambda_2^{\mathbf{R}} \leq 0$. Since, in general, it holds that $\lambda_1^{\mathbf{R}} \geq 0$, then, if $m \geq m^{\star}$, one has $\lambda_2^{\mathbf{R}} \leq -\lambda_1^{\mathbf{R}} \leq 0$. In particular, if $m > m^{\star}$ then $|\lambda_2^{\mathbf{R}}| > \lambda_1^{\mathbf{R}}$ holds; therefore, j = 1 cannot be a valid index for

an eigenvalue $\lambda_j^{\mathbf{R}}$ selected to compute $\sigma(\mathbf{R})$ in this case. As a consequence, if $m \geq m^*$ then $\sigma(\mathbf{R}) = -\lambda_{j^*}^{\mathbf{R}} \leq -\lambda_2^{\mathbf{R}}$.

5. Again, for all $j \in \{1, \ldots, n\}$, one has $D_{n-1}(2\theta j) = (-1)^{j+1}/2$, if N is even; $D_{n-1}(2\theta j) = (-1)^{j+1}c_{\theta j}$, if N is odd. Thus, to prove this statement, it is just required to check that $-\lambda_2^{\mathbf{R}} > \lambda_1^{\mathbf{R}}$ holds true for all odd $N \geq 5$. The latter inequality leads to an identity; hence, $\lambda_2^{\mathbf{R}}$ is the eigenvalue that satisfies (26) if m = n - 1.

4. Further discussions and numerical examples

This section reports a discussion on a couple of conjectures about the spectral radius $\rho(\mathbf{L})$ of the Laplacian matrix \mathbf{L} and on the essential spectral radius $\sigma(\mathbf{R})$ of the Randić matrix \mathbf{R} associated to a RRL C_N^m . Meaningful numerical examples are also brought as evidence for these ideas.

4.1. Conjecture on a potential upper bound for j^*

Let us consider the statement of Thm. 5. Finding analytically an upper bound \bar{j} for j^* , similarly to what done in (23), may not be trivial. Nonetheless, an interesting conjecture on this particular bound is here given.

Conjecture 1 (An upper bound for j^*). Under the same assumptions of Thm. 5, there exists $\overline{j} \in \{2, ..., n\}$ such that $j^* \leq \overline{j}$ and its expression is yielded by

$$\overline{j} = \lceil 3N/(4m+2) - 1/2 \rceil, \quad \forall N \ge 4, \forall m \in \{1, \dots, n-1\}.$$
 (35)

Remark 4. Considering \underline{j} and \overline{j} computed respectively as in (23) and (35), the following properties holding for $N \geq 4$ can be easily proven to support the fact that \overline{j} may represent a good candidate upper bound for j^* .

1. If $m \geq \widetilde{m}$, where

$$\widetilde{m} = 3N/10 - 1/2,$$
 (36)

then one has $\overline{j} = 2$.

- 2. One has $\overline{j} = n$ if and only if m = 1. This also implies that for m = 1 expression in (35) is, in fact, a valid upper bound for j^* . Moreover, if $m \geq 2$ then $\overline{j} < 2N/(2m+1) = x_2^*/(2\theta) < n$ (see Thm. 2)
- 3. If m=2 (and $N \geq 6$) then \overline{j} is, in fact, a valid upper bound for j^* , since $\overline{j} = \lceil (3N-5)/2 \rceil \leq 2N/5 = x_m^*/(2\theta)$ (see Thm. 2).

4. One has $2 \leq \underline{j} \leq \overline{j} \leq n$, in which $\underline{j} = \overline{j}$ holds if and only if at least one of the following three cases is verified: (i) $3N/14 - 1/2 \leq m \leq N/4 - 1/2$; (ii) $m \geq \widetilde{m}$; (iii) $N \mod (2m+1) = 0$ and $m \geq N/6 - 1/2$.

The upper bound in (35) is figured out after the attempt to minimize $D_m(2\theta j)$ w.r.t. j. Observing that $s_{\theta j}$ is strictly increasing for $j \in \{1, \ldots, n\}$, relation (35) is derived by choosing the smallest $j \in \{2, ..., n\}$ such that $|(2m+1)\theta j - (3\pi/2 + 2\ell\pi)|, \ell \in \mathbb{Z}$, be minimum and, to make treatable the latter expression, $\ell = 0$ is forced. The aim of this careful selection is twofold: on one hand, we want to obtain a small positive value for the denominator of $D_m(2\theta j)$ and, on the other hand, a large (in modulus) negative value for the numerator of $D_m(2\theta j)$, see (3). However, in general, there may exist values of j > j that render the numerator of $D_m(2\theta j)$ even more negative! This consideration is crucial; indeed, the reasoning shown for the derivation of formula (36) in [21] can be trivially disproved taking for instance (N, m) =(67, 2), for which it holds that $j^* = 19$ (there, $j^* = 20$ is wrongly claimed). Nevertheless, one has $\overline{j} \geq \lceil vN/(\pi(2m+1)) \rceil \approx \lceil \underline{x}_1/(2\theta) \rceil$, as $3/2 > v/\pi$ (see Thm. 2). Also, expression (35) has been tested in simulation for all N such that $4 \leq N \leq 10000$ and any relative admissible value of m. Remarkably, no counterexample has been found in any of the tested instances; hence, this fact suggests that \bar{j} in (35) might represent a suitable upper bound for j^* .

The following remark illustrates the potential implications of Conj. 1.

Remark 5. Let m^* and \widetilde{m} be defined as in (27) and (36), respectively. If Conj. (1) verifies then one would have these further implications.

- 1. $\rho(\mathbf{L}) = \lambda_n^{\mathbf{L}} = \lambda_{N-n}^{\mathbf{L}}$ holds for all $N \geq 4$ if and only if m = 1. Thus, property 1a in Thm. 5 would be reinforced.
- 2. With reference to the essential spectral radius $\sigma(\mathbf{R})$, one has, $\forall N \geq 4$, $\sigma(\mathbf{R}) = -\lambda_n^{\mathbf{R}} = -\lambda_{N-n}^{\mathbf{R}}$ if and only if m = 1. Thus, property 2 in Thm. 6 would be reinforced.
- 3. If $m \geq \widetilde{m}$ then $\rho(\mathbf{L}) = \lambda_2^{\mathbf{L}} = \lambda_{N-2}^{\mathbf{L}}$ holds for all $N \geq 4$.
- 4. Considering again $\sigma(\mathbf{R})$, if $m \ge \max\{m^*, \widetilde{m}\}$ then it holds that $\sigma(\mathbf{R}) = -\lambda_2^{\mathbf{R}} = -\lambda_{N-2}^{\mathbf{R}}$ for all $N \ge 4$. Thus, property 4 in Thm. 6 would be reinforced.
- 5. The search space of minimization in 1c and 1d of Thm. 5 would be reduced into $j \in \{\lfloor b_4^- \rfloor, \lceil b_4^- \rceil\}$ and $j \in \{\lfloor b_5^- \rfloor, \lceil b_5^- \rceil\}$, respectively.
- 6. The spectral radius $\rho(\mathbf{L})$ could be computed efficiently through binary search algorithm, as it can be shown that $D_m(2\theta y)$ restricted to $y \in [j, \overline{j}]$

has one global minimum given by $y = \underline{x}_1/(2\theta) \approx vN/(\pi(2m+1))$ (see Thm. 2). Consequently, the computation of $\sigma(\mathbf{R}) = \max\{1 - \nu(\mathbf{L})/(2m), -1 + \rho(\mathbf{L})/(2m)\}$ would also result more efficient.

7. A direct estimate $\hat{j}^* \in [\underline{j}, \overline{j}]$ for j^* could be provided by averaging \underline{j} and \overline{j} through convex combinations. For instance, given $\alpha \in [0, 1]$, one can choose⁷

$$\hat{j}^{\star} = \begin{cases}
n, & if \ m = 1; \\
\lceil b_{2}^{-} - 1/2 \rceil, & if \ m = 2; \\
\lceil b_{3}^{-} - 1/2 \rceil, & if \ m = 3; \\
\lceil b_{4}^{-} - 1/2 \rceil, & if \ m = 4; \\
\lceil b_{5}^{-} - 1/2 \rceil, & if \ m = 5; \\
2, & if \ m = n - 1; \\
\lfloor \alpha \underline{j} + (1 - \alpha)\overline{j} + 1/2 \rfloor, & otherwise.
\end{cases} (37)$$

4.2. Numerical examples for $4 \le N \le 11$

A few observations made on the pattern of values taken by $\sigma(\mathbf{R})$ are here provided. In this direction, examples in Fig. 2 grant to cover some of the most important aspects of this research, depicting a graphical representation of the spectrum $\Lambda(\mathbf{R})$. Specifically, each diagram in Fig. 2 shows how the eigenvalues $\lambda_j^{\mathbf{R}}$ spread over the interval [-1,1], as the order m changes for a fixed size N, with $4 \leq N \leq 11$. Plots 2(a)-2(h) also illustrate in blue all indexes $j = 0, \ldots, n$ for relation (18), thresholds m^* and \widetilde{m} (see point 4 in Rmk. 5) with a yellow and a green line respectively, and the eigenvalue $\lambda_{\gamma}^{\mathbf{R}}$ with a red dot (where γ is defined as in (34)).

With regard to Fig. 2, it is possible to observe the following facts descending from all the previous statements presented in Sec. 3.

- $\lambda_j^{\mathbf{R}} \in [-1, 1]$ holds $\forall j \in \{0, \dots, n\}$, with -1 and 1 simple eigenvalues.
- $\lambda_1^{\mathbf{R}} = \lambda_{N-1}^{\mathbf{R}} > \lambda_j^{\mathbf{R}}$ holds for all $j \in \{2, \dots, n\}$.
- For m=1, one has $\lambda_{\gamma}^{\mathbf{R}}$ with $\gamma=n$; and if N is even then $\lambda_{\gamma}^{\mathbf{R}}=-1$.
- If $m \geq m^*$ then $\lambda_{j^*}^{\mathbf{R}} = \lambda_2^{\mathbf{R}} = \lambda_{N-2}^{\mathbf{R}}$ and if $m \geq \max\{m^*, \widetilde{m}\}$ then $\lambda_{\gamma}^{\mathbf{R}} = \lambda_2^{\mathbf{R}} = \lambda_{N-2}^{\mathbf{R}}$, thus supporting property 4 in Rmk. 5.

For all N and m such that $4 \le N \le 2000$ and $1 \le m < n$, coefficient $\alpha = 0.1313$ seems a good value to reduce the estimation error $|j^* - \hat{j}^*|$, with \hat{j}^* computed as in (37).

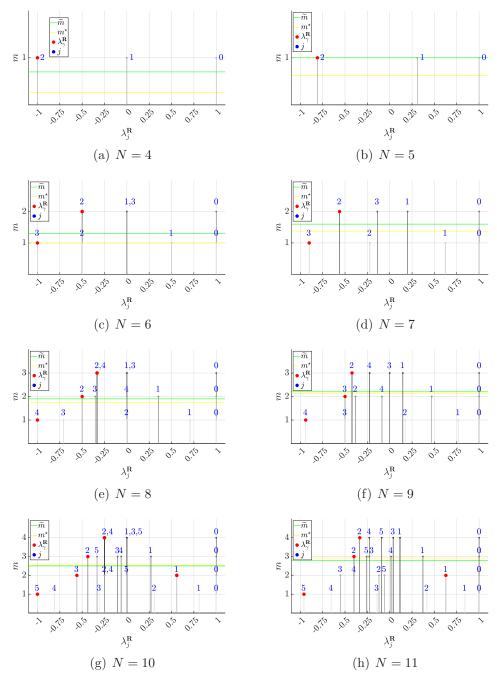


Figure 2: General eigenvalue distribution of the Randić matrix spectrum $\Lambda(\mathbf{R})$ for the RRLs C_N^m with $N=4,\ldots,11$ and $m=1,\ldots,n-1=\lfloor N/2\rfloor-1$.

To provide further evidences to the speculations made in Rmk. 5, some peculiarities and patterns can be also found for the following values of N.

- For N=5 one has $\widetilde{m}=1$ and, consequently, property 3 in Rmk. 5 holds tightly.
- For N=6 one has $m^*=1$; hance, if $m=2>m^*$, the information about \widetilde{m} becomes necessary in order to satisfy property 4 in Rmk. 5.
- For N=10 and m=2 one has $\sigma(\mathbf{R})=\sqrt{5}/4=\lambda_1^{\mathbf{R}}=\lambda_9^{\mathbf{R}}=-\lambda_3^{\mathbf{R}}=-\lambda_7^{\mathbf{R}}$, i.e. γ takes both the values in $\{1,n\}$. Moreover, in this case, it holds that $m^*\approx 2.5330>2.5=\widetilde{m}$, conversely to the previous cases with N=5 and N=6.

To sum up, each debated example in Fig. 2 gravitates, to some extent, around the key relation in (18), describing the spectrum $\Lambda(\mathbf{R})$ of the Randić matrix. It is important to recall that this investigation completely leverages the fundamental idea of studying the spectral properties of RRLs via the Dirichlet kernel redefined as in (3). Further clues are also given to support claims in Sec. 4.1.

4.3. Conjecture on the values taken by $\sigma(\mathbf{R})$

All the previous discussions suggest few clues about the possibility of computing exactly $\sigma(\mathbf{R})$ by understanding the behavior of index γ defined in (34). The exact knowledge of the essential spectral radius of \mathbf{R} is also motivated by various research areas, such as the convergence analysis of Page Rank and random walk processes [44].

Remarkably, from the numerical examples given in Sec. 4.2, it is possible to observe the following facts. Graph C_9^2 in Fig. 2(f) is the unique example leading to $\gamma = 3$ only (if $m \geq 2$), as $\sigma(\mathbf{R}) = -\lambda_3^{\mathbf{R}} = -1/2 > \lambda_1^{\mathbf{R}} \approx 0.4698$. Graph C_{10}^2 in Fig. 2(g) is the unique example leading to both $\gamma = 1$ and $\gamma = 3$, as $\sigma(\mathbf{R}) = \lambda_1^{\mathbf{R}} = -\lambda_3^{\mathbf{R}} = \sqrt{5}/4$. In each diagram of Fig. 2 it holds that $\gamma = n$, if and only if m = 1, or $\gamma = 2$, if and only if $m \geq \max\{m^*, \widetilde{m}\}$. In the remaining cases, it holds that $\gamma = 1$. Therefore, the following conjecture is drawn after having run some numerical tests⁸.

⁸These are performed for all N and m such that $4 \le N \le 10000$ and $1 \le m < n$.

Conjecture 2 (Characterization of the essential spectral radius index γ). Let m^* and \widetilde{m} be defined as in (27) and (36), respectively. For all $N \geq 4$, the essential spectral radius $\sigma(\mathbf{R}) = |\lambda_{\gamma}^{\mathbf{R}}| = |\lambda_{N-\gamma}^{\mathbf{R}}|$ associated to the Randić matrix \mathbf{R} of a RRL C_N^m can be computed through index

$$\gamma = \begin{cases}
n, & \text{if } N \ge 8 \text{ and } m = 1; \\
3, & \text{if } N = 6, 7 \text{ and } m = 1 \text{ or if } N = 9, 10 \text{ and } m = 2; \\
2, & \text{if } N \ge 4 \text{ and } m \ge \min\{n - 1, \max\{m^*, \widetilde{m}\}\}; \\
1, & \text{otherwise.}
\end{cases} (38)$$

Furthermore, a complete characterization of γ is given by taking into account (38) along with the fact that $\gamma = 1$ also holds in the following four cases: (i) for all odd $N \geq 5$ and m = 1; (ii) for all $N \geq 4$ and $m = \max\{m^*, \widetilde{m}\}$; (iii) for N = 10 and m = 2; (iv) for all even $N \geq 4$ and m = n - 1.

5. Conclusions and future directions

In this work, a peculiar class of circulant graphs, referred to as regular ring lattices, is described highlighting the relationship between the spectrum of their characteristic matrices and the well-known Dirichlet kernel. Several properties related to the eigenvalues are described extensively, with a particular focus on the Fiedler value, the spectral radius of the Laplacian and the essential spectral radius of the Randić matrix associated to these graphs. Part of the proven results is also discussed in details with auxiliary diagrams depicting the related spectral distributions. Furthermore, conjectures on the computation of the debated spectral quantities are formulated and their formal demonstration is envisaged.

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