

# MAXIMAL SUBGROUPS OF FINITE GROUPS AVOIDING THE ELEMENTS OF A GENERATING SET

ANDREA LUCCHINI AND PABLO SPIGA

ABSTRACT. We give an elementary proof of the following remark: if  $G$  is a finite group and  $\{g_1, \dots, g_d\}$  is a generating set of  $G$  of smallest cardinality, then there exists a maximal subgroup  $M$  of  $G$  such that  $M \cap \{g_1, \dots, g_d\} = \emptyset$ . This result leads us to investigate the freedom that one has in the choice of the maximal subgroup  $M$  of  $G$ . We obtain information in this direction in the case when  $G$  is soluble, describing for example the structure of  $G$  when there is a unique choice for  $M$ . When  $G$  is a primitive permutation group one can ask whether is it possible to choose in the role of  $M$  a point-stabilizer. We give a positive answer when  $G$  is a 3-generated primitive permutation group but we leave open the following question: does there exist a (soluble) primitive permutation group  $G = \langle g_1, \dots, g_d \rangle$  with  $d(G) = d > 3$  and with  $\bigcap_{1 \leq i \leq d} \text{supp}(g_i) = \emptyset$ ? We obtain a weaker result in this direction: if  $G = \langle g_1, \dots, g_d \rangle$  with  $d(G) = d$ , then  $\text{supp}(g_i) \cap \text{supp}(g_j) \neq \emptyset$  for all  $i, j \in \{1, \dots, d\}$ .

## 1. INTRODUCTION

We start with a short and elementary proof of the following result:

**Theorem 1.1.** *Let  $G$  be a finitely generated group and let  $d = d(G)$  be the smallest cardinality of a generating set of  $G$ . If  $G = \langle g_1, \dots, g_d \rangle$ , then there exists a maximal subgroup  $M$  of  $G$  such that  $M \cap \{g_1, \dots, g_d\} = \emptyset$ .*

*Proof.* If  $G$  is cyclic, that is,  $d \leq 1$ , the statement is clear. When  $d > 1$ , consider  $H = \langle g_1 g_2, g_2 g_3, \dots, g_{d-1} g_d \rangle$ . Since  $d(H) \leq d - 1 < d = d(G)$ , we have  $H \neq G$ . Let  $\mathcal{S}$  be the family of the proper subgroups of  $G$  containing  $H$ , and observe that  $\mathcal{S}$  ordered by “set inclusion” is a non-empty partially ordered set. Let  $\mathcal{C}$  be a non-empty chain in  $\mathcal{S}$  and set  $K = \bigcup_{C \in \mathcal{C}} C$ . Clearly,  $K$  is a subgroup of  $G$  containing  $H$ . Moreover, as  $G$  is finitely generated, it is easy to see that  $K \neq G$ , that is,  $K \in \mathcal{S}$ . Thus every non-empty chain in  $\mathcal{S}$  has a maximal element. By Zorn’s lemma,  $\mathcal{S}$  has a maximal element  $M$  and, by construction,  $M$  is a maximal subgroup of  $G$  containing  $H$ .

If  $g_i \in M$  and  $i \neq d$ , then  $g_{i+1} = g_i^{-1}(g_i g_{i+1}) \in M$ . Similarly, if  $g_i \in M$  and  $i \neq 1$ , then  $g_{i-1} = (g_{i-1} g_i) g_i^{-1} \in M$ . Thus  $M \cap \{g_1, \dots, g_d\} \neq \emptyset$  implies  $G = \langle g_1, \dots, g_d \rangle \leq M$ , a contradiction.  $\square$

Theorem 1.1 does not remain true if we drop the assumption  $d = d(G)$ . For example, let  $G = \mathbb{F}_2^d$ , the additive group of a vector space of dimension  $d \geq 2$  over the field  $\mathbb{F}_2$  with 2 elements and let

$$g_1 = (1, 0, \dots, 0), g_2 = (0, 1, \dots, 0), \dots, g_d = (0, \dots, 0, 1), g_{d+1} = (1, 1, 0, \dots, 0).$$

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Let  $M = \{(x_1, \dots, x_d) \in \mathbb{F}_2^d \mid a_1x_1 + \dots + a_dx_d = 0\}$  be a maximal subgroup of  $G$ . If  $i \in \{1, \dots, d\}$ , then  $g_i \in M$  only when  $a_i = 0$ . Therefore

$$\overline{M} = \{(x_1, \dots, x_d) \in \mathbb{F}_2^d \mid x_1 + \dots + x_d = 0\}$$

is the unique maximal subgroup of  $G$  with  $g_i \notin \overline{M}$  for every  $i \in \{1, \dots, d\}$ . However  $g_{d+1} \in \overline{M}$ ; hence every maximal subgroup of  $G$  contains at least one of the  $d+1$  elements  $g_1, \dots, g_{d+1}$ .

One might wonder, if minded so, whether the Frattini subgroup  $\text{Frat}(G)$  may play a role in trying to strengthen Theorem 1.1. However, we cannot weaken the assumption “ $G = \langle g_1, \dots, g_d \rangle$ ” requiring only that “ $g_i \notin \text{Frat}(G)$  for every  $i \in \{1, \dots, d\}$ ”: take for example  $g_1 = (1, 0, 0)$ ,  $g_2 = (0, 1, 0)$  and  $g_3 = (1, 1, 0)$  in the additive group  $G = \mathbb{F}_3^3$ .

Moreover, it is not sufficient to assume that  $\{g_1, \dots, g_d\}$  is a minimal generating set of  $G$  (i.e. no proper subset of  $\{g_1, \dots, g_d\}$  generates  $G$ ): for example, if  $G = \langle x \rangle$  is a cyclic group of order 6, then  $\{x^2, x^3\}$  is a minimal generating set of  $G$ , and  $\langle x^2 \rangle$  and  $\langle x^3 \rangle$  are the unique maximal subgroups of  $G$ .

The proof of Theorem 1.1 is extremely easy, but it does not give any insight on the freedom that we have in the choice of the maximal subgroup  $M$ . One of the purposes of this note is to achieve some information in this direction for finite soluble groups.

**Notation 1.2.** Unless otherwise stated, we assume that  $G$  is a finite soluble group with  $d = d(G)$  and we assume that  $g_1, \dots, g_d$  satisfy the condition  $G = \langle g_1, \dots, g_d \rangle$ .

Let  $M$  be a maximal subgroup of  $G$  and denote by  $Y_M = \bigcap_{g \in G} M^g$  the normal core of  $M$  in  $G$  and by  $X_M/Y_M$  the socle of the primitive permutation group  $G/Y_M$  (in its action on the right cosets of  $M/Y_M$  in  $G/Y_M$ ): clearly  $X_M/Y_M$  is a chief factor of  $G$  and  $M/Y_M$  is a complement of  $X_M/Y_M$  in  $G/Y_M$ .

Let  $\mathcal{M}$  be the set of maximal subgroups of  $G$ , let  $\mathcal{V}$  be a set of representatives of the irreducible  $G$ -modules that are  $G$ -isomorphic to some chief factor of  $G$  having a complement and, for every  $V \in \mathcal{V}$ , let  $\mathcal{M}_V$  be the set of maximal subgroups  $M$  of  $G$  with  $X_M/Y_M \cong_G V$ . (Here  $V \cong_G W$  means that the  $G$ -modules  $V$  and  $W$  are  $G$ -isomorphic.)

Observe that each element  $V$  of  $\mathcal{V}$  is  $G$ -isomorphic to  $X_M/Y_M$  for some  $M \in \mathcal{M}$ , and hence  $\mathcal{M}_V \neq \emptyset$ . Indeed, if  $X/Y$  is a chief factor of  $G$  with complement  $K/Y$  in  $G/Y$ , then  $K \in \mathcal{M}$  and  $X/Y \cong_G X_K/Y_K$ .

The question that we want to address is:

*For which  $V \in \mathcal{V}$ , does there exist  $M \in \mathcal{M}_V$  with  $M \cap \{g_1, \dots, g_d\} = \emptyset$ ?*

To deal with this question it is useful to recall some results by Gaschütz [9]. Given  $V \in \mathcal{V}$ , let

$$\mathbf{R}_G(V) = \bigcap_{M \in \mathcal{M}_V} M.$$

It turns out that  $\mathbf{R}_G(V)$  is the smallest normal subgroup of  $G$  contained in  $\mathbf{C}_G(V)$  with  $\mathbf{C}_G(V)/\mathbf{R}_G(V)$  being  $G$ -isomorphic to a direct product of copies of  $V$  and having a complement in  $G/\mathbf{R}_G(V)$ . The factor group  $\mathbf{C}_G(V)/\mathbf{R}_G(V)$  is called the  $V$ -crown of  $G$ . The non-negative integer  $\delta_G(V)$  defined by

$$\frac{\mathbf{C}_G(V)}{\mathbf{R}_G(V)} \cong_G V^{\delta_G(V)}$$

is called the  $V$ -rank of  $G$  and it equals the number of complemented factors in any chief series of  $G$  that are  $G$ -isomorphic to  $V$  (see for example [2, Section 1.3]). Moreover  $G/\mathbf{R}_G(V) \cong V^{\delta_G(V)} \rtimes H_V$ , where  $H_V = G/\mathbf{C}_G(V)$  acts diagonally on  $V^{\delta_G(V)}$ , that is,  $(v_1, \dots, v_{\delta_G(V)})^h = (v_1^h, \dots, v_{\delta_G(V)}^h)$  for every  $h \in H_V$  and for every  $(v_1, \dots, v_{\delta_G(V)}) \in V^{\delta_G(V)}$ .

**Theorem 1.3.** *Let  $G = \langle g_1, \dots, g_d \rangle$  be a finite soluble group with  $d = d(G)$  and let  $V \in \mathcal{V}$ . Set  $\theta_G(V) = 1$  if  $V$  is a non-trivial  $G$ -module and  $\theta_G(V) = 0$  otherwise,  $\mathbb{F}_V = \text{End}_G(V)$ ,  $q_V = |\mathbb{F}_V|$  and  $n_V = \dim_{\mathbb{F}_V}(V)$ . If*

$$\delta_G(V) \geq (d - 1 - \theta_G(V))n_V + 1,$$

then there exists  $M \in \mathcal{M}_V$  with  $M \cap \{g_1, \dots, g_d\} = \emptyset$ .

Moreover, if there exists a unique choice for  $M$ , then one of the following occurs:

- (1)  $V$  is a trivial  $G$ -module,  $q_V = 2$  and  $\delta_G(V) = d$ ;
- (2)  $V$  is a non-trivial  $G$ -module,  $d = 2$ ,  $\delta_G(V) = 1$  and  $(q_V, n_V) \in \{(3, 1), (2, 2)\}$ .

In Corollary 1.4 and 1.5 we analyse the case that there exists a unique maximal subgroup avoiding a given generating set of minimum cardinality.

**Corollary 1.4.** *Let  $G$  be a finite soluble group with  $d = d(G) \geq 2$ . Suppose that there exist  $g_1, \dots, g_d$  generating  $G$  with the property that there is a unique maximal subgroup  $M$  of  $G$  with  $M \cap \{g_1, \dots, g_d\} = \emptyset$ . Then  $|G : M| = 2$  and every normal subgroup  $N$  of  $G$  with  $d(G/N) = d$  is contained in  $G'G^2$ .*

Corollary 1.4 can be considerably strengthened when  $d(G) = 2$ .

**Corollary 1.5.** *Let  $G$  be a finite group with  $d(G) = 2$ . Suppose that there exist  $g_1, g_2$  generating  $G$  with the property that there is a unique maximal subgroup  $M$  of  $G$  with  $M \cap \{g_1, g_2\} = \emptyset$ . Then  $|G : M| = 2$ ,  $G$  is nilpotent and the Hall  $2'$ -subgroup of  $G$  is cyclic.*

**Remark 1.6.** We report some results from [6] related to our work that can shed some light on the condition “ $\delta_G(V) \geq (d - 1 - \theta_G(V))n_V + 1$ ” in Theorem 1.3. Let  $\mathcal{N}$  be the set of normal subgroups  $N$  of  $G$  with  $d(G/N) = d$  and  $d(G/K) < d$  whenever  $N < K \trianglelefteq G$ .

Let  $N \in \mathcal{N}$ , let  $K/N$  be an arbitrary minimal normal subgroup of  $G/N$  and let  $V = K/N$ . As  $d(G/K) < d$  and as  $V$  is an irreducible  $G$ -module, it follows easily that  $V \in \mathcal{V}$ . By [6, Theorem 1.4 and Theorem 2.7], the irreducible  $G$ -module  $V$  satisfies:

- (i):  $\delta_G(V) \geq (d(G) - 1 - \theta_G(V))n_V + 1$ , and
- (ii):  $d(G/\mathbf{C}_G(V)) < d(G)$ .

(See Remark 1.8 for a comment concerning (ii).) In other words, for each  $N \in \mathcal{N}$ , the minimal normal subgroups of  $G/N$  give rise to irreducible  $G$ -modules  $V$  satisfying the condition “ $\delta_G(V) \geq (d - 1 - \theta_G(V))n_V + 1$ ”.

Therefore, for soluble groups, Theorem 1.1 follows from Theorem 1.3: the set

$$\mathcal{W} = \{V \in \mathcal{V} \mid \delta_G(V) \geq (d - 1 - \theta_G(V))n_V + 1\}$$

is not empty (it contains all the minimal normal subgroups of  $G/N$  for each  $N \in \mathcal{N}$ ). Hence, when  $G = \langle g_1, \dots, g_d \rangle$ , for every  $V \in \mathcal{W}$ , there exists  $M \in \mathcal{M}_V$  with  $M \cap \{g_1, \dots, g_d\} = \emptyset$ .

**Remark 1.7.** Assume that  $G$  is a soluble primitive permutation group on a finite set  $\Omega$  with  $d(G) = 2$ . (Here and throughout the paper, we denote by  $\text{supp}_\Omega(g)$ , or simply  $\text{supp}(g)$ , the support  $\{\omega \in \Omega \mid \omega^g \neq \omega\}$  of the permutation  $g$ .) Observe that  $G = V \rtimes H_V$  (for some  $V \in \mathcal{V}$ , and  $H_V \cong G/\mathbf{C}_G(V)$ ) and that  $\mathcal{M}_V = \{G_\omega \mid \omega \in \Omega\}$ , where  $G_\omega$  is the stabilizer of the point  $\omega \in \Omega$ .

Let  $g_1, g_2 \in G$ . If  $\text{supp}(g_1) \cap \text{supp}(g_2) = \emptyset$ , then  $\text{supp}(g_1)$  and  $\text{supp}(g_2)$  are  $\langle g_1, g_2 \rangle$ -orbits and hence  $\langle g_1, g_2 \rangle \neq G$  because  $G$  is transitive. (Observe that this holds true regardless of  $G$  being soluble.) Therefore, if  $G = \langle g_1, g_2 \rangle$ , then  $\text{supp}(g_1) \cap \text{supp}(g_2) \neq \emptyset$ . Moreover,

$$\begin{aligned} \{M \in \mathcal{M}_V \mid M \cap \{g_1, g_2\} = \emptyset\} &= \{G_\omega \mid G_\omega \cap \{g_1, g_2\} = \emptyset\} \\ &= \{G_\omega \mid \omega \in \text{supp}(g_1) \cap \text{supp}(g_2)\} \end{aligned}$$

and hence the number of maximal subgroups  $M \in \mathcal{M}_V$  avoiding  $\{g_1, g_2\}$  is exactly  $|\text{supp}(g_1) \cap \text{supp}(g_2)|$ .

When  $|\text{supp}(g_1) \cap \text{supp}(g_2)| = 1$ , we have a unique choice for  $M$  and, from Theorem 1.3, we obtain that  $G$  is either the symmetric group  $\text{Sym}(3)$  or the symmetric group  $\text{Sym}(4)$ .

This has a rather remarkable application. Indeed, fix  $n \in \mathbb{N}$  and  $a \in \{2, \dots, n-1\}$ , and consider the two cycles  $g_1 = (1, \dots, a)$  and  $g_2 = (a+1, \dots, n)$  and the group  $G = \langle g_1, g_2 \rangle$ . It can be easily seen that  $G$  is a primitive subgroup of  $\text{Sym}(n)$ . Since  $\text{supp}(g_1) \cap \text{supp}(g_2) = \{a\}$ , we deduce that either  $n \leq 4$  or  $G$  is insoluble. In this way we prove that  $\text{Sym}(n)$  is insoluble for  $n \geq 5$  using an argument that relies only on linear algebra. (The proof of Theorem 1.3 relies only on linear algebra.)

**Remark 1.8.** Here we discuss again the condition “ $\delta_G(V) \geq (d-1-\theta_G(V))n_V+1$ ” in Theorem 1.3.

- (i): Clearly, this condition is vacuously satisfied when  $d = 1$ .
- (ii): Observe that  $d(G/\mathbf{C}_G(V)) \leq d(G) = d$ . When  $d(G/\mathbf{C}_G(V)) < d$ , the condition  $\delta_G(V) \geq (d-1-\theta_G(V))n_V+1$  is necessary *and* sufficient to ensure that, for every generating  $d$ -tuple  $g_1, \dots, g_d$ , there exists  $M \in \mathcal{M}_V$  with  $M \cap \{g_1, \dots, g_d\} = \emptyset$ .  
Indeed, if  $\delta_G(V) \leq (d-1-\theta_G(V))n_V$  and  $d(G/\mathbf{C}_G(V)) < d$ , then  $d(G/\mathbf{R}_G(V)) \leq d-1$  (see for example [6, Theorem 2.7]) and hence there exist  $x_1, \dots, x_{d-1} \in G$  with  $G = \langle x_1, \dots, x_{d-1}, \mathbf{R}_G(V) \rangle$ . By a result of Gaschütz [8], there exist  $r_1, \dots, r_d \in \mathbf{R}_G(V)$  with  $G = \langle x_1 r_1, \dots, x_{d-1} r_{d-1}, r_d \rangle$ : since  $\mathbf{R}_G(V) = \bigcap_{M \in \mathcal{M}_V} M$ , we have  $r_d \in M \cap \{x_1 r_1, \dots, x_{d-1} r_{d-1}, r_d\}$  for every  $M \in \mathcal{M}_V$ .
- (iii): When  $V$  is a trivial  $G$ -module, we have  $G = \mathbf{C}_G(V)$ ,  $d(G/\mathbf{C}_G(V)) < d$  and hence the condition  $\delta_G(V) \geq (d-1-\theta_G(V))n_V+1$  is necessary *and* sufficient.
- (iv): When  $d = 2$  and  $V$  is a non-trivial  $G$ -module, the condition  $\delta_G(V) \geq (d-1-\theta_G(V))n_V+1$  simplifies to  $\delta_G(V) \geq 1$ , which clearly holds true.
- (v): The condition  $\delta_G(V) \geq (d-1-\theta_G(V))n_V+1$  in general is not necessary when  $d(G/\mathbf{C}_G(V)) = d$ . Let  $\tilde{G}$  be the soluble primitive permutation group  $V \rtimes G/\mathbf{C}_G(V)$  (with its natural affine action) and let  $\tilde{\cdot} : G \rightarrow \tilde{G}$  be the natural projection. We have  $d(\tilde{G}) = d$  and, arguing as in Remark 1.7, a sufficient condition for the existence of  $M \in \mathcal{M}_V$  with  $M \cap \{g_1, \dots, g_d\} = \emptyset$  is that  $\bigcap_{1 \leq i \leq d} \text{supp}(\tilde{g}_i) \neq \emptyset$  whenever  $\tilde{G} = \langle \tilde{g}_1, \dots, \tilde{g}_d \rangle$ . This always holds

true (for example) when  $d = 3$ , as it can be deduced from the following, more general, result:

**Theorem 1.9.** *If  $G = \langle g_1, g_2, g_3 \rangle$  is a primitive group with  $d(G) = 3$ , then  $\text{supp}(g_1) \cap \text{supp}(g_2) \cap \text{supp}(g_3) \neq \emptyset$ .*

(See also Remark 1.7 to see how this result fits within our investigation.)

**Remark 1.8. (continued)**

(v): In particular, when  $d(G) = d(G/\mathbf{C}_G(V)) = 3$ , there always exists  $M \in \mathcal{M}_V$  with  $M \cap \{g_1, g_2, g_3\} = \emptyset$ , regardless of whether the condition  $\delta_G(V) \geq (d-1 - \theta_G(V))n_V + 1$  holds or not.

(vi): We do not have any example of a finite soluble group  $G = \langle g_1, \dots, g_d \rangle$  with  $d = d(G) = d(G/\mathbf{C}_G(V))$  and of a non-trivial  $G$ -module  $V \in \mathcal{V}$  where there is no  $M \in \mathcal{M}_V$  with  $M \cap \{g_1, \dots, g_d\} = \emptyset$ .

It is not clear whether Theorem 1.9 admits some generalisations. In particular:

**Question 1.10.** *Does there exist a (soluble) primitive group  $G = \langle g_1, \dots, g_d \rangle$  with  $d(G) = d > 3$  and  $\bigcap_{1 \leq i \leq d} \text{supp}(g_i) = \emptyset$ ?*

An answer to Question 1.10 may shed some light on Remark 1.8 (vi). Indeed, an affirmative answer to Question 1.10 yields a primitive group  $G = \langle g_1, \dots, g_d \rangle$  on  $\Omega$  with  $d(G) = d$  and  $\bigcap_{1 \leq i \leq d} \text{supp}_\Omega(g_i) = \emptyset$ . As  $G$  is soluble, we get  $G = V \rtimes H$  where  $V$  is the socle of  $G$  and  $H \leq \text{GL}(V)$  is irreducible. Now,  $d(G) = d(G/\mathbf{C}_G(V))$  by [6]; moreover  $\mathcal{M}_V = \{G_\omega \mid \omega \in \Omega\}$  and hence there is no  $M \in \mathcal{M}_V$  with  $M \cap \{g_1, \dots, g_d\} = \emptyset$ .

A weaker result in this direction is the following:

**Theorem 1.11.** *If  $G = \langle g_1, \dots, g_d \rangle$  is a primitive permutation group with  $d(G) = d \geq 1$ , then  $\text{supp}(g_i) \cap \text{supp}(g_j) \neq \emptyset$  for all  $i, j \in \{1, \dots, d\}$ .*

Theorem 1.11 does not remain true if we replace “primitive” with “transitive”. For example take  $g_1 = (1, 2, 3, 4)$ ,  $g_2 = (5, 7)$ ,  $g_3 = (1, 5)(2, 6)(3, 7)(4, 8)$ . We have that  $G = \langle g_1, g_2, g_3 \rangle$  is a Sylow 2-subgroup of  $\text{Sym}(8)$ : in particular  $d(G) = 3$  but  $\text{supp}(g_1) \cap \text{supp}(g_2) = \emptyset$ .

## 2. PROOF OF THEOREM 1.3

Before proving Theorem 1.3 we need a preliminary lemma.

**Lemma 2.1.** *Let  $V_1, \dots, V_d$  be vector spaces of the same dimension, say  $n$ , over a finite field  $\mathbb{F}$  of cardinality  $q$ . Assume  $d \geq 2$  and, when  $q = 2$ , assume also  $n \geq 2$ . Let  $W$  be a subspace of the direct product  $V_1 \times \dots \times V_d$  and let  $U$  be a subspace of  $W$  with  $\dim_{\mathbb{F}}(U) = n$ . If  $\dim_{\mathbb{F}}(W) > n(d-1)$ , then there exists  $(v_1, \dots, v_d) \in W \setminus U$  such that  $v_i \neq 0$  for every  $i \in \{1, \dots, d\}$ . Moreover, when  $(q, n, d) \notin \{(3, 1, 2), (2, 2, 2)\}$ , there are at least two  $\mathbb{F}$ -linearly independent elements satisfying this property.*

*Proof.* For the time being, let  $W$  be any subspace of  $V_1 \times \dots \times V_d$  with  $m = \dim_{\mathbb{F}}(W)$ , let  $\pi_i$  be the projection from  $V_1 \times \dots \times V_d$  to the direct factor  $V_i$  and let

$$a_d = \dim_{\mathbb{F}} \pi_d(W),$$

$$a_i = \dim_{\mathbb{F}}(\pi_i(\ker \pi_d \cap \ker \pi_{d-1} \cap \dots \cap \ker \pi_{i+1})), \quad \text{for each } i \in \{1, \dots, d-1\},$$

$$\Lambda = \{(v_1, \dots, v_d) \in W \mid v_i \neq 0, \text{ for every } i \in \{1, \dots, d\}\}.$$

We claim that

$$|\Lambda| \geq \prod_{i=1}^d (q^{a_i} - 1).$$

We argue by induction on  $d$ . When  $d = 1$ , we have  $W = \pi_1(W) \leq V_1$ ,  $a_d = m$  and  $W$  has  $q^m - 1$  non-zero vectors. Assume now that  $d > 1$ . Let  $\rho : V_1 \times V_2 \times \cdots \times V_d \rightarrow V_2 \times \cdots \times V_d$  be the natural projection. Replacing  $V_1 \times \cdots \times V_d$  by  $V_2 \times \cdots \times V_d$ ,  $W$  by  $\rho(W)$  and  $\Lambda$  by  $\rho(\Lambda)$ , the inductive hypothesis gives  $|\rho(\Lambda)| \geq \prod_{i=2}^d (q^{a_i} - 1)$ . For each  $x = (v_2, \dots, v_d) \in \rho(\Lambda)$ , choose  $v_{1x} \in V_1$  with  $(v_{1x}, v_2, \dots, v_d) \in W$ . Observe now that  $\ker \rho = \ker \pi_d \cap \cdots \cap \ker \pi_2$  has dimension  $a_1$  and hence  $W$  contains  $q^{a_1}$  vectors of the form  $(v_1, 0, \dots, 0)$ . In particular, for each  $x = (v_2, \dots, v_d) \in \rho(\Lambda)$ , there are at least  $q^{a_1} - 1$  elements  $(v_1, 0, \dots, 0) \in W$  with

$$(v_{1x}, v_2, \dots, v_d) + (v_1, 0, \dots, 0) = (v_{1x} + v_1, v_2, v_3, \dots, v_d) \in \Lambda.$$

Therefore  $|\Lambda| \geq (q^{a_1} - 1)|\rho(\Lambda)| \geq \prod_{i=1}^d (q^{a_i} - 1)$  and the claim is proved.

Assume now that  $d \geq 2$ ,  $m \geq n(d-1) + 1$ , and  $n \geq 2$  when  $q = 2$ . We need to show that  $\Lambda \setminus U \neq \emptyset$  and, for the stronger statement, that  $\Lambda \setminus U$  has at least two  $\mathbb{F}$ -linearly independent vectors when  $(q, n, d) \notin \{(3, 1, 2), (2, 2, 2)\}$ . Since  $\dim_{\mathbb{F}}(U) = n$ ,  $U$  contains at most  $q^n - 1$  elements of  $\Lambda$ ; hence it suffices to prove that

$$|\Lambda| \geq q^n$$

and, for the stronger statement, that

$$|\Lambda| \geq q^n + (q - 1)$$

when  $(q, n, d) \notin \{(3, 1, 2), (2, 2, 2)\}$ .

Since  $a_i \leq \dim_{\mathbb{F}}(V_i) = n$  for every  $i \in \{1, \dots, d\}$  and  $a_1 + \cdots + a_d = \dim_{\mathbb{F}}(W) = m \geq n(d-1) + 1$ , we have  $1 \leq a_i \leq n$  for every  $i \in \{1, \dots, d\}$ .

CASE 1:  $n = 1$ .

As  $n = 1$ , we have  $q \neq 2$  and hence

$$|\Lambda| \geq \prod_{i=1}^d (q^{a_i} - 1) \geq (q - 1)^d \geq (q - 1)^2 \geq q;$$

moreover  $(q - 1)^d \geq q + (q - 1)$  when  $(q, n, d) \neq (3, 1, 2)$ .

Suppose  $n \geq 2$ . As  $\sum_{i=1}^d a_i = m \geq 2(d-1) + 1 > d$ , we get  $a_j > 1$  for some  $j \in \{1, \dots, d\}$ . Therefore

$$\begin{aligned} |\Lambda| &\geq \prod_{i=1}^d (q^{a_i} - 1) = (q^{a_j} - 1) \prod_{\substack{i=1 \\ i \neq j}}^d (q^{a_i} - 1) \geq (q^{a_j} - 1) \prod_{\substack{i=1 \\ i \neq j}}^d (q - 1) q^{a_i - 1} \\ &\geq \left( (q - 1) q^{a_j - 1} \prod_{\substack{i=1 \\ j \neq i}}^d (q - 1) q^{a_i - 1} \right) + 1 = (q - 1)^d q^{m-d} + 1 \\ &\geq (q - 1)^d q^{(d-1)(n-1)} + 1. \end{aligned}$$

CASE 2:  $n \geq 2$  and  $d \geq 3$ .

Here,

$$|\Lambda| \geq (q - 1)^d q^{(d-1)(n-1)} + 1 \geq (q - 1)^2 q^{2(n-1)} + 1 \geq (q - 1)^2 + q^{2(n-1)} \geq q - 1 + q^n.$$

(In the third inequality we have used  $ab + 1 \geq a + b$ , which is valid for all  $a, b \in \mathbb{N} \setminus \{0\}$ .)

CASE 3:  $d = 2$ ,  $n \geq 2$  and  $(m, q) \notin \{(n+1, 2), (n+1, 3)\}$ .

We have

$$|\Lambda| \geq (q^{a_1} - 1)(q^{a_2} - 1) = q^m - q^{a_1} - q^{a_2} + 1 \geq q^m - 2q^n + 1 \geq q^n + (q - 1).$$

(In the last inequality we used  $(m, q) \notin \{(n+1, 2), (n+1, 3)\}$ .)

CASE 4:  $d = 2$ ,  $n \geq 2$  and  $(m, q) = (n+1, 3)$ .

Here  $n+1 = m = a_1 + a_2$  and  $|\Lambda| \geq (3^{a_1} - 1)(3^{a_2} - 1) = 3^{n+1} - 3^{a_1} - 3^{a_2} + 1 \geq 3^n + (3 - 1)$  because  $a_1$  and  $a_2$  cannot be both  $n$ .

CASE 5:  $d = 2$ ,  $n \geq 2$  and  $(m, q) = (n+1, 2)$ .

We have  $|\Lambda| \geq 2^{n+1} - 2^{a_1} - 2^{a_2} + 1 \geq 2^n + (2 - 1)$  except when  $(a_1, a_2) \in \{(1, n), (n, 1)\}$ .

Assume  $(a_1, a_2) = (1, n)$  and fix  $(f, 0)$  a non-zero vector of  $\ker \pi_2$ . For every non-zero vector  $v \in V_2$ , there exists  $w \in V_1$  such that  $(w, v) \in W$ . Since also  $(w + f, v) \in W$ , a moment's thought gives that either  $|\Lambda| > 2^n$ , or  $|\Lambda| = 2^n - 1$  and  $\pi_1(W)$  is the 1-dimensional subspace of  $V_1$  spanned by  $f$ . In the former case, the lemma is proved. In the latter case,  $W = \langle f \rangle \times V_2$ ,  $\Lambda = \{(f, v) \mid v \in V_2 \setminus \{0\}\}$  and  $|\Lambda| = 2^n - 1$ . With this concrete description of  $W$  and  $\Lambda$ , we see that an  $n$ -dimensional subspace  $U$  of  $W$  can contain at most  $2^{n-1}$  elements of  $\Lambda$ : so there are at least  $2^n - 1 - 2^{n-1} = 2^{n-1} - 1$  elements in  $\Lambda \setminus U$ . Clearly,  $\Lambda \setminus U$  contains at least two  $\mathbb{F}$ -linearly independent vectors as long as  $2^{n-1} - 1 \geq 2$ , that is,  $n \neq 2$ .

A similar argument works when  $(a_1, a_2) = (n, 1)$ .  $\square$

*Proof of Theorem 1.3.* We write  $\bar{G} = G/\mathbf{R}_G(V)$  and, for every  $g \in G$ , we denote by  $\bar{g}$  the element  $g\mathbf{R}_G(V)$  of  $\bar{G}$ . We distinguish two cases.

CASE 1:  $V$  is a trivial  $G$ -module.

In this case  $G = \mathbf{C}_G(V)$  and  $\bar{G}$  is elementary abelian and hence it can be viewed as the vector space  $\mathbb{F}_p^\delta$  of dimension  $\delta = \delta_G(V)$  over the finite field  $\mathbb{F}_p$  of prime cardinality  $p = |V|$ . Therefore  $q_V = p$ ,  $n_V = 1$ ,  $\theta_G(V) = 0$  and the condition  $\delta_G(V) \geq (d - 1 - \theta_G(V))n_V + 1$  simplifies to  $\delta \geq d$ . As  $d(\bar{G}) = \delta$  and  $d(G) = d$ , we have  $\delta \leq d$  and hence  $\delta = d$ . Moreover, the elements in  $\mathcal{M}_V$  are in one-to-one correspondence with the maximal subgroups of  $\bar{G}$ , that is, with hyperplanes of  $\mathbb{F}_p^\delta$ .

For every  $i \in \{1, \dots, d\}$ , we identify  $\bar{g}_i$  with the vector  $(x_{i1}, \dots, x_{i\delta})$  of  $\mathbb{F}_p^\delta$ . A maximal subgroup  $M$  of  $\bar{G}$  is determined by a linear equation  $a_1x_1 + \dots + a_\delta x_\delta = 0$  for suitable  $a_1, \dots, a_\delta \in \mathbb{F}_p$ , and  $\bar{g}_i \in M$  if and only if  $\sum_{j=1}^\delta a_j x_{ij} = 0$ .

Consider the linear map  $\phi : \mathbb{F}_p^\delta \rightarrow \mathbb{F}_p^d$  defined by setting

$$\phi(a_1, \dots, a_\delta) = \left( \sum_{j=1}^\delta a_j x_{1j}, \dots, \sum_{j=1}^\delta a_j x_{dj} \right)$$

and observe that  $\phi$  is injective and hence bijective because  $\delta = d$ . Let  $\Lambda = \{(b_1, \dots, b_d) \in \mathbb{F}_p^d \mid b_i \neq 0, \text{ for every } i \in \{1, \dots, d\}\}$ . The existence of  $M \in \mathcal{M}_V$  with  $M \cap \{g_1, \dots, g_d\} = \emptyset$  is equivalent to  $\phi(\mathbb{F}_p^\delta) \cap \Lambda \neq \emptyset$ , which is clearly satisfied as  $\phi(\mathbb{F}_p^\delta) \cap \Lambda = \Lambda$ . Moreover, there are  $|\Lambda|/(p-1) = (p-1)^{d-1}$  maximal subgroups  $M \in \mathcal{M}_V$  with  $M \cap \{g_1, \dots, g_d\} = \emptyset$ . Thus the choice of  $M$  is unique only when  $q_V = p = 2$ .

CASE 2:  $V$  is a non-trivial  $G$ -module.

Let  $\delta = \delta_G(V)$ ,  $H = G/\mathbf{C}_G(V)$ ,  $\mathbb{F} = \text{End}_G(V)$ ,  $q = |\mathbb{F}|$ ,  $n = n_V$ . We know that  $\bar{G} = G/\mathbf{R}_G(V) \cong V^\delta \rtimes H$ . For every  $i \in \{1, \dots, d\}$ , we may write  $\bar{g}_i = h_i w_i$  with  $h_i \in H$  and  $w_i = (v_{i1}, \dots, v_{i\delta}) \in V^\delta$ .

Let  $\Omega = V \times \mathbb{F}^\delta \cong \mathbb{F}^{n+\delta}$  and let  $\Omega^* = \{(w, \lambda_1, \dots, \lambda_\delta) \in \Omega \mid (\lambda_1, \dots, \lambda_\delta) = (0, \dots, 0)\}$ . For every  $\omega = (w, \lambda_1, \dots, \lambda_\delta) \in \Omega \setminus \Omega^*$ , we associate the following subgroup  $M_\omega$  of  $\bar{G}$ :

$$M_\omega = \left\{ h(v_1, \dots, v_\delta) \in \bar{G} \mid w - w^h + \sum_{j=1}^{\delta} \lambda_j v_j = 0 \right\}.$$

(It is an exercise to prove that  $M_\omega$  is indeed a subgroup of  $\bar{G}$ .) Observe that if  $\omega \in \Omega \setminus \Omega^*$  and  $\lambda \in \mathbb{F} \setminus \{0\}$ , then  $M_\omega = M_{\lambda\omega}$ .

Since  $(\lambda_1, \dots, \lambda_\delta) \neq (0, \dots, 0)$ , for every  $h \in H$ , there exists  $(v_1, \dots, v_\delta) \in V^\delta$  with  $w^h - w = \sum_j \lambda_j v_j$ , that is,  $h(v_1, \dots, v_\delta) \in M_\omega$ . Therefore  $M_\omega V^\delta = H V^\delta = \bar{G}$ . Moreover  $M_\omega \cap V^\delta$  is a maximal  $H$ -submodule of  $V^\delta$ , so  $M_\omega$  is a maximal subgroup of  $\bar{G}$ .

By [3, Proposition 2.1], the linear map  $\phi: V \times \mathbb{F}^\delta \rightarrow V^d$  defined by setting

$$\phi(w, \lambda_1, \dots, \lambda_\delta) = \left( \left( w - w^{h_1} + \sum_{j=1}^{\delta} \lambda_j v_{1j} \right), \dots, \left( w - w^{h_d} + \sum_{j=1}^{\delta} \lambda_j v_{dj} \right) \right)$$

is injective. Moreover,  $\{\bar{M} \mid M \in \mathcal{M}_V\} = \{M_\omega \mid \omega \in \Omega \setminus \Omega^*\}$ . Therefore we have a one-to-one correspondence between the elements of  $\mathcal{M}_V$  and the 1-dimensional subspaces of  $\Omega$  contained in  $\Omega \setminus \Omega^*$ . Under this mapping the elements  $M \in \mathcal{M}_V$  with  $M \cap \{g_1, \dots, g_d\} = \emptyset$  correspond to the elements  $\omega \in \Omega \setminus \Omega^*$  with  $\phi(\omega) = (v_1, \dots, v_d)$  having all non-zero coordinates, that is,  $v_i \neq 0$  for every  $i \in \{1, \dots, d\}$ .

Let  $\Lambda = \{(v_1, \dots, v_d) \in V^d \mid v_i \neq 0, \text{ for every } i \in \{1, \dots, d\}\}$ , let  $W = \phi(\Omega)$  and let  $U = \phi(\Omega^*)$ . Observe that  $\dim_{\mathbb{F}}(W) = n + \delta$ ,  $\dim_{\mathbb{F}}(U) = n$  and  $U \leq W \leq V^d$ . Summing up, there exists a maximal subgroup  $M \in \mathcal{M}_V$  with  $M \cap \{g_1, \dots, g_d\} = \emptyset$  if and only if there exists a vector of  $W$  in  $\Lambda \setminus U$ .

The condition  $\delta_G(V) \geq (d-1 - \theta_G(V))n_V + 1$  simplifies to  $\delta \geq (d-2)n + 1$ , that is,  $\dim_{\mathbb{F}}(W) = n + \delta \geq n(d-1) + 1 = \dim_{\mathbb{F}} U(d-1) + 1$ . Now, the existence of a vector of  $W$  in  $\Lambda \setminus U$  is guaranteed by Lemma 2.1. Moreover, the choice of  $M$  is unique if and only if there are no two  $\mathbb{F}$ -linearly independent vectors of  $W$  in  $\Lambda \setminus U$ , that is, when  $(q, n, d) \in \{(3, 1, 2), (2, 2, 2)\}$  in view of Lemma 2.1.  $\square$

### 3. PROOFS OF COROLLARY 1.4 AND COROLLARY 1.5

*Proof of Corollaries 1.4 and 1.5.* Recall Remark 1.6 and the notation therein. The uniqueness of  $M$  implies that the set  $\mathcal{W}$  contains a unique  $G$ -module, say  $V$ . Moreover  $\mathcal{M}_V$  contains a unique maximal subgroup  $M$  with  $M \cap \{g_1, \dots, g_d\} = \emptyset$ .

Suppose  $d \geq 3$ . Now, Theorem 1.3 yields  $|V| = 2$ ,  $\mathbf{C}_G(V) = G$  and  $\mathbf{R}_G(V) = G'G^2$ . Moreover, from Remark 1.6, we deduce that  $N \leq \mathbf{R}_G(V) = G'G^2$  for each  $N \in \mathcal{N}$ . Since every normal subgroup  $N$  of  $G$  with  $d(G/N) = d(G)$  is contained in some member of  $\mathcal{N}$ , it follows that  $N \leq G'G^2$ . This proves Corollary 1.4 when  $d \geq 3$ . Observe that Corollary 1.5 implies Corollary 1.4 when  $d = 2$ . In particular, it remains to prove Corollary 1.5.



Assume then  $d(G) = 2$ . Suppose that  $G$  is not soluble. Let  $Y_1/Y_2$  be a non-abelian chief factor of  $G$  and let  $X = \mathbf{C}_G(Y_1/Y_2)$ . The factor group  $G/X$  is monolithic (that is, it has a unique minimal normal subgroup) and its socle  $N/X$  is isomorphic to  $Y_1/Y_2$ . We use the “bar” notation to denote the images under the projection  $\pi : G \rightarrow G/X = \bar{G}$ . Let  $\bar{P}$  be a Sylow  $p$ -subgroup of  $\bar{N}$ . From the Frattini argument we have  $\bar{G} = \bar{N}\mathbf{N}_{\bar{G}}(\bar{P})$ , and hence there exists a maximal subgroup  $\bar{M}$  of  $\bar{G}$  with  $\mathbf{N}_{\bar{G}}(\bar{P}) \leq \bar{M}$ . The action of  $\bar{G} = \langle \bar{g}_1, \bar{g}_2 \rangle$  on the set  $\Omega$  of the right cosets of  $\bar{M}$  in  $\bar{G}$  is faithful and primitive. If  $\bar{M}^x \cap \{\bar{g}_1, \bar{g}_2\} \neq \emptyset$  for each  $x \in \bar{G}$ , then every point of  $\Omega$  is fixed by either  $\bar{g}_1$  or  $\bar{g}_2$ , that is,  $\Omega = (\Omega \setminus \text{supp}_\Omega(\bar{g}_1)) \cup (\Omega \setminus \text{supp}_\Omega(\bar{g}_2))$  and  $\text{supp}_\Omega(\bar{g}_1) \cap \text{supp}_\Omega(\bar{g}_2) = \emptyset$ , but this forces the group  $\bar{G} = \langle \bar{g}_1, \bar{g}_2 \rangle$  to be intransitive. Therefore there exists  $x \in G$  with  $M^x \cap \{g_1, g_2\} = \emptyset$ .

Since  $\bar{N} \not\leq \bar{M}$ , there exists a prime  $q$  with  $q \neq p$ ,  $q \mid |\bar{N}|$  and with  $\bar{M}$  not containing any Sylow  $q$ -subgroup of  $\bar{N}$ . Applying the Frattini argument as above with the prime  $p$  replaced by the prime  $q$ , we find a maximal subgroup  $\bar{K}$  of  $\bar{G}$  containing the normalizer of a Sylow  $q$ -subgroup of  $\bar{N}$  and an element  $y \in G$  with  $K^y \cap \{g_1, g_2\} = \emptyset$ . Therefore we have two distinct maximal subgroups  $M^x$  and  $K^y$ , both avoiding the two generators  $g_1$  and  $g_2$ , against our assumption. Thus  $G$  is soluble.

Observe that the condition “ $\delta_G(V) \geq (d-1-\theta_G(V))n_V+1$ ” is always satisfied when  $d=2$  and  $V$  is a non-trivial  $G$ -module (see Remark 1.8 (iv)). Therefore, by Theorem 1.3, for every non-trivial  $G$ -module  $V \in \mathcal{V}$ , there exists at least a maximal subgroup  $M \in \mathcal{V}$  with  $M \cap \{g_1, g_2\} = \emptyset$ . Since we are assuming that there is a unique maximal subgroup with  $M \cap \{g_1, g_2\} = \emptyset$ , we deduce that  $\mathcal{V}$  contains at most a unique non-trivial irreducible  $G$ -module.

By [7, Ch. A, Theorem 13.8], the Fitting subgroup  $\text{Fit}(G)$  is the intersection of the centralisers of the chief factors of  $G$  which are complemented. Therefore, from the previous paragraph, either  $G$  is nilpotent (that is,  $G$  has no non-trivial chief factors) or  $\text{Fit}(G) = \mathbf{C}_G(V)$ , where  $V$  is the unique non-trivial  $G$ -module in  $\mathcal{V}$ . Assume that  $G$  is not nilpotent, and let  $V$  be the unique non-trivial irreducible  $G$ -module in  $\mathcal{V}$ . Again by Theorem 1.3, either  $|V|=4$  and  $G/\mathbf{C}_G(V) \cong \text{GL}_2(2) \cong \text{Sym}(3)$ , or  $|V|=3$  and  $G/\mathbf{C}_G(V) \cong \text{GL}_1(3) \cong C_2$ . In both cases, there exists a group epimorphism  $\phi : G \rightarrow \text{Sym}(3)$  (in the first case, by taking the projection of  $G$  to  $G/\mathbf{C}_G(V)$ , and in the second case, by taking the affine action of  $G$  on  $V$ ). Let  $x_1 = \phi(g_1)$ ,  $x_2 = \phi(g_2)$ . As  $G$  contains a unique maximal subgroup avoiding  $g_1$  and  $g_2$ , we deduce that  $\text{Sym}(3)$  contains a unique maximal subgroup  $K$  with  $K \cap \{x_1, x_2\} = \emptyset$ . But this is false: either one of the two elements  $x_1, x_2$  has order 3 and in this case there are two subgroups of order 2 of  $\text{Sym}(3)$  with trivial intersection with  $\{x_1, x_2\}$ , or both  $x_1$  and  $x_2$  have order 2, in which case there is one subgroup of order 2 and one of order 3 avoiding  $x_1$  and  $x_2$ . Therefore  $\mathcal{V}$  has no non-trivial irreducible  $G$ -modules, and  $G$  is nilpotent.

The condition “ $\delta_G(V) \geq (d-1-\theta_G(V))n_V+1$ ” reduces to  $\delta_G(V) \geq 2$  for each  $V \in \mathcal{V}$  because  $d(G) = 2$ . In particular, if  $\delta_G(V) \geq 2$  for some irreducible  $G$ -module  $V \in \mathcal{V}$  of odd order  $p$  (that is,  $G$  has an epimorphic image isomorphic to  $C_p \times C_p$ ), then the second part of Theorem 1.3 guarantees the existence of two distinct maximal subgroups avoiding  $g_1, g_2$ , contrary to our assumption. Therefore  $\delta_G(V) = 1$  for each irreducible  $G$ -module  $V \in \mathcal{V}$  of odd order, that is, the Hall  $2'$ -subgroup of  $G$  is cyclic. Let  $M$  be the unique maximal subgroup avoiding  $g_1$  and  $g_2$ . As  $d(G) = 2$ ,  $G$  is not cyclic and hence  $G$  has an irreducible  $G$ -module

$V \in \mathcal{V}$  of even order and with  $\delta_G(V) \geq 2$ . Now, Theorem 1.3 yields  $M \in \mathcal{M}_V$ ; thus  $|G : M| = 2$ .  $\square$

#### 4. PROOFS OF THEOREM 1.9 AND THEOREM 1.11

We first prove Theorem 1.11. (Here, given a permutation  $g \in \text{Sym}(\Omega)$ , we write  $\text{fix}(g) = \{\omega \in \Omega \mid \omega^g = \omega\}$ .)

*Proof of Theorem 1.11.* Let  $G = \langle g_1, \dots, g_d \rangle$  be a primitive subgroup of  $\text{Sym}(\Omega)$ , with  $d = d(G) \geq 1$  and  $|\Omega| = n$ . We argue by contradiction and we suppose that  $\text{supp}(g_i) \cap \text{supp}(g_j) = \emptyset$  for some  $i, j \in \{1, \dots, d\}$ . In particular,  $\langle g_i, g_j \rangle$  is intransitive and hence  $d > 2$ . Moreover  $\text{fix}(g_i) \cup \text{fix}(g_j) = \Omega$ , hence  $|\text{fix}(g_i)| + |\text{fix}(g_j)| \geq n$ . Therefore there exists  $g \in \{g_i, g_j\}$  with  $|\text{fix}(g)| \geq n/2$ . The finite primitive groups admitting a non-identity element fixing at least half of the points of the domain have been classified by Guralnick and Magaard [11, Theorem 1]. We use the classification of Guralnick and Magaard and we distinguish two possibilities:

CASE A:  $G$  is an affine group with regular normal subgroup  $V$  and  $n = |V| = 2^k$ .

We have  $G = V \rtimes H$ , where  $H$  is an irreducible subgroup of  $\text{GL}(V)$ , and the action of  $G$  on  $\Omega$  is permutation equivalent to the affine action of  $G$  on  $V$ . We write  $g_i = h_i v_i$ ,  $g_j = h_j v_j$  with  $h_i, h_j \in H$  and  $v_i, v_j \in V$ . By [11, Theorem 1], if  $g = hv$  is a non-identity element of  $G$  with  $|\text{fix}(g)| \geq n/2$ , then  $h$  acts as a transvection on  $V$  and  $|\text{fix}(g)| = 2^{k-1} = n/2$ . Hence the inequality  $|\text{fix}(g_i)| + |\text{fix}(g_j)| \geq n$  implies  $|\text{fix}(g_i)| = |\text{fix}(g_j)| = n/2$  and consequently  $h_i, h_j$  both act as transvections on the irreducible  $H$ -module  $V$ .

Since  $V$  is the unique minimal normal subgroup of  $G$ , from [16, Theorem 1.1], we deduce  $d(G) = \max\{2, d(G/V)\} = \max\{2, d(H)\}$  and hence

$$(4.1) \quad d(H) = d(G) > 2.$$

Let  $N = \langle h_i^{x_i}, h_j^{x_j} \mid x_i, x_j \in H \rangle$ . Now  $N \trianglelefteq H$  and hence  $V$  is a completely reducible  $N$ -module from Clifford's theory. Therefore we may write  $V = V_1 \oplus \dots \oplus V_\ell$ , where  $V_m$  is a homogeneous  $N$ -submodule of  $V$  for each  $m \in \{1, \dots, \ell\}$  (a module is said to be homogeneous if it is the direct sum of pairwise isomorphic submodules), and  $H$  acts transitively by conjugation on the set  $\{V_1, \dots, V_\ell\}$ . Clearly  $N$  fixes  $\{V_1, \dots, V_\ell\}$  point-wise and  $G/N$  acts transitively by conjugation on  $\{V_1, \dots, V_\ell\}$ . We prove that, for every  $m \in \{1, \dots, \ell\}$ ,  $V_m$  is actually an irreducible  $N$ -module. Indeed, write  $V_m = V_{m,1} \oplus \dots \oplus V_{m,\ell_m}$ , where  $V_{m,i}$  is an irreducible  $N$ -module for every  $i \in \{1, \dots, \ell_m\}$ . Since  $N$  is generated by transvections and since  $N$  acts faithfully on  $V$ , there exists a transvection  $h \in N$  with  $h$  not centralizing  $V_m$ , that is,  $h$  acts as a transvection on  $V_m$ . Therefore,  $h$  acts as a transvection on  $V_{m,i}$  for some  $i \in \{1, \dots, \ell_m\}$ , and  $h$  centralizes  $V_{m,j}$  for every  $j \in \{1, \dots, \ell_m\} \setminus \{i\}$ . If  $\ell_m > 1$ , then this contradicts the fact that  $V_{m,1}, \dots, V_{m,\ell_m}$  are pairwise isomorphic  $N$ -modules. Thus  $\ell_m = 1$  and  $V_m$  is an irreducible  $N$ -module.

Let  $Y_m$  and  $X_m$  be the linear groups induced, respectively, by the actions of  $N$  and  $\mathbf{N}_H(V_m)$  on  $V_k$ . We also write  $X = X_1$  and  $Y = Y_1$ . Then  $N$  is a subdirect product of  $Y_1 \times \dots \times Y_\ell$  and  $H$  acts transitively by conjugation on  $\{Y_1, \dots, Y_\ell\}$ . Moreover  $Y_1 \cong \dots \cong Y_\ell \cong Y$ ,  $X_1 \cong \dots \cong X_\ell \cong X$ ,  $Y \trianglelefteq X \leq \text{SL}_m(2)$ , with  $m = k/\ell$ , and  $H$  can be identified with a subgroup of the imprimitive linear group  $X \wr T$ , where  $T$  is the subgroup of  $\text{Sym}(\ell)$  induced by the conjugacy action of  $H$  on  $\{Y_1, \dots, Y_\ell\}$ .

Notice that  $T$  is an epimorphic image of  $G/N$ , which is generated by the elements  $g_k N$  with  $k \in \{1, \dots, d\} \setminus \{i, j\}$ , so

$$(4.2) \quad d(T) \leq d - 2.$$

As  $N$  is generated by transvections, we deduce that also  $Y$  is generated by transvections. Then the structure of  $Y$  can be deduced from [17, Theorem]:  $Y$  is one of the following groups:

- (1)  $\mathrm{SL}_m(2)$  for  $m \geq 2$ ,
- (2)  $\mathrm{Sp}_m(2)$  for  $m \geq 4$ ,
- (3)  $\mathrm{O}_m^+(2)$  for  $m \geq 6$ ,
- (4)  $\mathrm{O}_m^-(2)$ , for  $m \geq 4$ ,
- (5)  $\mathrm{Sym}(m+2)$  or  $\mathrm{Sym}(m+1)$  for  $m \geq 4$ .

From [13, Section 3 and Table 3.5A], we see that  $\mathrm{Sp}_m(2)$  is maximal in  $\mathrm{SL}_m(2)$  and, from [13, Section 3 and Table 3.5C], we see that  $\mathrm{O}_m^+(2)$  and  $\mathrm{O}_m^-(2)$  are both maximal in  $\mathrm{Sp}_m(2)$ . It follows that  $\mathrm{SL}_m(2)$ ,  $\mathrm{Sp}_m(2)$ ,  $\mathrm{O}_m^+(2)$  and  $\mathrm{O}_m^-(2)$  are self-normalizing in  $\mathrm{SL}_m(2)$ . As  $\mathrm{Aut}(\mathrm{Sym}(\kappa)) = \mathrm{Sym}(\kappa)$  except when  $\kappa = 6$ , it follows from Schur's lemma that also  $\mathrm{Sym}(m+2)$  and  $\mathrm{Sym}(m+1)$  are self-normalizing in  $\mathrm{SL}_m(2)$ , except possibly when  $m \in \{4, 5\}$ . Finally, a direct computation yields that  $\mathrm{Sym}(6)$  is self-normalizing in  $\mathrm{SL}_4(2)$  and in  $\mathrm{SL}_5(2)$ . Therefore, in all these cases,  $Y$  is self-normalizing in  $\mathrm{SL}_m(2)$ .

Since  $Y \trianglelefteq X$ , we conclude  $Y = X$ . Moreover  $\mathrm{soc}(Y)$  is a simple group (not necessarily non-abelian) and  $|Y/\mathrm{soc}(Y)| \leq 2$ . Let  $\Delta = Y \setminus \{1\}$  if  $Y = \mathrm{soc}(Y)$ , and let  $\Delta = Y \setminus \mathrm{soc}(Y)$  otherwise.

Since  $N$  is a subdirect product of  $Y^\ell$  and it is generated by transvections, there exists a transvection  $n = (y_1, \dots, y_\ell) \in N$  with  $y_j \in \Delta$  for some  $j \in \{1, \dots, \ell\}$ . Now, to be a transvection  $n$  must be equal to  $(1, \dots, 1, y_j, 1 \dots 1)$ . Let  $\pi_j$  be the projection from  $N$  to  $Y_j$ . Since  $\pi_j(N) = Y_j$ , we have that  $[N, n]$  contains all the elements of the form  $(1, \dots, s, \dots, 1)$  with  $s \in [Y, y_j]$ . As  $\langle y_j, [Y, y_j] \rangle = Y$ , we obtain that  $N$  contains  $(1, \dots, y, \dots, 1)$  for every  $y \in Y$ . This implies  $N = Y^\ell$  and  $H = Y \wr T$ .

Let  $K = (\mathrm{soc}(Y))^\ell$ : an easy case-by-case analysis shows that  $K$  is the unique minimal normal subgroup of  $H$ , so by [16, Theorem 1.1]  $d(H) = \max\{2, d(H/K)\}$ . On the other hand either  $\mathrm{soc}(Y) = Y$  and  $H/K \cong T$  or  $|Y : \mathrm{soc}(Y)| = 2$  and  $H/K \cong C_2 \wr T$ . In both cases,  $d(H/K) \leq d(T) + 1$ . Now, Eqs. (4.1) and (4.2) yield  $2 < d = d(G) = d(H) \leq \max\{2, d - 1\}$ , a contradiction.

CASE B:  $G \leq H \wr \mathrm{Sym}(t)$ , where  $H$  is a primitive group on  $\Delta$  and the wreath product  $H \wr \mathrm{Sym}(t)$  has its product action on  $\Omega = \Delta^t$ . Moreover  $H$  is almost simple with  $\mathrm{soc}(H) \in \{\mathrm{Alt}(k), \Omega_{2k+1}(2), \Omega_{2k}^+(2), \Omega_{2k}^-(2)\}$  and  $|H/\mathrm{soc}(H)| \leq 2$ .

The argument here is similar to the previous case. Write the element  $g \in G$  as  $(x_1, \dots, x_t)\pi_g$  where  $(x_1, \dots, x_t)$  lies in the base subgroup  $H^t$  and  $\pi_g \in \mathrm{Sym}(t)$ . Setting  $g_i = (a_1, \dots, a_t)\pi_i$  and  $g_j = (b_1, \dots, b_t)\pi_j$  with  $\pi_i, \pi_j \in \mathrm{Sym}(t)$  and  $(a_1, \dots, a_t), (b_1, \dots, b_t) \in H^t$ , it can be easily seen that the assumption  $\mathrm{supp}(g_i) \cap \mathrm{supp}(g_j) = \emptyset$  implies  $\pi_i = \pi_j = 1$  and that there exists  $s \in \{1, \dots, t\}$  with  $a_r = b_r = 1$  whenever  $r \in \{1, \dots, t\} \setminus \{s\}$ .

If  $a_s$  and  $b_s$  are both in  $\mathrm{soc}(H)$ , then  $g_i, g_j \in \mathrm{soc}(G) = \mathrm{soc}(H)^t$  and this implies  $d(G/\mathrm{soc}(G)) \leq d - 2$ . As usual, from [16, Theorem 1.1], we deduce  $d(G) = \max\{2, d(G/\mathrm{soc}(G))\} \leq \max\{2, d - 2\}$ , a contradiction. Thus, we may assume  $a_s \notin \mathrm{soc}(H)$ . Then  $|H : \mathrm{soc}(H)| = 2$ .

Arguing exactly as in Case A, we get  $G = H \wr T$  with  $T$  a transitive subgroup of  $\text{Sym}(t)$  and  $G/\text{soc}(G) \cong C_2 \wr T$ . Since  $g_i, g_j \in H^t$ , we must have  $d(T) \leq d-2$  and therefore  $d(G) = \max\{2, d(G/\text{soc}(G))\} \leq \max\{2, d(T) + 1\} \leq \max\{2, d-1\}$ , again a contradiction.  $\square$

*Proof of Theorem 1.9.* Let  $G = \langle g_1, g_2, g_3 \rangle$  be a primitive subgroup of  $\text{Sym}(\Omega)$  with  $d(G) = 3$ . We argue by contradiction and we suppose that  $\text{supp}(g_1) \cap \text{supp}(g_2) \cap \text{supp}(g_3) = \emptyset$ . Then  $\text{fix}(g_1) \cup \text{fix}(g_2) \cup \text{fix}(g_3) = \Omega$  and

$$(4.3) \quad |\text{fix}(g_1)| + |\text{fix}(g_2)| + |\text{fix}(g_3)| \geq |\Omega|.$$

We use the O’Nan-Scott theorem, as stated in [14]. According to this, we have five cases to consider. Let  $N$  be the socle of  $G$ .

CASE A:  $G$  is an affine group.

Here,  $N$  is an elementary abelian  $p$ -group for some prime  $p$ ,  $G = N \rtimes H$  where  $H$  is an irreducible subgroup of  $\text{GL}(N)$  and the action of  $G$  on  $\Omega$  is permutation equivalent to the affine action of  $N \rtimes H$  on  $N$ .

Let  $\mathbb{F} = \text{End}_H(N)$ ,  $q = |\mathbb{F}|$ ,  $\kappa = \dim_{\mathbb{F}}(N)$ . We write  $g_1 = h_1 v_1$ ,  $g_2 = h_2 v_2$ ,  $g_3 = h_3 v_3$ , with  $h_1, h_2, h_3 \in H$  and  $v_1, v_2, v_3 \in N$ . In particular, given  $n \in N$ , we have  $n^{h_i v_i} = n^{h_i} + v_i$  and hence  $\text{supp}(g_i) = \{n \in N \mid n^{h_i} + v_i \neq n\}$ . For simplicity, we define  $\text{supp}(g_i) = N_i = \{n \in N \mid n - n^{h_i} \neq v_i\}$ . As  $\text{supp}(g_1) \cap \text{supp}(g_2) \cap \text{supp}(g_3) = \emptyset$ , there exists no  $w \in N$  with  $w - w^{h_i} \neq v_i$  for every  $i \in \{1, 2, 3\}$ .

The mapping  $\phi : N \times \mathbb{F} \rightarrow N^3$  defined by setting

$$\phi(w, \lambda) = (w - w^{h_1} + \lambda v_1, w - w^{h_2} + \lambda v_2, w - w^{h_3} + \lambda v_3)$$

is clearly linear and (by [3, Proposition 2.1]) injective. We have  $d(H) = d(G) = 3$  from [1, Corollary 1], and hence  $h_i \neq 1$  for every  $i \in \{1, 2, 3\}$ . This means that  $\kappa_i = \dim_{\mathbb{F}}(N^{1-h_i}) \geq 1$ : in particular the set  $N_i = \{n \in N \mid n - n^{h_i} = v_i\}$  has cardinality at most  $q^{\kappa - \kappa_i} \leq q^{\kappa - 1}$ . If  $\sum_{1 \leq i \leq 3} q^{\kappa - \kappa_i} < q^{\kappa}$ , then  $N \neq N_1 \cup N_2 \cup N_3$  and we are done: in particular, since  $\sum_{1 \leq i \leq 3} q^{\kappa - \kappa_i} \leq 3q^{\kappa - 1}$ , we may assume  $q \leq 3$ . If  $q = 3$ , then  $N \neq N_1 \cup N_2 \cup N_3$  except (possibly) when  $\kappa_i = 1$  for every  $i \in \{1, 2, 3\}$ . In this case, the fact that  $\phi$  is injective implies that  $3 = \kappa_1 + \kappa_2 + \kappa_3 \geq \kappa$ . On the other hand, if  $\kappa \leq 2$ , then  $d(H) \leq 2$  by [12, Theorem 1.2], against our assumption; so  $\kappa = 3$  and  $(N \times \{0\})^\phi = N^{1-h_1} \times N^{1-h_2} \times N^{1-h_3}$  and we can easily conclude that there is  $(u_1, u_2, u_3) \in N^{1-h_1} \times N^{1-h_2} \times N^{1-h_3}$  with  $u_i \neq v_i$  for every  $i \in \{1, 2, 3\}$ . Finally suppose  $q = 2$ . Relabelling the indexed set  $\{1, 2, 3\}$  if necessary, we may assume that  $\kappa_1 \leq \kappa_2 \leq \kappa_3$ . As above, if  $N \neq N_1 \cup N_2 \cup N_3$ , then we are done. Since  $|N_1 \cup N_2 \cup N_3| \leq 2^{\kappa - \kappa_1} + 2^{\kappa - \kappa_2} + 2^{\kappa - \kappa_3}$ , we may restrict our attention to the case  $2^{\kappa - \kappa_1} + 2^{\kappa - \kappa_2} + 2^{\kappa - \kappa_3} \geq 2^{\kappa}$ . This implies that either  $(\kappa_1, \kappa_2, \kappa_3) = (1, 2, 2)$ , or  $(\kappa_1, \kappa_2) = (1, 1)$ . In the first case  $\kappa \leq \kappa_1 + \kappa_2 + \kappa_3 \leq 5$ , but then  $d(H) \leq 2$  by [12, Theorem 1.2], against our assumption. It remains to consider the case  $(\kappa_1, \kappa_2) = (1, 1)$ . This means that  $h_1, h_2$  both act as transvections on the irreducible  $H$ -module  $N$ . Using as a crib the argument in Case A in the proof of Theorem 1.11, we deduce  $d(G) \leq 2$ , a contradiction.

CASE B:  $G$  is of simple diagonal type.

Here  $N = S^\kappa$ , for some non-abelian simple group  $S$  and for some positive integer  $\kappa$  with  $\kappa \geq 2$ . Moreover,  $|\Omega| = |S|^{\kappa - 1}$ . Let  $g$  be a non-identity element of  $G$ . An upper bound for  $|\text{fix}(g)|$  is given in [15, p. 310] (see also [10, Section 5]). We have

$$|\text{fix}(g)| \leq \begin{cases} \frac{|\Omega|}{|S|} & \text{when } \kappa \geq 3, \\ \max_{\alpha \in \text{Aut}(S)} |\{s \in S \mid s^\alpha = s^{-1}\}| & \text{when } \kappa = 2. \end{cases}$$

When  $\kappa \geq 3$ , we deduce  $|\text{fix}(g)| \leq |\Omega|/60$ , contradicting (4.3). Suppose then  $\kappa = 2$ . From [18, Theorem 3.1], we have  $|\{s \in S \mid s^\alpha = s^{-1}\}| \leq 4|S|/15$ , for each automorphism  $\alpha$  of  $S$ . Therefore,  $|\text{fix}(g)| \leq 4|\Omega|/15 < |\Omega|/3$ , contradicting again (4.3).

CASE C:  $G$  is of twisted wreath type.

Here  $N$  is a normal regular subgroup of  $G$  and the action of a point-stabilizer on  $\Omega$  is permutation equivalent to its action on  $N$  by conjugation. Consequently, if  $g$  is a non-identity element of a point-stabilizer, then  $|\text{fix}(g)| \leq |\mathbf{C}_N(g)| \leq |N|/5 = |\Omega|/5$ , again contradicting (4.3).

CASE D:  $G$  is almost simple.

From [5], the condition  $d(G) = 3$  implies that either  $N = \text{PSL}_n(q)$  with  $n \geq 4$  or  $N = \text{P}\Omega_n^+(q)$  with  $n \geq 8$ , moreover (in both cases)  $q$  is an even power of an odd prime. In particular,  $q \geq 9$ . By [15, Theorem 1], for each non-identity element  $g \in G$ , we have

$$|\text{fix}(g)| \leq \frac{4|\Omega|}{3q} \leq \frac{4|\Omega|}{27} < \frac{|\Omega|}{3},$$

again contradicting (4.3).

CASE E:  $G$  is of wreath product type.

In particular  $G \leq H \wr \text{Sym}(t)$ , where  $H$  is a primitive group on  $\Delta$  and the wreath product has its product action on  $\Omega = \Delta^t$ . Moreover  $H$  is either of almost simple type or of simple diagonal type and  $\text{soc}(G) = (\text{soc}(H))^t$ . Let  $g_1 = (a_1, \dots, a_t)\pi_1$ ,  $g_2 = (b_1, \dots, b_t)\pi_2$  and  $g_3 = (c_1, \dots, c_t)\pi_3$ , where  $(a_1, \dots, a_t)$ ,  $(b_1, \dots, b_t)$  and  $(c_1, \dots, c_t)$  are in the base group  $H^t$  and  $\pi_1, \pi_2, \pi_3 \in \text{Sym}(t)$ .

Let  $g \in G$  and write  $g$  as  $(x_1, \dots, x_t)\pi_g$  where  $(x_1, \dots, x_t)$  lies in the base group  $H^t$  and  $\pi_g \in \text{Sym}(t)$ .

We claim that, if  $\pi_g \neq 1$ , then

$$(4.4) \quad |\text{fix}(g)| \leq |\Delta|^{t-1}$$

and the bound is met if and only if  $g$  is  $(H \wr \text{Sym}(t))$ -conjugate to

$$(x, x^{-1}, 1, \dots, 1)(12),$$

for some  $x \in H$ . Indeed, choose  $i, j \in \{1, \dots, t\}$  with  $i\pi_g = j$  and  $i \neq j$ . Observe that if  $(\delta_1, \dots, \delta_t) \in \text{fix}(g)$ , then  $\delta_j = \delta_i^{x_i}$ . Consequently, for the elements in  $\text{fix}(g)$  the  $j^{\text{th}}$ -coordinate is uniquely determined by the  $i^{\text{th}}$ -coordinate and (4.4) is proved. Moreover, if the bound in Eq. (4.4) is met then,  $\pi_g$  is a transposition, say  $\pi_g = (ij)$ , and moreover  $x_k = 1$  for every  $k \in \{1, \dots, t\} \setminus \{i, j\}$ . Now, a direct computation with this explicit description of  $g$  yields that the bound in Eq. (4.4) is met if and only if  $x_i x_j = 1$ .

We observe that, if  $\pi_g = 1$  and  $g \neq 1$ , then

$$(4.5) \quad |\text{fix}(g)| \leq (|\Delta| - 2)|\Delta|^{t-1}$$

and the bound is met if and only if  $g$  is  $(H \wr \text{Sym}(t))$ -conjugate to

$$(x, 1, \dots, 1),$$

where  $x$  is a transposition in  $H$ . See for example [10, Section 3].

We now use Eqs. (4.4) and (4.5) and their characterisation of equalities to the elements  $g_1, g_2, g_3$ . Suppose that  $\pi_1, \pi_2, \pi_3 \neq 1$ . Using Eqs. (4.4), we get  $|\Omega| \leq \sum_{1 \leq i \leq n} |\text{fix}(g_i)| \leq 3|\Delta|^{t-1} < |\Delta|^t = |\Omega|$ , a contradiction. Suppose next that  $\pi_1 = 1$  and  $\pi_2, \pi_3 \neq 1$ . Using Eqs. (4.4) and (4.5), we get  $|\Omega| \leq \sum_{1 \leq i \leq n} |\text{fix}(g_i)| \leq (|\Delta| - 2)|\Delta|^{t-1} + 2|\Delta|^{t-1} = |\Delta|^t = |\Omega|$ . In particular,  $|\text{fix}(g_1)| = (|\Delta| - 2)|\Delta|^{t-1}$  and  $|\text{fix}(g_2)| = |\text{fix}(g_3)| = |\Delta|^{t-1}$ . Using the characterisations above it is easy to conclude that  $G = \text{Sym}(\Delta) \wr \text{Sym}(2)$  or  $G = \text{Sym}(\Delta) \wr \text{Sym}(3)$ . In both cases,  $d(G) = 2$ , a contradiction.

Relabelling the indexed set  $\{1, 2, 3\}$  if necessary, we may assume  $\pi_1 = \pi_2 = 1$ . In particular,  $\pi_3$  is a  $t$ -cycle and, relabelling the indexed set  $\{1, \dots, t\}$  if necessary, we may assume  $\pi_3 = (12 \dots t)$ .

There exists  $j_1, j_2 \in \{1, \dots, t\}$  with  $a_{j_1} \neq 1$  and  $b_{j_2} \neq 1$ . If  $\text{supp}(a_{j_1}) > |\Delta|/2$  and  $\text{supp}(b_{j_2}) > |\Delta|/2$ , then there exist  $i \in \{1, \dots, t\}$  and  $\omega = (\delta_1, \dots, \delta_t) \in \Delta^t = \Omega$  such that  $\delta_{j_1} a_{j_1} \neq \delta_{j_1}$ ,  $\delta_{j_2} b_{j_2} \neq \delta_{j_2}$  and  $\delta_i c_i \neq \delta_{i\pi_3}$ . In this case  $\omega \in \text{supp}(g_1) \cap \text{supp}(g_2) \cap \text{supp}(g_3)$  and we are done. Therefore, we may assume that there exists  $h \in H$  with  $|\text{supp}(h)| \leq |\Delta|/2$ . The primitive groups with these properties have been classified by Guralnick and Magaard [11, Theorem 1]:  $H$  is an almost simple group and in all cases  $|H/\text{soc}(H)| \leq 2$ . (Here we follow closely the ideas in the proof of Theorem 1.11 Case B.) Then  $G/\text{soc}(G) \leq C_2 \wr C_n$ . To conclude the proof we need the following claim.

**CLAIM** Let  $X$  be a subgroup of  $C_2 \wr \langle \sigma \rangle$ , where  $\sigma = (1, \dots, t) \in \text{Sym}(t)$ . If  $X$  contains an element  $g$  of the form  $g = (c_1, \dots, c_t)\sigma$ , then  $d(X) \leq 2$ .

Let  $W = C_2^t$  be the base of the wreath product  $C_2 \wr \langle \sigma \rangle$  and let  $U = W \cap X$ . We can view  $W$  as a cyclic  $\mathbb{F}_p[x]$ -module with  $x$  acting as  $g$  does. As  $\mathbb{F}_p[x]$  is polynomial ring, it is a principal ideal domain, therefore every submodule of  $W$  is cyclic: in particular there exists  $u \in U$  generating  $U$  an  $\mathbb{F}_p[x]$ -module. Thus  $X = \langle g, u \rangle$  and  $d(X) \leq 2$ .

Applying the previous claim with  $G/\text{soc}(G)$  and using [16, Theorem 1.1], we deduce  $d(G) = \max\{2, d(G/\text{soc}(G))\} = 2$ , but this contradicts  $d(G) = 3$ .  $\square$

## 5. DIRECT PRODUCT OF NON-ABELIAN SIMPLE GROUPS

Let  $S$  be a finite non-abelian simple group. Given a positive integer  $d \geq 3$ , consider the action of  $\text{Aut}(S)$  on  $S^d$  and let  $\Omega_d$  be the set of  $\text{Aut}(S)$ -orbits on the set of  $d$ -tuples  $(x_1, \dots, x_d) \in S^d$  with the following properties:

- (1)  $S = \langle x_1, \dots, x_d \rangle$ ;
- (2) for every maximal subgroup  $M$  of  $S$ , there exists  $i \in \{1, \dots, d\}$  with  $x_i \in M$ .

Notice that, since  $d \geq 3$ ,  $\Omega_d$  is non-empty, there are several generating  $d$ -tuples in which at least one entry coincides with the identity element. (However, when  $d = 2$ , we have  $\Omega_2 = \emptyset$  by Theorem 1.1.)

We use the notation  $[(x_1, \dots, x_d)]$  to denote the  $\text{Aut}(S)$ -orbit containing  $(x_1, \dots, x_d) \in \Omega_d$ . We define the graph  $\Gamma_d$  with vertex set  $\Omega_d$  and where two distinct vertices  $[(x_1, \dots, x_d)]$  and  $[(y_1, \dots, y_d)]$  are declared to be adjacent if and only if, for every  $\gamma \in \text{Aut}(S)$ , there exists  $i \in \{1, \dots, d\}$  (which may depend on  $\gamma$ ) such that  $y_i = x_i^\gamma$ .

**Theorem 5.1.** *Let  $\omega(\Gamma_d)$  be the clique number of  $\Gamma_d$  and let  $P_S(k)$  be the probability of generating  $S$  with  $k$ -elements. We have*

$$\omega(\Gamma_d) \leq \frac{P_S(d-1)|S|^{d-1}}{|\text{Aut}(S)|}.$$

*Proof.* Let  $t = \frac{P_S(d-1)|S|^{d-1}}{|\text{Aut}(S)|} + 1$  and suppose, by contradiction, that

$$\omega_1 = [(x_{11}, \dots, x_{d1})], \omega_2 = [(x_{12}, \dots, x_{d2})], \dots, \omega_t = [(x_{1t}, \dots, x_{dt})]$$

are  $t + 1$  vertices of a clique of  $\Gamma_d$ . Consider the  $d$  elements

$$g_1 = (x_{11}, \dots, x_{1t}), g_2 = (x_{21}, \dots, x_{2t}), \dots, g_d = (x_{d1}, \dots, x_{dt})$$

of  $S^t$ . We have that  $S^t = \langle g_1, \dots, g_d \rangle$  and  $S^t$  cannot be generated by  $d - 1$  elements (by the way in which  $t$  is defined, see for example [4] for some details). So  $d(S^t) = d$  and we may apply Theorem 1.1: there exists a maximal subgroup  $M$  of  $S^t$  with  $M \cap \{g_1, \dots, g_d\} = \emptyset$ .

Now, there are two possibilities:

CASE A:  $M$  is of “product type”, i.e. there exists  $i \in \{1, \dots, t\}$  and a maximal subgroup  $K$  of  $S$  such that  $M = \{(s_1, \dots, s_t) \in S^t \mid s_i \in K\}$ .

In this case, as  $M \cap \{g_1, \dots, g_d\} = \emptyset$ , we have  $x_{ji} \notin K$  for every  $j \in \{1, \dots, d\}$ , but then  $\omega_i \notin \Omega_d$  because we are violating the condition (1) above, a contradiction.

CASE B:  $M$  is of “diagonal type”, i.e. there exist  $i, j \in \{1, \dots, t\}$  with  $i \neq j$  and  $\gamma \in \text{Aut}(S)$  such that  $M = \{(s_1, \dots, s_t) \in S^t \mid s_j = s_i^\gamma\}$ .

In this case, as  $M \cap \{g_1, \dots, g_d\} = \emptyset$ , we have  $x_{kj} \neq x_{ki}^\gamma$  for every  $k \in \{1, \dots, d\}$ , in contradiction with the fact that  $\omega_i$  and  $\omega_j$  are adjacent vertices of  $\Gamma_d$ .  $\square$

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ANDREA LUCCHINI, DIPARTIMENTO DI MATEMATICA PURA E APPLICATA,  
UNIVERSITY OF PADOVA, VIA TRIESTE 53, 35121 PADOVA, ITALY  
*E-mail address:* `lucchini@math.unipd.it`

PABLO SPIGA, DIPARTIMENTO DI MATEMATICA PURA E APPLICATA,  
UNIVERSITY OF MILANO-BICOCCA, VIA COZZI 55, 20126 MILANO, ITALY  
*E-mail address:* `pablo.spiga@unimib.it`