

1 **Title:** The influence of emotion regulation on the association between depression and heart rate  
2 variability in cardiac patients

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15 **List of all acronyms used:** CHD = Coronary Heart Disease; MI = Myocardial Infarction; HRV = Heart  
16 Rate Variability; vmHRV = Vagally-mediated Heart Rate Variability; BDI-II = Beck Depression  
17 Inventory (2nd Edition); ERQ = Emotion Regulation Questionnaire; COVID-19 = Coronavirus Disease  
18 2019; PCI = Percutaneous Coronary Intervention; CABG = Coronary Artery Bypass Grafting; BMI =  
19 Body Mass Index; ECG = Electrocardiogram; HF = High Frequency; HR = Heart Rate; ERQ-R =  
20 Emotion Regulation Questionnaire Cognitive Reappraisal score; ERQ-S = Emotion Regulation  
21 Questionnaire Expressive Suppression score.

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32 authors declare no competing interests.

33 **Abstract**

34 **Objective:** Poor vagally-mediated heart rate variability (vmHRV) is a mechanism linking depression to  
35 coronary heart disease (CHD). Reduced vmHRV is also considered an index of emotion dysregulation  
36 – the frequent use of maladaptive emotion regulation strategies, one of the most important being  
37 expressive suppression – which is a key component of depression. Therefore, this study aimed to  
38 investigate the moderating role of expressive suppression in the relation between depression and  
39 vmHRV in patients with CHD.

40 **Methods:** The sample included 235 patients with CHD (mean age = 61.56 years (SD = 9.80); 12%  
41 women) admitted to cardiac rehabilitation after a cardiac intervention. The Beck Depression Inventory-  
42 II (BDI-II) was administered to assess depressive symptoms. Emotion regulation strategies based on  
43 either expressive suppression or cognitive reappraisal were assessed through the Emotion Regulation  
44 Questionnaire (ERQ). Resting electrocardiographic recordings were collected for five minutes to  
45 compute HRV indices.

46 **Results:** Expressive suppression moderated the relation between depressive symptoms and vmHRV  
47 ( $b = -0.03$ ;  $p = .012$ ). Patients with lower expressive suppression scores showed no association  
48 between depressive symptoms and vmHRV ( $b = -0.00$ ,  $p = .94$ ), whereas those with higher expressive  
49 suppression scores showed a significant negative association between depressive symptoms and  
50 vmHRV ( $b = -0.05$ ,  $p = .015$ ).

51 **Conclusions:** The use of expressive suppression is likely to potentiate the relation between  
52 depressive symptoms and poor vmHRV, which could increase the cardiac risk in these patients.  
53 Targeting emotion regulation skills in cardiac rehabilitation programs may be useful for reducing the  
54 impact of depression in cardiac patients.

55

56 **Keywords:** depression, coronary heart disease, emotion regulation, expressive suppression, heart  
57 rate variability, cardiac vagal tone.

58 **Introduction**

59 Depression and coronary heart disease (CHD) have a huge impact on public health, being among the  
60 top five leading causes of the global burden of disease (1). Depression has an estimated prevalence  
61 of 5% in the general population (2), reaching 20% among cardiac patients (3) and approximately 45%  
62 in patients after a myocardial infarction (MI) (4). Also, it has been reported that depressive symptoms  
63 are associated with a 60% greater likelihood of exhibiting CHD (5). Major depressive disorder was  
64 recognized as a predictor of poor prognosis following a cardiac event (6-9) and of mortality risk in MI  
65 patients, increasing up to 4-fold the death rate (10). Moreover, a dose-response relation was found  
66 between the increased depression severity and subsequent cardiac events, with more severe  
67 depression associated with earlier and more severe cardiac events (11, 12). Thus, depression has  
68 been recognized as an independent risk factor for CHD onset, development and outcomes (5, 11, 13,  
69 14). Interestingly, evidence shows that preoperative depression is the strongest predictor of  
70 postoperative depression in patients who underwent cardiac surgery (15, 16). The literature  
71 investigating the potential mechanisms linking depression to CHD (17, 18) suggests common causal  
72 pathways (19, 20). Hypothalamic-pituitary-adrenal axis hyperactivity (21), altered inflammatory  
73 response (22), high platelet aggregability (23), and autonomic nervous system imbalance (24) have  
74 been considered as the most important biological mechanisms underlying the relation between  
75 depression and increased cardiac risk.

76 In the last two decades, research has shown a growing interest in the analysis of variations in  
77 the time between adjacent heartbeats (Heart Rate Variability, HRV), because it provides a valuable  
78 measure of autonomic activity on the heart (25). Decreased parasympathetic tone as measured by low  
79 vagally-mediated HRV (vmHRV), has been associated with an increased risk of arrhythmia and  
80 sudden cardiac death (26, 27). Moreover, reduced vmHRV has been consistently reported in cardiac  
81 patients (28-30) and has been associated with higher cardiac risk (31-33) as well as with the negative  
82 impact of cardiac disease (9, 34).

83 VmHRV has also been proposed as an index of the ability of the individual to adapt to

84 environmental requests (35-37) which is at the basis of cardiac risk. In line with this hypothesis,  
85 autonomic control on the heart is known to be directly and indirectly modulated by a complex network  
86 of neural structures involved in high level cognitive and emotional regulation, such as the central  
87 autonomic network, including the insular cortex, amygdala, ventrolateral medulla and the medial and  
88 ventromedial prefrontal cortex (36). For these reasons vmHRV is thought to mirror cognitive and  
89 emotional flexibility, the core features underlying emotion regulation (38, 39). Specifically, emotion  
90 regulation – the ability to modify magnitude, duration and expression of an emotional response (40) –  
91 is considered an important function for a successful adjustment. Emotion dysregulation, conversely, is  
92 considered a relevant transdiagnostic factor for several psychopathological conditions, including  
93 clinically significant depression (41, 42).

94       Depression is characterized by excessive and persistent negative emotions, therefore it has  
95 been suggested that a dysfunction in emotion regulation may play a central role in the onset of  
96 depressive symptoms, as supported by growing evidence (43-46). According to the process model of  
97 emotion proposed by Gross (1998), there are two commonly used strategies for down-regulating  
98 emotion, namely cognitive reappraisal, and expressive suppression. Depression has been associated  
99 with the use of expressive suppression (47), which is a response-focused strategy that involves the  
100 inhibition of emotion-expressive behavior (48, 49). Regarding cognitive reappraisal, it is an  
101 antecedent-focused strategy that aims at changing the meaning of a situation to modulate its  
102 emotional impact and is considered an adaptive emotion regulation strategy, effective in mood  
103 regulation (49, 50). Indeed, a negative association was found between cognitive reappraisal and  
104 depression (51, 52). Instead, expressive suppression was reported to be less effective, compared to  
105 cognitive reappraisal, in decreasing the physiological and experiential aspects of negative emotions  
106 (50). Consistent with these findings, the use of expressive suppression was shown to correlate with  
107 the severity of depressive symptoms (53) and with long-term negative effects on life satisfaction,  
108 wellbeing, and self-esteem (49, 54). In addition, individuals who use expressive suppression (also  
109 called suppressors) were found to be more prone to experience depressive symptoms than those who

110 use cognitive reappraisal (49, 55).

111 Furthermore, altered emotional responses were found to be associated with an increased risk  
112 and impact of cardiovascular disease (9, 34). Intriguingly, increased levels of expressive suppression  
113 were reported to partially mediate the effect of depressive symptoms on postoperative vmHRV in  
114 cardiac patients (56). Such evidence highlights the importance of emotion regulation strategies  
115 training for patients with depression (57). However, to date only a few studies have investigated the  
116 relation between expressive suppression and vmHRV, showing inconclusive results (58-60). Two  
117 studies reported no significant association between self-reported use of expressive suppression and  
118 vmHRV (58, 59). Nevertheless, a recent study by Jentsch et al. (60) showed that the use of  
119 suppression during an emotion regulation task led to lower cardiac vagal flexibility as compared to  
120 cognitive reappraisal. Specifically, participants who used expressive suppression displayed smaller  
121 decreases in vagal tone during an induced stress condition, as well as less pronounced recovery in  
122 the aftermath of stress. Therefore, further studies are needed to better understand the mechanisms  
123 underlying the relation between expressive suppression and vmHRV.

124 In light of these considerations, the present study aimed to investigate the role of emotion  
125 regulation strategies in the relation between depressive symptoms and vmHRV in a sample of patients  
126 with CHD admitted to cardiac rehabilitation. It was hypothesized that individuals using expressive  
127 suppression would display a stronger inverse association between depressive symptoms and vmHRV  
128 compared to those who were lower in expressive suppression. Moreover, given that consistent  
129 evidence on the relation between cognitive reappraisal and depression is lacking, no hypotheses were  
130 formulated for what concerns the role of cognitive reappraisal.

131

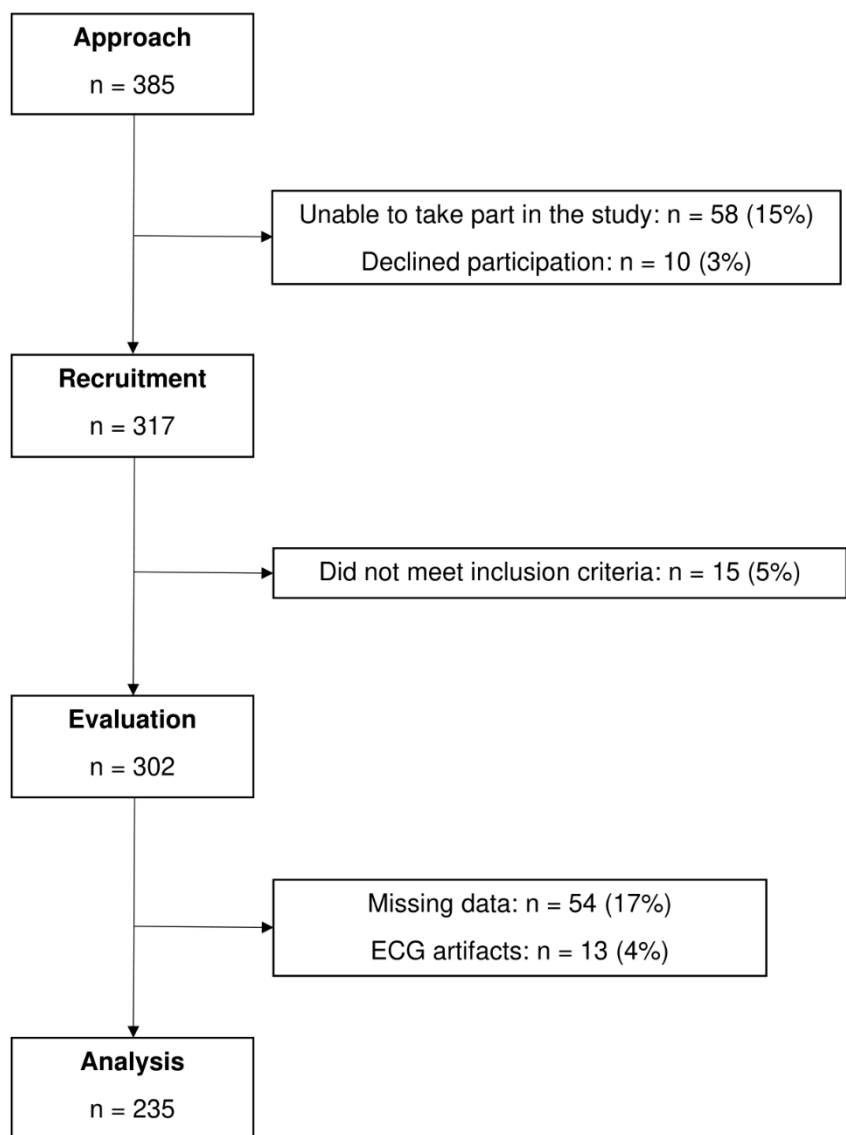
## 132 **Methods and materials**

### 133 *Participants*

134 This study is a part of an extensive, multi-center research project including the Unit of Cardiac  
135 Rehabilitation, ULSS 6 Euganea (Padua, Italy) and the Unit of Cardiac Rehabilitation, San Marco

136 Hospital (Venice, Italy). The project was approved by the local ethics committees (Nucleo di Ricerca  
137 Clinica - AULSS 6 Euganea, prot. No. 209498; Comitato Etico Sperimentazione Clinica Provincia di  
138 Venezia e IRCSS San Camillo (CESC), prot. No. 5137B6558BA9E00C7BE4CBFD4FED0BFA;  
139 Comitato Etico Della Ricerca Psicologica (AREA 17), prot. No. 2229). Part of the sample included in  
140 this study was described in a recent publication (60); however, data presented in this study are  
141 previously unpublished. Data collected at San Marco Hospital (Venice, Italy) were excluded from this  
142 study because patients were recruited at the end of primary cardiac rehabilitation, whereas patients  
143 from ULSS 6 Euganea (Padua, Italy) were enrolled at the beginning of secondary cardiac  
144 rehabilitation.

145         After receiving approval from the local ethics committee, 385 patients admitted to the Unit of  
146 Cardiac Rehabilitation of Padua were proposed to participate in the study between December 2017  
147 and February 2020, before the coronavirus disease 2019 (COVID-19) emergency in Italy (see Figure  
148 1).



149

150 **Figure 1:** STROBE diagram. STROBE diagram of patients' enrolment from the first contact to the data  
 151 analysis.

152

153 Of those, 58 (15%) were unable to take part in the study and 10 (3%) declined participation.

154 Thus, 317 patients were enrolled in the study. Exclusion criteria were inability to read or to understand

155 Italian; visual or auditory impairments; incomplete data collection; conflicting research protocol; a

156 history of severe psychiatric illness; life-threatening condition; cardiac arrhythmia; a history of

157 symptomatic cerebrovascular disease and/or neurological deficit as obtained from patient's medical



158 records and confirmed by medical staff. Eighty-one patients were excluded because of the presence  
159 of cardiac arrhythmia (n = 15, 5%), incomplete data collection (n = 54, 17%) or artifacts in the  
160 electrocardiographic (ECG) recording (n = 13, 4%). Thus, the final sample consisted of 235 patients,  
161 mostly males (n = 208, 88%), with a mean (SD) age of 61.56 (9.80) years. Most patients (n = 195,  
162 83%) underwent percutaneous coronary intervention (PCI), 40 (18%) underwent cardiac surgery  
163 (specifically, 20 (9%) underwent coronary artery bypass grafting (CABG) and 20 (9%) had a heart  
164 valve replacement). Characteristics of the sample are reported in Table 1.

165 **Table 1. Sociodemographic, biomedical and pharmacological data.**

	Mean (SD) or N (%)
Age (years)	61.56 (9.80)
Education (years)	12.32 (4.06)
BMI (kg/m <sup>2</sup> )	26.66 (3.51)
Male, N (%)	208 (88)
Walking time in a week (minutes)	226 (266)
<i>Smoking</i>	
Actual, N (%)	61 (26)
Past, N (%)	92 (39)
Hypertension, N (%)	165 (70)
Dyslipidemia, N (%)	147 (63)
Diabetes, N (%)	40 (17)
Myocardial infarction, N (%)	194 (85)
Days from intervention	28.68 (30.48)
History of cardiovascular events, N (%)	163 (71)
<i>Intervention</i>	
PCI, N (%)	195 (82)
Surgery, N (%)	40 (18)
<i>Pharmacological therapy</i>	
Anticoagulants, N (%)	230 (98)
Beta-blockers, N (%)	182 (77)
ACE inhibitors, N (%)	102 (43)
Antiarrhythmics, N (%)	13 (5)
Anti-hypertensives, N (%)	65 (28)
Statins, N (%)	185 (79)
Psychotropic drugs, N (%)	9 (4)

166

167 The table shows the mean (SD) for continuous variables and frequency (%) for categorical variables. BMI =

168 Body Mass Index; PCI = Percutaneous Coronary Intervention.

169 *Procedure*

170 The assessment was performed for each patient on the first day of rehabilitation at the Unit of Cardiac  
171 Rehabilitation, ULSS 6 Euganea (Padua, Italy). The procedure was administered individually by a  
172 trained psychologist in a quiet and isolated room. First, an interview assessing sociodemographic  
173 variables (age, sex, and education), and lifestyle habits – including smoking and physical activity,  
174 defined as walking time in the previous week – was conducted. Afterwards, the physiological recording  
175 took place. After the placement of electrodes and a 10-minutes adaptation to the sensors, the ECG  
176 was recorded at rest for five minutes. Participants were instructed to stay still and avoid talking during  
177 the recording. Then, the Beck Depression Inventory-II (BDI-II) and the Emotion Regulation  
178 Questionnaire (ERQ) were administered to assess the severity of depressive symptoms and the use  
179 of emotion regulation strategies, respectively. Finally, patients' medical data were collected from the  
180 medical records, including body mass index (BMI), type of intervention, days from intervention, history  
181 of cardiovascular events, cardiac risk factors (i.e., hypertension, dyslipidemia, diabetes, and  
182 arrhythmia), and pharmacological treatment (i.e., anticoagulants, beta-blockers, ACE-inhibitors, anti-  
183 hypertensives, antiarrhythmics, statins and psychotropic drugs).

184

185 *Materials*

186 *Psychological assessment*

187 In order to measure the severity of depressive symptoms, the Beck Depression Inventory-II (BDI-II)  
188 was employed (62, 63). Each of the 21 items is composed of a group of statements that refer to a  
189 specific symptom of depression (e.g., punishment feelings, loss of interest) as defined in the  
190 Diagnostic and Statistical Manual of Mental Disorders-IV (64). The statements are scored on a scale  
191 value from 0 to 3 (scores range from 0 to 63) depending on their level of severity, with a higher sum of  
192 scores suggesting more severe symptoms. Patients are asked to read each statement and choose the  
193 one that better depicts how they have been feeling during the past two weeks, including the day being.  
194 Cronbach's alpha for the BDI-II was  $\alpha = .85$ .

195 In order to assess individual differences in the use of emotion regulation strategies, the Emotion  
196 Regulation Questionnaire (ERQ) was administered to each patient (65). The scale is designed to  
197 measure the respondents' tendency to use cognitive reappraisal and expressive suppression as  
198 strategies to regulate emotional responses. Cognitive reappraisal is an antecedent-focused strategy  
199 based on the re-evaluation of an emotion-eliciting situation, whereby changing the way one thinks  
200 about the event so that its impact is changed. On the other hand, expressive suppression is a  
201 response-focused strategy, implemented when the emotional response has fully developed, that aims  
202 at reducing the experiential and behavioral aspects of negative emotions. The scale is composed of  
203 10 different statements that refer to emotional experience and expression, particularly to how  
204 emotions are controlled and regulated in respect to the two considered strategies (e.g., "I control my  
205 emotions by changing the way I think about the situation I'm in", "I keep my emotions to myself").  
206 Respondents are asked to indicate their level of agreement on a Likert scale ranging from 1 (strongly  
207 disagree) to 7 (strongly agree). The measure provides two scores, one for each subscale: cognitive  
208 reappraisal (ERQ-R), which consists of 6 items, and expressive suppression (ERQ-S), which involves  
209 4 items. A greater score, which is the mean of scores for each subscale (ranging from 1 to 7) indicates  
210 a greater endorsement of the emotion regulation strategy. Cronbach's alpha for the ERQ-R and ERQ-  
211 S subscales was  $\alpha = .83$  and  $\alpha = .73$ , respectively.

212

### 213 *Electrocardiographic recording*

214 The ECG signal was acquired with disposable Ag/AgCl electrodes positioned according to the lead II  
215 Einthoven's configuration. ECG was recorded at rest for five minutes; the signal was band-pass  
216 filtered (1-100 Hz) and sampled at 256 Hz. ECG recordings were visually inspected, and artifacts  
217 (e.g., ectopic beats) were corrected with a piecewise cubic spline interpolation method that generates  
218 missing or corrupted values into the normal-to-normal (NN) intervals. Then, inter-beat intervals and  
219 mean heart rate (HR) were computed using R-peak detection. Frequency-domain indices were  
220 obtained through autoregressive (AR) spectral analysis using Kubios HRV Analysis software 2.2

221 (Matlab, Kupio, Finland).

222 High frequency (HF) power (0.15 - 0.40 Hz) in  $\text{ms}^2$  has been shown to be a reliable measure of  
223 the modulation of the parasympathetic branch through the vagus nerve on the sinoatrial node in  
224 response to both internal and external challenges (66-69). Indeed, HF power is considered an index of  
225 vagal control on the heart. For these reasons, HF power was chosen as a reliable index of vmHRV. In  
226 line with current recommendations (69), HF power was natural log-transformed to fit the assumptions  
227 for linear analyses.

228

### 229 *Data analysis*

230 Data analysis was conducted in R (70). Descriptive statistics have been calculated for each variable of  
231 interest. Pearson's product moment correlation coefficients were calculated to explore the relations  
232 among each psychological (BDI-II, ERQ-R and ERQ-S scores) and physiological (heart rate and  
233 vmHRV, as reflected by lnHF power) measure. In order to investigate the influence of the use of beta-  
234 adrenergic blocking agents, the Mann-Whitney U test was conducted on HR and vmHRV, comparing  
235 patients who were and who were not receiving beta-blockers. Then, to examine the role of the use of  
236 emotional regulation strategies as a possible moderator of the relation between depressive symptoms  
237 and vmHRV, a two-stage hierarchical regression analysis examining moderation effects was  
238 conducted with the lnHF power as the dependent variable. ERQ-R and ERQ-S scores, as well as the  
239 continuous score of the BDI-II, were entered in block 1 of the hierarchical regression along with five  
240 covariates, specifically age, sex, BMI, type of cardiovascular intervention (i.e., surgery or PCI), and  
241 physical activity. Two-way interactions between ERQ-R and ERQ-S as moderator variables and BDI-II  
242 as the independent variable were entered in block 2. To improve the interpretation of results, the  
243 independent and the moderator variables were mean-centered. Multicollinearity diagnostics were run  
244 using the mctest package (71), showing acceptable levels of collinearity (72) among the variables  
245 entered in the model (variance inflation factor <4, tolerance >0.03 and condition index <30). To probe  
246 the interaction effect, Johnson-Neyman interval (73) was calculated using the interactions package

247 (74). The Johnson-Neyman interval calculation was used to create a dummy variable that categorized  
248 patients into two levels of ERQ-S, therefore creating two groups: 1) high ERQ-S; and 2) low ERQ-S.  
249 Then, a simple slope analysis was performed on a model including the lnHF as the dependent  
250 variable, the ERQ-S group as the moderator variable, and the BDI-II as the independent variable.  
251 Given that evidence from previous studies was insufficient to estimate the effect size, it was not  
252 possible to conduct an a priori power analysis. However, a post-hoc power analysis was performed  
253 using G\*power (75), version 3.1.9.6 (Universität Kiel, Germany) to assess the power of this study for  
254 the observed effect size. For this assessment, the recommended effect sizes were as follows:  $f^2 = .02$   
255 for small effects, medium  $f^2 = .15$  for medium effects, and  $f^2 = .35$  for large effects (76). The statistical  
256 type I two-sided (alpha) level was fixed at  $\alpha < .05$ .

257

## 258 **Results**

### 259 *Characteristics of the sample*

260 The mean (SD) BDI-II score of our sample was 7.3 (5.9), with an observed range of 0 to 35. 204  
261 (87%) patients showed minimal depressive symptoms (BDI-II ranging from 0 to 13), 21 (9%) patients  
262 reported mild depressive symptoms (BDI-II ranging from 14 to 19), 8 (3%) patients had moderate  
263 depression scores (BDI-II ranging from 20 to 28) and 2 (1%) reported severe depressive symptoms  
264 (BDI-II ranging from 29 to 63). The mean (SD) ERQ-S score was 3.9 (1.5), with an observed range of  
265 scores from 1 to 7. The ERQ-R score had a mean (SD) value of 5.0 (1.2) and an observed range of  
266 scores of 1 to 7. The mean (SD) heart rate was 62.4 (11.36) beats/min, ranging from 36.1 to 108.4  
267 beats/min. With respect to lnHF power, the mean (SD) was 4.8 (1.4) with observations ranging from  
268 0.6 to 8.0. For illustrative purposes boxplots of HR and lnHF have been realized. Specifically, the  
269 sample was divided into four groups based on combined median-split performed firstly on BDI-II and  
270 ERQ-R scores and secondly on BDI-II and ERQ-S scores (See Figure S1, Supplemental Digital  
271 Content 1). As expected, the Mann-Whitney U test showed a significant difference in mean HR  
272 between patients who were and who were not taking beta-blockers ( $W = 5811.5, p = .023$ ).

273 Conversely, no significant difference in vmHRV was found between the two groups ( $W = 4356$ ,  $p =$   
274  $.37$ ).

275

#### 276 *Association among physiological and psychological measures*

277 Pearson's correlation test showed a significant association between the BDI-II score and HR ( $r = .20$ ,  
278  $p = .002$ ). Specifically, the greater the severity of depressive symptoms, the higher the HR. A  
279 significant correlation was also found between the BDI-II and the ERQ-S score ( $r = .16$ ,  $p = .012$ ), with  
280 greater severity of depressive symptoms being associated with higher use of expressive suppression.  
281 Moreover, a significant positive correlation was found between ERQ-S and ERQ-R scores ( $r = .16$ ,  $p =$   
282  $.012$ ). Furthermore, the BDI-II score was marginally significantly correlated with vmHRV ( $r = -.12$ ,  $p =$   
283  $.061$ ). In contrast, no significant correlation was found between the BDI-II and the ERQ-R. Also, no  
284 significant correlations were found between the physiological measures (vmHRV and HR) and the  
285 self-report emotion regulation strategies (ERQ-S and ERQ-R scores). Pearson's correlations among  
286 each psychological and physiological measure are reported in Table S1, Supplemental Digital Content  
287 2.

288

#### 289 *The moderating role of expressive suppression*

290 In block 1 the hierarchical regression for moderation effects (see Table 2) showed that the BDI-II score  
291 was significantly associated with vmHRV ( $p = .032$ ), with more severe depressive symptoms being  
292 correlated with lower vmHRV. Conversely, no significant association was found between vmHRV and  
293 ERQ-R ( $p = .67$ ) or ERQ-S ( $p = .27$ ) scores. The regression analysis also showed a significant relation  
294 between the type of intervention and vmHRV ( $p < .001$ ). Specifically, lower vmHRV was observed in  
295 patients who underwent surgical intervention (i.e., CABG or heart valve replacement) as compared  
296 with those who underwent PCI.

297 With respect to block 2 of the model, the ERQ-S  $\times$  BDI-II interaction was significantly associated  
298 with vmHRV ( $p = .012$ ). Conversely, the BDI-II, ERQ-R, and ERQ-S scores were unrelated to vmHRV.

299 Moreover, the ERQ-R x ERQ-S, and ERQ-R x BDI-II interactions were unrelated to vmHRV (see  
300 Table 2). In block 2, a significant association was found between vmHRV, and type of intervention ( $p <$   
301  $.001$ ), consistent with the results observed in block 1. Furthermore, a significant relation between the  
302 BMI and vmHRV was found ( $p = .047$ ). The overall model fit was  $R^2 = 0.11$  with an effect size of  $f^2 =$   
303  $0.18$ , hence the power of this study was more than adequate to detect the effect of the model, being  
304  $(1-\beta) = 0.99$ .



305 **Table 2. Hierarchical regression analysis on vmHRV.**

Block	Predictor	<i>b</i>	confidence interval (95%)	<i>t</i> value	<i>p</i>	
1						
	(Intercept)	7.25	[5.23, 9.26]	7.08	<.001	***
	Age (years)	-0.01	[-0.03, 0.00]	-1.57	.12	
	Sex (0 = male; 1 = female)	0.28	[-0.28, 0.84]	0.98	.33	
	BMI (kg/m <sup>2</sup> )	-0.05	[-0.10, 0.00]	-1.78	.076	
	Walking time in a week (minutes)	-0.00	[-0.00, 0.00]	-0.68	.50	
	Intervention (0 = PCI, 1 = surgical intervention)	-1.18	[-1.66, -0.70]	-4.86	<.001	***
	ERQ-R	-0.03	[-0.18, 0.12]	-0.42	.67	
	ERQ-S	0.07	[-0.05, 0.19]	1.12	.27	
	BDI-II	-0.03	[-0.06, -0.00]	-2.16	.032	*
2						
	(Intercept)	7.27	[5.27, 9.27]	7.17	<.001	***
	Age (years)	-0.01	[-0.03, 0.78]	-1.27	.21	
	Sex (0 = male; 1 = female)	0.22	[-0.33, 0.78]	0.80	.43	
	BMI (kg/m <sup>2</sup> )	-0.05	[-0.10, -0.00]	-1.99	.047	*
	Walking time in a week (minutes)	-0.00	[-0.00, 0.00]	-0.67	.50	
	Intervention (0 = PCI, 1 = surgical intervention)	-1.24	[-1.72, -0.75]	-5.05	<.001	***
	ERQ-R	-0.04	[-0.19, 0.12]	-0.45	.65	
	ERQ-S	0.05	[-0.07, 0.18]	0.87	.39	
	BDI-II	-0.03	[-0.06, 0.00]	-1.73	.085	
	ERQ-R × ERQ-S	-0.03	[-0.12, 0.06]	-0.59	.55	
	ERQ-R × BDI-II	0.00	[-0.02, 0.03]	0.35	.73	
	ERQ-S × BDI-II	-0.03	[-0.04, -0.00]	-2.55	.012	*

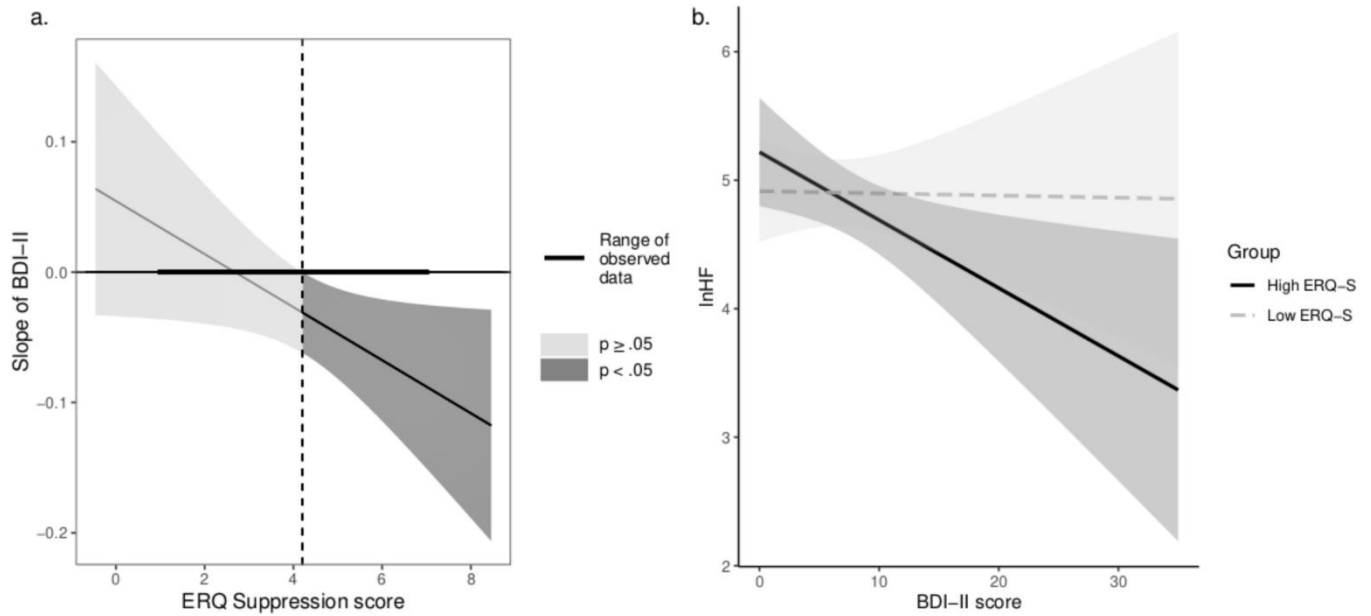
307 Note: BMI = body mass index; PCI = Percutaneous Coronary Intervention; BDI-II = Beck Depression Inventory  
308 (2<sup>nd</sup> edition); ERQ-R = Emotion Regulation Questionnaire – Cognitive Reappraisal score; ERQ-S = Emotion  
309 Regulation Questionnaire – Expressive Suppression score; vmHRV = vagally-mediated Heart Rate Variability.  
310 \*\*\* =  $p < .001$ , \*\* =  $p < .01$ , \* =  $p < .05$

311

312 The results of the Johnson-Neyman calculation showed that when ERQ-S score was outside the  
313 interval  $i = [-38.9, 4.20]$ , the slope of the BDI-II was significant at a fixed  $\alpha = .05$ . More specifically, the  
314 BDI-II score had a significant inverse association with vmHRV in patients with ERQ-S scores higher  
315 than 4.2. Instead, in patients with ERQ-S scores lower or equal than 4.2, no significant association  
316 between the BDI-II and vmHRV was observed (see Figure 2a). Based on the Johnson-Neyman  
317 interval, a dummy variable was created to categorize patients as those with low expressive  
318 suppression (ERQ-S score  $\leq 4.2$ ;  $n = 114$ , 49%) and those with high expressive suppression (ERQ-S  
319 score  $> 4.2$ ;  $n = 121$ , 51%). The simple slopes analysis showed an inverse linear association between  
320 BDI-II scores and vmHRV in patients with high ERQ-S ( $b = -0.05$ ,  $t(233) = -2.45$ ,  $p = .01$ ). Conversely,  
321 patients with low ERQ-S scores showed no significant association between BDI-II and vmHRV ( $b = -$   
322  $0.00$ ,  $t(233) = -0.07$ ,  $p = .94$ ; see Figure 2b).

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327 **Figure 2:** The moderating role of expressive suppression. Figure 2.a *Johnson-Neyman interval plot*. The dark  
328 grey part of the curve represents the range of expressive suppression values (ERQ-S scores) at which the slope  
329 of BDI is significant at a fixed  $\alpha = .05$ . Patients with lower ERQ-S scores (ranging from the minimum to 4.2)  
330 showed no significant relation between depressive symptoms and vmHRV, while patients with higher expressive  
331 suppression values (ERQ-S scores > 4.2) showed a significant association between depressive symptoms and  
332 vmHRV ( $p < .05$ ). Figure 2.b *Moderation model of ERQ-S between the BDI-II and vmHRV*. The plot displays the  
333 moderation effect of expressive suppression (ERQ-S) on the association between depressive symptoms (BDI-II  
334 scores) and vmHRV. The black line represents the significant negative association between BDI-II and vmHRV  
335 in patients with high ERQ-S, while the dashed light grey line represents patients with low ERQ-S.

336 **Discussion**

337 The main aim of the present study was to investigate the moderating effect of maladaptive emotion  
338 regulation, specifically expressive suppression, in the relation between depression and vmHRV in a  
339 group of patients with CHD. It was expected that greater use of suppression would potentiate the  
340 association between more severe depressive symptoms and reduced vmHRV. In line with this  
341 hypothesis, the results showed a significant moderating role of the maladaptive ER strategy in the  
342 relation between depression and vmHRV (as indexed by lnHF power). Specifically, only patients who  
343 were more prone to use expressive suppression to cope with their emotions showed a significant  
344 inverse association between the severity of depressive symptoms and vmHRV. In contrast, those who  
345 did not use expressive suppression as a preferential emotion regulation strategy displayed no  
346 association between depressive symptoms and vmHRV. Consistent with previous findings (47),  
347 expressive suppression was also found to be related to depression, with higher self-reported use of  
348 expressive suppression being associated with more severe depressive symptoms. Furthermore,  
349 vmHRV was found to be inversely related to depressive symptoms, showing lower vmHRV in those  
350 with more severe depressive symptoms, in line with evidence from previous studies (77). Ultimately,  
351 an association was found between mean HR and depression, as higher HR was associated with more  
352 severe depressive symptoms, in accordance with prior results (78).

353 Instead, cognitive reappraisal was unrelated to vmHRV. This null finding is in contrast with  
354 evidence from previous studies conducted on healthy individuals (79-81), reporting a positive  
355 correlation between cardiac vagal tone and the use of cognitive reappraisal. Therefore, further  
356 research is needed to investigate whether the relation between cardiac vagal tone and cognitive  
357 reappraisal may extend to clinical populations. Furthermore, cognitive reappraisal was unrelated to  
358 depressive symptoms and was found to have no effect on the relation between depressive symptoms  
359 and vmHRV. These findings are in line with previous evidence showing null to moderate associations  
360 between cognitive reappraisal and depression (82, 83).

361 Taken together, the present results suggest that expressive suppression, compared to cognitive

362 reappraisal, may be more relevant and specific when it comes to the influence of emotion regulation  
363 on the link between depressive symptoms and vagal cardiac modulation. Furthermore, expressive  
364 suppression could sustain depression-related cardiac risk by strengthening the link between vmHRV,  
365 altered neurohormonal responses and depressive symptoms. Such evidence highlights the  
366 importance of an integrative approach to cardiovascular risk, considering emotion regulation strategies  
367 as they may provide additional and valuable information. The literature shows that targeting  
368 depressive symptoms through both psychotherapeutic and pharmacological interventions has a  
369 positive effect on cardiovascular outcomes (84). The assessment of emotion regulation skills could be  
370 useful to identify patients with CHD who are at higher depression-related cardiac risk and to develop  
371 specific interventions aimed at reducing the use of expressive suppression and, ultimately,  
372 depression. Thus, an integrated intervention that targets vmHRV (such as HRV-biofeedback training)  
373 and emotion regulation skills could reduce the impact of depression and emotion dysregulation on  
374 cardiovascular risk and prognosis, as suggested by previous evidence (85), by improving autonomic  
375 balance and emotional adaptation. Indeed, HRV-biofeedback is considered an effective tool for  
376 enhancing emotional self-control, reducing depressive symptoms, and facilitating psychological  
377 adjustment to CHD (86, 87). Furthermore, mindfulness-based interventions have shown promising  
378 outcomes when it comes to emotion regulation (88). Growing evidence shows that mindfulness is  
379 effective in reducing worry, rumination, and suppression (89), as well as depressive symptoms (90, for  
380 a review see 91).

381         The present study also showed an association between the type of intervention and vmHRV.  
382 Specifically, patients who underwent cardiac surgery, such as CAGB or heart valve surgery, were  
383 characterized by lower vmHRV as compared with those who underwent PCI. This result is consistent  
384 with those of previous studies showing that restored myocardial perfusion by PCI in patients with CHD  
385 is followed by an improvement of HRV indices (92, 93). CABG and heart valve surgery, involving more  
386 invasive procedures than PCI, are likely to have a deeper impact on patients' recovery, which is also  
387 reflected by reduced HRV levels (94, 95). Moreover, regression analysis revealed a relation between

388 BMI and vmHRV, with higher BMI being associated with lower vmHRV, in line with extensive literature  
389 (96, 97).

390 In the present study, participants showed a lower prevalence of depression as well as milder  
391 depressive symptoms compared to similar studies. Specifically, 13% of our sample showed mild to  
392 severe depressive symptoms, while data from previous studies suggests that the prevalence of  
393 depression in patients with CHD is approximately 20-25% (6, 98). This difference can be accounted  
394 for by considering that this study was conducted on a sample of patients with CHD who mainly  
395 underwent PCI intervention (82%). Indeed, patients enrolled in the present study may have  
396 experienced a faster recovery compared to other cardiac patients, thus showing mild depressive  
397 symptoms. The present study assessed mechanisms that have been mainly investigated in patients  
398 undergoing CABG intervention, being subjected to a worse prognosis, slower recovery, and more  
399 severe depressive symptoms (98). Therefore, the present study extends the generalizability of the link  
400 between expressive suppression and depressive symptoms in patients with CHD to populations  
401 subjected to more subtle symptoms.

402 Some limitations should be considered when interpreting the result of this study. First, the  
403 majority of patients included in the present study were males; this may reduce the generalizability of  
404 the results to females. It has also been shown that emotion regulation is subject to gender differences  
405 such as greater use of expressive suppression in men in respect to women (49). For these reasons,  
406 future studies are warranted to evaluate the relation between emotion regulation, depression and  
407 vmHRV in women. Second, given the absence of a control group suffering from other chronic  
408 diseases (e.g., diabetes), it is not possible to determine whether this relation is specific to cardiac  
409 patients, or it extends to a broader population. The third limitation resides in the use of self-report  
410 measures to assess emotion regulation strategies, depressive symptoms, and physical activity: self-  
411 reports are subject to biases and methodological caveats that could partially limit the reliability of the  
412 present study (99). Future research should use ambulatory tools, such as the actigraph  
413 accelerometers, in order to have more ecological and objective measures than self-reports. However,

414 with respect to the evaluation of depressive symptoms and emotion regulation strategies, it should be  
415 noted that both the BDI-II and the ERQ have demonstrated high reliability and construct validity (100,  
416 101). In addition, 77% of the patients involved in this study were using beta-adrenergic blocking  
417 agents, which might have influenced the current findings. However, sensitivity analyses (unadjusted  
418 for covariates) revealed the same pattern of results when analyzing data on patients not using beta-  
419 blockers (data not shown). Finally, the present study was correlational, therefore it is not possible to  
420 determine the causal relation between the different variables.

421

## 422 **Conclusions**

423 The present results add to the literature by showing the association between expressive suppression  
424 as a maladaptive emotion regulation strategy and depressive symptoms in patients with CHD. More  
425 importantly, the present results showed that expressive suppression has a moderating role in the well-  
426 known association between depressive symptoms and reduced cardiac vagal control. Greater use of  
427 maladaptive emotion regulation strategies based on expressive suppression potentiated the  
428 association between the severity of depressive symptoms and reduced cardiac vagal control. The  
429 present results highlight the importance of the assessment of emotion regulation strategies in patients  
430 with CHD in order to identify individuals with an increased cardiac risk associated with depressive  
431 symptoms. Along the same line of reasoning, the inclusion of psychological intervention aimed at  
432 targeting emotion regulation in cardiovascular rehabilitation programs may reduce the impact of  
433 depression-related risk in patients with CHD undergoing cardiac rehabilitation.

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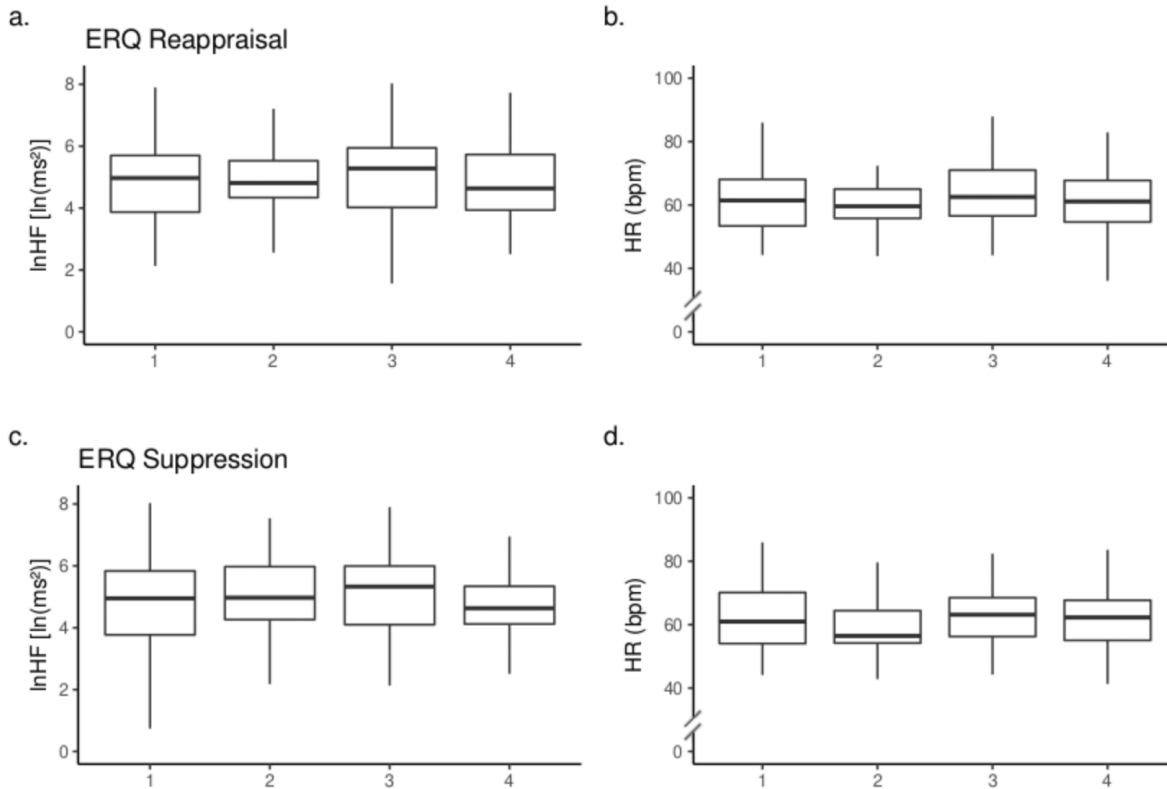
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714 **Authors contributions**

715 EP, SMB, CG, AP and DP conceived and designed the study; FM and AP gathered the data; FM and  
716 EP analyzed the data; FM, EP, and SMB wrote the paper, and all authors reviewed and approved the  
717 final manuscript.

718 **Supplementary materials**

- 719 • Supplemental Digital Content 1. Boxplots of heart rate and vagally-mediated heart  
720 rate variability.



721 **Figure S1:** Boxplots of vagally-mediated heart rate variability and heart rate. Within each box,  
722 horizontal black lines denote median values; boxes extend from the 25th to the 75th percentile of  
723 each group's distribution of values; lower and upper error lines indicate 10th and 90th percentiles,  
724 respectively. The sample was divided in four different groups based on a median-split performed on  
725 BDI-II and ERQ scores. *Panels a and b.* The figure shows vagally-mediated heart rate variability, as  
726 indexed by InHF, and mean heart rate of the sample divided into four groups. Group 1 includes  
727 patients with low BDI-II scores and low ERQ-R scores. Group 2 includes patients with high BDI-II  
728 scores and low ERQ-R scores. Group 3 includes patients with low BDI-II scores and high ERQ-R  
729 scores. Finally, Group 4 includes patients with both high BDI-II and ERQ-R scores. *Panels c and d.*  
730 The figure shows vagally-mediated heart rate variability, as indexed by InHF, and mean heart rate of  
731 the sample divided into four groups. Group 1 includes patients with low scores at the BDI-II and low  
732

733 scores at the ERQ-S. Group 2 includes patients with high scores at the BDI-II and low scores at the  
 734 ERQ-S. Group 3 includes patients with low scores at the BDI-II and high scores at the ERQ-S.  
 735 Ultimately, Group 4 includes patients with high scores both at the BDI-II and the ERQ-S subscale.  
 736 *Note:* lnHF [ln(ms<sup>2</sup>)] = Natural logarithm of High Frequency Heart Rate Variability in ms<sup>2</sup>; HR (bpm) =  
 737 Heart Rate (beats per minute).

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740 • Supplemental Digital Content 2. Table showing Pearson’s correlations between  
 741 psychological and physiological measures.

742

743 **Table S1. Pearson’s correlations among physiological and psychological measures.**

	HR (bpm)	lnHF [ln(ms <sup>2</sup> )]	BDI-II	ERQ-R	ERQ-S
HR (bpm)	-				
lnHF [ln(ms <sup>2</sup> )]	-.62 (<.001) ***	-			
BDI-II	.20 (.002) **	-.12 (.061)	-		
ERQ-R	-.06 (.37)	.02 (.74)	.03 (.60)	-	
ERQ-S	-.04 (.53)	.03 (.66)	.16 (.012) *	.16 (.012) *	-

744

745 The table shows Pearson’s *r* coefficients and *p* values in parentheses.

746 *Note:* HR (bpm) = Heart Rate (beats per minute); lnHF [ln(ms<sup>2</sup>)] = Natural Logarithm of High Frequency Heart Rate Variability  
 747 power in ms<sup>2</sup>; BDI-II = Beck Depression Inventory II score; ERQ-R = Emotion Regulation Questionnaire - Cognitive  
 748 Reappraisal score; ERQ-S = Emotion Regulation Questionnaire - Expressive Suppression score.

749 \*\*\* = *p* < .001; \*\* = *p* < .01; \* = *p* < .05