

1        **Depression anticipate patients at risk of poor exercise stress test performance**  
2                **after percutaneous coronary angioplasty: A short-term longitudinal study**

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22  
23

24 **Abstract**

25 **Aims**

26 The exercise stress test is commonly used to assess physical capacity and  
27 recovery in coronary artery disease (CAD) patients after percutaneous transluminal  
28 coronary angioplasty (PTCA). Despite depressive symptoms have been consistently  
29 associated with adverse outcomes in CAD patients, they are rarely considered as risk  
30 factors of poor exercise test. The present study investigated the influence of depressive  
31 symptoms, along with anxiety, sleep problems and perceived health on exercise test in  
32 PTCA patients.

33 **Methods**

34 One hundred and sixty-five patients who underwent PTCA completed the Beck  
35 Depression Inventory-II (BDI-II), the Beck Anxiety Inventory, the Sleep Condition Index  
36 and the 12-item Short-Form Health Survey and, after 20 days, underwent exercise stress  
37 test.

38 **Results**

39 Higher BDI-II scores significantly predicted lower maximal workload measured  
40 in metabolic equivalents (METs;  $\beta = -0.13$ ;  $p = .030$ ), shorter total exercise duration ( $\beta = -$   
41  $5.23$ ;  $p = .034$ ) and the inability to reach maximum heart rate during exercise test (OR =  
42  $1.07$ ;  $p = .032$ ), even after controlling for relevant sociodemographic and biomedical risk  
43 factors.

44 **Conclusions**

45 Depressive symptoms specifically predicted worse exercise stress test  
46 performance in patients after PTCA, controlling for common risk factors. Focusing on the  
47 assessment of depressive symptoms, in addition to sociodemographic and biomedical

48 risk factors, is essential to anticipate patients at risk of poor physical capacity after  
49 PTCA.

50

51 **Keywords:** Depressive symptoms, Coronary artery disease, Exercise stress tests,  
52 Percutaneous transluminal coronary angioplasty.

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55

## 56 **Introduction**

57           Exercise stress testing is a reliable and widely applied method that identifies  
58 exercise-related symptoms, evaluates physical capacity and effort tolerance to detect  
59 patients with CAD and predict the risk of cardiovascular events (1–4). Most importantly,  
60 exercise stress testing is commonly applied for the assessment of cardiac functionality  
61 after a revascularization intervention (such as CABG and PTCA; 4,5) and to assess the  
62 effectiveness of cardiac rehabilitation interventions (6).

63           Several factors, the most important being the sociodemographic and biomedical  
64 ones, have been reported to impact negatively on exercise stress test outcomes, such as  
65 older age, lower body surface area, female sex, previous myocardial infarction (MI) and  
66 the presence of comorbidity (3,7). Among behavioral risk factors, poor physical activity  
67 has been consistently associated with scarce physical capacity in the general population  
68 and (3,5), most importantly, in patients with cardiovascular disorders (7). Indeed,  
69 improving physical capacity through physical exercise training is one of the primary  
70 outcomes of cardiac rehabilitation after a revascularization intervention (6).

71           Despite the well-known role of sociodemographic, biomedical and behavioral risk  
72 factors, in the last decades, the negative impact on cardiovascular risk of some  
73 psychological factors, the most important being depressive symptoms, has been  
74 consistently reported. Indeed, depression has been associated with the presence of  
75 cardiovascular disorders (CVD; 8), with CAD onset risk (9), premature (10) and all-cause  
76 mortality (11) in patients with CAD. The role of depression on adverse cardiovascular  
77 outcomes has been explained through both biological and behavioral mechanisms (for a  
78 review see (11). More importantly, depression affect patients' physical capacity  
79 (7,12,13), as well as the effectiveness of cardiovascular rehabilitation after a

80 revascularization procedure (14–16). This, in turn, could damage the short and long-  
81 term quality of life, as well as the survival rate in patients who underwent  
82 revascularization intervention (17).

83 Remarkably, a limited number of studies focused on how depressive symptoms  
84 impact on exercise stress test performance in patients with CAD (18–20) and patients  
85 who underwent revascularization intervention (21). In CAD patients depressive  
86 symptoms resulted independently associated with poor exercise capacity (18,20), and  
87 low exercise tolerance and performance (19). In the only study, to our knowledge,  
88 including patients who underwent a revascularization intervention, depressive  
89 symptoms were related to impaired heart rate (HR) recovery after exercise stress  
90 testing. Nonetheless, this relation did not survive after controlling for patients' physical  
91 activity. To notice, the study sample comprised patients who underwent PTCA as well as  
92 CABG surgery, patients after MI, and patients with angina (21). In turn, differences in  
93 patients' clinical conditions may have confounded the results of this study.

94 None of these studies included the evaluation of comorbid symptoms such as  
95 anxiety, sleep problems and perceived health (18–21). This is surprising considering  
96 that depression has much in common with anxiety, sharing diagnostic criteria such as  
97 restlessness, agitation, concentration difficulties, and sleep problems (22). More  
98 importantly, CAD patients often show depressive symptoms along with abnormal  
99 anxiety (23), lower quality of sleep (24), and lower perceived physical and mental health  
100 (25). A few studies examined the role of both depression and anxiety on exercise stress  
101 testing in patients with chest pain (12,13), and in patients after acute MI (7), reporting  
102 mixed results. In patients with chest pain, both anxiety and depression were found to  
103 negatively affect exercise stress test performance (13): a poorer exercise stress test was

104 predicted by depression in men and by anxiety in women (12). On the contrary, in  
105 patients after acute MI a specific relation between depressive symptoms and exercise  
106 stress test performance emerged (7). Therefore, the role of depression, anxiety or  
107 comorbid symptoms, such as sleep problems and perceived health, on exercise stress  
108 test performance is uncertain.

109         No study so far has specifically focused on the influence of depression – along  
110 with anxiety, sleep problems and perceived health – on performance at the exercise  
111 stress test in CAD patients who underwent PTCA. The aim of the present (short-term)  
112 longitudinal study was to investigate the predictive role of depressive symptoms  
113 measured when the patients were referred to a cardiovascular visit to the unit of cardiac  
114 rehabilitation (about 25 days after PTCA procedure, T0) on outcomes of exercise stress  
115 test performance assessed 15-20 days after T0 (i.e., T1). The measures used to assess  
116 performance at the exercise stress test were the maximal workload assessed as  
117 metabolic equivalent of task (MET), the total exercise duration (expressed in seconds),  
118 and the ability to reach maximum heart rate during the test. It was hypothesized that  
119 depressive symptoms, along with anxiety, sleep problems and perceived health, would  
120 significantly predict a worse outcome in the exercise stress test in CAD patients who  
121 underwent PTCA, even after controlling for sociodemographic, biomedical risk factors  
122 and physical activity.

123

## 124 **Materials and Methods**

### 125 *Participants*

126         A total of 289 consecutive patients referred to a cardiovascular visit to the Unit of  
127 Cardiac Rehabilitation, ULSS 6 Euganea, (Padua, Italy) between March 2019 and

128 December 2019, were approached to participate in the study. Of the 289 patients  
129 approached, 58 (20%) were unable to take part in the study and 10 (3%) declined  
130 participation (see Figure 1). Exclusion criteria were: inability to read or to understand  
131 Italian; procedure different from PTCA, such as heart valve surgery or CABG surgery;  
132 visual or auditory impairments; incomplete data collection; conflicting research  
133 protocol; psychiatric illness; life-threatening condition; a history of symptomatic  
134 cerebrovascular disease and/or neurological deficit as obtained from patient's medical  
135 records and confirmed by medical staff. Two hundred and twenty-one patients were  
136 recruited, and 41 patients were excluded since they did not meet inclusion criteria (1  
137 patient was excluded for inability to read or to understand Italian, 12 patients  
138 underwent CABG surgery, 15 patients had heart valve surgery and 13 patients  
139 underwent combined or another type of cardiac intervention). Therefore, 180 patients  
140 met the inclusion criteria and were evaluated. Fifteen patients (5%) were excluded from  
141 data analysis because data of stress test exercise were incomplete. The final sample  
142 included 165 patients, mostly men (n = 144, 87%), with a mean [standard deviation  
143 (SD)] age of 61.88 (9.98) years and a mean (SD) education of 11.93 (4.28) years. None of  
144 the patients included in the study were being treated for depression with medications  
145 and/or psychotherapy. The study was conducted in accordance with the Declaration of  
146 Helsinki, and all procedures were performed with an adequate understanding and  
147 written consent of the patients. This study was part of a larger research project

148 conducted at the Unit of Cardiac Rehabilitation, ULSS 6 Euganea (Padua, Italy) that was  
149 approved by the local ethics committee (prot. No. 209498).

150

151 *Assessment of sociodemographic, biomedical, physical activity and psychological risk*  
152 *factors*

153         The assessment was performed about 25 days (mean [SD] days 25.07 [17.85])  
154 after the revascularization intervention on the same day of the cardiovascular visit at  
155 the Unit of Cardiac Rehabilitation. A short semi-structured interview and four  
156 questionnaires were administered individually by a trained psychologist in a quiet and  
157 isolated room. The semi-structured interview included the evaluation of  
158 sociodemographic (age, gender, education and smoking habits) factors, the patient's  
159 medical history (including, cardiac risk factors such as the presence of hypertension,  
160 atrial fibrillation, diabetes, dyslipidemia, and previous stroke; pharmacological  
161 treatment, including  $\beta$ -blockers, antihypertensive, antiarrhythmics, anticoagulants, and  
162 ACE-inhibitors; biomedical factors such as days from surgery) and physical activity  
163 (number of days in the last week in which patients walked for at least 10 minutes). Mean  
164 blood pressure (MBP), mean heart rate (HR), white and red blood cells, levels of  
165 glycemia, creatinine, sodium, and potassium, aspartate aminotransferase (AST),  $\gamma$ -  
166 glutamyltransferase (GGT), alanine aminotransferase (ALT), creatine phosphokinase  
167 (CPK), high- and low-density lipoprotein cholesterol (HDL and LDL), total cholesterol  
168 and triglycerides were obtained from patient's most recent medical record. Depressive



169 and anxiety symptoms, sleep problems, and perceived physical and mental health were  
170 assessed employing the following standardized questionnaires:

- 171 1. The Beck Depression Inventory-II (BDI-II) is a reliable and valid 21 items self-  
172 report questionnaire that evaluates the severity depressive symptoms in the last  
173 two weeks (26,27). Scores below 13 reflect minimal depressive symptoms, scores  
174 from 14 to 19 indicate mild depression, scores from 20 to 28 reflect moderate  
175 depression, scores from 29 to 63 suggest severe depression (27).
- 176 2. The Beck Anxiety Inventory (BAI; 30,31) has been reported to be a reliable and  
177 valid measure of anxiety symptoms in community samples (28). A score below 7  
178 indicates minimal anxiety symptoms, scores between 8-15 reflect mild anxiety,  
179 scores between 16-25 reflect moderate anxiety symptoms and scores from 26-63  
180 suggest severe anxiety (29).
- 181 3. The Sleep Condition Indicator (SCI) is a brief screening compliant with the  
182 Diagnostic and Statistical Manual of Mental Disorders - Fifth Edition (DSM-5; 32)  
183 to evaluate sleep problems and insomnia in the last month (31). A total score  
184 below 16 has been suggested to reflect the minimum criteria for putative  
185 insomnia disorder.
- 186 4. The 12-item Short-Form (SF-12) Health Survey (32,33) is a valid and reliable  
187 measure of general health status in the cardiac population (34,35) and covers

188 two components of HRQoL: a Physical Component Scale (SF-12 PCS) and a Mental  
189 Component Scale (SF-12 MCS).

190

### 191 *Indices of stress test performance and cardiovascular measures*

192 The exercise stress test was carried out in a standardized fashion using an  
193 electrically braked bicycle ergometer 15 to 20 days after the administration of  
194 questionnaires. All patients were tested in the morning in a room with a constant  
195 temperature (21-23 °C). Patients' maximal workload (METs), total exercise duration  
196 (sec), resting and peak exercise heart rate (HR), mean blood pressure (MBP) and %  
197 maximal predicted heart rate (%MPHR; based on the 220-age equation) were evaluated  
198 by an experienced cardiologist trained in the administration and the assessment of the  
199 exercise test. Patients' ability to reach 85% of maximal age-predicted heart rate was  
200 applied as an exercise endpoint that estimates the achievement of an adequate stress  
201 level. The exercise stress test was carried out following a standardized multistage  
202 protocol following the guidelines (5), with the initial workload set at 25 watts, and 25-  
203 watt increments at 3-minute intervals until exhaustion or achievement of maximal heart  
204 rate. HR was measured with a standard 12-lead ECG configuration (XSCRIBE 6, Mortara,  
205 Casalecchio di Reno, Bologna Italy or CASE v6.7, GE Healthcare, Chicago Illinois), and  
206 MBP was measured with a manual sphygmomanometer.

207

### 208 *Statistical analysis*

209 Depressive symptoms (BDI-II scores) were compared with a t-test between  
210 patients in the final sample (n = 165) and patients who were excluded from the study  
211 (12 patients who underwent CABG surgery, 15 patients who had heart valve surgery, 13

212 patients who underwent combined or another type of cardiac intervention and 15  
213 patients with incomplete stress test exercise data). A series of hierarchical regression  
214 models were computed to predict maximal workload (METs) and total exercise duration  
215 (sec) from questionnaires scores (i.e., BDI-II, BAI, SCI, SF-12 PCS, SF-12 MCS). Since  
216 depressive symptoms are strongly correlated with anxiety symptoms as well as sleep  
217 problems and physical and mental perceived health, the association between  
218 questionnaires' scores were tested through Pearson's r correlation. To avoid for  
219 multicollinearity and to test whether specific symptoms associated with depression  
220 (anxiety, sleep problems, perceived physical or mental health) were individually  
221 involved in predicting maximal workload (METs) and total exercise duration (sec), five  
222 different regression models (i.e., one for each questionnaire) were run for each exercise  
223 test outcome. Five binomial logistic regressions were also computed to predict patients'  
224 ability to reach exercise endpoint (defined as %MPHR higher than 85; 38) from  
225 questionnaires scores (i.e., BDI-II, BAI, SCI, SF-12 PCS, SF-12 MCS). All models were  
226 controlled for sociodemographic (i.e., age, sex, smoking habits, and BMI), biomedical  
227 variables (i.e., days from surgery,  $\beta$ -blockers therapy, resting MBP, resting HR, CPK  
228 levels), and physical activity (i.e., walking). Multicollinearity diagnostics showed that all  
229 the variables entered in regression models had acceptable levels of collinearity  
230 (variance inflation factor < 4, tolerance > 0.03; 37). Whether a questionnaire's score  
231 resulted to significantly predict patients ability to reach exercise endpoint, the Youden  
232 index was calculated to identify the criterion for selecting the optimum cut-off point  
233 when a diagnostic test (in this case the questionnaire score) identifies a dichotomous  
234 result (in this case patients' ability or inability to reach exercise endpoint). All analyses

235 were performed using Jamovi version 0.9. A  $p$ -value  $< .05$  was considered statistically  
236 significant.

237

## 238 **Results**

### 239 *Questionnaires scores*

240 Mean (SD) BDI-II score was 7.32 (6.48). 132 (80%) patients showed minimal  
241 depressive symptoms (BDI-II scores 0–13), 21 (13%) patients had mild depression  
242 (BDI-II scores 14–19), 8 (5%) patients had moderate depression (BDI-II scores 20–28),  
243 and 4 (2%) patients reported severe depression (BDI-II scores 29–63). No differences  
244 emerged in depressive symptoms between the patients in the final sample and the  
245 patients excluded (mean (SD) = 8.71 (7.48);  $t = -1.32$ ,  $p = .188$ ).

246 Mean (SD) BAI score was 8.46 (7.30). 87 (53%) patients showed minimal anxiety  
247 symptoms (BAI scores 0–7), 56 (34%) patients had mild anxiety (BAI scores 8–15), 17  
248 (10%) patients showed moderate anxiety (BAI scores 16–25), and 5 (3%) patients had  
249 severe anxiety (BAI scores 26–63).

250 Score at the SCI was mean (SD) 24.63 (6.19), 21 (13%) patients reported a total  
251 score above the cut-off reflecting the presence of clinically relevant sleep problems.

252 Patients perceived physical (SF-12 PCS) and mental (SF-12 MCS) health scores  
253 were mean (SD) 42.50 (8.54) and 45.67 (10.74), respectively. Both scores resulted lower  
254 compared to those registered by the general population (33).

255 BDI-II scores were correlated with the questionnaires measuring anxiety (BAI;  $r$   
256 = 0.58,  $p < .001$ ), sleep problems (SCI;  $r = -0.46$ ,  $p < .001$ ), perceived physical (SF-12 PCS;  
257  $r = -0.35$ ,  $p = .013$ ) and mental (SF-12 MCS;  $r = -0.60$ ,  $p < .001$ ) health.

258

259 *Predictors of maximal workload (METs)*

260           Regarding the exercise stress test mean (SD) maximal workload (METs) was 9.44  
261 (5.56). The final block of the hierarchical linear regression predicting maximal  
262 workload (METs) from BDI-II scores controlling for sociodemographic, biomedical  
263 variables and physical activity showed that younger age, male sex, no and past smoking,  
264 lower resting MBP and HR, CPK levels, more frequent physical activity and lower BDI-II  
265 scores predicted higher maximal workload at the stress test (Table 2).  
266 Sociodemographic factors (first regression block) accounted for 29% of maximal  
267 workload variance, reaching statistical significance for the model ( $p < .001$ ). Biomedical  
268 factors (second regression block) accounted for additional 10% of variance ( $\Delta R^2 = .10, p$   
269  $< .001$ ). Depressive symptoms alone explained a significant increase of 2% of variance in  
270 maximal workload ( $\Delta R^2 = .02, p = .030$ ). An increase of eight points on the BDI-II scale  
271 predicted a reduction of 1 MET in maximal workload (see Figure 2.a).

272           The regression models including anxiety symptoms (BAI; Estimate = -0.09, SE =  
273 0.05, 95% C.I. [-0.18 0.01],  $t = -1.77, p = .078$ ), sleep problems (SCI; Estimate = -0.02, SE  
274 = 0.58, 95% C.I. [-0.14 0.09],  $t = -0.43, p = .669$ ), physical (SF-12 PCS; Estimate = 0.05, SE  
275 = 0.04, 95% C.I. [-0.04 0.13],  $t = 1.06, p = .289$ ) and mental health (SF-12 MCS; Estimate =  
276 0.04, SE = 0.03, 95% C.I. [-0.02 0.11],  $t = 1.33, p = .186$ ) showed no effects of those  
277 factors on maximal workload (all  $p$ 's  $> .078$ ).

278

279 *Predictors of total exercise duration (sec)*

280           The mean (SD) of total exercise duration (sec) was 828.85 (264.23). The final  
281 block of the hierarchical linear regression predicting total exercise duration (sec) from  
282 BDI-II scores controlling for sociodemographic, biomedical and behavioral variables

283 yielded a significant effect of younger age, male sex, no and past smoking, higher BMI,  
284 CPK, greater physical activity, and lower BDI-II scores in predicting longer total exercise  
285 duration (Table 3). Specifically, sociodemographic factors (first regression block)  
286 accounted for 44% of exercise duration variance, reaching statistical significance for the  
287 model ( $p < .001$ ). The biomedical and behavioral factors (second regression block)  
288 accounted for additional significant 8% of variance ( $\Delta R^2 = .08, p = < .001$ ). Depressive  
289 symptoms alone explained a significant increase of 1% of variance in total exercise  
290 duration ( $\Delta R^2 = .01, p = .034$ ). The regression models including anxiety symptoms (BAI;  
291 Estimate = -2.77, SE = 2.08, 95% C.I. [-6.87 1.34],  $t = -1.33, p = .185$ ), sleep problems  
292 (SCI; Estimate = -0.39, SE = 2.48, 95% C.I. [-5.28 4.51],  $t = -0.16, p = .876$ ), physical (SF-  
293 12 PCS; Estimate = 2.52, SE = 1.87, 95% C.I. [-1.16 6.21],  $t = 1.35, p = .178$ ) and mental  
294 health (SF-12 MCS; Estimate = 2.61, SE = 1.45, 95% C.I. [-0.25 5.47],  $t = 1.80, p = .074$ )  
295 showed no effects of those factors on total exercise duration (all  $p$ 's  $> .074$ ).

296

### 297 *Predictors of the inability to reach exercise endpoint*

298 One hundred eighteen patients (72%) were unable to reach exercise endpoint  
299 (HR  $> 85\%$  of MPHR). The last block of the first binomial logistic regression yielded that  
300 patients' inability to reach exercise endpoint was predicted by higher BMI, lower  
301 physical activity, and higher BDI-II scores (Table 4). The inability to reach exercise  
302 endpoint was predicted by the presence of higher depressive symptoms (OR = 1.07, 95%  
303 CIs = 0.01-0.13,  $p = .032$ ). Sociodemographic factors (first regression block) accounted  
304 for 5% of variance explaining the probability of the patients to fail achieving exercise  
305 endpoint, not reaching statistical significance for the model ( $p = .058$ ). The Area Under  
306 the Curve (AUC) determined by the first block (including sociodemographic

307 characteristics) was .66 (accuracy = .73, specificity = .06, sensitivity = .99). Biomedical  
308 factors (second regression block) did not significantly add explained variance compared  
309 to the first block ( $\chi^2 = 9.68, p = .139$ ). Adding biomedical characteristic led to an AUC of  
310 .70 (accuracy = .76, specificity = .26, sensitivity = .96). The inclusion of depressive  
311 symptoms significantly added 3% explained variance to the model ( $\chi^2 = 5.06, p = .024$ ).  
312 The AUC of the final model including depressive symptoms was .73 (accuracy = .77,  
313 specificity = .32, sensitivity = .95), indicating that the total model is moderately accurate  
314 to identify individuals at risk for patients' inability to reach exercise endpoint (see  
315 Figure 2.b).

316 The regression models including anxiety symptoms (BAI; Estimate = 0.04, SE =  
317 0.03, 95% C.I. [-0.02 0.09], Z = 1.30,  $p = .195$ , OR = 1.04), sleep problems (SCI; Estimate =  
318 0.01, SE = 0.03, 95% C.I. [-0.05 0.07], Z = 0.38,  $p = .704$ , OR = 1.01), physical (SF-12 PCS;  
319 Estimate = -0.01, SE = 0.02, 95% C.I. [-0.06 0.03], Z = -0.48,  $p = .635$ , OR = 0.99) and  
320 mental (SF-12 MCS; Estimate = -0.01, SE = 0.02, 95% C.I. [-0.05 0.02], Z = -0.81,  $p = .420$ ,  
321 OR = 0.99) health showed no effects of those factors on the inability to reach exercise  
322 endpoint (all  $p$ 's > .195).<sup>1</sup>

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<sup>1</sup>To examine the separate impact of somatic-affective and cognitive symptoms of depression, additional regression models including somatic-affective and cognitive subscale of the BDI-II questionnaire were computed on exercise stress test outcomes. Results showed that somatic-affective subscale significantly predicted the maximal workload in METs (Estimate = -0.17, SE = 0.08, 95% C.I. [-0.34 -0.01],  $t = -2.05, p = .042$ ) and the inability to reach exercise endpoint (Estimate = 0.96, SE = 0.05, 95% C.I. [0.002 0.19], Z = 2.00,  $p = .045$ , OR = 1.10). Cognitive symptoms of depression alone were marginally associated to the maximal workload in METs (Estimate = -0.26, SE = 0.14, 95% C.I. [-0.53 0.01],  $t = -1.87, p = .064$ ) and the inability to reach exercise endpoint (Estimate = 0.16, SE = 0.08, 95% C.I. [-0.003 0.32], Z = 1.93,  $p = .054$ , OR = 1.17). Cognitive symptoms of depression significantly predicted total exercise duration in sec (Estimate = -112.21, SE = 5.82, 95% C.I. [-23.71 -0.71],  $t = -2.10, p = .038$ ), whereas somatic-affective symptoms of depression were marginally associated to total exercise duration in sec (Estimate = -6.63, SE = 3.59, 95% C.I. [-13.73 0.47],  $t = -1.85, p = .067$ ).

323           Concerning optimal cut-off, the analysis showed that a BDI-II score > 9  
324 corresponds to a higher probability for the patient to fail in reaching exercise endpoint  
325 (Youden index J = .14, sensitivity = .31, specificity = .83).

326

## 327 **Discussion**

328           The present short-term longitudinal study investigated the predictive role of  
329 depressive and anxiety symptoms, sleep problems and perceived health on exercise  
330 stress test performance in CAD patients after a PTCA intervention. Depressive  
331 symptoms were found to negatively affect maximal workload (METs), such that an  
332 increase of 8 points on the BDI-II questionnaire predicted a reduction of 1 MET in  
333 maximal workload. This is of clinical relevance because METs, as a measure of energy  
334 expenditure, have been associated with higher total and cardiovascular mortality  
335 (38,39). Specifically, a reduction in exercise capacity by 1 MET was linked to an increase  
336 in mortality by 15–19% in a longitudinal study (41). Higher depressive symptoms were  
337 associated also with a shorter total duration of the test, such that an increase of eleven-  
338 point on the BDI-II scale was associated with a performance about 1 minute shorter.  
339 Higher depressive symptoms significantly predicted the inability of a patient to reach  
340 exercise endpoint, controlling for sociodemographic, biomedical risk factors and  
341 physical activity. Intriguingly, a score higher than nine in the BDI-II was the optimal cut-  
342 off corresponding to a significantly higher risk to fail in reaching exercise endpoint.

343           These results support the predictive role of depressive symptoms on short-term  
344 impaired performance at the exercise stress test after PTCA, suggesting a compromised  
345 physical capacity. Overall, the current results are in line with those of previous studies  
346 reporting that depressive symptoms are associated with poor performance in stress test



347 exercise in CAD patients (18–20), in patients after a revascularization procedure (21),  
348 and in patients after acute MI (7).

349         Although there is some evidence showing depression-related impaired stress test  
350 outcomes, to our knowledge, this is the first study showing specifically the predictive  
351 role of depressive symptoms on stress test exercise in CAD patients who underwent  
352 PTCA. In previous studies, this association has been shown to survive even after  
353 adjusting for sociodemographic and biomedical variables, such as age and sex (19).  
354 However physical activity has been overlooked in those studies (18–20). In one study  
355 examining a broad sample including patients who underwent a revascularization  
356 intervention, the relation between depression and poor exercise stress test did not  
357 survive after controlling for physical activity (21). In turn, differences in patients’  
358 clinical conditions may have confounded the results.

359         Of note, in the present study, the negative impact of depression on the exercise  
360 stress test in patients after PTCA was not only controlled for the most important  
361 sociodemographic and biomedical risk factors but also for physical activity. Accordingly,  
362 this suggests that the influence of depressive symptoms on exercise function did not  
363 overlap with that of other variables, including cardiovascular functioning and physical  
364 exercise. Hence, these findings underline the relevance of including a psychological  
365 evaluation of depression, which, in turn, may improve the accuracy of the existing  
366 methods used to assess functional status in patients with CAD.

367         In the present study, 20% of patients had some depressive symptoms (ranging  
368 from mild to severe depressive symptoms). The prevalence of depressive symptoms in  
369 the present study’s sample is consistent with that reported in a recent large meta-  
370 analysis (Correa-Rodríguez et al., 2020). Depression was assessed as the patients’

371 referred severity of depressive symptoms during the past two weeks. It is important to  
372 note that subclinical depression shares diagnostic criteria with major depressive  
373 disorder, but it satisfies fewer criteria. Depressive symptoms include affective  
374 symptoms such as depressed mood or anhedonia as well as somatic symptoms, such as  
375 sleep disturbances, alterations in appetite and sexual desire, fatigue, restlessness,  
376 agitation (30). Most importantly, diagnostic criteria for depression often overlap with  
377 general anxiety disorder criteria (30). In CAD patients depressive symptoms along with  
378 anxiety symptoms, are often associated with scarce sleep quality (24) and low perceived  
379 physical and mental health (25), making it hard to disentangle whether poor physical  
380 capacity is determined by depressed mood or by the presence of a broad  
381 psychopathological condition. Present results suggest that higher depressive symptoms  
382 are associated with poor exercise stress test performance, whereas anxiety symptoms,  
383 sleep problems, and perceived health seems to be unrelated to exercise test outcomes.  
384 The few previous studies that investigated the role of psychological symptoms on  
385 exercise test performance report mixed findings (7,12,13). In patients with chest pain,  
386 both anxiety, and depressive symptoms were found to affect exercise test performance  
387 (12,13), on the contrary, in patients after acute myocardial infarction, a specific role of  
388 depressive symptoms on exercise test performance emerged (7). Consistent with this  
389 finding, the present results suggest that depressed mood could be specifically associated  
390 with lower exercise test performance, which in turn could negatively affect the  
391 rehabilitation program (14).

392           In this context, it has to be noted that somatic symptoms of depression are  
393 relevant in patients with CAD because cardiovascular symptoms can overlap, at least  
394 partially, with those of depression (e.g., fatigue, agitation, lack of energy, sleep

395 difficulties). In turn, this might dramatically reduce the ability of the clinician to  
396 recognize those patients who are at higher risk (40). For these reasons, it has been  
397 suggested that the evaluation of patients undergoing cardiac rehabilitation needs to  
398 incorporate clinical assessment of depression (41). Indeed, cardiac rehabilitation may  
399 be much less effective for cardiac patients with depressive symptoms as compared to  
400 patients without depression (14). The lower adherence and effectiveness of  
401 rehabilitation programs in depressed patients could contribute to the successive higher  
402 risk for readmission (42) and reduced quality of life (43). The inclusion of  
403 psychoeducational interventions in cardiac rehabilitation programs has been suggested  
404 to improve patients' recovery by reducing cardiovascular risk behaviors (e.g., smoking),  
405 anxiety and depression (44). Intriguingly, biobehavioral interventions, such as  
406 cardiorespiratory biofeedback training to improve heart rate variability, have been  
407 proposed to be included in cardiac rehabilitation programs. Indeed, heart rate  
408 variability biofeedback could effectively reduce depressive symptoms and related  
409 pathophysiological mechanisms in CAD patients who underwent a revascularization  
410 procedure (45).

411         The current findings should be interpreted in light of some possible  
412 methodological limitations. First, 87% of the patients enrolled in the present study were  
413 men. Therefore, the present results cannot be generalized to women. Second, data on  
414 left ventricular ejection fraction were not available in the present study. Future studies  
415 are warranted to verify the role of ejection fraction in the relations between depressive  
416 symptoms and exercise stress outcomes. Third, depression was assessed using the BDI-  
417 II questionnaire, but not with a complete clinical assessment. Whereas the BDI-II has  
418 shown good psychometric properties, has been validated in a large community

419 population, and covers the most symptomatic elements of depression, it cannot replace  
420 a psychiatric evaluation using criteria defined in the DSM (30). However, a substantial  
421 number of studies have used the BDI-II as a measure of depression severity in patients  
422 with cardiovascular diseases (46). Finally, the present is a short-term longitudinal study  
423 focusing on the first month after the PTCA procedure and no follow-ups were  
424 performed. Future studies are warranted to evaluate the influence of depression on  
425 physical capacity in the long run (e.g., 6 months or 1 year after PTCA).

426

## 427 **Conclusion**

428 Depressive symptoms were linked to worse performance in the exercise stress  
429 test in CAD patients after PTCA, even after controlling for sociodemographic, biomedical  
430 factors and physical activity. Our findings suggest that a comprehensive psychological  
431 evaluation of depression along with common risk factors is essential to anticipate which  
432 patients are likely to show reduced physical capacity and to benefit less from  
433 rehabilitation procedure. An integrated evaluation could improve the ability to identify  
434 those patients at high risk of adverse outcomes after PTCA intervention. Finally, with  
435 respect to the treatment, biobehavioral interventions designed to target depression are  
436 especially needed in cardiac rehabilitation programs to improve the physical capacity of  
437 CAD patients.

438

439

440 **Author Contributions**

441 EP, SMB, AP, CG and DP contributed conception and design of the study. SB and AP  
442 gathered the data and organized the dataset. EP and SMB performed the statistical  
443 analysis and wrote the manuscript. All authors contributed to manuscript revision, read  
444 and approved the submitted version.

445

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**Table 1** Sociodemographic, biomedical and behavioral characteristics of patients enrolled in the study.

Variable	Patients (N = 165)
<b>Demographic characteristics</b>	
Age (year)	61.88 (9.98)
Gender (Male)	144 (87)
Education (years)	11.93 (4.28)
BMI (Kg/m <sup>2</sup> )	27.22 (3.75)
Smoking (no, past, actual)	56 (34), 64 (39), 45 (27)
<b>Cardiac risk factors</b>	
Hypertension	118 (72)
Atrial fibrillation	8 (5)
Diabetes	34 (21)
Dyslipidemia	99 (60)
Stroke	4 (2)
<b>Medications</b>	
β-blockers	133 (81)
Antihypertensive	45 (27)
Antiarrhythmics	5 (3)
Anticoagulants	163 (99)
ACE-inhibitors	86 (52)
<b>Biomedical and behavioral characteristics</b>	
Days from surgery (days)	25.07 (17.85)
Mean Blood Pressure (mmHg)	93.46 (8.34)
Mean Heart Rate (bpm)	63.52 (9.28)
White blood cells (10 <sup>9</sup> /L)	7.37 (1.89)
Red blood cells (10 <sup>12</sup> /L)	4.72 (0.49)
Platelet (1000/ml)	233.09 (70.62)
Glycemia (mg/dL)	108.79 (28.72)
Creatinine (mg/dL)	1.42 (5.07)
Sodium (mmol/L)	141.13 (2.31)
Potassium (mmol/L)	4.29 (0.39)
AST (U/L)	26.13 (11.24)
GGT (U/L)	31.46 (29.48)
ALT (U/L)	31.10 (17.06)
CPK (U/L)	126.93 (73.82)
HDL (mg/dL)	41.68 (10.29)
LDL (mg/dL)	69.14 (22.34)
Total cholesterol (mg/dL)	120.72 (26.92)
Triglycerides (mg/dL)	108.63 (61.76)
Walking (days in the last week)	4.07 (2.90)

*Note:* Data are *M (SD)* of continuous and *N* of categorical variables. BMI = Body Mass Index; AST = aspartate aminotransferase; GGT = γ-glutamyltransferase; ALT = alanine aminotransferase; CPK = creatine phosphokinase; HDL = high-density lipoprotein cholesterol, LDL = low-density lipoprotein cholesterol.

**Table 2** Results of the hierarchical linear regression analysis with independent variables predicting maximal workload in METs.

Predictors of maximal workload in METs							Overall model test			Comparisons between blocks	
							R <sup>2</sup>	AIC	<i>p</i>	ΔR <sup>2</sup>	<i>p</i>
<b>Block 1 Sociodemographic characteristics</b>							<b>.29</b>	<b>990.75</b>	<b>&lt; .001</b>		
Predictor	Estimate	SE	95% C.I.		t	<i>p</i>					
			Lower	Upper							
Age	-0.27	0.04	-0.35	-0.19	-6.78	<.001					
Sex:											
F - M	-3.77	1.15	-6.04	-1.50	-3.28	.001					
Smoking											
no - actual	2.02	0.98	0.07	3.96	2.05	.042					
past - actual	2.52	0.98	0.58	4.45	2.56	.011					
BMI	-0.13	0.10	-0.34	0.06	-1.38	.169					
<b>Block 2 Biomedical and behavioral characteristics</b>							<b>.39</b>	<b>976.52</b>	<b>&lt; .001</b>	<b>.10</b>	<b>&lt; .001</b>
Predictor	Estimate	SE	95% C.I.		t	<i>p</i>					
			Lower	Upper							
Age	-0.29	0.04	-0.36	-0.21	-7.36	<.001					
Sex:											
F - M	-2.73	1.12	-4.96	-0.51	-2.43	.016					
Smoking											
no - actual	2.60	0.94	0.74	4.46	2.77	.006					
past - actual	2.52	0.93	0.68	4.35	2.71	.007					
BMI	-0.09	0.10	-0.28	0.10	-0.95	.342					
Days from surgery	-0.02	0.02	-0.06	0.02	-0.87	.387					
β-blockers	-0.24	0.92	-2.07	1.60	-0.25	.799					
MBP	-0.10	0.04	-0.19	-0.02	-2.32	.021					
HR	-0.12	0.04	-0.20	-0.04	-2.92	.004					
CPK	0.01	0.004	0.005	0.02	3.05	.003					
Walking	0.30	0.13	0.04	0.56	2.29	.023					
<b>Block 3 Depressive symptoms</b>							<b>.41</b>	<b>973.42</b>	<b>&lt; .001</b>	<b>.02</b>	<b>.030</b>
Predictor	Estimate	SE	95% C.I.		t	<i>p</i>					
			Lower	Upper							
Age	-0.27	0.04	-0.35	-0.19	-6.88	<.001					
Sex:											
F - M	-2.61	1.11	-4.81	-0.41	-2.35	.020					
Smoking											
no - actual	2.38	0.93	0.53	4.22	2.55	.012					
past - actual	2.40	0.92	0.58	4.22	2.61	.010					
BMI	-0.11	0.10	-0.30	0.08	-1.17	.243					

Days from surgery	-0.02	0.02	-0.06	0.02	-0.81	.425
β-blockers	-0.18	0.92	-2.00	1.63	-0.20	.841
MBP	-0.11	0.04	-0.20	-0.02	-2.49	.014
HR	-0.09	0.04	-0.18	-0.01	-2.26	.025
CPK	0.02	0.005	0.006	0.02	3.21	.002
Walking	0.28	0.13	0.021	0.53	2.14	.034
BDI-II	-0.13	0.06	-0.24	-0.01	-2.18	.030

**Table 3** Results of the hierarchical linear regression analysis with independent variables predicting Total Exercise Duration in sec.

Predictors of Total Exercise Duration in sec						Overall model test			Comparisons between blocks	
						R <sup>2</sup>	AIC	p	ΔR <sup>2</sup>	p
<b>Block 1 Sociodemographic characteristics</b>						<b>0.44</b>	<b>2225.67</b>	<b>&lt;.001</b>		
Predictor	Estimate	SE	95% C.I.		t	p				
			Lower	Upper						
Age	-12.86	1.7	-16.22	-9.51	-7.6	<.001				
Sex:										
F – M	-285.96	48.52	-381.79	-190.14	-5.9	<.001				
Smoking										
no - actual	88.89	41.53	6.86	170.92	2.14	0.034				
past - actual	116.22	41.42	34.42	198.02	2.81	0.006				
BMI	9.1	4.26	0.69	17.5	2.14	0.034				
<b>Block 2 Biomedical and behavioral characteristics</b>						<b>0.52</b>	<b>2213.43</b>	<b>&lt;.001</b>	<b>0.08</b>	<b>&lt;.001</b>
Predictor	Estimate	SE	95% C.I.		t	p				
			Lower	Upper						
Age	-13.33	1.65	-16.6	-10.07	-8.1	<.001				
Sex:										
F – M	-246.54	47.73	-340.85	-152.24	-5.2	<.001				
Smoking										
no - actual	114.89	39.89	36.09	193.69	2.88	0.005				
past - actual	117.2	39.41	39.33	195.06	2.97	0.003				
BMI	10.68	4.13	2.52	18.83	2.59	0.011				
Days from surgery	-1.07	0.92	-2.88	0.74	-1.2	0.247				
β-blockers	-10.37	39.45	-88.3	67.57	-0.3	0.793				
MBP	-3.4	1.89	-7.14	0.34	-1.8	0.075				
HR	-4.11	1.73	-7.54	-0.69	-2.4	0.019				
CPK	0.6	0.21	0.19	1.02	2.88	0.005				
Walking	15.93	5.55	4.97	26.89	2.87	0.005				
<b>Block 3 Depressive symptoms</b>						<b>0.53</b>	<b>2210.52</b>	<b>&lt;.001</b>	<b>0.01</b>	<b>.034</b>

Predictor	Estimate	SE	95% C.I.		t	p
			Lower	Upper		
Age	-12.63	1.66	-15.92	-9.35	-7.6	<.001
Sex:						
F - M	-241.39	47.25	-334.73	-148.05	-5.1	<.001
Smoking						
no - actual	105.66	39.66	27.3	184.01	2.66	0.009
past - actual	112.23	39.03	35.13	189.34	2.88	0.005
BMI	9.83	4.1	1.73	17.93	2.4	0.018
Days from surgery	-1	0.91	-2.79	0.79	-1.1	0.272
β-blockers	-8.18	39.01	-85.25	68.89	-0.2	0.834
MBP	-3.66	1.88	-7.37	0.05	-2	0.053
HR	-3.09	1.78	-6.61	0.43	-1.7	0.085
CPK	0.63	0.21	0.22	1.04	3.03	0.003
Walking	14.99	5.5	4.13	25.86	2.73	0.007
BDI-II	-5.23	2.44	-10.04	-0.41	-2.1	0.034

**Table 4** Results of the Binomial Logistic Regression Analysis with ability to reach exercise endpoint (defined as 85% of MPHR).

Predictors of the ability to reach Exercise Endpoint							Overall model test		Comparison between blocks		
							R <sup>2</sup> <sub>McF</sub>	AIC	p	χ <sup>2</sup>	p
<b>Block 1 Sociodemographic characteristics</b>							<b>0.05</b>	<b>198.49</b>	<b>.058</b>		
Predictor	Estimate	95% C.I.		SE	Z	p	Odds Ratio				
		Lower	Upper								
Age	0.004	-0.03	0.04	0.02	0.19	0.846	1.00				
Gender:											
F - M	0.37	-0.73	1.47	0.56	0.67	0.506	1.45				
Smoking											
no - actual	-0.56	-1.48	0.37	0.47	-1.17	0.240	0.57				
past - actual	-0.09	-1.04	0.87	0.49	-0.18	0.859	0.92				
BMI	0.15	0.04	0.26	0.06	2.70	0.007	1.16				
<b>Block 2 Biomedical and behavioral characteristics</b>							<b>0.10</b>	<b>200.80</b>	<b>.041</b>	<b>9.68</b>	<b>.139</b>
Predictor	Estimate	95% C.I.		SE	Z	p	Odds Ratio				
		Lower	Upper								
Age	0.004	-0.04	0.04	0.02	0.20	0.841	1.00				
Gender:											
F - M	0.34	-0.83	1.51	0.60	0.56	0.574	1.40				
Smoking											



no - actual	-0.73	-1.70	0.25	0.50	-1.46	0.145	0.48
past - actual	-0.18	-1.18	0.82	0.51	-0.35	0.723	0.83
BMI	0.17	0.05	0.29	0.06	2.89	0.004	1.19
Days from surgery	0.002	-0.02	0.02	0.01	0.21	0.836	1.00
β-blockers	0.75	-0.16	1.66	0.47	1.61	0.108	2.12
MBP	-0.01	-0.06	0.03	0.02	-0.59	0.554	0.99
HR	-0.03	-0.07	0.01	0.02	-1.35	0.179	0.97
CPK	-6.74e-4	-0.01	0.004	0.003	-0.26	0.793	1.00
Walking	-0.16	-0.30	-0.02	0.07	-2.25	0.025	0.85

**Block 3 Depressive symptoms**

**0.13 197.74 .013 5.06 .024**

Predictor	Estimate	95% C.I.		SE	Z	p	Odds Ratio
		Lower	Upper				
Age	-0.005	-0.05	0.04	0.02	-0.22	0.828	0.99
Gender:							
F - M	0.22	-0.96	1.40	0.60	0.37	0.712	1.25
Smoking							
no - actual	-0.66	-1.65	0.34	0.51	-1.29	0.197	0.52
past - actual	-0.14	-1.15	0.88	0.52	-0.26	0.794	0.87
BMI	0.19	0.07	0.31	0.06	3.14	0.002	1.21
Days from surgery	0.003	-0.02	0.03	0.01	0.25	0.804	1.00
β-blockers	0.76	-0.18	1.7	0.48	1.59	0.112	2.14
MBP	-0.01	-0.06	0.04	0.03	-0.46	0.643	0.99
HR	-0.04	-0.09	0.001	0.02	-1.9	0.057	0.96
CPK	-0.001	-0.01	0.004	0.003	-0.38	0.700	1.00
Walking	-0.16	-0.30	-0.01	0.07	-2.13	0.033	0.86
BDI-II	0.07	0.01	0.13	0.03	2.15	0.032	1.07

## Figure Legends

**Figure 1.** CONSORT diagram of patient enrolment.

**Figure 2.a** Plot of the effect of BDI-II scores on the maximal workload (in METs) during the exercise stress test controlled for sociodemographic, biomedical factors and physical activity. **2.b** Plot of the effect of BDI-II scores on the total exercise duration controlled for sociodemographic, biomedical factors and physical activity. **2.c** Receiver operating characteristic (ROC) curve for the identification of patients who failed in reaching exercise endpoint (defined as HR higher than 85% of MPHR). ROC was performed for sociodemographic characteristics, biomedical factors, physical activity and depressive symptoms ( $n = 165$ ;  $n = 118$  patients who failed in reaching exercise endpoint). The area under the curve (AUC) for the total model is 0.74.



